

NTPC VIDYUT VYAPAR NIGAM LIMITED

(A wholly owned Subsidiary of NTPC Limited)



BIDDING DOCUMENTS

FOR

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI & ADJOINING DISTRICTS

BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25

(This Document is meant for the exclusive purpose of bidding against this Bid Document No./ Specification and shall not be transferred, reproduced or otherwise used for purposes other than that for which it is specifically issued)

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO. NVVN/ C&M /RE-307 /2024-25 SECTION - I (IFB)

ABRIDGED INVITATION

NTPC VIDYUT VYAPAR NIGAM LIMITED

(A wholly owned Subsidiary of NTPC Limited)



CONTRACTS & MATERIALS

INVITATION FOR BIDS (IFB)

FOR

**DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI**

BID DOCUMENT NO: NVVN/C&M/RE-307/2024-25

NTPC VIDYUT VYAPAR NIGAM LIMITED (NVVN) invites online Bids from eligible bidders on '**Single Stage Two Envelope**' bidding basis (Envelope-I: Techno-Commercial Bid & Envelope- II: Price Bid) for aforesaid Package.

For the detailed IFB and Bidding Documents please visit at e-procurement portal <https://eprocurentpc.nic.in> or may contact Sr. Manager (Contracts), NTPC VIDYUT VYAPAR NIGAM LIMITED, Engineering Office Complex, Plot No. A-8A Sector 24, Block A, Noida, Uttar Pradesh 201301, Email: nvvncontracts@ntpc.co.in; Tel No: 0120-4948575

Registered office: NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi-110003, Corporate Identification Number: U40108DL2002GOI117584, Website: www.nvvn.co.in

DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE
AND OTHER GOVT. BUILDING IN DELHI

BIDDING DOCUMENT NO. NVVN/ C&M /RE-307 /2024-25
SECTION - I (IFB)

**BIDDING DOCUMENTS
FOR
DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER
PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI
BID DOCUMENT NO.: NVVN/C&M/RE-307/2024-25**

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Acronyms

BDS	Bid Data Sheet
CIF	Cost, Insurance and Freight
CIP	Carriage and Insurance paid to (place)
CPM	Critical Path Method
EDI	Electronic Data Interchange
EXW	Ex factory, ex works or ex warehouse
FCA	Free Carrier
FOB	Free on Board
FOR	Free on Rail / Road
FP	Bid Forms, Procedures & attachments
GCC	General Conditions of Contract
ICC	International Chamber of Commerce
IFB	Invitation for Bids
ITB	Instructions to Bidders
SCC	Special Conditions of Contract
TS	Technical Specifications and Drawings
UNCITRAL	United Nations Commission on International Trade Law
INCOTERMS	International Rules for interpreting Trade Terms.

Note : The terms EXW, CIF, etc. shall be governed by the rules prescribed in the current edition of INCOTERMS, published by the international chambers of commerce, 38, Cours Atbert 1er. 75008, Paris, France.

SECTION - I

INVITATION FOR BIDS (IFB)

**DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE
AND OTHER GOVT. BUILDING IN DELHI**

**BIDDING DOCUMENT NO. NVVN/ C&M /RE-307 /2024-25
SECTION - I (IFB)**

INVITATION FOR BIDS

NTPC VIDYUT VYAPAR NIGAM LIMITED
(A wholly owned Subsidiary of NTPC Limited)

CONTRACT & MATERIALS

INVITATION FOR BIDS (IFB) FOR

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI (Domestic Competitive Bidding)

Bidding Document No.: NVVN/ C&M/RE-307/2024-25

1.0 NTPC VIDYUT VYAPAR NIGAM LTD (NVVN) invites on-line bids at Government e-procurement portal of NIC (GePNIC) at website- <https://eprocurentpc.nic.in/> on Single Stage Two Envelope Basis (i.e. Envelope-I: Techno-Commercial Bid and Envelope-II: Price Bid) from eligible Bidders for aforesaid package, as per the scope of work briefly mentioned hereinafter.

2.0 BRIEF SCOPE OF WORK

The Solar Photo Voltaic (PV) installation on Rooftop of various/multiple buildings of the Delhi Police and NTPC EOC/ NTPC Township at Noida as mentioned in Annexure-A of Section-VI and other Government Buildings in Delhi and districts of other States adjoining Delhi shall be carried out preferably on shadow free area in such a way that the generation is maximized on each building suitable for installation of Solar PV power plants. The tentative capacity is 25.651 MW. The final plant capacity shall be as per the detailed engineering and approved design of each of the building's rooftops solar.

Permanent MS Staircase/ladder of minimum width 1.0m & finished with primer & weather resistant enamel paint for roof top access must be provided for each building where the Roof Top Solar PV panels are to be installed and roof access is not available.

- A. The successful bidder shall be exclusively responsible for design, engineering, testing and all other relevant activities like preliminary visual assessment of roof, water arrangements, electricity, security and determining optimal capacity for setting up of Solar PV Plants and O&M activities for 10 years.
- B. The project site shall be handed over to the bidder on as is where is basis. Before submitting his bid, the bidder should inspect and examine the site and its surroundings and should satisfy himself as to the nature of the roof, the quantities and nature of work, materials necessary for completion of the work and their availability, means of access to site and in general shall himself obtain all necessary information as to risks, contingencies and other circumstances which may influence or affect his offer.
- C. Design, engineering, supply, installation, testing and Commissioning of the Project as per standard design and specifications and connecting to existing Mains / ACDB and interfacing internal electrical loads of Project's License's network/electrical loads. The bidder would have to take approval for the interfacing of the Project with Grid/Electrical Loads from distribution licensee/ CEIG. The bidder shall be responsible for commissioning the project along with Net metering/Net Billing/ Group Net Metering/Behind the meter as per State Regulations.
- D. Commissioning of the system shall refer to the date on which the System at each location / premise starts generating electric energy for Five continuous (05) hours using instruments and meters including Net metering/ net billing/behind the meter.

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- E. All materials, manpower, scaffolding materials, machinery, tools, and tackles, transportation & loading/unloading, packaging and unpackaging, safe storage, watch and ward, etc. all inclusive, shall be arranged by the bidder within the quoted price. Scope shall cover all type of transportation of materials inside the working site and manpower etc. required to execute and complete the work. Underground cabling work, if required to evacuate solar project to the consumer's panels shall also be in the scope of bidder.
- F. Bidder also to provide comprehensive Operation and Maintenance (O&M) of the plants for a period of 10 years from the date of Completion of Facilities. "Completion of Facilities" means that the Facilities at all the premises under the contract have been successfully commissioned and put in a tight and clean condition, and that all work in respect of scope of work has been completed and punch points attended; and Commissioning of all Premises/ locations including PG test under the Contract has been attained as per Technical Specifications.
- G. During O&M period, the bidder shall be responsible for supply of all spare parts as required from time to time for scheduled and preventive maintenance, major overhauling of the plant, replacement of all defective equipment including defective PV Modules, Inverters, Transformers etc. and maintaining log sheets for operation detail, deployment of staff for continuous operations and qualified engineer for supervision of O&M work, complaint logging & its attending. All PV modules shall be cleaned regularly, and water washed at least once a fortnight. The Minimum Annual CUF at evacuation point/delivery point required to be achieved in the first year and subsequent years after acceptable module degradation factor of 0.7% per year are mentioned in Annexure-A of section VI in bid document.
- H. Bidder shall ensure that Project is Remote Monitoring System ("RMS") enabled. Bidder shall also provide API for the RMS system and shall be used for billing and monitoring. The data from such RMS enabled Projects would be monitored or analyzed remotely by NVVN and Procurer. The internal data logger of the RMS system shall work on a store-and-forward mechanism. It should be able to store data in case of connectivity outage and forward the stored data once the connectivity is attained. The Bidder shall ensure data for a minimum period of one year is stored in the data logger. The RMS system must also be capable of interfacing on an open protocol basis with external data loggers as may be installed by NVVN or Procurer. The Bidder shall ensure that the connectivity of the Project RMS with the Procurer and NVVN office is always uninterrupted during O&M period and shall make all necessary arrangements for the same. Procurer or NVVN reserves right to validate the authenticity of such data for which Bidder shall extend full access and its cooperation. The bidder shall arrange for Internet connection (Wi Fi / SIM or any other arrangement) and all necessary accessories for Operation of the RMS throughout the contract period within the quoted price.
- I. The bidder shall provide a suitable Solar PV module cleaning/ water washing system. The bidder shall also arrange water from the nearest service water line with necessary CPVC piping, pumping, metering or any other suitable arrangement and provide adequate number of waters taps with isolating valves depending on the roof area/location. Further bidder shall provide at least one portable water pressure cleaning system for any emergency.
- J. Any fee related to registration of project / NOC / net metering/group net metering/Behind the meter/Net Billing from any of the state departments shall be borne by the bidder.
- K. It should be ensured by the bidder that the roofs are not getting damaged during installation and O&M period. After installation of solar PV system, the roofs should remain waterproof as before. If any damage is caused to the roof by the bidder, the same is to be rectified immediately by the bidder within the quoted price and without any additional cost to NVVN.
- L. Life-Line: The bidder shall provide suitable lifeline arrangement on the roof as per requirement, to enable the safety of workers while working at height during erection and O&M period. The workers working at site should have passed the Vertigo test and should have undergone proper training for safe

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working at height, should have been trained to use safety PPEs including Safety belts with double hooking system. The bidder shall follow the safety rules of NVVN as mentioned in Bid Document. All safety PPEs like safety shoes, helmet, belt, lifeline, fall arrester etc. are to be provided by the bidder within the quoted price.

- M. Fall arrester: As per site requirement, bidder may also have to install fall arrester and safety net to ensure safe working, the design of fall arrester and specifications of safety net to be installed shall be submitted to NVVN for review prior to start of work to ensure safety of workers during execution.
- N. Walkway: As per site requirement, the bidder must install and maintain G.I. walkway with anti-skid holes on the roof with toe guard to ensure safety during solar project work and during O&M period. The minimum width of the walkway shall be 300mm. Walkway shall be installed on the rooftop before the start of solar project installation work, so that the safety of worker can be ensured and damage to roof sheet can be avoided.
- O. Bidder shall take all necessary safety measures during erection as well as during operation and maintenance including compliance to specific safety guidelines at Chapter X, sl. No 121 of CEA safety regulations 2023.
- P. Bidder has to submit the standard operating procedure (SOP) /Safety protocol before start of work for Engineer-In-Charge/NVVN authorized representative approval. Records of Toolbox Talk and Attendance of Labour shall form part of the supporting documents for claiming amount linked to safety.
- Q. Insurance:
- i. Before commencement of the work, the Bidder shall ensure that all its employees and representatives are covered by suitable insurance against any damage, loss, injury or death arising out of the execution of the work. Liquidation, Death, Bankruptcy etc., shall be the responsibility of Bidder. Further the Bidder may refer to relevant clauses of GCC, SCC and the Contract Agreement of IFB.
- ii. Bidder shall take third party liability insurance for deployed workmen, employees against any damage, loss, injury, or death arising out of the O&M work.
- iii. The Bidder shall further take Fire and peril insurance policy covering the risk of damage, theft of material/ equipment/ properties after completion of the work(s) throughout the O&M period. The insurance shall be for the replacement value of the project to ensure guaranteed minimum generation.
- R. Warranty and guarantees: The Bidder shall warrant that the goods supplied under this IFB are new, unused, of the most recent or latest technology and incorporate all recent improvements in design and materials as per standards specified in the technical specifications of this IFB. The Bidder shall provide warranty covering the rectification of any and all defects in the design of equipment, materials and workmanship including all spare parts for some of the items as stated in schedule C. The responsibility of operation of warranty and guarantee clauses and claims/ settlement of issues arising out of said clauses shall be responsibility of the Bidder and NVVN will not be responsible in any way for any claims whatsoever on account of the above for the period of the contract.

3.0 NVVN intends to finance subject Package through External Commercial Borrowings/ Domestic Commercial Borrowings / Own sources.

4.0 Detailed specification, scope of work and terms & conditions are given in the Bidding Documents, which are available at the GePNIC (e-tender) portal at <https://eprocurementpc.nic.in/>. The Bidding Schedule* for the Tender is as under:

Issuance of IFB	As per NIT in GEPNIC portal
Bidding Document Sale Date & Time	As per NIT in GEPNIC portal

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Last Date for receipt of queries from bidders (if any) **	As per NIT in GEPNIC portal
Bid Submission Start	As per NIT in GEPNIC portal
Bid Submission End Date & Time*	As per NIT in GEPNIC portal
Bid Opening Date & Time for Techno-Commercial Bid*	As per NIT in GEPNIC portal
Price Bid Opening Date & Time	Shall be intimated separately by NVVN.
Cost of Bidding Document	NIL
Bid Security	Rs 2,00,00,000/- (Indian Rupees Two Crores Only)

****Bidders are advised to visit the GePNIC (e-tender) portal of NTPC for updated bidding schedule of the Tender.***

****It is to be noted that “No Queries from Bidders, whatsoever, shall be entertained by the Employer beyond the last date of receipt of Queries/ Pre-Bid Conference (if applicable) as specified at GePNIC portal of NTPC”.**

- 5.0 All bids must be accompanied by Bid Security for an amount of **Rs 2,00,00,000/- (Indian Rupees Two Crores Only)** in the form as stipulated in the Bidding Documents.

ANY BID NOT ACCOMPANIED BY AN ACCEPTABLE BID SECURITY SHALL BE REJECTED BY THE EMPLOYER AS NON-RESPONSIVE AND SHALL NOT BE OPENED.

6.0 QUALIFYING REQUIREMENTS FOR BIDDERS

In addition to the requirements stipulated under section Instruction to Bidder (ITB), the bidder should also meet the qualifying requirements stipulated hereunder in Clause 1.1 and Clause 1.2.

1.1 TECHNICAL CRITERIA

- 1.1.1 The Bidder should have designed/ engineered, supplied, erected/ supervised erection and commissioned/ supervised commissioning of Solar Photo Voltaic (SPV) based grid connected power plant(s) of cumulative installed capacity of 400 kWp or higher, out of which at least one Solar Photo Voltaic plant should have been of 40 kWp or higher capacity.

The reference plant of 40 kWp or higher capacity must have been in successful operation for at least six (6) months prior to the last date of submission of techno commercial bid.

OR

- 1.1.2 The Bidder should be a developer of Solar Photo Voltaic (SPV) based grid connected power plant(s) of cumulative installed capacity of 400 kWp or higher, out of which at least one Solar Photo Voltaic plant should have been of 40 kWp or higher capacity. The reference plant of 40 kWp or higher capacity must have been in successful operation for at least six (6) months prior to the last date of submission of techno commercial bid.

OR

- 1.1.3 (a) The Bidder should have executed in the last ten (10) years an industrial project either as developer or as EPC Contractor in the area of power/ steel/ oil and gas/ petro-chemical/ fertilizer/cement/coal mining including coal handling plant and/ or any other process industry, of a value of INR 40 Million (Indian Rupees Forty Million only) or more in a single project or single work respectively and the same should be in successful operation for at least one (1) year prior to Techno Commercial Bid Opening Date.

AND

- 1.1.3 (b) The Bidder should have executed at least one (1) Electrical Sub-station of 11 kV or above voltage level, consisting of equipment such as 11 kV or above voltage level circuit breakers and Power transformer, either as developer or as EPC Contractor which should be in successful operation for at least one (1) year prior to Techno Commercial Bid Opening Date.
The works referred to clause 1.1.3 (a) & 1.1.3 (b) can be in same or different projects.

OR

- 1.1.4 The bidder should be an Indian company registered in India and should be Group company/Holding Company/Subsidiary company of a firm meeting the requirement (s) of Clause 1.1.1 or 1.1.2 or 1.1.3 above.

In such a case, Bidder shall furnish an Undertaking jointly executed by the firm qualified as per clause 1.1.1 or 1.1.2 or 1.1.3 and the Bidder along with its Enlistment Application for complete performance of the contract (in case of award) jointly or severally, as per the format enclosed in the Enlistment document failing which the Bidder's Enlistment application is liable to be rejected.

Notes for clause 1.1:

- a) The reference SPV based grid connected power plant of 40 kWp or higher capacity should be at a single location developed by Bidder for itself or any other client.
- b) Bidder shall submit certificate of successful completion and operation from the Owner.
- c) Projects executed by Bidder's group company, Holding Company or Subsidiary Company shall also be considered as Bidder's experience for meeting the QR, provided Bidder is an Indian company registered in India.
- d) In case the award for the reference works has been received by the Bidder either directly from owner of plant or any other intermediary organization, a certificate from such owner of plant or the intermediary organization shall be required to be furnished by the Bidder along with its Bid in support of its claim of meeting requirement stipulated above. Certificate from owner of the plant shall also be furnished by the Bidder for successful operation of the reference plant.
- e) In case of developer as Bidder in clause 1.1.2 or 1.1.3 the documentary evidence (certified by Chartered Accountant) for value of executed reference work must be submitted by the Bidder.
- f) Developer means an entity who has either executed or got executed the work/ project as owner.

- g) The execution of industrial project as EPC Contractor under Clause No. 1.1.3 means, such EPC Contractor is responsible for all the activities i.e. Design/ Engineering, Procurement, Construction and Commissioning of a project/work
- h) A single utility meter shall be considered as one installation.
- i) Capacity below 10kWp at single installation shall not be considered in cumulative capacity.

1.2 FINANCIAL CRITERIA

- 1.2.1 The average annual turnover of the Bidder, should not be less than INR X* (Indian Rupees X only) or in equivalent foreign currency during the preceding three (3) financial years.
- 1.2.2 Net Worth of the Bidder as on the last day of the preceding financial year should not be less than 100% (hundred percent) of Bidder's paid-up share capital.

In case the Bidder does not satisfy the Net Worth criteria on its own, it can meet the requirement of Net worth based on the strength of its Subsidiary(ies) and/or Holding Company and/or Subsidiaries of its Holding companies wherever applicable. In such a case, the Net worth of the Bidder and its Subsidiary(ies) and/or Holding Company and/or Subsidiary(ies) of the Holding Company, in combined manner should not be less than 100% (hundred percent) of their total paid up share capital. However individually, their Net worth should not be less than 75% (seventy five percent) of their respective paid up share capitals.

Net worth in combined manner shall be calculated as follows:

Net worth (combined) = $(X1 + X2 + X3) / (Y1 + Y2 + Y3) \times 100$ where X1, X2, X3 are individual Net worth which should not be less than 75% of the respective paid up share capitals and Y1, Y2, Y3 are individual paid up share capitals.

- 1.2.3 In case the Bidder is not able to furnish its audited financial statements on standalone entity basis, the unaudited unconsolidated financial statements of the Bidder can be considered acceptable provided the Bidder further furnishes the following documents on substantiation of its qualification:

a) Copies of the unaudited unconsolidated financial statements of the Bidder along with copies of the audited consolidated financial statements of its Holding Company.

b) Certificate from the CEO/ CFO of the Holding Company, as per the format enclosed in the bidding documents, stating that the unaudited unconsolidated financial statements form part of the consolidated financial statement of the Holding Company.

In case where audited results for the last financial year as on the date of Techno Commercial Bid Opening are not available, the financial results certified by a practicing Chartered Accountant shall be considered acceptable. In case the Bidder is not able to submit the Certificate from a practicing Chartered Accountant certifying its financial parameters, the audited result of three consecutive financial years preceding the last financial year shall be considered for evaluating financial parameters. Further, a certificate would be required from the CEO/CFO as per the format enclosed in the bidding documents stating that the financial results of the company are

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under audit as on date of Techno Commercial Bid Opening and the Certificate from a practicing Chartered Accountant certifying the financial parameters is not available.

Notes for Clause 1.2:

- i. Net worth means the sum total of the paid up share capital and free reserves. Free reserves mean all reserves credited out of the profits and share premium account but does not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be reduced from reserves and surplus,
- ii. Other income shall not be considered for arriving at annual turnover.
- iii. “Holding Company” and “Subsidiary” shall have the meaning ascribed to them as per Companies Act of India.
- iv. For Turnover indicated in foreign currency, the exchange rate as on seven (7) days prior to Techno Commercial Bid Opening date shall be used.

*The average Annual Turnover figure marked as X, shall be as follows:

Sl. No.	Capacity range	Value of “X” i.e. turnover criteria in INR Crore (figures)	Value of “X” i.e. turnover criteria in INR Crore (in words)
1	Up to 50 kW	4.14	Indian Rupees “Four Crore and Fourteen Lakh” only
2	51 kW to 200 kW	15.44	Indian Rupees “Fifteen Crore and Forty-Four Lakh” only
3	201 Kw TO 1000 kW	15.86	Indian Rupees “Fifteen Crore and Eighty-Six Lakh” only
4	Greater than 1000 kW	15.82	Indian Rupees “Fifteen Crore and Eighty-Two Lakh” only

- 7.0 A complete set of Bidding Documents may be downloaded by any interested Bidder from the e-Tender Portal as per specified schedule. The tender is invited under e-tendering process. The bidders can enroll themselves on the website <https://eprocurentpc.nic.in/>. The use of Digital Signature Certificate (DSC) Class-3 key is mandatory for e-tendering activities. Accordingly, bidders should have Digital Signature Certificate (DSC) key of Class 3 to participate in e-tendering. Bidders, if required, can obtain DSC Class-3

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key from agencies authorized by Govt. of India. The e-Tender Portal also has the user manuals with detailed guidelines on enrolment and participation in the bidding process.

No hard copy of Bidding Documents shall be issued. Bids shall be submitted ONLY at the e-Tender Portal i.e. <https://eprocurentpc.nic.in/>

- 8.0. Class-I local suppliers' and 'Class-II local suppliers' only are eligible to participate in this tender, as defined in the bidding documents/ Public Procurement (Preference to Make in India), Order. The bidders may apprise themselves of the relevant provisions of bidding documents in this regard before submission of their bids.
- 9.0. Any 'Bidder from a country which shares a land border with India', as specified in the Bidding Documents, will be eligible to bid in this tender only if bidder is registered with the Competent Authority as mentioned in the Bidding Documents.

Further, any bidder (including bidder from India) having specified Transfer of Technology (ToT) arrangement with an entity from a country which shares a land border with India, will be eligible to bid only if the bidder is registered with the same competent authority.

However, the said requirement of registration will not apply to bidders from those countries (even if sharing a land border with India) to which the Government of India has extended lines of credit or in which the Government of India is engaged in development projects.

- 10.0 Transfer of Bidding Documents by one intending Bidder to another is not permissible.
- 11.0 Downloading/Issuance of Bidding Documents and /or submission of Bid shall not construe that bidder is considered qualified.
- 12.0 NTPC reserves the right to reject any or all bids or cancel/withdraw the Invitation for Bids (IFB) without assigning any reason whatsoever and in such case no bidder/intending bidder shall have any claim arising out of such action.

13.0 **Address for communication:**

Sr. Manager (C&M)
NTPC VIDYUT VYAPAR NIGAM LIMITED
Engineering Office Complex, Plot No. A-8A Sector 24, Block A,
Noida, Uttar Pradesh 201301
Email: nvvncontracts@ntpc.co.in
Corporate Identification Number: U40108DL2002GOI117584,
website: www.eprocurentpc.nic.n
Phone No: 0120-4947239

14.0 **Registered Office**

NTPC VIDYUT VYAPAR NIGAM LIMITED,
NTPC Bhawan, Core-7, SCOPE Complex,
7, Institutional Area, Lodhi Road,
New Delhi – 110003
Corporate Identification Number: U40108DL2002GOI117584.

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Website: www.nvvn.co.in

15.0 Other Instructions

- i. Please use 'Online Bidder Enrollment' link provided on portal <https://eprocurmentpc.nic.in> (GePNIC) to register
- ii. Go through Help, FAQ etc. as provided on the above portal.
- iii. Class III digital signature (DSC) is required for submission of BID on above portal.
- iv. Important Note: It is strongly recommended that all authorized users of Supplier organizations should thoroughly peruse the information provided under the relevant links and take appropriate action. This will prevent hiccups and minimize teething problems during the use of GePNIC.

GePNIC Helpdesk Telephone: 24X7 Customer Support +91-120-4001 002/+91-120-4200 462/ +91-120-4001 005/+91-120-6277 787

NTPC VIDYUT VYAPAR NIGAM LIMITED
(A wholly owned Subsidiary of NTPC Limited)

SECTION – II

INSTRUCTIONS TO BIDDERS

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43.	Conflict of Interest

Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
	INSTRUCTIONS TO BIDDERS A. Introduction 1.0 Source of Funds 1.1 The Employer as defined in Bid Data Sheet (BDS) intends to finance the package named in the BDS, through domestic / own resources. 2.0 Plant, Equipment and Services 2.1 For the purposes of these bidding documents, the word "facilities" means the plant and equipment to be supplied and installed, together with the services to be carried out by the contractor under the contract. The words "plant and equipment", "installation services" etc., shall be construed in accordance with the respective definitions given to them in the General Conditions of Contract. 2.2 Eligible Bidders Bidding for the package named in the Bid Data Sheet (BDS) is open to bidders from within the Employer's country only, subject to fulfillment of conditions specified in ITB Clause "Restrictions on procurement from a Bidder of a country which shares a land border with India". 3.0 Cost of Bidding The Bidder shall bear all costs associated with the preparation and submission of its bid, and the Employer will in no case be responsible or liable for these costs, regardless of the conduct or outcome of the bidding process. B. The Bidding Documents 4.0 Content of Bidding Documents 4.1 The facilities required, bidding procedures, contract terms and technical requirements are prescribed in the bidding documents. The bidding documents include the following sections: Section I – Invitation for Bids (IFB) Section II – Instructions to Bidders (ITB) Section III – Bid Data Sheet (BDS) Section IV – General Conditions of Contract (GCC) Section V – Special Conditions of Contract (SCC)
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
4.2 4.3 5.0 5.1 5.2 5.3	Section VI – Technical Specifications (TS) Section VII – Forms and Procedures (FP) Part 1 of 2- Bid Form along with Attachments and Price Schedules Part 2 of 2- Standard Forms & Procedures The Bidder is expected to examine all instructions, forms, terms, conditions, specifications and other information in the bidding documents. Failure to furnish all information required as per the bidding documents or submission of a bid not substantially responsive to the bidding documents in every respect will be at the Bidder's risk and may result in rejection of its bid. Mode of Tendering The Bid is invited under e-tendering process. The bidding documents shall be published on the Government e-procurement portal of NIC (GePNIC) at address https://eprocurentpc.nic.in/ (e-Tender Portal). The bidders can enroll themselves on the portal using the "Online Bidder Enrollment" tab. The use of Digital Signature Certificate (DSC) key is mandatory for e-tendering activities. Accordingly, bidders should have Digital Signature Certificate (DSC) key of Class 3 to participate in e-tendering. Bidders, if required, can obtain digital signature certificate (DSC) Key of Class 3 from agencies authorized by Govt. of India. The said portal also has the user manuals with detailed guidelines on enrollment and participation in the bidding process. Clarification on Bidding Documents A prospective Bidder requiring any clarification to the bidding documents may notify the Employer through 'Seek Clarifications' tab under e-Tender portal or through e-mail at the address indicated in Bid Data Sheet (BDS). The Employer will respond to any request for clarification or modification of the bidding documents that it receives no later than the last date of receipt of queries as specified in Invitation for Bids (IFB). The Employer will post the Clarifications at e-Tender Portal and Bidders can view these clarifications once they are posted at the portal. Bidders are also advised to regularly check at e-Tender Portal regarding posting of clarification, if any. Further, no queries from Bidders shall be entertained after last date of receipt of Queries/ Pre-Bid Conference (if applicable) as specified in IFB. Accordingly, any query (ies) received from Bidders after the cut-off date shall not be considered and bidders to submit the bid based on the bidding documents (and amendments/ Errata/ Clarifications etc. thereof) issued. The Bidder is advised to visit and examine the site where the facilities are to be installed and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the bid and entering into a contract for supply and installation of the facilities. The costs of visiting the site shall be borne by the bidder fully. The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such inspection, but only upon the express condition that the Bidder, its personnel and agents will release and indemnify the
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
6.0 6.1 6.2 6.3 7.0 8.0	Employer and its personnel and agents from and against all liability in respect thereof and will be responsible for death or personal injury, loss of or damage to property and any other loss, damage, costs and expenses incurred as a result of the inspection. Amendment to Bidding Documents At any time prior to the deadline for submission of bids, the Employer may, for any reason, whether at its own initiative, or in response to a clarification requested by a prospective Bidder, amend the bidding documents. The amendments will be posted at e-Tender Portal for viewing by the Bidder. The amendments will be binding on Bidders and it will be assumed that the information contained therein will have been taken into account by the Bidder in its bid. Bidders are also advised to regularly check e-Tender Portal regarding posting of Amendment, if any. In order to afford prospective Bidders reasonable time in which to take the amendment into account in preparing their bid, the Employer may, at its discretion, extend the deadline for the submission of bids. C. Preparation of Bids Language of Bid The bid prepared by the Bidder and all correspondence and documents related to the bid exchanged between the Bidder and the Employer shall be written in English language, provided that any printed literature furnished by the Bidder may be written in another language, as long as such literature is accompanied by a translation of its pertinent passages in English language in which case, for purposes of interpretation of the bid, the translation shall govern. The English Translation of the documents shall be carried out by professional translators and the translator shall certify that he is proficient in both languages in order to translate the document and that the translation is complete and accurate. Further, translation shall be authenticated by the Indian Consulate located in the Country where the documents have been issued or the Embassy of that Country in India. Documents Comprising the Bid Single Stage Two Envelope Bidding Procedure shall be followed for the subject package as under: Envelope-I : Techno-Commercial Bid Envelope-II : Price Bid The bidder has to submit Techno-Commercial Bid (Envelope-I) and Price Bid (Envelope-II) through e-Tender Portal only. In addition, the documents mentioned in clause no. 8.1.1 have also to be submitted in Original in physical mode before the last date & time of submission of bid. To submit their bid through electronic mode at the e-Tender Portal,
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
8.1 8.1.1	bidder has to use their digital signature certificate keys. The bidders are requested to download the entire bidding documents from the e-Tender Portal. Techno-Commercial Bid (Envelope-I) The Techno-Commercial Bid shall comprise of the following: Documents to be submitted in physical form in separate sealed envelope (s) duly marked in accordance with ITB clause 15.1 i.e. "Sealing and Marking of Physical Documents": (a) Attachment 1: Bid Security Bid security shall be furnished in accordance with ITB Clause 12. Bidder can also submit the Bid Security Online prior to the last date and time for submission of Bid through NEFT/RTGS transfer in the account of NTPC Vidyut Vyapar Nigam Limited as per details given below (i) Bank Name: ICICI Bank Limited (ii) Branch: CONNAUGHT PLACE BRANCH (iii) Bank Address: 9A, PHELPS BUILDING, CONNAUGHT PLACE, NEW DELHI-110001 (iv) IFSC Code: ICIC0000007 (iv) Account No.: 000705008910 In such case, upon successful e-payment of the Bid Security to NVVNs bank account, payment details shall be submitted by the bidder as a part of its bid, in the e-tendering portal and in a separate sealed envelope, as a proof of e-payment of Bid Security. (b) Attachment 2: Power of Attorney A power of attorney, duly notarized by a Notary Public, indicating that the person signing the bid has the authority to sign the bid and that the bid is binding upon the Bidder during the full period of its validity in accordance with ITB Clause 13. The Authority of the person issuing the Power of Attorney shall also be submitted. Power of attorney(s), duly notarized by Notary Public, indicating that the person(s) signing the documents on behalf of Associate(s)/ collaborator(s)/ executants(s) of JV Agreement (if permissible in Section-III, Bid Data Sheet) have the authority to sign the same and the said documents are binding upon them during the full period of their validity. Further, Bidder to note that bid can be submitted/digitally signed by only one person. The Power of Attorney must be in the name of person digitally signing the bids.
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
8.1.2	In addition, the scanned copy of above-mentioned physical forms shall be uploaded by Bidder in “Fee Cover” of Techno-commercial Envelope on the e-tender portal. Documents to be submitted online through e-tender mode: The Bid Form as per Section-VII, Part 1 of 2, duly completed together with the following Attachments shall be uploaded at the e-Tender Portal in the Pre-Qual/Technical Cover/Envelope (a) Attachment 3: Bidder’s Qualifications Refer IFB (Invitation for Bid) Item No. 6 (b) Attachment 4: Conformity of the Facilities The documentary evidence of the conformity of the facilities to the bidding documents may be in the form of literature, drawings and data, and shall include: (i) a detailed description of the essential technical and performance characteristics of the facilities; (ii) a list giving full particulars, including available sources, of all spare parts, special tools, etc., necessary for the proper and continuing functioning of the facilities following completion of facilities in accordance with provisions of contract; and (iii) a commentary on the Employer's Technical Specifications and adequate evidence demonstrating the substantial responsiveness of the facilities to those specifications. Bidder shall note that standards for workmanship, materials and equipment designated by Employer in the bidding documents are intended to be descriptive (establishing standards of quality and performance) only and not restrictive. The bidder may substitute alternative standards, brand names and/or catalogue numbers in its bid, provided that it demonstrates to Employer's satisfaction that the substitutions are substantially equivalent or superior to the standards designated in the Technical Specifications. Attachment 4A: Special Tools and Tackles The bidder shall provide the details regarding Special Maintenance Tools and Tackles. The cost of these Tools and Tackles shall be included in the Bid Price. (c) Attachment 5: Erection Tools and Plant and Safety Equipments & Safety Personal Protective Equipments List of Erection Tools and Plant and Safety Equipments & Safety Personal Protective Equipments which the bidder proposes to bring to site in case the contract is awarded to him. Attachment 5A: Commissioning / Start-up spares
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
8.1.3	List of Commissioning / Start-up spares which the bidder proposes to bring to site in case the contract is awarded to him. (d) Attachment 6: Quality Assurance Programme Details regarding the overall quality management & procedures which the bidder proposes to follow during various phases of execution of the contract. (e) Attachment 7: Additional Information Additional Information which the bidder wishes to provide in his bid. (f) Attachment 8: Demonstration Parameter / Guarantee Declaration The declaration on the demonstration parameters as per Employer's format. Attachment 8A: Functional Guarantees The declaration on the guaranteed values of parameters as per Employer's format. (g) Attachment-9: Integrity Pact (h) Attachment 10: Details of Foreign Principals of Indian Bidders The Bidder shall furnish information with regards to disclosure of the details of its foreign principals or associates. (i) Check List Other Attachment (s), if any, shall be as specified in BDS. General Technical Evaluation (GTE) Conditions: Bidders shall be required to accept the following mandatory General Technical Evaluation (GTE) condition of the Tender at e-Tender Portal prior to the submission of Bid: “Do you certify full compliance to all provisions of Bidding Document?” By accepting above GTE, Bidder shall certify their compliance to all provisions of Bidding Documents including but not limited to the following important provisions: (a) Full compliance on Qualifying Requirements. (b) Fraud Prevention Policy of NTPC/NVVN. (c) Policy for Debarment from Business Dealings of NTPC/NVVN. (d) ITB Clause “Restrictions on procurement from a Bidder of a country which shares a land border with India” (e) All provisions of the Integrity Pact (if Applicable) (f) Anti-Bribery and Anti-Corruption (ABAC) Policy of NTPC (g) Conflict of Interest Clause of ITB
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
8.2 8.2.1	Acceptance of above GTE shall be considered as Bidder's confirmation that any deviation to the any provision found anywhere in their Bid Proposal, implicit or explicit, shall stand unconditionally withdrawn, without any cost implication whatsoever to the Employer, failing which the bid shall be rejected and bid security shall be forfeited. Note: The Techno-Commercial Bid should not contain any price content entry. In case, the Techno-Commercial Bid is found to contain any price content, such bid shall be liable for rejection. Price Envelope The Price envelope submitted by the Bidder shall comprise of the following: The following Attachments to Price Envelope as per Section-VII, part 1 of 2, duly completed together with Bill of Quantity (BOQ)/Price Schedules shall be uploaded at e-Tender Portal in 'Finance' cover/Envelope. : (Bidders may note that Attachments to Price Envelope together with BOQ/Price Schedules <u>should NOT be</u> uploaded in Pre-Qual/Technical Cover/Envelope at the e-Tender Portal.) (i) Attachment 1P: Declaration regarding Import Content in Ex-works price Bidder may note that CIF value of import content in the Ex-works (India) price quoted in Schedule-2 of the bid, if any, shall be necessarily declared by the bidders in Attachment-1P. Bidder may further note that the relevant certificate for claiming the concessional custom duty benefits, if any shall be issued on the aforesaid declaration basis only. In case no such import content is envisaged in the bid or the CIF value of import content to be declared is zero, the bidder shall indicate "NIL" against the CIF value of import content. In cases where no value is indicated by the bidder against the CIF value of import content in Attachment-1P or statement/ any declaration like 'later', 'to be furnished later', 'NA' etc. are indicated by the bidder, in such cases the CIF value of import content in the bid shall be considered as "NIL" for the purpose of issuance of relevant certificate for claiming the concessional custom duty benefits, if any. No further claim in this regard shall be entertained by the Employer. (ii) Attachment 2P: Not Applicable (iii) Attachment 3P: Functional Guarantees The declaration on the guaranteed values of parameters as per Employer's format. (iv) Attachment 4P: Price Adjustment Data – Not Applicable (vi) Check List
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)				
	Other Attachment (s), if any, shall be as specified in BDS.				
8.3	Price envelope should not contain any matter in respect of Technical and / or Commercial aspects other than the details specifically sought in the Price envelope. If the Technical/commercial matters indicated in Price envelope are found to be in contradiction with the details furnished in Techno-Commercial envelope, the details furnished in Techno-Commercial envelope shall prevail.				
8.4	The Envelope-I (Techno-Commercial Bid) & Envelope-II (Price) Bid submitted by the Bidder should be without any deviations and strictly in conformity with the provisions of all bidding documents and amendments/ addenda/ corrigenda/errata/clarifications to the Bidding Documents issued by Employer prior to deadline for submission of bids. A conditional Price Bid shall run the risk of rejection.				
9.0	Price Envelope including Price Schedules The Bidder shall complete the Attachments to Price envelope and the appropriate BOQ (excel sheet) along with Price Schedules (if provided) furnished in the bidding documents as indicated therein, following the requirements of ITB Clauses 10 and 11.				
10.0	Bid Prices				
10.1	Unless otherwise specified in the Technical Specifications, Bidders shall quote for the entire facilities on a "single responsibility" basis such that the total bid price covers all the Contractor's obligations mentioned in or to be reasonably inferred from the bidding documents in respect of the design, manufacture, including procurement and subcontracting (if any), delivery, construction, installation, commissioning, civil & steel structural works (as applicable), O&M for 10 years, Completion of the facilities and conductance of Guarantee tests for the facilities including supply of mandatory spares (if any). This includes all requirements under the Contractor's responsibilities for testing, pre-commissioning and commissioning of the facilities, conducting Guarantee tests and, where so required by the bidding documents, the acquisition of all permits, approvals and licenses, etc.; the operation, maintenance and training services and such other items and services as may be specified in the bidding documents, all in accordance with the requirements of the General Conditions of Contract and Technical Specifications.				
10.2	Bidders are required to quote the price for the commercial, contractual and technical obligations outlined in the bidding documents.				
10.3	Bidders shall give a breakdown of the prices in the manner and detail called for in the Bill of Quantity (BOQ) (excel format) Further, Bidder are also required to furnish the detailed break-up of their BOQ price in the Price Schedules for each category separately in the following manner: <table data-bbox="380 1654 1433 1780"> <tr> <td>Schedule No. 1</td><td>Plant and Equipment</td></tr> <tr> <td>Schedule No. 2</td><td>Local Transportation including Inland transit insurance and other local costs incidental to delivery of Plant & Equipment</td></tr> </table>	Schedule No. 1	Plant and Equipment	Schedule No. 2	Local Transportation including Inland transit insurance and other local costs incidental to delivery of Plant & Equipment
Schedule No. 1	Plant and Equipment				
Schedule No. 2	Local Transportation including Inland transit insurance and other local costs incidental to delivery of Plant & Equipment				
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
10.4	Schedule No. 3 Installation Services including Erection and Civil/Structural Works, Insurance covers other than inland transit insurance, Safety Aspects/Compliance to Safety Rules and other services as specified in the bidding documents. Schedule No. 3A Operation and Maintenance for 10 years Schedule No. 4 Goods and Services Tax (GST), applicable on Schedules - 1, 2, 3 & 3A, not included in bid price. Bidders shall note that the plant and equipment included in Schedule no. 1 above shall exclude all materials used in civil, building and other construction works, if any. All such materials shall be included and priced under Schedule No.3 (Installation Services). In the BOQ/Price Schedules, Bidders shall give the required details and a breakdown of their prices as follows: (a) Schedule 1: Plant and Equipment to be supplied from within the Employer's country (Schedule No. 1) shall be quoted on EXW (Ex-Factory, Ex-Works, Ex-Warehouse or Off-the-Shelf, as applicable) basis and shall be inclusive of all costs as well as taxes, duties and levies paid or payable on components and raw materials incorporated or to be incorporated in the facilities. (b) Schedule 2: Local Transportation, Inland Transit Insurance and other local costs incidental to delivery of the Plant and Equipment shall be quoted in Schedule-2. (d) Schedule 3: Installation Services including Erection and Civil & Allied Works (as applicable) and shall include rates or prices for all labour, contractor's equipment, temporary works, materials, consumables and all matters and things of whatsoever nature, charges for insurance covers other than inland transit Insurance, charges for Safety Aspects/Compliance to Safety Rules, etc., and other services, as identified in the Bidding Documents, as necessary for the proper execution of the Installation Services. (i) Bidders are advised to price their bids in such a manner that Installation Price Component of the bid price (excluding Civil/Structural works price (if applicable)) should not be less than minimum percentage (as specified in BDS) and should not be more than the maximum percentage (if applicable and specified in BDS) of the cumulative total of Ex-works Price of Main Equipment indicated in Schedule No.1. In case the Installation Price is below the minimum percentage specified above, the amount by which it is lower shall be retained proportionately from the Ex-works component of Contract price while releasing payments due on receipt of equipment, and no interest shall be payable on the retained amount. The aforesaid retained amount shall be paid on pro-rata basis upon completion of installation of the respective equipment and its certification by the Project Manager.
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
	In case the Installation Price is above the maximum percentage specified above, the amount by which it is higher shall be retained while releasing progressive payments due on installation of equipment, and no interest shall be payable on the retained amount. The aforesaid retained amount shall be paid along with payment due on completion of Trial Operation / Completion of Facilities. (ii) Bidders are advised to price their bids in such a manner that the Civil Works Price Component of the bid price (including Site Fabricated Structural works price) should not be less than minimum percentage (as specified in BDS) and should not be more than maximum percentage (if applicable and specified in BDS) of the cumulative total of Ex-works Price of Main Equipment indicated in Schedule No.1. In case the Civil Works Price (including Site Fabricated Structural works price) is below the minimum percentage specified above, the amount by which it is lower shall be retained proportionately from Ex-Works component of Contract price while releasing payments due on dispatch of equipment, and no interest shall be payable on the retained amount. The aforesaid retained amount shall be paid on pro-rata basis upon completion of Civil Works including Structural works (if any) corresponding to the respective equipment and its certification by the Project Manager. In case the Civil Works Price (including Site Fabricated Structural Works Price) is above the maximum percentage specified above, the amount by which it is higher shall be retained while releasing progressive payments due on completion of civil works (including Site Fabricated Structural works), and no interest shall be payable on the retained amount. The aforesaid retained amount shall be paid along with payment due on completion of Trial Operation / Completion of Facilities. (iii) Bidders are advised to price their bids in such a manner that the component for 'Amount linked to Safety Aspects/ compliance to Safety Rules' should not be less than minimum percentage (as specified in BDS) of the cumulative total of Civil + Installation/ Erection + Structural Works portion of the Contract. In case 'Amount linked to Safety Aspects/ compliance to Safety Rules' is less than aforesaid minimum percentage specified of the cumulative total of Civil + Installation/ Erection + Structural Works portion of the Contract, the amount by which it is lower shall be retained proportionately from the other components of Schedule-4 of the Contract price while releasing payments of each RA bill. No interest shall be payable on the amounts linked to Safety Aspects / Compliance to Safety Rules including aforesaid retained amount. The amounts linked to Safety Aspects/ Compliance to Safety Rules including aforesaid retained amount shall be payable in part or full based on safety compliance duly certified by Project Manager and Safety-in-charge on quarterly basis. (e) Schedule 3A: Operation & Maintenance (O&M) for 10 years shall be quoted separately (Schedule No. 3A) and shall include rates or prices for all labour,
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	contractor's equipment, temporary works, materials, consumables and all matters and things of whatsoever nature, including operations and maintenance services, the provision of operations and maintenance manuals, training of employer's personnel, etc., and other services, as identified in the Bidding Documents, as necessary for the proper execution of the Operation & Maintenance (i) Bidders shall quote their O&M charges as minimum of amount specified in the BDS per year. In case O&M Charges are below the minimum price as mentioned, the amount by which it is lower shall be retained from the Ex-Works component of Contract price while releasing payments due on receipt of equipment, and no interest shall be payable on the retained amount. The aforesaid retained amount shall be paid on pro- rata basis upon completion of O&M Period and its certification by the project manager (g) The prices quoted in Schedule Nos. 1, 2, 3 & 3A shall be inclusive of all Taxes, Duties, Levies & charges, except Goods and Services Tax (GST), payable in the Employer's country as of seven (7) days prior to the deadline for submission of price bids. Further, all Taxes, Duties, Levies & Charges on the Materials incorporated in Erection and Civil & Allied Works (as applicable) shall also be included in the prices quoted in Schedule No. 3 & no separate payment on this account, whatsoever, shall be made by Employer. Goods and Services Tax (GST) applicable on goods and services specified in Schedule Nos. 1, 2, 3 & 3A shall not be included in respective schedules but shall be quoted separately in Schedule No. 4. The Goods & Services Tax (GST) quoted by the bidder in Schedule No. 4 shall be as applicable in the Employer's country as on seven (7) days prior to the deadline for submission of Price Bids. Due Input Tax credits under GST as per the relevant Govt. Policy, wherever applicable, shall be taken into account by the Bidder while quoting his price. 10.5 The terms EXW, FOB, CIF, etc., shall be governed by the rules prescribed in the current edition of Incoterms, published by the International Chamber of Commerce, 38, Cours Albert 1er, 75008, Paris, France. 10.6 Benefits / Concessional Custom Duty - Solar Photo Voltaic Power Generation Projects Bidder may ascertain the availability of Custom Duty benefits under the Customs Tariff Act, available on import of Raw Materials/ Sub- Assembly/ Items, which are required for the manufacture and supply of plant and equipment to be incorporated in the facilities under the Contract. The Employer shall issue the required Certificate, as per relevant policies of the Govt. of India, to facilitate the bidders to avail any such benefit under the Contract. For issuance of such Certificate by the Employer, the bidders shall be required to indicate the import content included in their bid price, in <u>Attachment-1P</u> of Price Bid. The relevant Certificate will be issued on this basis only.
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	In addition, Bidder may also like to ascertain the availability of Custom Duty Benefits available for import of construction Equipment, if any, as per the extant Customs Acts & Notification of Govt. of India. Where the Bidder has quoted taking into account the Custom Duty benefits available for import of Construction Equipment, he must give all information required for issue of relevant Certificate by Employer in <u>Attachment-2P</u>. The relevant Certificate will be issued on this basis only and no subsequent change will be permitted. However, if the above certificates are required to be issued by any department/ministry of Government of India or State Government where the Project is located other than Employer, the bidder shall itself be responsible for obtaining such certificate from the concerned department/ministry. In such a case, the Employer may issue a letter of recommendation. Further, the bidders shall themselves be solely responsible for availing the above benefits, which they have considered in their bid. In case of failure of the bidders to receive the benefits partly or fully from the Govt. of India and/or in case of any delay in receipt of such benefits and/or withdrawal of such benefits by the Govt. of India, the Employer shall neither be liable nor responsible in any manner whatsoever. 10.7 Price Basis Prices quoted by the Bidder shall remain firm and fixed for the entire period of execution of the Contract. 11.0 Bid Currencies 11.1 Bidders shall quote all prices in Indian Rupees only. 12.0 Bid Security 12.1 The Bidder shall furnish, as part of its Bid, a Bid Security in a separate sealed envelope in the amount and currency as stipulated in the Bid Data Sheet (BDS). In case bid security amount is deposited as EFT, Proof of e-payment of Bid Security, shall be submitted in the e-tendering portal or in a separate sealed envelope. 12.2 The Bid Security shall, at the Bidder's option, be in the form of Electronic Fund Transfer (EFT) or a bank guarantee from any of the banks specified in the Bid Data Sheets or an Insurance Surety Bond from an Insurer as per guidelines issued by Insurance Regulatory and Development Authority of India (IRDAI). Bidder can Submit the Bid Security Online prior to the last date and time for submission of Bid through NEFT/RTGS transfer in the account of NTPC Vidyut Vyapar Nigam Limited as per details given below (i) Bank Name: ICICI Bank Limited (ii) Branch: CONNAUGHT PLACE BRANCH (iii) Bank Address: 9A, PHELPS BUILDING, CONNAUGHT PLACE, NEW DELHI- 110001 (iv) IFSC Code: ICIC0000007 (ii) Account No.: 000705008910
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	Upon successful e-payment of the Bid Security to NVVNs bank account, payment details shall be submitted by the bidder as a part of its bid, in the e-tendering portal or in a separate sealed envelope, as a proof of e-payment of Bid Security. The format of the Bank Guarantee/ Insurance Surety Bond shall be in accordance with the form of bank guarantee/ Insurance Surety Bond towards bid security included in the Bidding Documents. Bid Security shall remain valid for a period of forty-five (45) days beyond the original Bid validity period and beyond any extension of bid validity subsequently requested under relevant clause of ITB.
12.3	Deleted
12.4	Any bid not accompanied by an acceptable bid security in a separate sealed envelope shall be rejected by the employer as being non-responsive and shall not be opened. In case, the bid security is submitted as EFT, bidder to submit the proof of e-payment of bid security either in separate sealed envelope or in the e-tendering portal.
12.5	BG against Bid Security issued by a Bank outside India needs to bear stamp duty of appropriate value applicable to the place where BG is to be submitted. The BG may be got adjudicated by the employer from Collector of Stamps, within 3 months of arrival of BG in India. Expenses incurred in this regard shall be borne by Employer. Insurance Surety Bond against Bid Security issued by an Indian Insurance company outside India needs to bear stamp duty of appropriate value applicable to the place where Insurance Surety Bond is to be submitted. The Insurance Surety Bond may be got adjudicated by the employer from Collector of Stamps, within 3 months of arrival of Insurance Surety Bond in India. Expenses incurred in this regard shall be borne by Employer.
12.6	Subject to clause 12.8 below, the Bid Security of the Bidder whose Techno-Commercial Bid has not been found acceptable, shall be returned along with letter communicating rejection of Techno-Commercial Bid. The Bid Security of the bidders who are unsuccessful after opening of Price Bids shall be returned expeditiously.
12.7	The Bid Security of the successful Bidder to whom the contract is awarded will be returned when the said Bidder has signed the Contract Agreement and has furnished the required Performance Securities pursuant to relevant clauses of ITB.
12.8	The Bid Security may be forfeited - If the Bidder withdraws or varies its Bid during the period of Bid validity; - If the Bidder does not accept the correction of its Bid Price pursuant to ITB Sub-Clause for Arithmetical Correction. - If the Bidder refuses to withdraw, without any cost to the Employer, any deviation, variation, additional condition or any other mention anywhere in the bid, contrary to the provisions of bidding documents; - In the case of a successful Bidder, if the Bidder fails within the specified time limit to furnish the required Contract Performance Guarantee/Security Deposit in accordance with relevant clause of ITB.
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12.9	e) If the bidder/his representatives commit any fraud while competing for this contract pursuant to Fraud Prevention Policy of EMPLOYER. CONFIRMATION OF BGs THROUGH STRUCTURED FINANCIAL MESSAGING SYSTEM (SFMS)/ SWIFT While issuing the physical BGs, the Bidder's Bank shall also send electronic message through secure SFMS (in case of BGs issued from within India) or SWIFT (in case of BGs issued from outside India) to Employer's Beneficiary Bank whose details are provided herein below: (i) Bank Name: ICICI Bank Limited (ii) Branch: CONNAUGHT PLACE BRANCH (iii) Bank Address: 9A, PHELPS BUILDING, INNER CIRCLE, NEW DELHI-110001 (iv) IFSC Code: ICIC0000007 BG issuing/amending bank must send the BG advice in the form of message format via SFMS (Structured Financial Messaging System) as provided by RBI. The format of the message for confirmation of the BG shall be as below: BG advising message: IFN 760COV/ IFN 767COV via SFMS Field Number: Particulars (to be mentioned in Row 1) 7037: NVVNBG8910 (unique identifier) 12.10.1 Wherever the submission of Bid Security has been prescribed in the Bidding Documents, the Bid must be accompanied by the Bid Security in a separate envelope. The envelope must be clearly marked on top to evidence the presence of bid security and suitable marking instructions to this effect may be included in the bidding documents. In case the Bid Security is deposited by the Bidder/ vendor to NVVNs bank account, bidder to submit the proof of e- payment of bid security either in separate envelope or in the e- tendering portal. 12.10.2 In case of Bidders opting for Bank Guarantee as Bid Security but unable to submit the Original Bank Guarantee in physical form at the tender opening location, before the deadline for submission of bids, following shall also be considered acceptable, subject to para 12.10.2.1 below: (i) The issuing bank shall intimate through their own official e-mail id to concerned C&M department with a copy to Bidder regarding issuance / extension of Bank Guarantee (BG) along with following documents, before the deadline of submission of bids: - a) The scanned copy of the BG. b) SFMS / SWIFT message acknowledgement copy sent to Employer / Employer's banker stating the date of sending.
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12.10.2.1 13.0 13.1 13.1.1 13.2 14.0	c) An undertaking from the issuing Bank strictly as per format enclosed at Annexure-III to BDS. SFMS / SWIFT message must be sent to the Employer/Employer's bank, details of which are mentioned in Bidding documents. (ii) Bidders shall also be required to upload the scanned copy of the BG on e-Tender Portal in Fee Cover. The bidder shall be required to submit all the documents in the manner as specified at para 12.10.2 above, to reach Employer before the deadline for submission of bids, failing which its bid shall be rejected as being non- responsive and shall not be opened. In such a case, Bidder shall also be required to submit the Original BG in physical form to reach Employer at the address mentioned in Bidding Documents, not later than 10 days from the date of submission of Techno-Commercial bids or before the Price Bid opening, whichever is earlier, failing which its bid shall be rejected and not considered for further evaluation. Period of Validity of Bids The Bid (comprising Techno-Commercial and Price envelope) shall remain valid for a period of one hundred Twenty (120) days from the deadline set for submission of Bid. The bid valid for a shorter period shall be rejected by Employer as being non-responsive. The bidder is required to keep the prices of recommended spares covered under Price Schedule No. 5 valid for a period of six (6) months after Notification of Award for main equipment. In exceptional circumstances, Employer may solicit the Bidder's consent to an extension of the bid validity period. The request and responses thereto shall be made in writing by post, or e-mail. If a Bidder accepts to extend the period of bid validity, the validity of bid security shall also be suitably extended. A Bidder may refuse the request without forfeiting its bid security. A Bidder granting the request will not be required nor permitted to modify its bid. Format and Signing of Bid The Bids including all the documents uploaded at the e-Tender Portal shall be digitally signed (using the appropriate class of digital signature prescribed at e-Tender Portal) by a duly authorised representative of the Bidder to bind him to the contract. The authorization shall be indicated by written power of attorney as per ITB Clause 8.1.1 (b) and shall be submitted in physical form in a separate sealed envelope prior to the deadline for submission of bids. D. Submission of Bids
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15.0	The Bid [comprising the Bid Form as per Section-VII, Part 1 of 2, together with its Attachments (Techno-commercial and price) and BOQ/Price Schedules] shall be submitted simultaneously at the e-tender portal through e-tender mode in the manner specified elsewhere in bidding document. No Manual/ Physical Copy of the Bid shall be acceptable, except the documents specified to be submitted in physical form as per ITB Clause 8.1.1. The Bidder shall upload the completed Bid Form, Attachments pertaining to Techno-commercial envelope along with all annexures under 'Technical Cover' at the e-Tender Portal. The Attachments to Price Envelope, duly completed together with Price Schedule (excel format) shall be uploaded in 'Finance cover' at the e-Tender Portal. Further, the detailed break-up of price in the Price Schedules (if provided along with Bidding documents) shall also be furnished in 'Finance cover'. Bidders may note that Attachments to Price Envelope together with BOQ (excel sheet)/Price Schedule <u>should not be</u> uploaded in the 'Technical cover' at the e-Tender Portal. Bidder to further ensure that documents uploaded online are being downloaded properly. Employer shall not be responsible for corrupt files, if any, uploaded online by bidder. Further file related to Attachment/Schedule including their annexures/ appendices, if any, shall be given name of that Attachment/Schedule only. 15.1 Sealing and Marking of Physical Documents 15.1.1 Documents to be submitted in physical form (as brought out at ITB clause 8.1.1) shall be sealed and marked in the following manner: (i) The bid security furnished in accordance with ITB Clause 12 shall be sealed in a separate envelope duly marking the envelope as "ATTACHMENT-1: BID SECURITY". (ii) All other Original documents required to be submitted in physical form in line with ITB Clause 8.1.1 shall be sealed in a separate envelope duly marking the envelope as "Techno-Commercial Bid – Physical Documents" The envelopes shall then be sealed in an outer envelope. 15.1.2 The inner and outer envelopes shall: (a) be addressed to the Employer at the address given in the Bid Data Sheet (BDS), and (b) bear the Package name indicated in the Bid Data Sheet (BDS), the Invitation for Bids number indicated in the Bid Data Sheet (BDS), and the statement "DO NOT OPEN BEFORE [date]," to be completed with the time and date specified in the Bid Data Sheet (BDS), pursuant to ITB clause 16 i.e. 'Deadline for Submission of Bids'.
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15.1.3	The inner envelopes shall also indicate the name and address of the Bidder.
15.1.4	If the outer envelope is not sealed and marked in the manner specified above, the Employer will assume no responsibility for its misplacement.
16.0	Deadline for Submission of Bids
16.1	Bids (both Techno-Commercial and Price) must be submitted online at e-tender portal not later than the time and date stated in the e-Tender Portal.
16.2	The physical documents in line with ITB Clause 8.1.1 shall be submitted before stipulated bid submission time at the address specified in BDS and Employer shall not be liable for loss/non-receipt/late receipt of above documents in postal transit.
16.3	Employer may, at its discretion, extend this deadline for submission of bids by amending the bidding documents in accordance with ITB Clause 6.0 i.e. 'Amendment to Bidding Documents', in which case all rights and obligations of Employer and Bidders will thereafter be subject to the deadline as extended.
17.0	Deleted
18.0	Modification and Withdrawal of Bids
18.1	The bidder may withdraw or modify its bid after the bid submission as per provision available in the e-tender portal. However, no bid can be withdrawn or modified subsequent to the deadline prescribed for submission of bids. Bidder may modify and re-submit its bid prior to the deadline prescribed for submission of bids. However, if the bidder once withdraws its bids, it cannot be submitted again.
18.2	DELETED
18.3	DELETED
18.4	No bid may be withdrawn in the interval between the bid submission deadline and the expiration of the bid validity period specified in ITB Clause 13. Withdrawal of a bid during this interval may result in the Bidder's forfeiture of its bid security, pursuant to ITB Sub-Clause 12.8(a).
E. Bid Opening and Evaluation	
19.0	Opening of Bids
19.1	<u>Techno-Commercial Bid Opening</u>
19.1.1	The Employer will first open Techno-Commercial Bid online in the presence of bidders' representatives who choose to attend the opening at the time, on the date and at the place specified in the Bid Data Sheet (BDS). In the event of the specified date for the opening of bids being declared a holiday for Employer, the bids will be opened at the appointed time on the next working day. All important information and other such details as Employer, at its discretion, may consider appropriate, will be announced at the opening.
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19.1.2	In case requisite bid security pursuant to ITB Clause 12 are not submitted before the stipulated bid submission closing date and time then Bid shall be rejected by Employer as being non-responsive and shall not be opened.
19.2	<u>Price Bid Opening</u>
19.2.1	After the evaluation process of Techno-Commercial bid is completed, Employer will inform in writing the eligible Bidders regarding date, time and venue set for the opening of Price Bid. Bidders, whose Techno-Commercial Bid is not substantially responsive or does not meet the Qualification Requirements set forth in the bidding documents or who are debarred under Employer's Policy for Debarment from Business Dealings relating to some other tender/contract, shall also be informed in writing that their bid has been rejected and their bid security shall be returned, in accordance with ITB clause 12.6.
19.2.2	Price bids of those Bidders, who have been considered qualified and whose Techno-Commercial Bid found to be responsive, will be opened online in presence of the Bidder's authorised representatives who choose to attend. The Employer will open Price Bids at the time, on the date and at the place specified by the Employer. In the event of the specified date for the opening of bids being declared a holiday for the Employer, the bids will be opened at the appointed time on the next working day. All important information and other such details as the Employer, at its discretion, may consider appropriate, will be announced at the opening.
19.2.3	The participating bidders will be able to view the bid prices of all the bidders after online opening of Price Bids by Employer.
19.2.4	Deleted
20.0	Clarification on Bids During bid evaluation, Employer may, at its discretion, ask the Bidder for a clarification of its bid including documentary evidence pertaining to the reference plants declared in the bid for the purpose of meeting Qualifying Requirement specified in Bid Data Sheet (BDS). The request for clarification and the response shall be in writing, and no change in the price or substance of the bid including substitution of reference plants in the bid by new/additional plant for conforming to Qualifying Requirement shall be sought, offered or permitted.
21.0	PRELIMINARY EXAMINATION OF TECHNO-COMMERCIAL BIDS
21.1	Employer will examine the bids to determine whether they are complete, whether required securities have been furnished, whether the documents have been properly signed and whether the bids are generally in order.
21.2	Prior to the detailed evaluation, EMPLOYER will initially determine whether each Techno-Commercial bid is of acceptable quality, is generally complete and is substantially responsive to the bidding documents. For purposes of this determination, a substantially responsive bid is one that conforms to all the terms, conditions and specifications of the
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21.3 21.3.1 21.4 22.0 22.1 22.2	bidding documents without material deviations, objections, conditionalities or reservations. A material deviation, objection, conditionality or reservation is one (i) that affects in any substantial way the scope, quality or performance of the contract; (ii) that limits in any substantial way, inconsistent with the bidding documents, the Employer's rights or the successful Bidder's obligations under the contract; or (iii) whose rectification would unfairly affect the competitive position of other Bidders who are presenting substantially responsive bids. No deviation, whatsoever, is permitted by Employer to any provision of Bidding Documents. The Bidders are advised that while making their Bid proposals and quoting prices, all conditions may appropriately be taken into consideration. Bidders shall certify their compliance to the complete Bidding Documents by accepting the following General Technical Evaluation (GTE) of the Tender at e-Tender Portal: “Do you certify full compliance to all provisions of Bid Doc?” Acceptance of above condition shall be considered as Bidder's confirmation that any deviation to the any provision found anywhere in their Bid Proposal, implicit or explicit, shall stand unconditionally withdrawn, without any cost implication whatsoever to the Employer, failing which the bid shall be rejected and bid security shall be forfeited. The Employer may waive any minor informality, nonconformity or irregularity in a bid that does not constitute a material deviation. Employer's determination of a bid's responsiveness is to be based on the contents of the bid itself without recourse to extrinsic evidence. If a bid is not substantially responsive, it will be rejected by Employer, and may not subsequently be made responsive by the Bidder by correction of the nonconformity. QUALIFICATION Bidders shall certify their compliance on "Qualifying Requirements" of Employer by accepting the following General Technical Evaluation (GTE) of the Tender at e-Tender Portal: “Do you certify full compliance to all provisions of Bid Doc?” Employer, by the examination of Techno-Commercial Bid, will determine to its satisfaction whether the participating bidders are qualified to satisfactorily perform the contract in terms of the qualifying requirements stipulated in the Invitation for Bid (IFB). The determination will take into account the bidder's financial capabilities, in particular its contracts, works in hand, future commitments and current litigation. It will be based upon an examination of documentary evidence of bidder's qualification submitted by the bidder, pursuant to ITB Clause 8.1.2(a) as well as such other information as Employer deems necessary and appropriate. Notwithstanding anything stated anywhere else in the bidding documents, Employer reserves the right to seek in writing information relating to qualifying requirements in
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	addition to details contained in the bid. The bidder shall furnish required information promptly to the Employer.
22.3	A negative determination will result in rejection of the Bidder's Techno-Commercial Bid in which event Employer will not open the Price Bid of the concerned bidder and his bid security shall be returned in accordance with ITB clause 12.6.
22.4	The capabilities of the vendors and subcontractors, proposed in relevant attachment, will also be evaluated for acceptability. Should a vendor or sub-contractor be determined to be unacceptable, the bid will not be rejected, but the Bidder will be required to substitute an acceptable vendor or sub-contractor without any change in the bid price quoted in Price Proposal, prior to award.
23.0	EVALUATION OF TECHNO-COMMERCIAL BIDS
23.1	EMPLOYER will carry out a detailed evaluation of the Techno-Commercial bids in order to determine whether the technical aspects are in accordance with the requirements set forth in the bidding documents. In order to reach such a determination, Employer will examine and compare the technical aspects of the bids on the basis of the information supplied by the bidders, taking into account the following factors: (a) overall completeness and compliance with the Technical Specifications and Drawings; suitability of the facilities offered in relation to the environmental and climatic conditions prevailing at the site; and quality, function and operation of any process control concept included in the bid. The bid that does not meet minimum acceptable standards of completeness, consistency and detail will be rejected for non-responsiveness. (b) Any other relevant factors listed in the Bid Data Sheet (BDS), General Conditions of Contract, Special Conditions of Contract and Instruction to Bidders, or that EMPLOYER deems necessary or prudent to take into consideration. (c) Functional Guarantees Bidders shall state the functional guarantees (e.g. performance, efficiency, power consumption) of the proposed facilities in response to the Technical Specifications. In case a minimum (or a maximum, as the case may be) level of functional guarantee is specified in the Technical Specifications for the bids to be considered responsive, bids offering plant and equipment with such functional guarantees less (or more) than the minimum (or maximum) specified may be rejected. Bidder may note that deviations, variations and additional conditions etc. found anywhere in the bid, shall not be given effect to in evaluation and it will be assumed that the Bidder complies with all the conditions of Bidding Documents. In case the Bidder refuses to withdraw deviations, implicit or explicit, found anywhere in the bid, without any financial implication whatsoever to the Employer, the bid shall be rejected and bid security shall be forfeited.
24.0	PRELIMINARY EXAMINATION OF PRICE BID
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24.1	After opening of Price bids, The Employer will examine the Price bids to determine whether they are complete, whether any computational errors have been made, and whether the bids are generally in order.
24.2	Arithmetical Correction Arithmetical errors will be rectified on the following basis. The Bidder shall complete the Attachments to Price envelope and the appropriate BOQ (excel sheet) along with Price Schedules (if provided) furnished in the bidding documents as indicated therein, following the requirements of ITB Clauses 10 and 11. The quoted prices as per the BOQ sheet (excel sheet) shall be used for the purpose of evaluation & award. However, if there is discrepancy between the BOQ (Excel sheet) and 'Price break-up in Price Schedule', the Price quoted in BOQ (excel sheet) shall prevail. The detailed price break-up of the BOQ in price schedule shall be corrected, if required, by the bidder to match the prices as per the BOQ sheet. If the Bidder does not accept such correction of errors, its bid will be rejected, and the bid security will be forfeited in accordance with ITB Sub-Clause 12.8.
25.0	EVALUATION OF PRICE BID
25.1	The comparison shall be of the EXW Price of Plant and Equipment offered from within the Employer's country, such price to include all costs as well as duties and taxes paid or payable on components and raw materials incorporated or to be incorporated in the Plant and Equipment, plus the cost of Local Transportation, Insurance Covers, all Installation Services and civil/ structural works required under the Contract, plus the Goods and Services Tax (GST) specified by the Bidder in its Bid (applicable on goods and services quoted in Schedules -1, 2, 3 & 3A). The Employer's comparison will also include the costs resulting from application of the evaluation procedures described in ITB Sub Clauses 25.3.
25.2	The Employer's evaluation of a bid will take into account the following: (i) The bid prices indicated in Price Schedules Nos. 1 through 3A; (ii) The corrections pursuant to ITB sub-clause 24.2; (iii) The costs and factors on account of offered functional guarantees of the facilities, that will be added to each Bidder's bid price in the evaluation using pricing information available to the Employer, in the manner and to the extent indicated in ITB Sub Clause 25.3 and in the Technical Specifications.
25.3	Pursuant to ITB Sub Clause 25.2, for the purposes of evaluation, the adjustment (if any) specified in the Bid Data Sheets (BDS) will be added to the bid price for each drop (or excess) in the responsive functional guarantees offered by the Bidder, below (or above) either a norm of 100 or the value committed in the responsive bid with the most performing functional guarantees, as specified in the Bid Data Sheets (BDS).
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	The adjustment factors shall be converted to such currencies as specified in Bid Data Sheets (BDS).
25.3.1	Specific Additional Criteria: The relevant evaluation method, if any, shall be detailed in the Bid Data Sheets (BDS).
25.4	Any adjustments in price that result from the procedures indicated in ITB Sub Clause 25.3 shall be added, for purposes of comparative evaluation only, to arrive at an "Evaluated Bid Price." Bid prices quoted by Bidders shall remain unaltered.
25.5	The method of evaluation is illustrated below: Illustrative Method of Evaluation Any Bidder ----- (Equivalent INR) ----- 1. Quoted Bid Price (after considering arithmetical corrections) (i) Ex-works price (per KW) N1 (ii) Local Transportation and Inland transit Insurance and Other local costs incidental to Delivery of Plant and Equipment (per KW) N2 (iii) Price for Installation Services and O&M (per KW) N3 (v) Total Price (per KW) N (N1+N2+N3) 2. Taxes & Duties (not included in 1 above) (i) GST P 4 Evaluated Bid Price (per KW) FEP (N+P) Note: Aforesaid method of evaluation shall be equally applicable for all bidders.
25.6	PREFERENCE TO MAKE IN INDIA AND GRANTING OF PURCHASE PREFERENCE TO LOCAL SUPPLIERS Purchase preference shall be given to local suppliers as per methodology specified in Annexure–II to Section-III (Bid Data Sheet) of Bidding Documents.
26.0	Contacting the Employer
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
26.1	Subject to ITB Clause 20.0, no Bidder shall contact Employer on any matter relating to its bid, from the time of the opening of bids to the time the contract is awarded. Any effort by a Bidder to influence Employer in bid evaluation, bid comparison or contract award decisions may result in rejection of the Bidder's bid.
	F. Award of Contract
27.0	Award Criteria
27.1	Subject to ITB Clause 28 (Employer's Right to Accept any Bid and to Reject any or all bids) and Employer's Policy for Debarment from Business Dealings, the Employer will award the Contract to the successful Bidder [whose Techno-Commercial bid has been determined to be substantially responsive and the bidder is determined to be qualified to perform the Contract satisfactorily and whose Price Bid is determined to be the lowest evaluated bid as per methodology indicated in Annexure-II to BDS. No contract shall be awarded to a bidder against whom a Debarment Order has been issued as per Employer's Policy for Debarment from Business Dealings.
27.2	The Bidder will be required to comply with all requirements of the Bidding Documents without any extra cost to the Employer, failing which his bid security will be forfeited.
27.3	The Employer reserves the right to vary the quantity of any of the Spares and/or delete any item of Spares altogether at the time of Award of Contract.
27.4	The mode of contracting with the successful bidder will be as per stipulation outlined in GCC Clause 3.6 and briefly indicated below: In the case of successful Bidder, the award shall be made as follows: (ii) First Contract: For Ex-works (India) supply of plant and equipment. (ii) Second Contract: For providing all services i.e. inland transportation for delivery at site, inland transit insurance, unloading, storage, handling at site, installation, insurance covers other than inland transit insurance, testing, commissioning and conducting Guarantee tests in respect of all the plant and equipment supplied under the 'First Contract' and Civil & Steel structural works (as applicable) and all other services as specified in the Contract Documents. (iii) "Third Contract" for Operation & Maintenance of complete Solar PV plant for a period of Ten (10) years from the date of successful completion of commissioning. Both the First & Second Contracts will contain a crossfall breach clause specifying that breach of one will constitute breach of the other Contract which will confer a right on the Employer to terminate the other contracts also at the risk and the cost of Contractor.
28.0	Employer's Right to Accept Any Bid and to Reject Any or All Bids
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28.1	Employer reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to award of contract, without thereby incurring any liability to the affected Bidder or bidders or any obligation to inform the affected Bidder or bidders of the grounds for Employer's action.
29.0	Notification of Award
29.1	Prior to the expiration of the period of bid validity, the Employer will notify the successful Bidder in writing by registered letter or by e-mail that its bid has been accepted. The notification of award will constitute the formation of the contract.
30.0	Signing the Contract Agreement On receipt of Employer's notification that its bid has been accepted, Bidder shall prepare and finalize the Contract Documents for signing of the formal Contract Agreement and shall enter into the Contract Agreement with the Employer, as per Proforma enclosed with the Bidding Documents, on non-judicial stamp paper of appropriate value within 28 days from the date of the Notification of Award.
31.0	Performance Security
31.1	Within twenty-eight (28) days after receipt of the Notification of Award, the successful Bidder shall furnish performance securities as specified in BDS for all the contracts and in the form provided in the section "Forms and Procedures" of the bidding documents.
31.2	Deleted
31.3	The Bank Guarantees submitted towards Performance Security shall be essentially from any of the Banks listed in Annexure-I to SCC. The Bank guarantee submitted from within India towards Performance Security shall be issued on Non-Judicial Stamp Paper of appropriate value as per Stamp Act prevailing in the State(s) where the BG is submitted or is to be acted upon or the rate prevailing in the State where the BG is executed whichever is higher. In case of guarantees issued by branches outside India for foreign banks, the bank guarantees shall be routed through the correspondent Bank in India for due verification of signatures of the executant and lodgement of claim. The BG issued by a Bank outside India also needs to bear Stamp Duty of appropriate value applicable to the place where BG is to be submitted, the BG will be adjudicated from Collector of Stamps, within 3 months of arrival of BG in India and the expenses incurred in this regard shall be recovered from the Contractor.
31.5	While issuing the physical BG, the Bidder's Bank shall also send electronic message to Employer's Beneficiary Bank whose details are provided in ITB clause 12.0 through secure SFMS (in case of BGs issued from within India) or SWIFT (in case of BGs issued from outside India).
32.0	Annulment of award
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32.1	Failure of the successful Bidder to comply with the requirements of ITB Clause 30 or ITB Clause 31 shall constitute sufficient grounds for the annulment of the award and forfeiture of his bid security.
	G. Other Instructions
33.0	Ineligibility for participation in re-tender/ future tenders
i)	Notwithstanding the provisions specified in ITB Sub-Clause 12.8 and ITB Clause 32, if a bidder after having been issued the Notification of Award, either does not sign the Contract Agreement pursuant to ITB Clause 30 or does not submit an acceptable Performance Security pursuant to ITB Clause 31.1 to 31.4, and which results in retendering of the package, then such bidder/contractor shall be treated ineligible for participation in re-tendering of this particular package. Further, such bidder/contractor shall also be dealt as per the provisions of policy for Debarment from Business Dealings.
ii)	If a bidder after opening of tenders where EMD is 'NIL/Not applicable' or exempted for bidders as per policy guidelines, withdraws its offer within the validity period of the offer, then such bidder shall be treated as ineligible for participation in the future tenders for a period of 6 months from the date of withdrawal of the bid, and also in re-tendering of this particular package.
iii)	If a bidder after having been issued the Notification of Award/ Purchase Order of a package where EMD is 'NIL/Not applicable' or exempted for bidder as per policy guidelines, either does not sign the Contract Agreement pursuant to ITB Clause titled 'Signing the Contract Agreement' or does not submit an acceptable Performance Security pursuant to ITB Clause titled 'Performance Security', and which result in retendering of the package, then such bidder/contractor shall be treated ineligible for participation in re-tendering of this particular package. Further, such bidder/contractor shall also be dealt as per the provisions of the contract and policy for Debarment from Business Dealings.
34.0	Time Schedule (programme of performance) The plant and equipment covered by this bidding document are required to be shipped and installed, and the facilities are to be completed within the period named in the Bid Data Sheet after the effective date specified in the Contract Agreement. Bidders are required to base their prices on the time schedule given in relevant appendix to the form of Contract Agreement (Time Schedule) or, where no time schedule is given, on the completion date(s) given in the Bid Data Sheet. No credit will be given for earlier completion.
35.0	Corrupt or Fraudulent Practices
35.1	Employer requires that Bidders, Contractors and Suppliers observe the highest standard of ethics during the procurement and execution of the contracts. In pursuance of this policy, Employer: (a) defines, for the purposes of this provision, the terms set forth below as follows:
DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
	(i) "corrupt practice" means the offering, giving, receiving or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution; and (ii) "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Employer, and includes collusive practice among Bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Employer of the benefits of free and open competition; (b) will reject a proposal for award if it determines that the Bidder recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question; (c) will declare a firm ineligible, for a period of time as specified in the policy for Debarment from Business Dealings, to be awarded a contract if it at any time determines that the firm has engaged in corrupt or fraudulent practices in competing for or in executing a contract of the Employer.
35.2	Furthermore, Bidders shall be aware of the provision stated in GCC Sub-Clause 42.2.
36.0	Fraud Prevention Policy
36.1	The Bidder along with its associate/ collaborators/ sub-contractors/ sub-vendors/ consultants/ service providers shall strictly adhere to the Fraud Prevention Policy of Employer displayed on its tender website https://ntpctender.ntpc.co.in/ and/or http://www.nvvn.co.in shall immediately apprise Employer about any fraud or suspected fraud as soon as it comes to their notice. If in terms of above policy, it is established that the bidder/his representatives have committed any fraud while competing for this contract then the bid security of the bidder shall be forfeited. Bidders shall certify their compliance on "Fraud Prevention Policy" of Employer by accepting the following GTE at the e-Tender Portal: "Do you certify full compliance to all provisions of Bidding Document?" Acceptance of General Technical Evaluation (GTE) of the Tender at e-Tender Portal shall be considered as bidder's confirmation that they have read the contents of the Fraud Prevention Policy as displayed on tender website at https://ntpctender.ntpc.co.in/ under section 'policy docs' and/or http://www.nvvn.co.in and undertake that they along with their associate/collaborator/ subcontractors / sub vendors / consultants / service providers shall strictly abide by the provisions of the Fraud Prevention Policy.
37.0	Policy for Debarment from Business Dealings
37.1	The Employer has in place a Policy for Debarment from Business dealings displayed on the website www.ntpc.co.in/ ntpctender.ntpc.co.in/ http://www.nvvn.co.in. The version of Policy presently followed by NTPC is as mentioned in BDS. Business dealings may be withheld or banned with the Bidder/Contractor on account of any of the grounds and
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
38.0	following the procedures as detailed in the said Policy for Debarment from Business Dealings. Bidders shall certify their compliance on "Policy for Debarment from Business Dealings" of Employer by accepting the following General Technical Evaluation (GTE) of the Tender at e-Tender Portal. “Do you certify full compliance to all provisions of Bid Doc?” Acceptance of above GTE shall be considered as bidder's confirmation to the following conditions: (1) Bidder have read the contents of Debarment Policy (version mentioned in BDS) displayed on the website www.ntpc.co.in / ntpctender.ntpc.co.in / http://www.nvvn.co.in and agreed to abide by this policy. a) Bidder have not been Banned/Blacklisted as on date of submission of bid by Ministry of Power or Deptt. of Expenditure, Ministry of Finance. b) Bidder have not employed any public servant dismissed/removed or person convicted for an offence involving corruption or abetment of such offences. c) Bidder's Director(s)/ Owner(s)/ Proprietor/ Partner(s) have not been convicted by any court of law for offences involving corrupt and fraudulent practices including moral turpitude in relation to business dealings with Government of India or NTPC or NTPC's group companies during the last five years. (2) Bidder further confirms as under: that if at any point subsequent to award of Contract, the declarations given above are found to be incorrect, NTPC Limited/ Employer shall have the full right to terminate the Contract and take any action as per applicable laws for breach of contract including forfeiture of Bid Security/Performance Bank Guarantee. Pre-Bid Conference: The Bidder or his authorized representative (s) is invited to attend the pre-bid conference which will take place as per details stipulated in Bid Data Sheets. Bidders are advised to visit and examine the site during the pre-bid conference as per clause 5.2 of ITB, regardless of their visit to site earlier. The purpose of the conference will be to clarify any issue regarding the Bidding Documents subsequent to Site visit by Bidder. The Bidder is requested to submit questions in writing at the e-Tender Portal or by email before and/or after the pre-bid conference to reach the Employer, not later than the date as specified at the e-Tender Portal. Employer's responses to the queries raised by the bidders shall be in the form of Clarification to the bidding documents, which will be uploaded / posted on the e-Tender Portal.
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39.0	Any queries submitted by Bidder after the specified last date shall not be responded to by Employer and the Bidder will be required to submit their bid based on the Bidding documents read in conjunction with Amendments/Clarifications/Errata thereof. Any modifications of the Bidding Documents which may become necessary as a result of the pre-bid conference shall be made by the Employer exclusively through an amendment to the bidding documents. Non-attendance at the pre-bid conference will not be a case for disqualification of a bidder. However, Bidder is expected to visit and examine the site to acquaint itself with the ground situations and attend the pre-bid conference subsequently. Integrity Pact – Bidders are required to unconditionally accept all the conditions of the "Integrity Pact (IP)" as per Attachment-9 to the Bidding Documents which has been pre-signed by the Employer. Bidders shall certify their compliance on "Integrity Pact " by accepting the following General Technical Evaluation (GTE) of the Tender at e-Tender Portal: “Do you certify full compliance to all provisions of Bidding Document?” On Bidder's acceptance to the above GTE condition, Bidder confirms to have read, understood and unconditionally accept & commit to all the contents, terms, conditions and undertakings mentioned in the Integrity Pact which has been pre-signed by the Employer and enclosed with the Bidding Documents. Where the Joint Venture(s) / Consortium are permitted to participate in the bid pursuant to ITB Clause 8.1.2(a), acceptance of above GTE by bidder shall mean that all the JV Partner(s)/ Consortium members have read, understood and unconditionally accept & commit to all the contents, terms, conditions and undertakings mentioned in the Integrity Pact which has been pre-signed by the Employer and enclosed with the Bidding Documents. On Acceptance of the above GTE, Integrity Pact shall be considered signed by the Bidder / JV Partner(s)/ Consortium members and the same shall come into force from the date of submission of bid. It may also be noted that subsequent to Employer's evaluation of Bids, resulting into award of Contract to a particular Bidder, the Integrity Pact so submitted shall form an integral part of the Contract.
40.0	Independent External Monitors (IEM)s In respect of this package, the Independent External Monitors (IEMs) would be monitoring the bidding process and execution of Contract to oversee implementation and effectiveness of the Integrity Pact Program. This panel is authorized to examine / consider all references made to it under this tender. The bidder(s), in case of any dispute(s) / complaint(s) pertaining to this package may raise
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	the issue either with the designated 'Nodal Officer' in NVVN or directly with the IEMs address The Independent External Monitors (IEMs) has the right to access without restriction to all Project documentations of the Employer including that provided by the Contractor. The Contractor will also grant the IEMs, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his Project Documentations. The same is applicable to Subcontractors. The IEMs are under contractual obligation to treat the information and documents of the Bidder/ Contractor/ Sub-Contractors/ JV partners/ Consortium member with confidentiality. The Nodal Officer for necessary coordination with Independent External Monitors shall be as under: <table><tr><td>(i))</td><td>Concerned Group Head in C&M</td><td>:</td><td>if the issue pertains to awarding of Contract by C&M</td></tr><tr><td>(ii)</td><td>Concerned Head of Department</td><td>:</td><td>if the issue pertains to other departments</td></tr><tr><td>(iii)</td><td>Concerned Head of of Department</td><td>:</td><td>if the issue pertains to post- award execution of Contract</td></tr></table>	(i))	Concerned Group Head in C&M	:	if the issue pertains to awarding of Contract by C&M	(ii)	Concerned Head of Department	:	if the issue pertains to other departments	(iii)	Concerned Head of of Department	:	if the issue pertains to post- award execution of Contract
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(iii)	Concerned Head of of Department	:	if the issue pertains to post- award execution of Contract										
41.0	“Restrictions on procurement from a Bidder of a country which shares a land border with India”												
41.1	Any Bidder (including its Collaborator/Associate/DJU Partner/JV partner/Consortium Member/Assignee, wherever applicable) from a country which shares a land border with India will be eligible to bid in this tender only if bidder is registered with the Competent Authority as mentioned in Special Conditions of Contract (SCC). Further, any bidder having specified Transfer of Technology (ToT) arrangement with an entity from a country which shares a land border with India, will be eligible to bid only if the bidder is registered with the same competent authority as mentioned in Special Conditions of Contract (SCC). (Definition/Requirement of ToT shall be as specified in DOE OM Ref. No. F.7/10/2021-PPD(1) dated 23.02.2023, enclosed with SCC) Such registration should be valid for the entire period of bid validity or any extension thereof. However, in case the validity period of registration is less than bid validity period, the Bidder shall be required to submit the extension of the validity period of registration before the opening of price bids, failing which the bid shall be rejected. Further the successful bidder shall not be allowed to sub-contract services/works to any “Sub-contractor” from a country which shares a land border with India unless such Sub-contractor is registered with the competent Authority as mentioned in SCC.												
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	However, the said requirement of registration will not apply to bidders/sub- contractors from those countries (even if sharing a land border with India) to which the Government of India has extended lines of credit or in which the Government of India is engaged in development projects. Bidders may apprise themselves of the updated lists of such countries available in the website of the Ministry of External Affairs. 41.2 “Bidder” (including the term 'tenderer', 'consultant' or 'service provider' in certain contexts) means any person or firm or company, every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency, branch or office controlled by such person, participating in a procurement process. 41.3 “Sub-contractor” (including the term ‘Sub-vendor’/Sub-supplier’ in certain contexts) means any person or firm or company, every artificial juridical person not falling in any of the descriptions of Sub-contractors stated hereinbefore, including any agency branch or office controlled by such person, participating in a procurement process. 41.4 “Bidders from a country which shares a land border with India” / “Subcontractor from a country which shares a land border with India” / “Entity from a country which shares a land border with India” mentioned in para 41.1 above means; a) An entity incorporated, established or registered in such a country; or b) A subsidiary of an entity incorporated, established or registered in such a country; or c) An entity substantially controlled through entities incorporated, established or registered in such a country; or d) An entity whose beneficial owner is situated in such a country; or e) An Indian (or other) agent of such an entity; or f) A natural person who is a citizen of such a country; or g) A consortium or joint venture where any member of the consortium or joint venture falls under any of the above. 41.5 The beneficial owner for the purpose of clause “41.4” above will be as under; a) In case of company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means. Explanation- i. “Controlling ownership interest” means ownership of or entitlement to more than twenty-five per cent of shares or capital or profits of the company; ii. “Control” shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholdings or management rights or shareholders agreements or voting agreements;
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41.6 41.5 42.0	b) In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more judicial person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership; c) In case of an unincorporated associations or body of individuals, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals; d) Where no natural person is identified under (a) or (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing officials; e) In case of a trust, the identifications of beneficial owner(s) shall include identification of the author of trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. An Agent for the purpose of clause “41.4” is a person employed to do any act for another, or to represent another in dealings with third person. [Note: i. A person who procures and supplies finished goods from an entity from a country which shares a land border with India will, regardless of the nature of his legal or commercial relationship with the producer of the goods, be deemed to be an Agent. ii. However, a bidder who only procures raw material, components etc. from an entity from a country which shares a land border with India and then manufactures or converts them into other goods will not be treated as an Agent.] Bidders shall certify their compliance to ITB Clause “Restrictions on procurement from a Bidder of a country which shares a land border with India” by accepting the following General Technical Evaluation (GTE) of the Tender at e-Tender Portal: “Do you certify full compliance to all provisions of Bidding Document?” Acceptance of above attribute shall be considered as Bidder's confirmation that Bidder has read and understood the ITB Clause regarding “Restrictions on procurement from a Bidder of a country which shares a land border with India” and its bid is in compliance to this clause. In case it is established that Bidder has provided any false information in pursuance of the aforesaid ITB Clause, while competing for this contract, then its bid shall be rejected and bid security shall be forfeited. In case of a successful bidder, if it is established that the Bidder has not complied with terms of aforesaid ITB Clause, during execution of contract, this would be considered as fraudulent practices as mentioned in 5.1 (j) of “Policy for Debarment from Business Dealings” and shall be dealt accordingly. Anti-Bribery and Anti-Corruption (ABAC) Policy:
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Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
	The Bidder and its employees along with its Associate/ Collaborator/ Sub-Contractors / Sub-Vendors / Consultants / Service Providers and all other persons associated with business of Employer shall strictly adhere to Anti-Bribery and Anti-Corruption (ABAC) Policy of Employer displayed on tender website https://ntpctender.ntpc.co.in/. Bidders shall certify their compliance on “Anti-Bribery and Anti-Corruption (ABAC) Policy” of Employer by accepting the following GTE at the e-Tender Portal: “Do you certify full compliance to all provisions of Bidding Document?” Acceptance of General Technical Evaluation (GTE) of the Tender at e-Tender Portal shall be considered as bidder's confirmation that they and their employees along with their associate / collaborator/ subcontractors / sub vendors / consultants / service providers shall strictly abide by “Anti-Bribery and Anti-Corruption (ABAC) Policy” of Employer as displayed on tender website at https://ntpctender.ntpc.co.in/ under section ‘policy docs’ and undertake that they represent and confirm that they are aware of, understand, and will comply with all applicable laws and regulations relating to anti-corruption and anti-bribery and the ABAC Policy of Employer. 43.0 CONFLICT OF INTEREST 43.1 A bidder shall not have conflict of interest with other bidders. Such conflict of interest can lead to anti-competitive practices to the detriment of Employer's interests. A bidder may be considered to have a conflict of interest with one or more parties in the bidding process, if: - they directly or indirectly control, or are controlled by or are under common control of another entity; or - they have the same legal representative/agent for purposes of their bids; or - they have relationship with each other, directly or through common third party(ies), that puts them in a position to have access to information about or influence on the bid of another Bidder; or - Bidder and/or any of its allied entity(ies), which directly or indirectly control(s) or is(are) controlled by or is(are) under common control of another entity, has(ve) participated as a consultant in the preparation of the design or technical specifications of the contract that is the subject of the tender; or - Bidder participates in more than one bid in this bidding process. For the purposes of this clause the term ‘control’ shall have the following meaning: “Control” shall include the right to appoint majority of the directors or to control the management or policy decisions exercisable by a person or persons acting individually or in concert, directly or indirectly, including by virtue of their shareholding or management rights or shareholders’ agreements or voting agreements or in any other manner. Note: If two or more CPSEs/State PSEs participate in a tender, they will not be deemed to fall under the ‘Conflict of Interest’ provisions solely because they are under common control of Government of India / State Government. 43.2 Bidders shall certify their compliance to ITB Clause “Conflict of Interest” by accepting the following General Technical Evaluation (GTE) of the Tender at e-Tender Portal:
DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO. NVVN/ C&M /RE-307/2024-25 SECTION - II (ITB) Page 32 of 33

Clause No.	INSTRUCTIONS TO BIDDERS (ITB)
	“Do you certify full compliance to all provisions of Bidding Document?” Acceptance of the above GTE shall be considered as Bidder's confirmation that Bidder has read and understood the ITB Clause regarding “Conflict of Interest” and its bid is in compliance to this clause. In case it is established that Bidder has provided any false information in pursuance of the aforesaid ITB Clause, while competing for this contract, then its bid shall be rejected and bid security shall be forfeited. In case of a successful bidder, if it is established that the Bidder has not complied with terms of aforesaid ITB Clause, during execution of contract, this would be considered as fraudulent practices as mentioned in para 5.1 (j) of “Policy for Debarment from Business Dealings” and shall be dealt accordingly.
DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO. NVVN/ C&M /RE-307/2024-25 SECTION - II (ITB) Page 33 of 33

SECTION – III

BID DATA SHEET (BDS)

BDS Item No.	ITB Clause Ref., if any	DATA			
SECTION - III BID DATA SHEET (BDS) NAME OF PACKAGE: DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI The following bid specific data for the Plant & Equipment to be procured, shall amend and/or supplement the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in the ITB.					
1.0	ITB 1.1 and 2.2	A. INTRODUCTION Bidders are required to go through the Guidelines provided at following e-tender portal: E-Tender Portal: https://eprocurentpc.nic.in/nicgep/app Name of the Package: DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI Name of the Employer: NTPC VIDYUT VYAPAR NIGAM LIMITED Project to be installed at the places mentioned in Technical Specifications.			
2.0	ITB 5.1	B. THE BIDDING DOCUMENTS Address of the Employer for Communication: Sr. Manager (C&M) NTPC VIDYUT VYAPAR NIGAM LIMITED Engineering Office Complex, Plot No. A-8A Sector 24, Block A, Noida, Uttar Pradesh 201301 Email: nvvncontracts@ntpc.co.in Corporate Identification Number: U40108DL2002GOI117584, Phone No: 0120-4947239 C. PREPARATION OF BIDS			
<table border="1"> <tr> <td>BIDDING DOCUMENT NO.: NVVN/ C&M /RE-307 /2024-25</td> <td>DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI NCR, SECTION-III (BDS)</td> <td>PAGE 1 OF 10</td> </tr> </table>			BIDDING DOCUMENT NO.: NVVN/ C&M /RE-307 /2024-25	DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI NCR, SECTION-III (BDS)	PAGE 1 OF 10
BIDDING DOCUMENT NO.: NVVN/ C&M /RE-307 /2024-25	DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI NCR, SECTION-III (BDS)	PAGE 1 OF 10			

BDS Item No.	ITB Clause Ref., if any	DATA																				
3.0	ITB 8.1.1	Bids shall be submitted online. Only Bid Security, Tender Fee and Notarized Power of Attorney, are to be submitted in original (hard copy) at address specified at BDS no. 2.0.																				
4.0	ITB 8.1.2 (a)	Qualification Requirements for Bidders. Qualifying Requirement shall be as per clause 6.0 of IFB. Bidder can quote price for any of the following categories for which it fulfills the Average Annual Turnover Requirements: <table><tr><th>Sl. No.</th><th>Capacity range</th><th>Average Annual turnover criteria for past three financial years in INR Crore (figures)</th><th>Average Annual turnover criteria for past three financial years in INR Crore (in words)</th></tr><tr><td>1</td><td>Up to 50 kW</td><td>4.14</td><td>Indian Rupees “Four Crore and Fourteen Lakh” only</td></tr><tr><td>2</td><td>51 kW to 200 kW</td><td>15.44</td><td>Indian Rupees “Fifteen Crore and Forty-Four Lakh” only</td></tr><tr><td>3</td><td>201 Kw TO 1000 kW</td><td>15.86</td><td>Indian Rupees “Fifteen Crore and Eighty-Six Lakh” only</td></tr><tr><td>4</td><td>Greater than 1000 kW</td><td>15.82</td><td>Indian Rupees “Fifteen Crore and Eighty-Two Lakh” only</td></tr></table>	Sl. No.	Capacity range	Average Annual turnover criteria for past three financial years in INR Crore (figures)	Average Annual turnover criteria for past three financial years in INR Crore (in words)	1	Up to 50 kW	4.14	Indian Rupees “Four Crore and Fourteen Lakh” only	2	51 kW to 200 kW	15.44	Indian Rupees “Fifteen Crore and Forty-Four Lakh” only	3	201 Kw TO 1000 kW	15.86	Indian Rupees “Fifteen Crore and Eighty-Six Lakh” only	4	Greater than 1000 kW	15.82	Indian Rupees “Fifteen Crore and Eighty-Two Lakh” only
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4	Greater than 1000 kW	15.82	Indian Rupees “Fifteen Crore and Eighty-Two Lakh” only																			
4.1	ITB 8.1.2(a)	Whether Joint Ventures are permitted : NO Whether Associate/Collaborator permitted : NO																				
4.2	ITB 8.1.2 (g)	Attachment-9: INTEGRITY PACT: APPLICABLE																				
4.3	ITB 8.1.2 (j)	Attachment 11: Declaration regarding Local content in bid price																				

BIDDING DOCUMENT NO.: NVVN/ C&M /RE-307 /2024-25	DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI NCR, SECTION-III (BDS)	PAGE 2 OF 10

BDS Item No.	ITB Clause Ref., if any	DATA
5.1	ITB 10.4(d) (i)	Methodology regarding Purchase Preference is specified Annexure-II to BDS as per DPIIT circular dated 04.06.2020 & 16.09.2020 regarding "Make in India". The 'local content' requirement to categorize a supplier as 'Class-I local supplier' is minimum 50%. For 'Class-II local supplier', the 'local content' requirement is minimum 20%. Solar PV modules and Inverter shall be necessarily procured from Class-I supplier only. Declaration regarding local content as per the Employer's format, for granting of purchase preference. In case a bidder does not submit the aforesaid declaration or statement/any declaration like 'later', 'to be furnished later', 'NA' etc. are indicated by the bidder, then the Bidder is liable not to be considered as a local supplier and may not be eligible for any purchase preference"/ **"its bid is liable to be considered non-responsive and may be rejected". For Installation Price Component including Civil/Structural works price Minimum Percentage: 10% Bidders are advised to price their bids in such a manner that the Installation Price Component of the bid price including Civil/Structural works price should be more than 10% of the Ex-works Price of Main Equipment. In case the Installation Price including Civil/Structural works price is less than 10% of the Ex-works Price of Main Equipment, the amount by which it is higher shall be retained while releasing progressive payments due on installation of equipment, and no interest shall be payable on the retained amount. The aforesaid retained amount shall be paid along with payment due on completion of installation of the respective equipment and its certification by the Project Manager.
5.2	ITB 10.4(d) (iii)	For Amount linked to Safety Aspects/ compliance to Safety Rules

BDS Item No.	ITB Clause Ref., if any	DATA
		Bidders are advised to price their bids in such a manner that the component for 'Amount linked to Safety Aspects/ compliance to Safety Rules' should not be less than 5% of the cumulative total of Service Portion of the Contract, i.e. Civil + Installation/ Erection + Structural Works. In case 'Amount linked to Safety Aspects/ compliance to Safety Rules' is less than aforesaid minimum percentage specified of the cumulative total of Service Portion of the Contract, i.e. Civil + Installation/ Erection + Structural Works, the amount by which it is lower shall be retained proportionately from the other components of Schedule-3 of the Contract price while releasing payments of each RA bill. No interest shall be payable on the amounts linked to Safety Aspects / Compliance to Safety Rules including aforesaid retained amount. The amounts linked to Safety Aspects / Compliance to Safety Rules including aforesaid retained amount shall be payable in part or full based on safety compliance duly certified by Project Manager and Safety-in-charge on quarterly basis.
5.3	ITB 10.4(d) (iv)	For O&M Charges Bidders shall quote their O&M charges for first year. O&M Charges shall be quoted for 1st year and O&M charges from 2nd year till 10th year shall be auto calculated based on O&M charges for 1st year and annual escalation factor @ 5.25%. In case total O&M Cost for 10 years is less than 13.5% of EPC Price (Supply, transportation and Installation service (including Civil and Safety)), the difference shall be retained from payment under Schedule 1 and 10% of it shall be released every year.
5.4	ITB 10.4 (b)	In case, cost for the Transportation including the Transit Insurance (schedule 2) is above 4% of the ex-works price of main equipment (Schedule-1), the amount by which it is higher shall be retained and shall be paid upon completion of facilities of the entire project.
6.0	ITB 12.1	Amount of Bid Security: INR 2,00,00,000/- (Indian Rupees Two Crores only)

BDS Item No.	ITB Clause Ref., if any	DATA
6.1	ITB 12.2	Bidders may opt for Online Transfer through NEFT/RTGS to NVVN's Bank account whose details are provided below. (i) Bank Name: ICICI Bank Limited (ii) Branch: CONNAUGHT PLACE BRANCH (iii) Bank Address: 9A, PHELPS BUILDING, CONNAUGHT PLACE, NEW DELHI- 110001 (iv) IFSC Code: ICIC0000007 (v) Account No.: 000705008910 While carrying out online transfer, Bidders shall ensure to enter "EMD Fee - Tender No.-Vendor Name" in the Text /Remarks / Reason field. Bidder shall intimate the details of same through email to nvvncontracts@ntpc.co.in and also upload the details in the "Fee Section" folder in GepNIC in the following format. Tender No. : Vendor Name : UTR Reference : Amount: Date of Transfer: Transferor Bank: (Signature of Vendor with Seal) (b) IF EMD is submitted in BG Form Period of validity of Bid Security (for BG): 45 days beyond expiry of the validity of bid, i.e. 165 days from the deadline for submission of bid. Bid Security shall be in favour of NTPC VIDYUT VYAPAR NIGAM LIMITED, NEW DELHI.
6.2	ITB 12.2	Name of the Banks are specified at Annexure-I to BDS. D. SUBMISSION OF BIDS
7.0	ITB 15.1.2 & 16.2	The documents to be furnished in physical form shall be addressed and submitted to the following address:

BDS Item No.	ITB Clause Ref., if any	DATA						
8.0	ITB 16.1	Sr Manager (C&M), NTPC VIDYUT VYAPAR NIGAM LIMITED, 5th Floor, Engineering Office Complex Plot No. A-8A, Sector-24,NOIDA (UP), India-201 301 Email: nvvncontracts@ntpc.co.in Deadline for Bid Submission- as indicated at e-Tender Portal.						
9.0	ITB 19.1.1	E. BID OPENING AND EVALUATION Location of Bid Opening NTPC VIDYUT VYAPAR NIGAM LIMITED Engineering Office Complex, Plot No. A-8A Sector 24, Block A, Noida, Uttar Pradesh 201301 Date and Time for Techno-Commercial Bid Opening: Please refer e-Tender Portal. Date and Time of Opening of Price Bid: Shall be intimated separately by the Employer.						
10.0	ITB 19.2.4	Whether Reverse Auction applicable : NO						
11.0	ITB 25.2 (iv) & 25.3 & 25.5	The bid evaluation factor shall be as indicated below: Bidder has to quote Price (INR) per 1 KW with 10 years of O&M (including Taxes & Duties) from the date of completion of Facility of entire project with minimum CUF of project as mentioned in Technical Specification Cost per 1 KW, (L) – Bidders to quote the Cost per 1 KW for the project The Evaluated cost per 1 KW cumulative shall be L The ranking shall be carried and the bidder with lowest L' shall be declared successful bidder for the said Package. Award Criteria will be on the basis of Lowest Evaluated Bid Price (EP) discovered by e-tendering process. <table> <tr> <td>S.No.</td><td>Item</td><td>Value (Indian Rupees)</td></tr> <tr> <td>1.</td><td>Quoted Bid Price</td><td></td></tr> </table>	S.No.	Item	Value (Indian Rupees)	1.	Quoted Bid Price	
S.No.	Item	Value (Indian Rupees)						
1.	Quoted Bid Price							

BDS Item No.	ITB Clause Ref., if any	DATA
		(i) Schedule 1: Ex-Works Price for main equipment (per KW). -N1 (ii) Schedule 2: Local Transportation including Inland Transit Insurance for equipment (per KW) - N2 (iii) Schedule 3: Price for Installation Services and Civil & Allied Services & safety aspect - N3 (iii) Schedule 3A: O&M for Ten (10) years (per KW) -N3A Total Quoted Bid Price per KW N = (N1+N2+N3 +N3A) 2. GST on schedule 1, 2, 3 mentioned above- P 3. Total Evaluated Bid Price per KW (E) E = N + P Total Award (excl. GST) will be *Quantity in KW multiplied by N (price per KW). The Bid evaluation shall be separate for the each of the four categories mentioned below: 1) Capacity >1000 KW 2) Capacity 201 KW – 1000 KW 3) Capacity 51 KW – 200 KW 4) Capacity ≤ 50 KW *Quantity for Award consideration shall be as per Allocation Strategy mentioned at Appendix A to Annexure II to BDS.
11.1	ITB 25.3	Type of Transaction and Source of exchange rates: Bills Selling Exchange Rate established by STATE BANK OF INDIA
11.2	ITB 25.3.1	Date of exchange rate: Deadline set for submission of Bids. Specific Additional Criteria: Not applicable
12.0	ITB 31.1	The Contractor shall, within twenty-eight (28) days of the Notification of Award, provide securities for the due performance of the Contract for Ten Percent (10%) of Contract Price of all the Contracts, with an initial validity up to ninety (90) days beyond the end of scheduled Defect Liability Period of the last equipment covered under the Contract.

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BDS Item No.	ITB Clause Ref., if any	DATA														
13.0	ITB 34.0	However, in case of delay in completion of the defect liability period, the validity of all the contract performance securities shall be extended by the period of such delay. After the end of successful completion of Defect Liability Period above BG shall be returned to agency provided agency has submitted CPG @10% of O&M Contract price. The BG towards O&M Performance shall be submitted prior to return of the Contract Performance Guarantee (CPG) under the subject package. The above O&M Performance Security shall be initially valid upto 90 days after the end of Operation & Maintenance (O&M) period as specified in the Technical Specifications. The above security amount shall be payable to the Employer without any condition whatsoever. Time to complete the facilities from the date of Notification of Award: 8 months from the date of NOA/LOA.														
13.1		The program for supplying, installing, commissioning, completing the facilities and site delivery of all Mandatory Spares covered in the package shall be in the form of Master Network identifying the Key phases in various areas of total works, like design, procurement, manufacture and field activities including civil construction and erection works. In addition, key milestone dates (10-15 nos.) shall also be identified for the complete facilities under the package. The Master Network shall conform to the following schedule dates for the key milestones. <table><tr><td colspan="2">-----</td></tr><tr><td>Sl. No.</td><td>Activity</td></tr><tr><td>-----</td><td>-----</td></tr><tr><td></td><td>Months from NOA*</td></tr><tr><td></td><td>-----</td></tr><tr><td></td><td>Start Finish</td></tr><tr><td colspan="2">-----</td></tr></table>	-----		Sl. No.	Activity	-----	-----		Months from NOA*		-----		Start Finish	-----	

Sl. No.	Activity															
-----	-----															
	Months from NOA*															

	Start Finish															

13.2	AS GIVEN IN APPENDIX TIME SCHEDULE TO CONTRACT AGREEMENT IN SECTION VII – FORMS The successful bidder shall be required to submit a detailed integrated PERT Network (L2 Schedule) matching with the above work schedule. The L2 Schedule shall, inter-alia, include at least following activities for each systems listed above, showing their inter-relationship and duration so as to meet the above mentioned milestone details.															

BDS Item No.	ITB Clause Ref., if any	DATA
13.3		(i) Milestones to be incorporated in L2 Network to be submitted: 1. Ordering on sub vendor (wherever applicable) 2. Start of engineering 3. Completion of engineering 4. Start of manufacturing/fabrication 5. Completion of manufacturing/fabrication 6. Commencement of Supplies 7. Completion of supplies of all items 8. Completion of site delivery of mandatory spares. 9. Readiness of Type Test 10. Completion of Type Test 11. Commencement of Civil works 12. Completion of Civil works 13. Start of Erection 14. Completion of Erection The master network and the key milestone dates will be discussed with the successful Bidder and agreed upon after the issue of Notification of Award. Engineering Drawing and Data Submission Schedule shall also be discussed and finalised after the issue of Notification of Award.
13.4		After the Notification of Award, the contractor shall plan the sequence of work of manufacture, supply and erection to meet the above stated dates of successful completion of facilities and shall ensure all work, manufacture, shop testing, inspection and shipment of the equipment in accordance with the required construction/ erection sequence.
14.0	ITB 38.0	Address for pre-bid conference: Sr. Manager (C&M) NTPC VIDYUT VYAPAR NIGAM LIMITED

BDS Item No.	ITB Clause Ref., if any	DATA
		Engineering Office Complex, Plot No. A-8A Sector 24, Block A, Noida, Uttar Pradesh 201301 Email: nvvncontracts@ntpc.co.in G. Other Instructions
15.0	ITB 39.0	Integrity Pact: APPLICABLE
16.0	ITB 40.0	Independent External Monitors (IEM)s: APPLICABLE
17.0		Documents such as Letter of Undertakings, Deed of Joint Undertakings etc. which are specified to be furnished on non-Judicial stamp paper of appropriate/ requisite value, shall be stamped as per Indian Stamp Act.
18.0	ITB clause 37.1	The version of Policy for Debarment from Business dealings presently followed by NTPC is Rev.04
19.0	ITB Clause 44.0	Add a new clause 44.0
20.0	ITB Clause 45.0	Benefits to MSEs (Micro and Small Enterprises) - NOT APPLICABLE Add a new clause 45.0 Royalty The Bid Price shall be inclusive of any Royalties or Seigniorage Fee or Cess or other charges payable on the quarried or mined metal, minerals, or minor minerals, as the case may be, at the rate(s) prevailing as on seven (7) days prior to the deadline set for Price Bid submission.
21.0	ITB Clause 46.0	Add a new clause 46.0 Exemption of GST or any other taxes and duties (if applicable) on Items Manufactured for Grid Connected Solar Photo Voltaic Power Generation Projects As per extant guidelines of Govt. of India, the benefits of exemption of GST or any other taxes and duties (if applicable on items manufactured for grid connected Solar Photo Voltaic Power Generation Projects) may be available for the items to be

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BDS Item No.	ITB Clause Ref., if any	DATA
22.0		supplied under the contract. Bidder may appraise itself of the relevant policies and quote accordingly. The Employer shall issue the requisite certificate (if required) as specified in the relevant policy of Govt. of India. However, if the certificate is required to be issued by any department/ ministry of Government of India or State Government where the Project is located other than Employer, the Bidder shall itself be responsible for obtaining such certificate from the concerned department/ministry. In such a case, the Employer may issue recommendatory letter to the bidder. The Bidder shall be solely responsible for obtaining the benefits of exemption on GST or any other taxes and duties (if applicable) on the items to be supplied under the contract from the Govt. of India. In case of failure of the bidder to receive the benefits partly or fully from Govt. of India or in case of delay in receipt of such benefits, the Employer shall neither be responsible nor liable in this regard in any manner whatsoever. <u>ANNEXURES ENCLOSED WITH BDS</u> ANNEXURE-I: LIST OF BANKS FOR BANK GURANTEEE NTPC LETTER DATED 03.09.2014 TO SBI Annexure-II: Preference to Make in India and granting of purchase preference to local suppliers Annexure-III: Format of Undertaking (To be sent by Issuing Bank through official email-ID)
BIDDING DOCUMENT NO.: NVVN/ C&M /RE-307 /2024-25	DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI NCR, SECTION-III (BDS)	PAGE 11 OF 10

**LIST OF BANKS ACCEPTABLE FOR SUBMISSION
OF BANK GUARANTEE FOR BID SECURITY**

SCHEDULED COMMERCIAL BANKS**A. STATE BANK OF INDIA****B. NATIONALISED BANKS**

- 1 Bank of Baroda
- 2 Bank of India
- 3 Bank of Maharashtra
- 4 Canara Bank
- 5 Central Bank of India
- 6 Indian Overseas Bank
- 7 Indian Bank
- 8 Punjab National Bank
- 9 Union Bank of India
- 10 Punjab & Sind Bank
- 11 UCO Bank

C. SCHEDULED PRIVATE BANKS (INDIAN BANKS)

- 1 Axis Bank Ltd
- 2 Bandhan Bank Limited
- 3 CSB Bank
- 4 City Union Bank
- 5 DCB Bank Ltd
- 6 Dhanlaxmi Bank Ltd
- 7 Federal Bank Ltd
- 8 HDFC Bank Ltd
- 9 ICICI Bank Ltd
- 10 IndusInd Bank Ltd
- 11 IDFC FIRST Bank Limited
- 12 Jammu & Kashmir Bank Ltd
- 13 Karnataka Bank Ltd
- 14 Karur Vysya Bank Ltd
- 15 Kotak Mahindra Bank
- 16 Lakshmi Vilas Bank Ltd
- 17 Nainital Bank Ltd
- 18 RBL Bank Limited
- 19 South Indian Bank Ltd
- 20 Tamilnad Mercantile Bank Ltd
- 21 Yes Bank Ltd
- 22 IDBI Bank Ltd.

D. SCHEDULED PRIVATE BANKS (FOREIGN BANKS)

- 1 AB Bank Ltd
- 2 Abu Dhabi Commercial Bank PJSC
- 3 American Express Banking Corporation

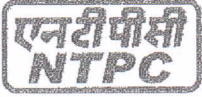
ANNEXURE-I TO BID DATA SHEETS

- 4 Australia & Newzealand Banking Group Limited
- 5 Barclays Bank Plc
- 6 Bank of America
- 7 Bank of Bahrain & Kuwait B.S.C.
- 8 Bank of Ceylon
- 9 Bank of China Limited
- 10 Bank of Nova Scotia
- 11 BNP Paribas
- 12 Citi Bank NA
- 13 Cooperatieve Rabobank UA
- 14 Crédit Agricole Corporate and Investment Bank
- 15 Credit Suisse AG
- 16 CTBC Bank Co Ltd
- 17 DBS Bank India Ltd
- 18 Deutsche Bank A.G.
- 19 Doha Bank Q.P.S.C
- 20 Emirates NBD Bank (PJSC)
- 21 First Abu Dhabi Bank PJSC
- 22 FirstRand Bank Ltd
- 23 HSBC Ltd
- 24 Industrial & Commercial Bank of China Ltd
- 25 Industrial Bank of Korea
- 26 JP Morgan Chase Bank, National Association
- 27 JSC VTB Bank
- 28 KEB Hana Bank
- 29 Kookmin Bank
- 30 Krung Thai Bank Public Company Ltd
- 31 Mashreq Bank PSC
- 32 Mizuho Bank Ltd
- 33 MUFG Bank, Ltd
- 34 NatWest Markets Plc
- 35 PT Bank Maybank Indonesia TBK
- 36 Qatar National Bank (Q.P.S.C.)
- 37 Sberbank
- 38 SBM Bank (India) Ltd
- 39 Shinhan Bank
- 40 Societe Generale
- 41 Sonali Bank Ltd
- 42 Standard Chartered Bank
- 43 Sumitomo Mitsui Banking Corporation
- 44 United Overseas Bank Ltd
- 45 Westpac Banking Corporation
- 46 Woori Bank

Note - Any Addition/ Deletion/ Modification in Bank list shall be as per changes in Second Schedule List by RBI from time to time.

*** Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 attached herewith this Annexure-I to BDS.**

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एन टी पी सी लिमिटेड
(भारत सरकार का उद्यम)

NTPC Limited
(A Govt. of India Enterprise)

केन्द्रीय कार्यालय/Corporate Centre

Date : 03rd September 2014

Ref. No.: NTPC/FC/CS/BG/01

Deputy General Manager,
State Bank of India,
CAG Branch,
12 th floor, Jawahar Vyapar Bhavan, 1, Tolstoy Marg,
New Delhi 110 001

Kind Atten: Sh. Sandeep Mishra

Sub: Format of the Bank Guarantee (BG) issued by State Bank of India – reg.

Dear Sir,

NTPC Limited is India's largest Power Company and a 'Maharatna PSU' with a significant presence in the entire value chain of power generation business. The procurement process of NTPC requires its participating Bidders to submit Bank Guarantees (BGs) as Bid security/other securities in a fixed format provided by NTPC.

It has been observed recently that BGs issued by various branches of State Bank of India are inserting the following additional clause.

QUOTE

Notwithstanding anything contrary contained in any law for the time being in force or banking practice, this guarantee shall not be assignable or transferable by the beneficiary. Notice or invocation by any person such as assignee, transferee of agent of beneficiary shall not be entertained by the bank. Any invocation of guarantee can be made only by the beneficiary directly.

UNQUOTE

The inclusion of the aforesaid clause in the BGs restricts the rights of NTPC under the BG and it may not be possible for NTPC to accept the aforesaid clause in the BGs submitted to us by our Bidders. It may also be mentioned that incorporation of the above additional clause in the BG results in the BG being returned by NTPC and consequently rejection of the bids of parties that have submitted such BGs.

In view of the above, it is requested that please take up at appropriate levels so that suitable instructions are issued to all your branches not to incorporate any such additional clause and henceforth BGs may be issued strictly as per NTPC format only.

Kindly acknowledge the receipt of this letter

Yours faithfully,

(K.P.Gupta)
General Manager (Finance)

Copy for Kind information: ED(CC&M) for kind info of u.s.

एन टी पी सी भवन स्कोप कॉम्प्लेक्स, 7, इंस्टीट्यूशनल एरिया, लोधी रोड, नई दिल्ली-110003 टेल/Tel.: 24360100, फैक्स/Fax: 011-24361018
NTPC Bhawan, SCOPE Complex, 7, Institutional Area, Lodhi Road, New Delhi-110003, वेबसाइट/Website: www.ntpc.co.in



भारतीय स्टेट बैंक
State Bank of India

कॉरपोरेट लेखा समूह शाखा, जवाहर व्यापार भवन,
11-12 वां तल, 1, टॉलस्टोय मार्ग, नई दिल्ली-110 001
Corporate Accounts Group Branch, Jawahar Vyapar Bhawan,
11th & 12th Floor, 1, Tolstoy Marg, New Delhi-110 001

Tel. : 23374525, 23374505, 23374541 (AMT-1), 23353022 (DGM & COO), 23701043, 23359506 (A & A), 23352995 (CS), 23352968 (IB)
Fax : 23353101 (Sectt.), 23352793 (CS), 23353029 (IB)

Shri K.P. Gupta,
General Manager (Finance),
NTPC Limited
Scope Complex, 7, Institutional Area,
Lodhi Road,
New Delhi: 110 003.

CAG-I/AMT-1/2014-15/370

04.09.2014

Dear Sir,

Format of the Bank Guarantee (BG) issued by State Bank of India

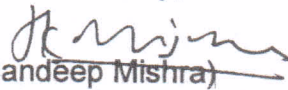
We refer to your letter dated 3rd September 2014 wherein you have requested for excluding bidders/vendors of NTPC from insertion of additional Clause restraining assignment/transferability of BG.

Looking at our relationship with NTPC, as a very special case, we have since obtained waiver from our Authorities for excluding NTPC from insertion of the referred clause for BGs issued in your favour.

We are taking steps to issue suitable instructions to our offices for exclusion of this clause for BGs issued in favour of NTPC. In case any bidder or vendor submits to you a Bank Guarantee issued by any of our Branches containing the additional clause as mentioned above, request you to please bring it to our notice and advise us so that we can take-up with the concerned Branch for excluding it.

This is for your information and necessary action please.

Yours faithfully,


(Sandeep Mishra)

Deputy General Manager &
Relationship Manager, AMT-1

Sub: Preference to Make In India and Eligibility for Participation/ granting of Purchase Preference to Class-I local suppliers- regarding

It is the policy of the Government of India to encourage 'Make in India' and promote manufacturing and production of Goods and Services in India with a view to enhancing income and employment. In this regard, the following guidelines, concerning the procedure to be adopted for granting Eligibility for Participation/purchase preference to local suppliers, are hereby issued:

1.0 Definitions:

- a) **'Local content'** means the amount of value added in India which shall, unless otherwise prescribed by the Nodal Ministry, be the total value of the goods, services or works procured (excluding net domestic indirect taxes) minus the value of imported content in the goods, services or works (including all customs duties) as a proportion of the total value, in percent.
- b) **'Class-I local supplier'** means a supplier or service provider, whose goods, services or works offered for procurement, meets the minimum local content as prescribed.

'Class-II local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, meets the minimum local content as prescribed for 'Class-II local supplier' but less than that prescribed for 'Class-I local supplier'.

'Non-Local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content less than that prescribed for 'Class-II local supplier'.
- c) **'L1'** means the lowest tender or lowest bid or the lowest quotation received in a tender, bidding process or other procurement solicitation as adjudged in the evaluation process as per the tender or other procurement solicitation.
- d) **'Margin of purchase preference'** means the maximum extent to which the evaluated bid price of a 'Class-I local supplier' may be above the L1 for the purpose of purchase preference.
- e) **Fraud Prevention Policy** – shall mean the policy related to prevention of fraud displayed on NTPC tender website <http://www.ntpctender.ntpc.co.in/>
- f) **Policy & Procedure for Debarment from Business Dealings** – shall mean the policy related to Debarment from Business Dealings forming part of Bidding Document.

2.0 Eligibility for Participation/Purchase Preference:

Only Class-I and Class-II Local Suppliers are eligible to Bid. Bids received (if any) from Non-Local Supplier shall be out rightly rejected.

3.0 Purchase Preference

3.1 Margin of Purchase Preference: The margin of purchase preference shall be 20%.

3.2 Purchase preference shall be given to 'Class-I local suppliers' as specified hereunder:

- Award shall be done for individual category based on Allocation Strategy mentioned at Appendix A to this circular.
- (ii) "Class-II local supplier" will not get purchase preference in any procurement, undertaken by NVVN.
- (iii) For the purpose of matching of lowest evaluated bid (L1) price, the Class-I local supplier would have to necessarily reduce all components of the quoted price on pro-rata basis. The reduction should not apply on the evaluation loading on account of functional guarantees and other loadings (if any, which are not dependent on quoted price). Further, the Contract shall be awarded on such revised/ reduced quoted price. The summation of the revised / reduced quoted price and the evaluation loading on account of functional guarantees and other loadings (if any) shall be equal to the lowest evaluated bid (L1) price.

4.0 Minimum Local Content

- 4.1** The local content requirement to categorize a Bidder/Supplier as 'Class-I local supplier' is minimum 50%. For 'Class-II local supplier', the 'local content' requirement is minimum 20%.

5.0 Verification of Local Content:

- 5.1** The 'Class-I local supplier' / 'Class-II local supplier' shall be required to provide, in the Bid Form/relevant Attachment of Techno- Commercial Bid, self-certification / declaration that the Item offered meets the local content requirement for 'Class-I local supplier' / 'Class-II local supplier' and shall give details of the location(s) at which the local value addition is made.
- 5.2** In case the total bid price of the supplier / bidder is in excess of INR 10 crore, the 'Class-I local supplier' / 'Class-II local supplier' shall be required to provide a certificate from the statutory auditor or cost auditor of the company (in the case of companies) or from a practicing cost accountant or practicing chartered accountant (in respect of suppliers other than companies) giving the percentage of local content during execution prior to submission of last bill for payment.
In case aforesaid Certificate furnished by Contractor/Vendor is not in line with the declaration in respect of Local content in their bid, same shall be treated as false declaration.
- 5.3** False declarations will be dealt in line with the Fraud Prevention Policy and Policy & Procedure for Debarment from Business Dealings of NTPC.
- 5.4** In case of false declaration / violation of the provision of PPP-MII Order, if a bidder has been debarred / banned by NTPC, then the fact and duration of debarment should be promptly brought to the notice of the Member-Convenor of the Standing Committee (as per para 16 of PPP-MII Order) and the Department of Expenditure through Ministry of Power, GOI.
- 5.5** A supplier who has been debarred / banned by any other procuring entity for violation of 'Public Procurement (Preference to Make In India), Order 2017' (PPP-MII Order) dated 15.06.2017 and its subsequent revisions / amendments issued by Department of Industrial Policy and Promotion (DIPP) shall not be eligible for evaluation/preference, as applicable, under the aforesaid procedures for duration of the debarment. The 'Class-I local supplier' / 'Class-II local supplier' shall be required to furnish a confirmation in this regard in the Bid Form/relevant Attachment of Techno-Commercial Bid.

6.0 Local Sourcing

- 6.1** The Bidder/its Sub-vendors must be Class-I local supplier for Item(s) mentioned at *clause no. 41 of GTR (if applicable) in Technical Specifications, as applicable or as per relevant government circular including Annexure I items in the MNRE Circular Public Procurement (Preference to Make in India) to provide for Purchase Preference (linked with local content) in respect of Renewable Energy (RE) Sector and/or any other applicable circulars/notifications etc, in case such item(s) are Self Manufactured/Bought-out. **BIDDER TO REFER THE LATEST GOVERNMENT GUIDELINES WITH RESPECT TO THE ABOVE.**
- 6.2** The Bidder / Contractor are requested to encourage and promote domestic manufacturing and production of goods and services by sourcing goods and services applicable under the contract / package from domestic suppliers / service providers. In this regard, Bidder shall also follow guidelines / advisory issued by Government of India from time to time, to the extent applicable to them, regarding promotion of local sourcing of goods including Bought out Items and services.

APPENDIX A to Annexure II to BDS

ALLOCATION STRATEGY

This tender is for four different categories as per follows:

- 1) Capacity > 1000 KW
- 2) Capacity between 201 KW-1000 KW
- 3) Capacity between 51 kW – 200 KW
- 4) Capacity upto 50 KW

Bidders can quote for the category they choose for which they are fulfilling the qualifying criteria. Bidder can quote in more than one category for which they fulfil the Average Annual Turnover (AATO) Criteria. Price Bid of Bidder not fulfilling the AATO for the specific category shall be outrightly rejected.

Category 01 and 02 shall be allocated to two bidders in the ratio of 60% & 40%. Category 03 & 04 shall be allocated to four bidders in the ratio of 40%, 20%, 20%, 20% etc subject to the AATO requirement. The detailed allocation strategy is illustrated as below:

A) If L1 Bidder for the individual category is a Class I Local Supplier

In case the bidder is L1 in more than 01 category range, the bidder shall be qualified for the category in the order of precedence of capacity range Greater than 1000 kW, 201 kW – up to 1000 kW, 51 Kw- up to 200 kW and Up to 50 kW, subject to meeting the average annual turnover criteria (AATO) and as per methodology given below:

1. Category > 1000 kW

60% capacity shall be allocated to L1 Bidder. 40% capacity shall be allocated to next non-L1 bidder in the order of ranking (L2, L3...Ln) who has matched the L1 price.

2. Category 201 KW to 1000 KW:

L1 Bidder shall be allocated upto 60% of the Capacity limited to its AATO (in case if the bidder is already allocated capacity in the higher capacity category i.e. > 1000 KW). Non_L1 bidders in the order of ranking (L2, L3...Ln) shall be allocated balance capacity upto 40% limited to the AATO (Average Annual Turnover).

If balance capacity exists after the allocation as above, then the remaining capacity shall be allocated to the above bidders in the order of their ranking upto 60% of the capacity limited to their AATO.

Even after above, if balance capacity exists, then the next non-L1 bidder in the order of ranking (in addition to the above referred non-L1 bidder) who have matched the L1 price shall be allocated capacity upto 60% limited to their AATO.

3. For Category 51 kW to 200 kW and upto 50 KW

L1 Bidder shall be allocated upto 40% of the Capacity in the Category limited to its AATO. Three non-L1 bidders in the order of their ranking who have matched the L1 price shall be allocated upto 20% of the Capacity.

If balance capacity exists after the allocation as above, then the remaining capacity shall be allocated to the above bidders in the order of their ranking upto 40% of the capacity limited to their AATO.

Even after above, if balance capacity exists, then the next non-L1 bidders in the order of ranking (in addition to the above referred three non-L1 bidders) who have matched the L1 price shall be allocated capacity upto 40% limited to their AATO.

Illustrative Example is as follows:

B1 bidder shown below shall be L1 Bidder for that Category. B2, B3, B4.....Bn shall be next non-L1 bidder who has matched the L1 price for that particular category (For example, if L2 bidder has not matched the L1 price and L3 bidder has matched, then B2 shall refer to L3 bidder who has matched the L1 price and so on).

1. Category 1 > 1000 KW (First Priority in Allocation)

B1	B2	Remarks
60%	40%	No other option possible since this is the category which will be considered for allocation first.

APPENDIX A to Annexure II to BDS

ALLOCATION STRATEGY

2. For Category 201-1000 KW (Second Priority in Allocation)

SCENARIO 1	SCENARIO 2	SCENARIO 3																												
60% and 40% Capacity Allocated to B1 & B2 bidder	Initial Allocation: Up to 60% to B1 and Up to 40% to B2 (subject to AATO) Scenario 2: Partial Qty Allocated to B1 due to AATO Limit. B2 is allocated 40% initially <table><tr><th>B1</th><th>B2</th><th>Remarks</th></tr><tr><td>40%</td><td>40%</td><td>Initial Allocation - 80% Balance - 20%.</td></tr></table> Since Balance of 20% exists after initial allocation, B2 bidder shall be allocated the balance 20% (max 60% allocation per bidder). Final Allocation <table><tr><th>B1</th><th>B2</th><th>Remarks</th></tr><tr><td>40%</td><td>60%</td><td>Total Allocation - 100%</td></tr></table> Note: Max allocation for a bidder in this category would be 60% only. However, if sufficient bidders are not there due to non-matching of L1 price, then L1 bidder will be allocated entire quantity subject to AATO	B1	B2	Remarks	40%	40%	Initial Allocation - 80% Balance - 20%.	B1	B2	Remarks	40%	60%	Total Allocation - 100%	Initial Allocation: Up to 60% to B1 and Up to 40% to B2 (subject to AATO) Partial Qty Allocated to B1 and B2 due to AATO Limit <table><tr><th>B1</th><th>B2</th><th></th></tr><tr><td>10%</td><td>10%</td><td>Present Allocation - 20% Balance - 80%.</td></tr></table> Balance is 80%. 60% out of balance 80% shall be allocated to B3 and remaining 20% to B4 (max 60% allocation per bidder) Final Allocation <table><tr><th>B1</th><th>B2</th><th>B3</th><th>B4</th><th>Total Allocation</th></tr><tr><td>10%</td><td>10%</td><td>60%</td><td>20%</td><td>100%</td></tr></table>	B1	B2		10%	10%	Present Allocation - 20% Balance - 80%.	B1	B2	B3	B4	Total Allocation	10%	10%	60%	20%	100%
B1	B2	Remarks																												
40%	40%	Initial Allocation - 80% Balance - 20%.																												
B1	B2	Remarks																												
40%	60%	Total Allocation - 100%																												
B1	B2																													
10%	10%	Present Allocation - 20% Balance - 80%.																												
B1	B2	B3	B4	Total Allocation																										
10%	10%	60%	20%	100%																										

3. Category 3 & 4 (Up to 50 KW and 51-200 KW) (51-200 KW will be third priority category in allocation and last priority for 0-50 KW)

SCENARIO 1	SCENARIO 2	SCENARIO 3																														
Capacity Allocated to B1, B2, B3 and B4 bidder <table><tr><td>B1</td><td>B2</td><td>B3</td><td>B4</td><td>Total</td></tr><tr><td>40%</td><td>20%</td><td>20%</td><td>20%</td><td>100%</td></tr></table>	B1	B2	B3	B4	Total	40%	20%	20%	20%	100%	<u>Initial Allocation</u> Up to 40% to B1 and Up to 20% to B2, B3 & B4 (subject to AATO) Scenario 2: Partial Qty Allocated to B1 due to AATO Limit. <table><tr><td>B1</td><td>B2</td><td>B3</td><td>B4</td><td>Remarks</td></tr><tr><td>10%</td><td>20%</td><td>20%</td><td>20%</td><td>Allocation-70% Bal - 30%</td></tr></table>	B1	B2	B3	B4	Remarks	10%	20%	20%	20%	Allocation-70% Bal - 30%	Partial Qty Allocated to all the four bidders due to AATO Limit <u>Initial Allocation</u> <table><tr><td>B1</td><td>B2</td><td>B3</td><td>B4</td><td>Remarks</td></tr><tr><td>10%</td><td>10%</td><td>10%</td><td>10%</td><td>Allocation -40% bal-60%</td></tr></table> Since none of the four bidders have AATO limit for allocation up to 40%, B5	B1	B2	B3	B4	Remarks	10%	10%	10%	10%	Allocation -40% bal-60%
B1	B2	B3	B4	Total																												
40%	20%	20%	20%	100%																												
B1	B2	B3	B4	Remarks																												
10%	20%	20%	20%	Allocation-70% Bal - 30%																												
B1	B2	B3	B4	Remarks																												
10%	10%	10%	10%	Allocation -40% bal-60%																												

APPENDIX A to Annexure II to BDS

ALLOCATION STRATEGY

	In this category, QR is for 40%. Hence, after initial allocation, unallocated balance quantity shall be allocated up to 40% to the bidders in the order of their ranking. Accordingly, 20% out of 30% will be allocated to B2 and remaining 10% to B3 <u>Final Allocation</u> <table><tr><th>B1</th><th>B2</th><th>B3</th><th>B4</th><th>Remarks</th></tr><tr><td>10%</td><td>40%</td><td>30%</td><td>20%</td><td>Alloc-100% Bal - 0%</td></tr></table> If the B2 could not be allocated to Qty due to AATO limit, then B3 will be allocated 20% and B4 10%	B1	B2	B3	B4	Remarks	10%	40%	30%	20%	Alloc-100% Bal - 0%	bidder shall be allocated 40% and B6 bidder shall be allocated balance 20%. <u>Final Allocation</u> <table><tr><th>B1</th><th>B2</th><th>B3</th><th>B4</th><th>B5</th><th>B6</th></tr><tr><td>10%</td><td>10%</td><td>10%</td><td>10%</td><td>40%</td><td>20%</td></tr></table>	B1	B2	B3	B4	B5	B6	10%	10%	10%	10%	40%	20%
B1	B2	B3	B4	Remarks																				
10%	40%	30%	20%	Alloc-100% Bal - 0%																				
B1	B2	B3	B4	B5	B6																			
10%	10%	10%	10%	40%	20%																			

- B) **If L1 Bidder for the individual category is not a Class I Local Supplier**, then in that category, Class I bidders falling within the margin of purchase preference shall be given first preference to match L1 price for 40 % allocation in category 01 & Category 02 and 20% B2 & 20% B3 each for Category 03 & Category 04 above. For definition of Class I bidder and margin of purchase preference refer **Annexure II to BDS**.

OFFICE MEMORANDUM

Sub: Public Procurement (Preference to Make in India) to provide for Purchase Preference (linked with local content) in respect of Renewable Energy (RE) Sector.

Reference is invited to MNRE's Order of even no. dated February 09, 2021, which superseded the earlier order of even no. dated September 23, 2020, on the above mentioned subject.

2. Para 7 of the aforesaid Order provides for a mechanism, in the form of a Committee, to examine the grievances in consultation with stakeholders and recommend appropriate actions to the Competent Authority in MNRE.

3. Further para 8 of the said Order mentions as follows:

"The complaint fee of Rs.2 Lakh or 1% of the value of the local item being procured (subject to maximum of Rs.5 Lakh), whichever is higher, shall be paid in the form of online transaction or Demand Draft, drawn in favour of IREDA. In case the complaint is found to be incorrect, the complaint fee shall be forfeited. In case, the complaint is upheld and found to be substantially correct, deposited fee of the complainant would be refunded without any interest."

4. It is hereby conveyed that the grievances/complaints under the subject Order dated February 09, 2021 may be addressed to the Secretary, Ministry of New & Renewable Energy (MNRE), Block-14, C.G.O. Complex, Lodhi Road, New Delhi-110003, along with payment of requisite complaint fee as prescribed in para 8 of the said Order.

5. The details of the bank account of Indian Renewable Energy Development Agency Limited (IREDA), wherein complaint fee can be paid, are as follows:

Bank Name	IndusInd Bank
Account Title	IREDA - MNRE MAKE IN INDIA A/C
Account No.	100136782501
Branch Code	0005
IFSC Code	INDB0000005
Bank Address	IndusInd Bank, GK-II, Dr Gopal Das Bhawan, 28, Barakhamba Road, New Delhi-110001

6. This issues with the approval of Secretary, MNRE.


(Mohd Azmal Mansoori)
Scientist-B
Email: azmal.mnre@gov.in

To:
All Concerned

Copy to: **NIC, MNRE**, for uploading on MNRE website for dissemination of information.

Copy for internal circulation to: Sr. PPS to Secretary/ Sr. PPS to JS (AKS) / Dir (RG)/ Sci-D (SK)

F. No. 283/22/2019-GRID SOLAR
भारत सरकार / Government of India
नवीन और नवीकरणीय ऊर्जा मंत्रालय/ Ministry of New & Renewable Energy

Block No. 14, C.G.O. Complex,
Lodhi Road, New Delhi – 110003
Dated: 09th February, 2021

ORDER

Sub: Public Procurement (Preference to Make in India) to provide for Purchase Preference (linked with local content) in respect of Renewable Energy (RE) Sector.

Ref: Department for Promotion of Industry and Internal Trade (DPIIT) Notification No.P-45021/2/2017-PP (BE-II) dated 4th June, 2020 and dated 16th September, 2020.

The Government of India, Department for Promotion of Industry and Internal Trade (DPIIT) issued Public Procurement (Preference to Make in India), Order 2017 for encouraging 'Make in India' and promoting manufacturing and production of goods and services in India with a view to enhancing income and employment. Further, DPIIT vide order's No.P-45021/2/2017-PP (BE-II) dated 4th June, 2020 and 16th September, 2020, have issued the revised Public Procurement (Preference to Make in India) Order 2017.

2. In the light of the Public Procurement (Preference to Make in India) Order 2017, this Ministry had issued O.M. No. 146/57/2018-P&C dated 11th December, 2018 covering certain products related to renewable energy sector under the Public Procurement (Preference to Make in India) Order and specifying the Minimum Percentage of local content required for such renewable energy products. Further, in pursuance of revised Public Procurement (Preference to Make in India) Order 2017, dated 04.09.2020, this Ministry had issued an Order No. 283/22/2019-GRID SOLAR dated 23.09.2020 on the above mentioned subject.

3. In furtherance of Para 19 of the DPIIT Notification No.P-45021/2/2017-PP(BE-II) dated 16.09.2020 and in supersession of all the aforementioned orders issued by this Ministry, the following has been decided:

- i. For the purpose of this order, the definitions of various terms used in the order, and provisions relating to (i) Eligibility of 'Class-I local supplier' / 'Class-II local supplier' / 'Non-local suppliers' for different types of procurement, (ii) purchase preference, (iii) exemption to small purchases, and (iv) margin of purchase preference, shall be the same as in DPIIT order dated 16.09.2020, referred to above and extracts of the same given at **Appendix**.
- ii. In procurement of all goods and services or works in respect of which there is sufficient local capacity and local competition as in Annexure-I, only "Class-I local supplier", shall be eligible to bid irrespective of purchase value.
- iii. Only 'Class I local supplier' and 'Class-II local supplier', as defined under the DPIIT Notification No.P-45021/2/2017-PP(BE-II) dated 16.09.2020, shall be eligible to bid in procurements undertaken by procuring entities, except when Global tender enquiry has been issued. In global tender enquiries, 'Non-local suppliers' shall also be eligible to bid along with 'Class-I local suppliers' and 'Class-II local suppliers'. In procurement



of all goods, services or works, not covered by sub- para 3 (ii) above, and with estimated value of purchases less than Rs.200 Crore, in accordance with Rule 161(iv) of GFR, 2017, Global tender enquiry shall not be issued except with the approval of competent authority as designated by Department of Expenditure.

- iv. For the purpose of this order, 'Works' means all works as per Rule 130 of GFR 2017, and will also include 'turnkey works', Engineering, Procurement and Construction (EPC) contracts and service contracts including System Integrator (SI) contracts.

4. The list of items, in respect of which, local capacity with sufficient competition exists as per **Annexure-I**, will be reviewed at regular intervals with a view to increase number of items in this list.

5. Purchase preference shall be given to local suppliers in accordance with **para 3A & 3B** of DPIIT Order dated 16.09.2020, and extracts of the same given at **Appendix**.

6. Further, it has been decided to constitute a committee for independent verification of self-declarations and auditor's / accountant's certificates on random basis and in the case of complaints. The composition of the committee is given below:

Chairperson	DG, NISE
Member	Dir(JKJ)
Member	Dir(Wind)
External Expert	Dir(Technical), IREDA
Convener	Dir(RG)

7. Further, it has also been decided to constitute a committee to examine the grievances in consultation with stakeholders and recommend appropriate actions to the Competent Authority in MNRE. The composition of the Committee is given below:

Chairperson	EA
Member	Dir(Wind)
Member	Dir(JKJ)
Convener	Dir(RG)

8. The complaint fee of Rs.2 Lakh or 1% of the value of the local item being procured (subject to maximum of Rs.5 Lakh), whichever is higher, shall be paid in the form of online transaction or Demand Draft, drawn in favour of IREDA. In case the complaint is found to be incorrect, the complaint fee shall be forfeited. In case, the complaint is upheld and found to be substantially correct, deposited fee of the complainant would be refunded without any interest.

9. All other conditions, not stipulated in this order, shall be as laid down in the DPIIT's order No.P-45021/2/2017-PP (BE-II) dated 16.09.2020.

10. This order shall be applicable in respect of the procurements made by all attached or subordinate offices or autonomous body under the Ministry of New & Renewable Energy, Government of India including Government Companies as defined in the Companies Act, and /or the States and Local Bodies making procurement under all Central Schemes/ Central Sector Schemes where the Scheme is fully or partially funded by Government of India. The aforesaid order shall also be applicable in respect of funding of capital equipment by IREDA, PFC and REC, for all RE projects, for which the bids are issued on or after 1st April, 2021.



11. Procuring entities as defined in the DPIIT's Order dated 16.09.2020 are advised to revise their tender documents fully complying with the said DPIIT's Order and the subsequent Orders that would be issued in this regard by DPIIT/this Ministry from time to time.

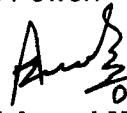
12. All tenders for procurement by Central Government Agencies have to be certified for compliance of the PPP-MII Order by the concerned procurement officer of the Government Organization before uploading in the portal.

13. In pursuance of the provision of para 13 of the DPIIT Order, 2020, equipment used in the renewable energy sector which are manufactured under license from foreign manufacturers holding intellectual property rights and where there is a transfer of technology agreement, if any, are listed as under Annexure-II. However, **Annexure-II** will undergo regular review to check for those items in the list for which sufficient local manufacturing capacity and competition have got developed and which meet minimum local content of 50% now, so that these items become eligible for inclusion in Annexure-I of this order and the provisions as contained in para 3 above of this order start becoming applicable in respect of these items too.

14. In terms of para 13A of DPIIT's Order dated 16.09.2020, the procuring entities, while procuring Solar PV Cells beyond 250 MW per annum, shall prescribe in their respective tenders that foreign companies shall enter into a joint venture with an Indian company to participate in the tender.

15. In order to further encourage Make in India initiative and promote manufacturing and production of goods and services in India, general guidelines as enclosed at **Annexure-III** may be adopted in an appropriate manner according to the circumstances by the procuring entities in their tendering process.

16. This issues in line with the approval from Hon'ble MoS (IC) for NRE and Power.


09/02/21
(Mohd Azmal Mansoori)
Scientist-B
Email: azmal.mnre@gov.in

To:

1. All Ministries/ Departments of Government of India (As per list)
2. Secretary (Coordination), Cabinet Secretariat
3. PS to PM, Prime Minister's Office
4. Vice Chairman, NITI Aayog
5. Chief Secretaries of all States/UTs
6. Director General, Comptroller and Auditor General of India
7. Secretary, DPIIT, Chairman of Standing Committee for implementation of Public Procurement Order, 2017
8. Joint Secretary, DPIIT, Member-Convener of Standing Committee for implementation of Public Procurement Order, 2017
9. Chairperson, CEA
10. CMDs of CPSEs under MNRE & MoP
11. All Group Heads in MNRE

Extracts of important provisions contained in DPIIT Order No.P-45021/2/2017-PP (BE-II) dated 16th September, 2020

1. Definitions:

'Local content' means the amount of value added in India which shall, unless otherwise prescribed by the Nodal Ministry, be the total value of the item procured (excluding net domestic indirect taxes) minus the value of imported content in the item (including all customs duties) as a proportion of the total value, in percent.

'Class-I local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, meets the minimum local content as prescribed for 'Class-I local supplier' under this Order.

'Class-II local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, meets the minimum local content as prescribed for 'Class-II local supplier' but less than that prescribed for Class-I local supplier' under this Order.

'Non-Local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content less than that prescribed for 'Class-II local supplier' under this Order.

'L1' means the lowest tender or lowest bid or the lowest quotation received in a tender, bidding process or other procurement solicitation as adjudged in the evaluation process as per the tender or other procurement solicitation.

'Margin of purchase preference' means the maximum extent to which the price quoted by a "Class-I local supplier" may be above the L1 for the purpose of purchase preference.

'Procuring entity' means a Ministry or department or attached or subordinate office of, or autonomous body controlled by, the Government of India and includes Government companies as defined in the Companies Act.

'Works' means all works as per Rule 130 of GFR- 2017, and will also include 'turnkey works'.

2. Eligibility of 'Class-I local supplier'/ 'Class-II local supplier'/ 'Non-local suppliers' for different types of procurement

a) In procurement of all goods, services or works in respect of which the Nodal Ministry / Department has communicated that there is sufficient local capacity and local competition, only 'Class-I local supplier', as defined under the Order, shall be eligible to bid irrespective of purchase value.

b) Only 'Class I local supplier' and 'Class-II local supplier', as defined under the Order, shall be eligible to bid in procurements undertaken by procuring entities, except when Global tender enquiry has been issued. In global tender enquiries, 'Non-local suppliers' shall also be eligible to bid along with 'Class-I local suppliers' and 'Class-II local suppliers'. In procurement of all goods, services or works, not covered by sub- para 2 (a) above, and with estimated value of purchases less than Rs.200

Crore, in accordance with Rule 161(iv) of GFR, 2017, Global tender enquiry shall not be issued except with the approval of competent authority as designated by Department of Expenditure.

c) For the purpose of this Order, works includes Engineering, Procurement and Construction (EPC) contracts and services include System Integrator (SI) contracts.

3A. Purchase Preference

a) Subject to the provisions of this Order and to any specific instructions issued by the Nodal Ministry or in pursuance of this Order, purchase preference shall be given to 'Class-I local supplier' in procurements undertaken by procuring entities in the manner specified here under.

b) In the procurements of goods or works, which are covered by para 2(b) above and which are divisible in nature, the 'Class-I local supplier' shall get purchase preference over 'Class-II local supplier' as well as 'Non-local supplier', as per following procedure:

i. Among all qualified bids, the lowest bid will be termed as L1. If L1 is 'Class-I local supplier', the contract for full quantity will be awarded to L1.

ii. If L1 bid is not a 'Class-I local supplier', 50% of the order quantity shall be awarded to L1. Thereafter, the lowest bidder among the 'Class-I local supplier' will be invited to match the L1 price for the remaining 50% quantity subject to the Class-I local supplier's quoted price falling within the margin of purchase preference, and contract for that quantity shall be awarded to such 'Class-I local supplier' subject to matching the L1 price. In case such lowest eligible 'Class-I local supplier' fails to match the L1 price or accepts less than the offered quantity, the next higher 'Class-I local supplier' within the margin of purchase preference shall be invited to match the L1 price for remaining quantity and so on, and contract shall be awarded accordingly. In case some quantity is still left uncovered on Class-I local suppliers, then such balance quantity may also be ordered on the L1 bidder.

c) In the procurements of goods or works, which are covered by para 2(b) above and which are not divisible in nature, and in procurement of services where the bid is evaluated on price alone, the 'Class-I local supplier' shall get purchase preference over 'Class-II local supplier' as well as 'Non-local supplier', as per following procedure:

i. Among all qualified bids, the lowest bid will be termed as L1. If L1 is 'Class-I local supplier', the contract will be awarded to L1.

ii. If L1 is not 'Class-I local supplier', the lowest bidder among the 'Class-I local supplier', will be invited to match the L1 price subject to Class-I local supplier's quoted price falling within the margin of purchase preference, and the contract shall be awarded to such 'Class-I local supplier' subject to matching the L1 price.

iii. In case such lowest eligible 'Class-I local supplier' fails to match the L1 price, the 'Class-I local supplier' with the next higher bid within the margin of purchase preference shall be invited to match the L1 price and so on and contract shall be awarded accordingly. In case none of the 'Class-I local supplier' within the margin



of purchase preference matches the L1 price, the contract may be awarded to the L1 bidder.

d) "Class-II local supplier" will not get purchase preference in any procurement, undertaken by procuring entities.

3B. Applicability in tenders where contract is to be awarded to multiple bidders-

In tenders where contract is awarded to multiple bidders subject to matching of L1 rates or otherwise, the 'Class-I local supplier' shall get purchase preference over 'Class-II local supplier' as well as 'Non-local supplier', as per following procedure:

a) In case there is sufficient local capacity and competition for the item to be procured, as notified by the nodal Ministry, only Class I local suppliers shall be eligible to bid. As such, the multiple suppliers, who would be awarded the contract, should be all and only Class I local suppliers'

b) In other cases, 'Class-II local suppliers' and 'Non local suppliers' may also participate in the bidding process along with 'Class I local suppliers' as per provisions of this Order.

c) If 'Class I local supplier' qualify for award of contract for at least 50% of the tendered quantity in any tender, the contract may be awarded to all the qualified bidders as per award criteria stipulated in the bid documents. However, in case 'Class I Local suppliers' do not qualify for award of contract for at least 50% if the tendered quantity, purchase preference should be given to the 'Class I local supplier' over 'Class II local suppliers'/ 'Non local suppliers' provided that their quoted rate falls within 20% margin of purchase preference of the highest quoted bidder considered for award of contract so as to ensure that the 'Class I Local suppliers' taken in totality are considered for award of contract for at least 50% of the tendered quantity.

d) First purchase preference has to be given to the lowest quoting 'Class I local supplier', whose quoted rates fall within 20% margin of purchase preference, subject to its meeting the prescribed criteria for award of contract as also the constraint of maximum quantity that can be sourced from any single supplier. If the lowest quoting 'Class I local supplier', does not qualify for purchases preference because of aforesaid constraints or does not accept the offered quantity, an opportunity may be given to next higher 'Class I local supplier' falling within 20% margin of purchase preference, and so on.

e) To avoid any ambiguity during bid evaluation process, the procuring entities may stipulate its own tender specific criteria for award of contract amongst different bidders including the procedure for purchase preference to 'Class I local supplier' within the broad policy guidelines stipulated in sub-paras above.

4. Exemption of small purchases: Procurements where the estimated value to be procured is less than Rs.5 Lakh shall be exempt from this Order. However, it shall be ensured by procuring entities that **procurement is not split for the purpose of avoiding the provisions of this Order.**

5. Minimum local content: The 'local content' requirement to categorize a supplier as 'Class-I local supplier' is minimum 50%. For 'Class-II local supplier', the 'local content' requirement is minimum 20%. Nodal Ministry/ Department may prescribe only a higher percentage of minimum local content requirement to categorize a supplier as 'Class-I local supplier' / 'Class-II local supplier'. For the items, for which Nodal Ministry/ Department has not prescribed higher minimum local content notification under the Order, it shall be 50% and 20 % for 'Class-I local supplier' / 'Class-II local supplier' respectively.

6. Margin of Purchase Preference: The margin of purchase preference shall be 20%.

7. Specifications in Tenders and other procurement solicitations.

a) Every procuring entity shall ensure that the eligibility conditions in respect of previous experience fixed in any tender or solicitation do not require proof of supply in other countries or proof of exports.

b) Procuring entities shall endeavor to see that eligibility conditions, including on matters like turnover, production capability and financial strength do not result in unreasonable exclusion of 'Class-I local supplier' / "Class-II local Supplier' who would other be eligible, beyond what is essential for ensuring quality or creditworthiness of the supplier.

c) Procuring entities shall, within 2 months of the issue of this Order review all existing eligibility norms and conditions with reference to sub-paragraphs 'a' and 'b' above.

d) Reciprocity Clause

i. When a Nodal Ministry/Department identifies that Indian suppliers of an item are not allowed to participate and/or compete in procurement by any foreign government, due to restrictive tender conditions which have direct or indirect effect of barring Indian companies such as registration in the procuring country, execution of projects of specific value in the procuring country etc., it shall provide such details to all its procuring entities including CMDs/CEOs of PSEs/PSUs, State Government and other procurement agencies under their administrative control and GeM for appropriate reciprocal action.

ii. Entities of countries which have been identifies by the nodal Ministry/Department as not allowing Indian companies to participate in their Government procurement for any item related to that nodal Ministry shall not be allowed to participate in Government procurement in India for all items related to that nodal Ministry/Department, except for the list of items published by the Ministry/Department permitting their participation.

iii. The stipulation in (ii) above shall be part of all tenders invited by the Central Government procuring entities stated in (i) above. All purchases on GeM shall also necessarily have the above provisions for items identified by nodal Ministry/Department.

iv. State Governments should be encouraged to incorporate similar provisions in their respective tenders.



v. The term 'entity' of a country shall have the same meaning as under the FDI Policy of DPIIT as amended from time to time.

e) Specifying foreign certifications/ unreasonable technical specifications/ Brands/ models in the bid document is restrictive and discriminatory practice against local suppliers. If foreign certification is required to be stipulated because of non-availability of Indian Standards and/or for any other reason, the same shall be done only after written approval of Secretary of the Department concerned or any other Authority having been designated such power by the Secretary of the Department concerned.

f) "All administrative Ministries/Departments whose procurement exceeds Rs.1000 Crore per annum shall notify/update their procurement projections every year, including those of the PSEs/PSUs, for the next 5 years on their respective website."

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List of items of goods and services with sufficient local capacity and competition:

A. Small Hydro Power

1. Turbine
2. Generator
3. Penstock pipelines
4. Control panel
5. Governors
6. Cables
7. Valves
8. Transformers
9. Switchgears

B. Wind Power

1. Gear box,
2. Blades,
3. Rotor,
4. Generator,
5. Tower,
6. Hub,
7. Parts of controller,
8. Bearings,
9. Yaw mechanism components,
10. Nacelle

C. Off Grid/ Decentralised Solar Power

1. Solar street lights
2. Solar home lighting systems
3. Solar power packs
4. Micro grid
5. Solar water pumps
6. Inverters
7. Batteries



8. Other solar PV balance of system components for Off Grid/Decentralized solar power projects.

D. Grid connected Solar Power Projects

1. Solar modules
2. Solar inverters

E. Biomass Gasifier

1. Biomass gasifier reactor
2. Feed hopper
3. Ash removal system
4. Ash pump
5. Cyclone
6. Hot gas filter
7. Gas blower
8. Gas piping
9. Control panel
10. Platforms and ladders
11. Water seals
12. Gas burner for start up
13. Automatic gas burner

F. Biomass Cogeneration

1. Boiler and its auxiliaries including ESP
2. Turbines/ generator & its auxiliaries
3. Electrical evacuation and distribution system
4. Bagasse/biomass fuel storage and handling equipment
5. Ash handling systems
6. Cooling tower or air cooled condensers and its auxiliaries
7. Water treatment plant
8. Control system
9. Interface piping
10. EOT crane



11. ETP

G. Municipal Solid Waste (MSW) Projects

1. Boiler
2. Flue gas cleaning system
3. Grab crane system
4. Waste processing system
5. Leachate treatment plant
6. Chimney
7. Water/air condenser
8. Turbine-generator,
9. Water treatment plant
10. Ash treatment plant
11. Control and instrumentation system

H. Waste to Energy (biogas/ bio-CNG)

1. Feed mixer
2. Tank
3. Mixing agitator
4. Feeding pump
5. Digester tank
6. Stirring agitator
7. Double membrane balloon
8. Liquid & gas piping systems
9. Biogas purification system
10. B-CNG booster compressor
11. B-CNG filling header
12. Cylinder casket
13. CNG dispenser
14. Power generator



ANNEXURE-II

The items manufactured under license from foreign manufacturers holding intellectual property rights and where there is a transfer of technology.

- Presently there is no entry for this Annexure.

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General guidelines to be adopted selectively in an appropriate manner by the procuring entities in their tender documents.

1. The bidder shall have to be an entity registered in India in accordance with law.
2. The bids shall be in the language as prescribed by the tenderer/procurer.
3. The bids shall be in Indian National Rupees (INR) only in respect of local content only.
4. Indian subsidiaries of foreign bidders shall meet the qualifying criteria in terms of capability, competency, financial position, past performance etc.
5. The bidder shall follow Indian laws, regulations and standards.
6. To be eligible for participation in the bid, foreign bidders shall compulsorily set up their manufacturing units on a long term basis in India as may be specified by the tenderer/procurer.
7. Similar or better technology than the technology offered in respect of material, equipment and process involved shall be transferred to India. Along with the transfer of technology, adequate training in the respective field shall also be provided.
8. Country of origin of the equipment/material shall be provided in the bid.
9. For supply of equipment / material from the country of origin other than India, the bidder shall submit performance certificate in support of satisfactory operation in India or a country other than the country of origin having climatic and operational conditions including ambient temperature similar to that of India for more than --- years (to be specified by the procurer).
10. The technologies/ products offered shall be environmentally friendly, consuming less energy, and safe, energy efficient, durable and long lasting under the prescribed operational conditions.
11. The supplier shall ensure supply of spares, materials and technological support for the entire life of the project.
12. The manufacturers/ supplier shall list out the products and components producing Toxic E-waste and other waste as may be specified. It shall have an Extended Producers Responsibility (EPR) so that after the completion of the lifecycle, the materials are safely recycled / disposed of by the Manufacturer/ supplier and for this, the Manufacturer/supplier along with procurer has to establish recycling / disposal unit or as may be specified.
13. Domestic Content requirement (based on the cost of the product) shall be in accordance with the conditions laid down in respective Order(s) of the sectors on Public Procurement (Preference to Make in India) to provide for purchase preference (linked with local content).



14. The equipment/ material sourced from foreign companies may be tested in accredited labs in India before acceptance wherever such facilities are available.
15. The Tender fee and the Bank Guarantee (BG) shall be in Indian Rupees only.
16. The bidder shall have to furnish a certificate regarding cyber security/safety of the equipment/process to be supplied/services to be rendered as safe to connect.
17. Applicable safety requirements shall be met. Regular safety audit shall be carried out by the manufacturer/ supplier.
18. Statutory laws/regulations including the labour and environmental laws shall be strictly complied with during supply, storage, erection, commissioning and operation process. A regular compliance report shall be submitted to the procurer/appropriate Authorities.
19. Formation of new joint venture in India shall be permitted only with the Indian companies.
20. Tendering by the agent shall not be accepted.
21. The original test report in the language prescribed by the procurer shall generally be accepted. Preferably the translated test report shall not be accepted. However, the notarized translation of test reports shall be accepted.
22. Certification/compliance as per the Indian Standards/ International Standards/ Indian Regulations/ specified Standards shall be mandatory, where ever applicable.
23. Quality assurance of the product shall be carried out by the procurer or an independent third party agency appointed by the procurer. Manufacturing Quality Plan as approved by the procurer shall be followed by the manufacturer/supplier.
24. Wherever required, the foreign supplier shall establish fully functional service centers in India and shall keep spares/material locally for future needs of utilities.
25. Arbitration proceedings shall be instituted in India only and all disputes shall be settled as per applicable Indian Laws.



Annexure-III to BDS

**Format of Undertaking
(To be sent by Issuing Bank through official email-ID)**

From: xxxbank@xx.in

To: xxx@ntpc.co.in

We have issued BG No. dated for an amount of Rs.

..... on behalf of[Name of Bidder] towards Bid Security / EMD for Tender No. in favour of [Name of Employer].

Please find enclosed the soft copy of the Bank Guarantee and SFMS acknowledgement. This SFMS is sent on (date).

Any demand / claim made by the 'Employer' shall be conclusive and binding on us irrespective of any dispute or difference raised by the Bidder till the validity period mentioned in the Bank Guarantee.

However, in absence of the physical copy of aforementioned BG with the Employer, we undertake that Employer's demand / claim will be binding and conclusive on us without the physical copy of aforementioned BG till fourteen (14) days from the due date of submission of Techno-Commercial bids.

We undertake not to cancel the aforementioned BG No. without written consent / instruction from Employer.

(Name of Bank Official)

Authority No.

SECTION – IV

GENERAL CONDITIONS OF CONTRACT (GCC)

Table of Clauses – General Conditions of Contract

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- 59. Procedure for Contract Closing

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			A. Contract and Interpretation
1.	Definitions	1.1	Definitions The following words and expressions shall have the meanings hereby assigned to them: “Contract” means the Contract Agreement entered into between the Employer and the Contractor, together with the Contract Documents referred to therein; they shall constitute the Contract, and the term “the Contract” shall in all such documents be construed accordingly. “Contract Documents” means the documents listed in Article 1.1 (Contract Documents) of the Form of Contract Agreement (including any amendments thereto). “GCC” means the General Conditions of Contract hereof. “SCC” means the Special Conditions of Contract. “Day” means calendar day of the Gregorian Calendar. “Month” means calendar month of the Gregorian Calendar. “Employer” means the person named as such in the SCC and includes the legal successors or permitted assigns of the Employer. “Project Manager” means the person appointed by the Employer in the manner provided in GCC Sub-Clause 17.1 (Project Manager) hereof and named as such in the SCC to perform the duties delegated by the Employer. “Contractor” means the person(s) whose bid to perform the Contract has been accepted by the Employer and is named as such in the Contract Agreement, and includes the legal successors or permitted assigns of the Contractor. “Contractor’s Representative” means any person nominated by the Contractor and approved by the Employer in the manner provided in GCC Sub-Clause 17.2 (Contractor’s Representative and Construction Manager) hereof to perform the duties delegated by the Contractor. “Subcontractor,” including vendors, means any person to whom execution of any part of the Facilities, including preparation of any design or supply of any Plant and Equipment, is sub-contracted directly or indirectly by the Contractor, and includes its legal successors or permitted assigns. “Contract Price” means the sum specified in Article 2.1 (Contract Price) of the Contract Agreement, subject to such additions and adjustments thereto or deductions there from, as may be made pursuant to the Contract. “Facilities” means the Plant and Equipment to be supplied and installed, as well as all the Installation Services to be carried out by the Contractor under the Contract. “Plant and Equipment” means permanent plant, equipment, machinery, apparatus, articles and things of all kinds to be provided and incorporated

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			in the Facilities by the Contractor under the Contract (including the spare parts to be supplied by the Contractor under GCC Sub-Clause 7.3 hereof), but does not include Contractor's Equipment. "Installation Services" means all those services ancillary to the supply of the Plant and Equipment for the Facilities, to be provided by the Contractor under the Contract; e.g., transportation and provision of marine or other similar insurance, inspection, expediting, Site preparation works (including the provision and use of Contractor's Equipment and the supply of all construction materials required), installation, testing, pre-commissioning, commissioning, operations & maintenance required for achieving Completion of Facilities, the provision of operations and maintenance manuals, training of Employer's Personnel etc. "Contractor's Equipment" means all plant, facilities, equipment, machinery, tools, apparatus, appliances or things of every kind required in or for installation, completion and maintenance of Facilities that are to be provided by the Contractor, but does not include Plant and Equipment, or other things intended to form or forming part of the Facilities. "Site" means the land and other places upon which the Facilities are to be installed, and such other land or places as may be specified in the Contract as forming part of the Site. "Effective Date" means the date from which the Time for Completion shall be determined as stated in Article 3 (Effective Date for Determining Time for Completion) of the Form of Contract Agreement. "Time for Completion" means the time within which Completion of the Facilities as a whole (or of a part of the Facilities where a separate Time for Completion of such part has been prescribed) is to be attained in accordance with the stipulations in the SCC and the relevant provisions of the Contract. "Completion" means that the Facilities (or a specific part thereof where specific parts are specified in the SCC) have been completed operationally and structurally and put in a tight and clean condition, and that all work in respect of Pre-commissioning of the Facilities or such specific part thereof has been completed; and Commissioning has been attained as per Technical Specifications. "Pre-commissioning" means the testing, checking and other requirements specified in the Technical Specifications that are to be carried out by the Contractor in preparation for Commissioning as provided in GCC Clause 24 (Completion) hereof. "Commissioning" means trial/initial operation of the Facilities or any part thereof by the Contractor, which operation is to be carried out by the Contractor as provided in GCC Sub-Clause 25.1 (Commissioning) hereof, for the purpose of carrying out Guarantee Test(s). "Guarantee Test(s)" means the test(s) specified in the Technical Specifications to be carried out to ascertain whether the Facilities or a specified part thereof is able to attain the Functional Guarantees specified in the Technical Specifications in accordance with the provisions of GCC Sub-Clause 25.2 (Guarantee Test) hereof. "Operational Acceptance" means the acceptance by the Employer of the Facilities (or any part of the Facilities where the Contract provides for

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			acceptance of the Facilities in parts), which certifies the Contractor's fulfilment of the Contract in respect of Functional Guarantees of the Facilities (or the relevant part thereof) in accordance with the provisions of GCC Clause 28 (Functional Guarantees) hereof and shall include deemed acceptance in accordance with GCC Clause 25 (Commissioning and Operational Acceptance) hereof. "Defect Liability Period" means the period of validity of the warranties given by the Contractor commencing at Completion of the Facilities or a part thereof, during which the Contractor is responsible for defects with respect to the Facilities (or the relevant part thereof) as provided in GCC Clause 27 (Defect Liability) hereof. "Goods and Services Tax" or "GST" means taxes levied under the Central Goods and Services Tax Act, Integrated Goods and Services Tax Act, Goods and Services Tax (Compensation to States) Act, and various State/Union Territory Goods and Services Tax Laws and applicable cesses, if any under the laws in force (hereinafter referred to as relevant GST Laws).
		1.2	"Sub-contractor from a country which shares a land border with India" means; a) An entity incorporated, established or registered in such a country; or b) A subsidiary of an entity incorporated, established or registered in such a country; or c) An entity substantially controlled through entities incorporated, established or registered in such a country; or d) An entity whose beneficial owner is situated in such a country; or e) An Indian (or other) agent of such an entity; or f) A natural person who is a citizen of such a country; or g) A consortium or joint venture where any member of the consortium or joint venture falls under any of the above.
		1.2.1	The beneficial owner for the purpose of clause "1.2" above will be as under; a) In case of company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means. Explanation i. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five per cent of shares or capital or profits of the company; ii. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholdings or management rights or shareholders agreements or voting agreements; b) In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more judicial person, has ownership of entitlement to more than fifteen

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			percent of capital or profits of the partnership; c) In case of an unincorporated associations or body of individuals, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals; d) Where no natural person is identified under (a) or (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing officials; e) In case of a trust, the identifications of beneficial owner(s) shall include identification of the author of trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
		1.2.2	An Agent for the purpose of clause “1.2” is a person employed to do any act for another, or to represent another in dealings with third person: [Note: i. A person who procures and supplies finished goods from an entity from a country which shares a land border with India will, regardless of the nature of his legal or commercial relationship with the producer of the goods, be deemed to be an Agent. ii. However, a person who only procures raw material, components etc. from an entity from a country which shares a land border with India and then manufactures or converts them into other goods will not be treated as an Agent.]
2.	Contract Documents		
		2.1	Subject to Article1.2 (Order of Precedence) of the Contract Agreement, all documents forming part of the Contract (and all parts thereof) are intended to be correlative, complementary and mutually explanatory. The Contract shall be read as a whole.
		2.2	The Contract will be signed in three originals and the Contractor shall be provided with one signed original and the rest will be retained by the Employer.
		2.3	The Contractor shall provide free of cost to the Employer all the engineering data, drawing and descriptive materials submitted with the bid, in at least three (3) copies to form a part of the Contract immediately after Notification of Award.
		2.4	Subsequent to signing of the Contract, the Contractor at his own cost shall provide the Employer electronic version of the signed Contract Agreement on CD-ROM/ USB drive within thirty (30) days of its signing.
3.	Interpretation		
		3.1	Language
		3.1.1	Unless the Contractor is a national of the Employer’s country and the Employer and the Contractor agree to use the local language, all Contract Documents, all correspondence and communications to be given, and all

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			other documentation to be prepared and supplied under the Contract shall be written in English, and the Contract shall be construed and interpreted in accordance with that language.
		3.1.2	If any of the Contract Documents, correspondence or communications are prepared in any language other than the governing language under GCC Sub-Clause 3.1.1 above, the English translation of such documents, correspondence or communications shall prevail in matters of interpretation.
		3.1.3	The English Translation of the documents shall be carried out by professional translators and the translator shall certify that he is proficient in both languages in order to translate the document and that the translation is complete and accurate. Further, translation shall be authenticated by the Indian Consulate located in the Country where the documents have been issued or the Embassy of that Country in India.
		3.2	Singular and Plural The singular shall include the plural and the plural the singular, except where the context otherwise requires.
		3.3	Headings The headings and marginal notes in the General Conditions of Contract are included for ease of reference, and shall neither constitute a part of the Contract nor affect its interpretation.
		3.4	Persons Words importing persons or parties shall include firms, corporations and government entities.
		3.5	Incoterms Unless inconsistent with any provision of the Contract, the meaning of any trade term and the rights and obligations of parties thereunder shall be as prescribed by Incoterms. Incoterms means international rules for interpreting trade terms published by the International Chamber of Commerce (latest edition), 38 Cours Albert 1er, 75008 Paris, France.
		3.6	Construction of the Contract
		3.6.1	The Contracts to be entered into between the Employer and the successful bidder shall be as under: - First Contract: For Ex-works (India) supply of Plant and Equipment including type test charges and Mandatory Spares. - Second Contract: For providing all services i.e. inland transportation for delivery at site, inland transit insurance, unloading, storage, handling at site, installation (including civil, structural steel work & allied work, if applicable), insurance covers other than inland transit insurance, testing and commissioning and conducting guarantee

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			tests in respect of all the Plant and Equipment supplied under the 'First Contract' and all other services specified in the Contract Documents. - "Third Contract" for Operation & Maintenance of complete Solar PV plant for a period of Ten (10) years from the date of successful completion of commissioning.
		3.6.2	The award of separate Contracts shall not in any way dilute the responsibility of the Contractor for the successful completion of the Facilities as per Contract Documents and a breach in one Contract shall automatically be construed as a breach of the other Contract(s) which will confer a right on the Employer to terminate the other Contract(s) also at the risk and the cost of the Contractor.
		3.7	Entire Agreement Subject to GCC Sub-Clause 16.4 hereof, the Contract constitutes the entire agreement between the Employer and Contractor with respect to the subject matter of Contract and supersedes all communications, negotiations and agreements (whether written or oral) of parties with respect thereto made prior to the date of Contract.
		3.8	Amendment No amendment or other variation of the Contract shall be effective unless it is in writing, is dated, expressly refers to the Contract, and is signed by a duly authorized representative of each party hereto.
		3.9	Independent Contractor The Contractor shall be an independent contractor performing the Contract. The Contract does not create any agency, partnership, joint venture or other joint relationship between the parties hereto. Subject to the provisions of the Contract, the Contractor shall be solely responsible for the manner in which the Contract is performed. All employees, representatives or Subcontractors engaged by the Contractor in connection with the performance of the Contract shall be under the complete control of the Contractor and shall not be deemed to be employees of the Employer, and nothing contained in the Contract or in any subcontract awarded by the Contractor shall be construed to create any contractual relationship between any such employees, representatives or Subcontractors and the Employer.
		3.10	Joint Venture or Consortium If the Contractor is a joint venture or consortium of two or more firms, all such firms shall be jointly and severally bound to the Employer for the fulfilment of the provisions of the Contract and shall designate one of such firms to act as a leader with authority to bind the joint venture or consortium. The composition or the constitution of the joint venture or consortium shall not be altered without the prior consent of the Employer.
		3.11	Non-Waiver 3.11.1 Subject to GCC Sub-Clause 3.11.2 below, no relaxation, forbearance, delay or indulgence by either party in enforcing any of the terms and conditions of the Contract or the granting of time

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			by either party to the other shall prejudice, affect or restrict the rights of that party under the Contract, nor shall any waiver by either party of any breach of Contract operate as waiver of any subsequent or continuing breach of Contract. 3.11.2 Any waiver of a party's rights, powers or remedies under the Contract must be in writing, must be dated and signed by an authorized representative of the party granting such waiver, and must specify the right and the extent to which it is being waived.
		3.12	Severability If any provision or condition of the Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of the Contract.
		3.13	Country of Origin "Origin" means the place where the materials, equipment and other supplies for the Facilities are mined, grown, produced or manufactured, and from which the services are provided.
4.	Notices	4.1	Unless otherwise stated in the Contract, all notices to be given under the Contract shall be in writing, and shall be sent by personal delivery, airmail post, special courier, or e-mail to the address of the relevant party set out in the Contract Coordination Procedure to be finalised pursuant to GCC Sub-Clause 17.2.3.1, with the following provisions. 4.1.1 Any notice sent by airmail post or special courier shall be deemed (in the absence of evidence of earlier receipt) to have been delivered ten (10) days after despatch. In proving the fact of despatch, it shall be sufficient to show that the envelope containing such notice was properly addressed, stamped and conveyed to the postal authorities or courier service for transmission by airmail or special courier. 4.1.2 Any notice delivered personally or sent by e-mail shall be deemed to have been delivered on date of its despatch. 4.1.3 Either party may change its postal or e-mail address or addressee for receipt of such notices by ten (10) days' notice to the other party in writing.
		4.2	Notices shall be deemed to include any approvals, consents, instructions, orders and certificates to be given under the Contract.
5.	Governing Laws		
		5.1	The Contract shall be governed by and interpreted in accordance with laws in force in India. The Courts of Delhi shall have exclusive jurisdiction in all matters arising under the Contract.
6.	Settlement of Disputes		
		6.1	Mutual Consultation If any dispute of any kind whatsoever shall arise between the Employer and the Contractor in connection with or arising out of the Contract,

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			including without prejudice to the generality of the foregoing, any question regarding its existence, validity or termination, or the execution of the Facilities, whether during the progress of the Facilities or after their completion and whether before or after the termination, abandonment or breach of the Contract, the parties shall seek to resolve any such dispute or difference by mutual consultation. On reference of such a dispute by either party, the Employer shall invite the Contractor for mutual consultation, within seven (07) working days of such reference. Without admitting the Employer's liability, the Employer may obtain, within 30 days of reference of the dispute, further details from the Contractor and examine it relating to the dispute. Such examination (if any) by the Employer shall not imply acceptance of the accuracy or completeness of the details. The Employer may hold discussions with Contractor with an effort to resolve the dispute. If the parties fail to resolve such a dispute or difference by mutual consultation within a period of forty-five (45) days from the date of reference of such dispute or within such extended period as the parties shall agree in writing, then the dispute may be settled through Independent Engineer (if applicable) and/ or Mediation through Independent External Monitors (if applicable) and/or through Conciliation and/or Arbitration (if applicable) / other remedies available under the applicable laws. Notwithstanding anything contained in any other law for the time being in force, the parties shall keep confidential all matters relating to the Mutual consultation proceedings. Confidentiality shall extend also to any agreement reached during Mutual consultation, except where its disclosure is necessary for purposes of implementation and enforcement. The parties shall not rely on or introduce as evidence in Independent Engineer/ Mediation/ Conciliation/ Arbitral or Judicial proceedings, whether or not such proceedings relate to the dispute that is the subject of the Mutual consultation proceedings- a) views expressed or suggestions made by the other party in respect of a possible settlement of the dispute; b) admissions made by the other party in the course of the mutual consultation proceedings; c) the fact that the other party had indicated his willingness to accept a proposal for mutual settlement.
		6.2	Deleted
		6.3	Deleted
		6.4	Resolution of Dispute through Conciliation If the parties fail to resolve such a dispute or difference by mutual consultation and through Independent Engineer (if applicable) and/or through Mediation (if applicable) within a period as specified at Cl. 6.1, 6.2 and 6.3 above, the dispute if the parties agree, may be referred to Conciliation.

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	(i) For cases where the disputed amount (Claim/ Counter claim, whichever is higher) is upto Rs. 25 Cr. (excluding interest), the matter for conciliation shall be referred to Expert Settlement Council (ESC), constituted by Employer. (ii) For cases where the disputed amount (Claim/ Counter claim, whichever is higher) is above Rs. 25 Cr. (excluding interest), the matter for conciliation shall be referred to Conciliation Committee of Independent Experts (CCIE), constituted by Ministry of Power (MoP). If the claim/Counter-claim is in foreign currency, the SBI Bills Selling Exchange rate prevailing on the date of claim shall be used for the purpose of converting the claim in Indian Rupee. The Conciliation process shall be conducted as per Part III of the Arbitration and Conciliation Act, 1996. In case of failure of the conciliation process at the level of the CCIE, the parties may withdraw from conciliation process and take recourse to the laid down legal process of Courts. The option of Arbitration would not be available once the conciliation mechanism through CCIE has been exercised. 6.4.1.Resolution of Dispute through Expert Settlement Council (ESC), constituted by EMPLOYER {For cases with Disputed amount (Claim/ Counter claim, whichever is higher) upto Rs. 25 Crore excl. interest} If the parties fail to resolve such a dispute or difference by mutual consultation and through Independent Engineer (if applicable) and/or through Mediation (if applicable) within a period specified at Cl. 6.1, 6.2 and 6.3 above, the dispute, if the parties agree, may be referred to Conciliation through Expert Settlement Council (ESC), in cases where the Disputed amount (Claim/ Counter claim, whichever is higher) is upto Rs.25crore (excl. interest). 6.4.1.1. Invitation for Conciliation through ESC: 6.4.1.1.1. A party shall notify the other party in writing about such a dispute it wishes to refer for Conciliation through ESC within a period of 15 days from the date of failure to resolve the dispute through Mutual Consultation and Independent Engineer (if applicable) and/or through Mediation (if applicable) within a period as specified at Cl. 6.1, 6.2 and 6.3 above. Such Invitation for Conciliation shall contain sufficient information as to the dispute to enable the other party to be fully informed as to the nature of the dispute, amount of the monetary claim, if any, and apparent cause of action. 6.4.1.1.2. Upon acceptance of the invitation to conciliate, the other party shall submit its counter claim, if any, within a period of 15 days from the date of the invitation to conciliate. If the other party rejects the invitation or Disputed amount (Claim/ Counter claim,

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			whichever is higher) exceeds Rs 25crore (excl. Interest), there will be no Conciliation proceedings through ESC. There shall be no Conciliation where disputed amount (Claim/ Counter claim, whichever is higher excl. interest) is only up to Rs 5 lakhs. 6.4.1.1.3. If the party initiating Conciliation does not receive a reply within fifteen (15) days from the date on which it sends the invitation, or within such other period of time as specified in the invitation, it shall treat this as a rejection of the invitation to conciliate from the other party. 6.4.1.2. Conciliation through ESC: 6.4.1.2.1. Where Invitation for Conciliation has been furnished under GCC sub clause 6.4.1.1, the parties shall attempt to settle such dispute through Expert Settlement Council (ESC) which shall be constituted by CMD/Chairman of Employer. 6.4.1.2.2. ESC will be formed from experts comprising three members from the panel of Conciliators maintained by EMPLOYER. However, there will be single member ESC for disputes involving disputed amount (Claim/ Counter claim, whichever is higher excl. interest) is up to Rs. 1 crore. CMD/ Chairman of Employer shall have the authority to reconstitute the ESC to fill any vacancy. 6.4.1.2.3. The ESC shall be amongst Civil Servants of Govt. of India retired from the level of Joint Secretary and above, Retired Judges, Officers retired from the level of Executive Director and above of any Maharatna /Navratna company in India, other than NTPC Ltd, Retired Independent Directors who have served on the Board of any Maharatna / Navratna company in India, other than NTPC Ltd. 6.4.1.3. Proceedings before ESC: 6.4.1.3.1. The claimant shall submit its Statement of Claims (SOC) along with relevant documents to ESC members, and to the party(s) indicated in the appointment letter within 15 days of appointment of ESC. The respondent shall file its reply/Statement of Defence (SOD) and counter claim (if any) within 15 days of the receipt of the Statement of claims. Each party shall send a copy of such Statement along with relevant documents to the other party. Parties may file their rejoinder/additional documents, if any in support of their Claim/Counterclaim within next 7 days. No documents shall be allowed thereafter, except with the permission of ESC. 6.4.1.3.2. The parties shall file their claim and counterclaim in the following format - Chronology of the dispute - Brief of the contract - Brief history of the dispute - Issues

Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)																
			<table border="1"> <thead> <tr> <th>Sl. No.</th><th>Description of Claims/ Counter claims</th><th>Amount (in foreign currency/INR)</th><th>Relevant Contract Clause</th></tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> e. Details of Claim(s)/Counter Claim(s) f. Basis/Ground of claim(s)/counter claim(s) (along with relevant clause of contract) Note: Statement of claims shall be restricted to maximum limit of 20 pages. 6.4.1.3.3. In case of 3 members ESC, 2 members will constitute a valid quorum and the meeting can take place to proceed in the matter after seeking consent from the member who is not available. However, ESC recommendations will be signed by all the members. If required, meetings can be conducted through video conferencing/other digital means subject to the agreement between the parties and the ESC. 6.4.1.3.4. The parties shall be represented by their in house employees. No party shall be allowed to bring any advocate or outside consultant/advisor/agent to contest on their behalf. Ex-officers of Employer's Organization who have handled the subject matter in any capacity shall not be allowed to attend and present the case before ESC on behalf of contractor. However, ex-employees of parties may represent their respective organizations. Parties shall not claim any interest on claims/counter-claims from the date of notice invoking Conciliation till execution of settlement agreement, if so arrived. In case, parties are unable to reach a settlement, no interest shall be claimed by either party for the period from the date of notice invoking Conciliation till the date of ESC recommendations and 30 days thereafter in any further proceeding. 6.4.1.3.5. ESC will conclude its proceedings in maximum 10 meetings, and give its recommendations within 90 days from the date of reference to ESC. ESC will give its recommendations to both the parties recommending possible terms of settlement. CMD/ Chairman of Employer may extend the time/number of meetings, in exceptional cases, if ESC requests for the same with sufficient reasons and as agreed by the parties. 6.4.1.3.6. Depending upon the location of ESC members and the parties, the venue of the ESC meeting shall be either Delhi/Mumbai/Kolkata/Chennai or any other city whichever is most economical from the point of view of travel and stay etc. All the expenditure incurred in ESC proceedings shall be shared by the parties in equal proportion. 6.4.1.4. Fees & Facilities to the Members of the ESC	Sl. No.	Description of Claims/ Counter claims	Amount (in foreign currency/INR)	Relevant Contract Clause												
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Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)																											
			The cost of Conciliation proceedings including but not limited to fees for Conciliator, Airfare, Local transport, Accommodation, cost towards conference facility etc shall be as provided herein below: <table><tr><th>Sl. No.</th><th>Fees/ Facility</th><th>Entitlement</th></tr><tr><td>1</td><td>Fees</td><td>Rs. 25,000 per meeting subject to max. of Rs. 2,50,000 per case per Conciliator.</td></tr><tr><td>2</td><td>Secretarial expenses</td><td>Rs. 10,000 lump sum (to 1 member only).</td></tr><tr><td>3</td><td>Transportation in the city of the meeting</td><td>Car as per entitlement or Rs. 2,000 per day</td></tr><tr><td>4</td><td>Venue for meeting</td><td>Employer's conference rooms</td></tr></table> Facilities to be provided to the out-stationed member <table><tr><td>5</td><td>Travel from the city of residence to the city of meeting</td><td>As per entitlement of Independent Directors. Executive class air tickets / first class AC train tickets/ Luxury car/ reimbursement of actual fare. However, entitlement of air travel by Business class shall be subject to austerity measures, if any, ordered by Govt of India.</td></tr><tr><td>6</td><td>Transport to and fro airport/ railway station in the city of residence</td><td>Car as per entitlement or Rs. 3,000</td></tr><tr><td>7</td><td>Stay for out stationed members</td><td>As per entitlement of Independent Directors.</td></tr><tr><td>8</td><td>Transport in the city of meeting</td><td>Car as per entitlement or Rs. 2000 per day</td></tr></table> Aforesaid fees is subject to revision by Employer from time to time and subject to government guidelines on austerity measures, if any. All the expenditure incurred in the ESC proceedings shall be shared by the parties in equal proportions. The Parties shall maintain the account of expenditure and present to the other for the purpose of sharing on conclusion of the ESC proceedings. 6.4.1.5. If recommendations/ report of ESC is acceptable to both the parties, a Settlement Agreement under Section 73 of the Arbitration and Conciliation Act, 1996 will be signed to the extent agreed by the parties within 15 days of acceptance by the parties and same shall be authenticated by all the ESC members. Parties are free to terminate Conciliation proceedings at any stage as provided under the Arbitration and Conciliation Act 1996.	Sl. No.	Fees/ Facility	Entitlement	1	Fees	Rs. 25,000 per meeting subject to max. of Rs. 2,50,000 per case per Conciliator.	2	Secretarial expenses	Rs. 10,000 lump sum (to 1 member only).	3	Transportation in the city of the meeting	Car as per entitlement or Rs. 2,000 per day	4	Venue for meeting	Employer's conference rooms	5	Travel from the city of residence to the city of meeting	As per entitlement of Independent Directors. Executive class air tickets / first class AC train tickets/ Luxury car/ reimbursement of actual fare. However, entitlement of air travel by Business class shall be subject to austerity measures, if any, ordered by Govt of India.	6	Transport to and fro airport/ railway station in the city of residence	Car as per entitlement or Rs. 3,000	7	Stay for out stationed members	As per entitlement of Independent Directors.	8	Transport in the city of meeting	Car as per entitlement or Rs. 2000 per day
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Clause No.			GENERAL CONDITIONS OF CONTRACT (GCC)
			6.4.1.6. Notwithstanding anything contained in any other law for the time being in force, the Conciliator and the parties shall keep confidential all matters relating to the Conciliation proceedings. Confidentiality shall extend also to the settlement agreement, except where its disclosure is necessary for purposes of implementation and enforcement. The parties shall not rely on or introduce as evidence in Arbitral or judicial proceedings, whether or not such proceedings relate to the dispute that is the subject of the Conciliation proceedings,— a) views expressed or suggestions made by the other party in respect of a possible settlement of the dispute; b) admissions made by the other party in the course of the Conciliation proceedings; c) proposals made by the Conciliator; and d) the fact that the other party had indicated his willingness to accept a proposal for settlement made by the Conciliator. 6.4.2. Resolution of Dispute through Conciliation Committee of Independent Experts (CCIE), constituted by Ministry of Power (MoP) {For cases with Disputed amount (Claim/ Counter claim whichever is higher) above Rs. 25 Crore excl. interest} If the parties fail to resolve such a dispute or difference by mutual consultation and through Independent Engineer (if applicable) and/or through Mediation (if applicable) within a period specified at Cl. 6.1, 6.2, 6.3 above, the dispute, if the parties agree, may be referred to Conciliation Committee of Independent Experts (CCIE), in cases where the Disputed amount (Claim/ Counter claim whichever is higher) is above Rs. 25 crore excl. interest. The option of Arbitration would not be available once the conciliation mechanism through CCIE has been exercised. 6.4.2.1. Invitation for Conciliation through CCIE: 6.4.2.1.1. A party shall notify the other party in writing about such a dispute it wishes to refer for CCIE within a period of 15 days from the date of failure to resolve the dispute through Mutual Consultation and Independent Engineer (if applicable) and/or through Mediation (if applicable) within a period as specified at Cl. 6.1, 6.2 and 6.3 above. Such Invitation for Conciliation shall contain sufficient information as to the dispute to enable the other party to be fully informed as to the nature of the dispute, amount of the monetary claim, if any, and apparent cause of action. 6.4.2.1.2. If the party initiating Conciliation does not receive a reply within fifteen (15) days from the date on which it sends the invitation, or within such other period of time as specified in the invitation, it shall treat this as a rejection of the invitation to conciliate from the other party.

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			6.4.2.2. Conciliation Committee of Independent Experts: 6.4.2.2.1. Where Invitation for Conciliation has been consented to under GCC sub clause 6.4.2.1, the same shall be referred to the Conciliation Committee of Independent Experts (CCIE) within 30 days. Conciliation Committees of Independent Experts (CCIE) have been constituted and notified by MoP for settlement of disputes arising in the Contract. There are three CCIEs, as specified in Special Conditions of Contract. 6.4.2.2.2. The Contractor may select three CCIEs, in priority order, from the list of CCIEs enclosed with the Special Conditions of Contract, for finalization by Central Electricity Authority (CEA). There shall not be any conflict of interest for the members of the CCIE due to their past assignments. Individuals CCIE members shall submit an undertaking in this regard to the Employer, prior to appointment. It shall be ensured that they have not been engaged for providing any services to any of the parties i.e. either Employer or the Contractor in the last five years. An Undertaking in this regard, shall also be furnished by the Contractor for the purpose of avoiding any conflict of interest. 6.4.2.3. Proceedings before CCIE: 6.4.2.3.1. The procedure of CCIE shall not be treated as alternate arbitration proceedings where both parties come with Statement of claims/defence, arguments/counter arguments, rejoinders, written submissions etc., aided by their respective lawyers. 6.4.2.3.2. The parties shall be brief and to the point before the Committee with regard to their respective stance and view the exercise in the spirit of conciliation/settlement. 6.4.2.3.3. The possibility of non-availability of any one of the members of CCIE in any proceedings cannot be ruled out. As such, the Committee comprising the other two members shall be competent to proceed in the matter. The proceedings of the Committee shall not be vitiated if one of the three members of CCIE is not present in the deliberations of the Committee. When the parties sign the settlement agreement, at least two members of CCIE shall authenticate the same. Such conciliation proceedings shall be considered valid and the settlement agreement will be binding on the parties. 6.4.2.3.4. The parties shall be represented by their in house employees. No party shall be allowed to bring any advocate or outside consultant/advisor/agent to contest on their behalf. Ex-officers of Employer's Organization who have handled the subject matter in any capacity shall not be allowed to attend and present the case before CCIE on behalf of contractor. However, ex-employees of parties may represent their respective organizations.

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			6.4.2.3.5. The Conciliation proceedings shall be completed in each case through 5 sittings in a period of not more than three months from the date the reference made to the CCIE. In exceptional cases, if any dispute so merits, the time period may be extended at the discretion of Conciliation Committee (with reasons to be recorded in writing), for a further period of three months. 6.4.2.3.6. The CCIE shall hold day to day sitting at a suitable place (preferably the headquarter of the Employer or New Delhi) and may hold as many sittings every month as it deems appropriate keeping in view the volume of work. 6.4.2.4. Fees & Facilities to the Members of the CCIE Each member of CCIE would be paid a sum of Rs. 50,000/- as sitting fee per sitting. In addition, Rs. 5,000/- per sitting will be paid for local transport charges for each day of proceeding. In case, a particular dispute requires more than 5 sittings, the same may be held at the discretion of the CCIE but with a cap on payment of fee for 5 sittings only. The local transport charges shall, however, be paid as provided for each day of sitting beyond the 5 sittings. All expenditure incurred on the conciliation proceedings including payment of fees to the Conciliators, office space, logistic, secretarial assistance and other incidental expenses etc. shall be borne by the Employer initially. Thereafter it shall be shared equally by both parties on completion of the conciliation process. 6.4.2.5. The Parties shall maintain the account of expenditure and present to the other for the purpose of sharing on conclusion of the CCIE proceedings. The Conciliation process shall be conducted under Part III of the Arbitration and Conciliation Act, 1996. In case of failure of the conciliation process at the level of the Conciliation Committee, the parties may withdraw from conciliation process and take recourse to the laid down legal process of Courts. However, the option of Arbitration would not be available once the conciliation mechanism through CCIE has been exercised. In the event of the conciliation proceedings being successful, the parties to the dispute would sign the written settlement agreement and the conciliators would authenticate the same. Such settlement agreement would then be binding on the parties in terms of Section 73 of the Arbitration and Conciliation Act, 1996. After successful conclusion of proceedings, the Parties to the conciliation process, have to undertake and complete all necessary actions for implementation of the terms of settlement within a period of 30 days from execution of settlement agreement, unless a different timeline not exceeding 60 days is agreed upon in settlement agreement. All pending claims of parties, in connection with the dispute, before any other legal

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			forum are to be withdrawn within the said 30 days in pursuance of the settlement agreement. 6.4.2.6. Notwithstanding anything contained in any other law for the time being in force, the Conciliator and the parties shall keep confidential all matters relating to the Conciliation proceedings. Confidentiality shall extend also to the settlement agreement, except where its disclosure is necessary for purposes of implementation and enforcement. The parties shall not rely on or introduce as evidence in Arbitral or judicial proceedings, whether or not such proceedings relate to the dispute that is the subject of the Conciliation proceedings,— a) views expressed or suggestions made by the other party in respect of a possible settlement of the dispute; b) admissions made by the other party in the course of the Conciliation proceedings; c) proposals made by the Conciliator; and d) the fact that the other party had indicated his willingness to accept a proposal for settlement made by the Conciliator.
		6.5	6.5. Arbitration 6.5.1. If the process of mutual consultation and IE (if applicable) and/or Mediation (if applicable) and/or ESC fails to arrive at a settlement between the parties and/or settlement of dispute through CCIE not exercised as mentioned at GCC Sub-Clauses 6.1, 6.2, 6.3, 6.4 above, Employer or the Contractor may, within Thirty (30) days of such failure, give notice to the other party, of its intention to commence arbitration, as hereinafter provided, as to the matter in dispute, and no arbitration in respect of this matter may be commenced unless such notice is given. The mechanism of settling the disputes through arbitration shall be applicable only in cases where the disputed amount (i.e. Claim/ Counter claim, whichever is higher, excluding interest) does not exceed Rs. 25 crores. If the claim/ counter claim is in foreign currency, the SBI Bills Selling Exchange rate prevailing on the date of claim shall be used for the purpose of converting the claim in Indian Rupee In case the disputed amount (Claim/ Counter claim, whichever is higher, excl. interest) exceeds Rs. 25 Crores, the parties shall be within their rights to take recourse to remedies as may be available to them under the applicable laws other than Arbitration after prior intimation to the other party. There shall be no arbitration where the disputed amount (Claim/ counter claim, whichever is higher) is only up to Rs. 5 lakhs. The parties at the time of invocation of arbitration shall submit all the details of the claims and the counter-claims including the Heads/Sub-heads of the Claims/Counter-Claims and the documents relied upon by the parties for their respective claims

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			and counter-claims. The parties shall not file any documents/details of the claims and counter-claims thereafter. The claims and the counter claims raised by the parties at the time of invocation of the arbitration shall be final and binding on the parties and no further change shall be allowed in the same at any stage during arbitration under any circumstances whatsoever. The parties to the contract shall invoke arbitration within Six months from the date of completion of the Facilities under the contract or the termination of the contract as the case may be and the parties shall not invoke arbitration later on after expiry of the said period of six months. In case, no claim is filed within this period of six months, it shall be presumed that there is no claim. Any claim filed after the aforesaid period of six months shall not be entertained. 6.5.2. Any dispute in respect of which a notice of intention to commence arbitration has been given, in accordance with GCC Sub Clause 6.5.1, shall be finally settled by arbitration. 6.5.3. It is agreed between the parties that the Arbitration proceedings shall be conducted as per the provisions of Fast Track Procedure as provided under The Arbitration and Conciliation Act, 1996, as amended from time to time. Any dispute raised by a party to arbitration shall be adjudicated by a Sole Arbitrator appointed by mutual consent from among the List of empanelled Arbitrators maintained by EMPLOYER, in the following manner: a) A party willing to commence arbitration proceeding shall invoke Arbitration Clause by giving notice to the other party. b) EMPLOYER, shall within 30 days from the receipt of such notice shall send a panel of at least four arbitrators from among its empanelled arbitrators to the Contractor for short listing two among them for such appointment, within 15 days from the date of receipt of the Panel of Arbitrators from EMPLOYER. c) CMD/ Chairman of Employer shall appoint the sole arbitrator from among the two names short listed by the Contractor, within 15 days from the receipt of such nomination. Notice to the Parties of the constitution of the arbitral tribunal shall be issued by EMPLOYER. In case, the contractor fails to inform its shortlisted names for appointment of sole arbitrator from the panel of at least four arbitrators sent by the Employer or no response is received from the contractor, within 15 days from the date of receipt of the Panel of Arbitrators from EMPLOYER, CMD/ Chairman of Employer shall appoint the sole arbitrator from among the four names sent to the contractor earlier.

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			d) If the Arbitrator so appointed dies, resigns, becomes incapacitated or withdraws for any reason from the proceedings or his mandate is terminated by the Court, it shall be lawful for CMD/ Chairman of Employer to appoint another person in his place in the same manner as aforesaid. Such person shall proceed with the reference from the stage where his predecessor had left. e) Arbitrator shall be paid fees as per the Fee Schedule (presently Fourth Schedule) provided in 'The Arbitration and Conciliation Act, 1996' as amended from time to time. If the claim/ counter claim is in foreign currency, the SBI Bills Selling Exchange rate prevailing on the date of claim shall be used for the purpose of converting the claim in Indian Rupee which may be used for determining the arbitration fee. f) If after commencement of the Arbitration proceedings, the parties agree to settle the dispute mutually or refer the dispute to mediation or Conciliation, the arbitrator shall put the proceedings in abeyance until such period as requested by the parties. Where the proceedings are put in abeyance or terminated on account of mutual settlement of dispute by the parties, the fees payable to the arbitrator shall be determined as under: (i) 40% of the fees if the Pleadings are complete. (ii) 60% of the fees if the Hearing has commenced. (iii) 80% of the fees if the Hearing is concluded but the Award is yet to be passed. g) Each party shall pay its share of arbitrator's fees in stages as under or as per the directions of Arbitrator: (i) 40 % of the fees on Completion of Pleadings. (ii) 40% of the fees on Conclusion of the Final Hearing. (iii) 20% at the time when arbitrator notifies the date of final award. h) The Claimant shall be responsible for making all necessary arrangements for the travel/ stay of the Arbitrator including venue of arbitration, hearings. The parties shall share the expenses for the same equally. i) The Arbitration shall be held at Delhi only. j) The Arbitrator shall give reasoned and speaking award and it shall be final and binding on the parties. k) Subject to the aforesaid conditions, provisions of the Arbitration and Conciliation Act, 1996 and any statutory modifications or re-enactment thereof as amended from time to time, shall apply to the arbitration proceedings under this clause.

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			6.5.4. In the event of any dispute or difference relating to the interpretation and application of the provisions of commercial contract (s) between Central Public Sector Enterprises (CPSEs)/ Port Trusts inter se and also between CPSEs and Government Departments/ Organizations (excluding taxation matters), such disputes or difference shall be taken up by either party for resolution through Administrative Mechanism for Resolution of CPSEs Disputes (AMRCD) as mentioned in DPE Office Memorandum No. 4(1)/2013- DPE(GM)/FTS-1835 dated 22.05.2018 issued by Department of Public Enterprises, Ministry of Heavy Industries and Public Enterprises, Government of India and its further clarifications, modifications and amendments, issued from time to time. The aforesaid limit of Rs 25 crore shall not be applicable and matter may be referred to AMRCD irrespective of the amount involved in dispute, if the dispute could not be resolved through Mutual Consultation and IE (if applicable) as brought out at GCC Sub Clause 6.1 and 6.2 above.
		6.6	Notwithstanding any reference to the Independent Engineer or Mediation or Conciliation or Arbitration herein, (a) the parties shall continue to perform their respective obligations under the Contract unless they otherwise agree. (b) the Employer shall pay the Contractor any monies due to the Contractor. Settlement of Dispute clause cannot be invoked by the Contractor, if the Contract has been mutually closed or 'No Demand Certificate' has been furnished by the Contractor or any Settlement Agreement has been signed between the Employer and the Contractor.
			B. Subject Matter of Contract
7.	Scope Of Facilities		
		7.1	Unless otherwise expressly limited in the Technical Specifications, the Contractor's obligations cover the provision of all Plant and Equipment including structural steel and the performance of all Installation Services and civil works, allied works etc. required for the design, the manufacture (including procurement, quality assurance, construction, installation, associated civil works, Pre-commissioning and delivery) of the Plant and Equipment and the installation, completion, commissioning and performance testing of the Facilities in accordance with the plans, procedures, specifications, drawings, codes and any other documents as specified in the Technical Specifications. Such specifications include, but are not limited to, the provision of supervision and engineering services; the supply of labour, materials, equipment, spare parts (as specified in GCC Sub-Clause 7.3 below) and accessories; Contractor's Equipment; construction utilities and supplies; temporary materials, structures and facilities; transportation (including, without limitation, unloading and hauling to, from and at the Site); and storage, except for those supplies, works and services that will be provided or performed by the Employer,

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			as set forth in Appendix 6 (Scope of Works and Supply by the Employer) to the Contract Agreement.
		7.2	The Contractor shall, unless specifically excluded in the Contract, perform all such work and/or supply all such items and materials not specifically mentioned in the Contract but that can be reasonably inferred from the Contract as being required for attaining Completion of the Facilities as if such work and/or items and materials were expressly mentioned in the Contract.
		7.3	In addition to the supply of Mandatory Spare Parts included in the Contract, the Contractor agrees to supply spare parts required for the operation and maintenance of the Facilities. However, the identity, specifications and quantities of such spare parts and the terms and conditions relating to the supply thereof are to be agreed between the Employer and the Contractor, and the price of such spare parts shall be that given in Price Schedule No. 6, which shall be added to the Contract Price. The price of such spare parts shall include the purchase price therefor and other costs and expenses (including the Contractor's fees) relating to the supply of spare parts. Prices of recommended spares covered under price Schedule No. 6 shall be kept valid for a period of six (6) months after placement of Notification of Award for Main Equipment and Mandatory Spares. 7.3.1 The Contractor agrees that the spare parts recommended by him for 3 years operation and quoted in Schedule No. 6 shall be supplied by him at the same terms and conditions as are otherwise applicable to this Contract. Further, the Contractor also agrees to supply spare parts required for the operation and maintenance of the Facilities as per provision of subsequent paragraphs of this Sub-Clause. 7.3.1.1 All the spares for the equipment under the Contract will strictly conform to the Specification and other relevant documents and will be identical to the corresponding main equipment/ components supplied under the Contract and shall be fully interchangeable. 7.3.1.2 All the mandatory spares covered under the Contract shall be produced along with the main equipment as a continuous operation and the delivery of the spares will be effected along with the main equipment in a phased manner and the delivery would be completed by the respective dates for the various categories of equipment as per the agreed network. In case of recommended spares the above will be applicable provided the order for the recommended spares have been placed with the Contractor prior to commencement of manufacture of the main equipment. 7.3.1.3 The Contractor will provide the Employer with the manufacturing drawings, catalogues, assembly drawings and any other document required by the Employer so as to enable the Employer to identify the recommended spares. Such details will be furnished to the Employer as soon as they are prepared but in any case not later than six months prior to commencement of manufacture of the corresponding main equipment. 7.3.1.4 To enable the Employer to finalise the requirement of recommended spares which are ordered subsequent to

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			placement of order for main equipment/plant, in addition to necessary technical details, catalogue and such other information brought-out herein above, the Contractor will also provide a justification in support of reasonableness of the quoted prices of spares which will, inter-alia, include documentary evidence that the prices quoted by the Contractor to the Employer are not higher than those charged by him from other customers in the same period. 7.3.1.5 In addition to the spares recommended by the Contractor, if the Employer further identifies certain items of spares, the Contractor will submit the prices and delivery quotation for such spares within thirty (30) days of receipt of such request with a validity period of six (6) months for consideration by the Employer and placement of order for additional spares if the Employer so desires. 7.3.1.6 The quality plan and the inspection requirement finalised for the main equipment will also be applicable to the corresponding spares. 7.3.1.7 The Contractor will provide the Employer with all the addresses and particulars of his sub-suppliers while placing the order on vendors for items/components/equipment covered under the Contract and will further ensure with his vendors that the Employer, if so desires, will have the right to place order for spares directly on them on mutually agreed terms based on offers of such vendors. 7.3.1.8 The Contractor shall guarantee the long term availability of spares to the Employer for the full life of the equipment covered under the Contract. The Contractor shall guarantee that before going out of production of spare parts of the equipment covered under the Contract, he shall give the Employer at least 2 years advance notice so that the latter may order his bulk requirement of spares, if it so desires. The same provision will also be applicable to Sub-contractors. Further, in case of discontinuance of manufacture of any spares by the Contractor and/or his Sub-contractor, Contractor will provide the Employer, two years in advance, with full manufacturing drawings, material specification and technical information including information on alternative equivalent makes required by the Employer for the purpose of manufacture/procurement of such items. 7.3.1.9 The prices of all future requirements of item of spares beyond 3 years operational requirement will be derived from the corresponding ex-works price at which the order for such spares have been placed by Employer as a part of mandatory spares or recommended spares, or from the rates of mandatory spares or recommended spares as quoted by/negotiated with the Contractor. Ex-works order price of future spares shall be computed in accordance with the price adjustment provisions covered under the main Contract and there will be no ceiling on the amount of variation in the prices. The above option for procuring future recommended spares by the Employer shall remain valid for the period of 5 years from the date of Commissioning of the equipment.

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			7.3.1.10 The Contractor will indicate in advance the delivery period of the items of spares, which the Employer may procure in accordance with above sub-clause. In case of emergency requirements of spares, the Contractor would make every effort to expedite the manufacture and delivery of such spares on the basis of mutually agreed time schedule. 7.3.1.11 In case the Contractor fails to supply the mandatory, recommended spares or long term spares in the terms stipulated above, the Employer shall be entitled to purchase the same from the alternate sources at the risk and the cost of the Contractor and recover from the Contractor, the excess amount paid by the Employer over the rates worked on the above basis. In the event of such risk purchase by the Employer, the purchases will be as per the Works and Procurement Policy of the Employer prevalent at the time of such purchases and the Employer at his option may include a representative from the Contractor in finalising the purchases. 7.3.1.12 It is expressly understood that the final settlement between the parties in terms of relevant clauses of the Contract Documents shall not relieve the Contractor of any of his obligations under the provision of long term availability of spares and such provisions shall continue to be enforced till the expiry of 5 years period reckoned from the scheduled date of Commissioning of the Plant and Equipment unless otherwise discharged expressly in writing by the Employer. Further, the provisions pertaining to long term availability of spares shall be extended beyond 5 years applicability period mentioned hereinabove if so desired by the Employer and at the mutually acceptable escalation formula. 7.3.1.13 The Contractor shall warrant that all spares supplied will be new and in accordance with the Contract Documents and will be free from defects in design, material and workmanship and shall further guarantee as under: (i) For 3 years operational spares (both mandatory and recommended) a) For any item of spares ordered or to be ordered by the Employer for 3 years operational requirement of the plant which are manufactured as a continuous operation together with the corresponding main equipment/component, the Defect Liability Period will be twelve (12) months from the scheduled date of commercial operation of main equipment/plant under the Contract or 2 months from the date of Completion of Facilities whichever is earlier. 'Commercial Operation' shall mean the conditions of operation in which the complete equipment covered under the Contract is officially declared by the Employer to be available for continuous operation at different loads up to and including rated capacity. Such declaration by the Employer, however, shall not relieve or prejudice the Contractor any of his obligations under the Contract. In case of any failure in the original component/ equipment due to faulty designs, materials and workmanship, the

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			corresponding spare parts, if any, supplied will be replaced without any extra cost to the Employer unless a joint examination and analysis by the Employer and the Contractor of such spare parts prove that the defect found in the original part that failed, can safely be assumed not to be present in spare parts. Such replaced spare parts will have the same Defect Liability as applicable to the replacement made for the defective original part/component provided that such replacement for the original equipment and the spare replaced are again manufactured together. The discarded spare parts will become the property of the Contractor as soon as they have been replaced by the Contractor. b) For the item of spares ordered or to be ordered by the Employer for 3 years operational requirement of the plant, which with the written approval of the Employer, are not manufactured as a continuous operation will be warranted for 7000 hrs of trouble free operation if used within a period of eighteen (18) months reckoned from the date of delivery at site. However, if such spare parts are put to use after eighteen (18) months of the delivery at Site then the guarantee of such spares will stand valid till the expiry of thirty six (36) months from the scheduled date of Commissioning of equipment/plant covered under the contract or 7000 hrs of trouble free operation after such spares are put in service, whichever is earlier. (ii) For long term requirement For item of spares that may be ordered by the Employer to cover requirements beyond 3 years of Initial Operation of the plant, the warranty will be till the expiry of 7000 hrs of trouble free operation if used within a period of eighteen (18) months from the date of delivery at site. For item of spares that may be used after eighteen (18) months from the date of delivery at site, the warranty period will be 12 months from the date they are put to use or 7000 hrs of trouble free operation, whichever is earlier. In any case the defect liability of spares will expire at the end of forty eight (48) months from the date of their receipt at site. (iii) The Defect Liability of spares covered in para (i) & (ii) above, that are not used within 18 months from the respective date of the delivery at Site will, however, be subject to condition that all such spares being stored/ maintained/ preserved in accordance with Contractor's standard recommended practice, if any, and the same has been furnished to the Employer.
8.	Time for Commencement and Completion		
	8.1	The Contractor shall commence work on the Facilities from the date of Notification of Award and without prejudice to GCC Sub-Clause 26.2	

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			hereof, the Contractor shall thereafter proceed with the Facilities in accordance with the time schedule specified in Appendix 4 (Time Schedule) to the Contract Agreement.
		8.2	The Contractor shall attain Completion of the Facilities (or of a part where a separate time for Completion of such part is specified in the Contract) within the time stated in the SCC or within such extended time to which the Contractor shall be entitled under GCC Clause 40 (Extension of Time for Completion) hereof.
9.	Contractor's Responsibilities		
		9.1	The Contractor shall design, manufacture (including associated purchases and/or subcontracting), install and complete the Facilities with due care and diligence in accordance with the Contract.
		9.2	The Contractor confirms that it has entered into this Contract on the basis of a proper examination of the data relating to the Facilities (including any data as to boring tests) provided by the Employer, and on the basis of information that the Contractor could have obtained from a visual inspection of the Site (if access thereto was available) and of other data readily available to it relating to the Facilities as at the date fifteen (15) days prior to deadline set for price bid submission. The Contractor acknowledges that any failure to acquaint itself with all such data and information shall not relieve its responsibility for properly estimating the difficulty or cost of successfully performing the Facilities.
		9.3	The Contractor shall acquire in its name all permits, approvals and/or licenses from all local, state or national government authorities or public service undertakings in the country where the Site is located that are necessary for the performance of the Contract, including, without limitation, visas for the Contractor's and Subcontractor's personnel and entry permits for all imported Contractor's Equipment. The Contractor shall acquire all other permits, approvals and/or licenses that are not the responsibility of the Employer under GCC Sub-Clause 10.3 hereof and that are necessary for the performance of the Contract.
		9.4	The Contractor shall comply with all laws in force in the country where the Facilities are installed and where the Installation Services are carried out. The laws will include all national, provincial, municipal or other laws that affect the performance of the Contract and bind upon the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any and all liabilities, damages, claims, fines, penalties and expenses of whatever nature arising or resulting from the violation of such laws by the Contractor or its personnel, including the Subcontractors and their personnel, but without prejudice to GCC Sub-Clause 10.1 hereof.
		9.5	Any Plant, Material and Services that will be incorporated in or be required for the Facilities and other supplies shall have their origin as specified under GCC Clause 3.13 (Country of Origin).
10.	Employer's Responsibilities		
		10.1	The Employer shall ensure the accuracy of all information and/or data to be supplied by the Employer as described in Appendix 6 (Scope of Works

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			and Supply by the Employer) to the Contract, except when otherwise expressly stated in the Contract.
		10.2	The Employer shall be responsible for acquiring and providing legal and physical possession of the Site and access thereto, and for providing possession of and access to all other areas reasonably required for the proper execution of the Contract, including all requisite rights of way, as specified in Appendix 6 (Scope of Works and Supply by the Employer) to the Contract Agreement. The Employer shall give full possession of, and accord all rights of access thereto on or before the date(s) specified in Appendix 6. The Employer reserves the right to hand over the Site in parts progressively to the Contractor. The Contractor will be required to take possession of the Site without any undue delay and do work on the released fronts in parts without any reservation whatsoever.
		10.3	The Employer shall acquire and pay for all permits, approvals and/or licenses from all local, state or national government authorities or public service undertakings in the country where the Site is located which such authorities or undertakings require the Employer to obtain them in the Employer's name, are necessary for the execution of the Contract (they include those required for the performance by both the Contractor and the Employer of their respective obligations under the Contract), including those specified in Appendix 6 (Scope of Works and Supply by the Employer) to the Contract Agreement.
		10.4	If requested by the Contractor, the Employer shall use its best endeavours to assist the Contractor in obtaining in a timely and expeditious manner all permits, approvals and/or licenses necessary for the execution of the Contract from all local, state or national government authorities or public service undertakings that such authorities or undertakings require the Contractor or Subcontractors or the personnel of the Contractor or Subcontractors, as the case may be, to obtain.
		10.5	Unless otherwise specified in the Contract or agreed upon by the Employer and the Contractor, the Employer shall provide sufficient, properly qualified operating and maintenance personnel; shall supply and make available all raw materials, utilities, lubricants, chemicals, catalysts, other materials and facilities ; and shall perform all work and services of whatsoever nature, to enable the Contractor to properly carry out Pre-commissioning, Commissioning and Guarantee Tests, all in accordance with the provisions of Appendix 6 (Scope of Works and Supply by the Employer) to the Contract Agreement at or before the time specified in the program furnished by the Contractor under GCC Sub-Clause 18.2 (Program of Performance) hereof and in the manner thereupon specified or as otherwise agreed upon by the Employer and the Contractor.
		10.6	The Employer shall be responsible for the continued operation of the Facilities after Completion, in accordance with GCC Sub-Clause 24.8, and shall be responsible for facilitating the Guarantee Test(s) for the Facilities, in accordance with GCC Sub-Clause 25.2.
		10.7	All costs and expenses involved in the performance of the obligations under this GCC Clause 10 shall be the responsibility of the Employer, save those to be incurred by the Contractor with respect to the

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			performance of Guarantee Tests, in accordance with GCC Sub-Clause 25.2.
C. Payment			
11.	Contract Price		
		11.1	The Contract Price shall be as specified in Article 2 (Contract Price and Terms of Payment) of the Form of Contract Agreement.
		11.2	The Contract Price shall be adjusted in accordance with provisions of Appendix-2 (Price Adjustment) to the Contract Agreement.
		11.3	Subject to GCC Sub-Clauses 9.2, 10.1 and 35 (Unforeseen Conditions) hereof, the Contractor shall be deemed to have satisfied itself as to the correctness and sufficiency of the Contract Price, which shall, except as otherwise provided for in the Contract, cover all its obligations under the Contract.
12.	Terms of Payment		
		12.1	The Contract Price shall be paid as specified in Appendix 1 (Terms and Procedures of Payment) to the Contract Agreement. The procedures to be followed in making application for and processing payments shall be those outlined in the same Appendix 1.
		12.2	No payment made by the Employer herein shall be deemed to constitute acceptance by the Employer of the Facilities or any part(s) thereof.
		12.3	The currency or currencies in which payments are made to the Contractor under this Contract shall be specified in Appendix 1 (Terms and Procedures of Payment) to the Contract Agreement, subject to the general principle that payments will be made in the currency or currencies in which the Contract Price has been stated in the Contractor's bid.
		12.4	For payments related to Erection / Civil / Site Fabricated Structural works (if applicable as per EIC): A single designated ESCROW account shall be opened by the Contractor in any Scheduled Bank of India under intimation to Employer. All payments related to Erection / Civil / Site Fabricated Structural works by the Employer due under the contract to the Contractor shall be released into above-mentioned ESCROW account set up as per the Tri-Partite Escrow Agreement between Employer, Contractor and Escrow Bank. The payment shall be disbursed in accordance with the mechanism set out in the Contract and Escrow Agreement. The purpose of the Escrow Account would be to ensure that payments received under the contract are solely used for implementation of the Contract. Under Tripartite Escrow Agreement, the Escrow Bank will agree to ensure that amounts received in the ESCROW Account are utilized for making payments only to suppliers of goods and services, statutory authorities, establishment expenses etc. as may be required in the performance of the contract. All expenses/charges for opening /operation (including Annual Fee) of the Escrow Account shall be paid by the Contractor.

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			The draft agreement is annexed as Annexure-III to Appendix-1 to Form of Contract Agreement, Section-VII, Book 3 of 3 (Part-1), which shall be followed for executing Escrow Account Agreement. The Detailed Operative Procedure and Terms and Conditions of Escrow Account (Schedule III of draft agreement) shall be finalized between the Employer, Contractor and the Escrow Bank within 15 days of the placement of award.
13.	Securities		
		13.1	Issuance of Securities The Contractor shall provide the securities specified below in favour of the Employer at the times, and in the amount, manner and form specified below.
		13.2	Advance Payment Security 13.2.1 The Contractor shall, within twenty-eight (28) days of the Notification of Award of Contract, provide a security in an amount equal to the advance payment for supply of Plant & Equipment and 110% of the advance amount for Installation Services and Civil & Allied Works calculated in accordance with Appendix 1 (Terms and Procedures of Payment) to the Contract Agreement, and in the currency or currencies of the Contract, with an initial validity of up to ninety (90) days beyond the schedule date of Completion of the last facility covered under the package in accordance with GCC Clause 24. However, in case of delay in completion of the facilities under the package, the validity of this security shall be extended by the period of such delay. The advance payment security shall also cover the amount of GST as applicable on the advance payment to be paid to the contractor. 13.2.2 The security shall be in the form of an unconditional bank guarantee as per the proforma provided in Section VII (Forms and Procedures)- Form of Advance Payment Security. The Advance payment Security shall be reduced pro-rata every three (3) months after First Running Account Bill/Stage Payment under the Contract based on the value of the respective equipment/facilities received and applicable GST. The cumulative amount of reduction at any point of time shall not exceed ninety (90%) of the advance and the amount of GST paid on the advance amount corresponding to cumulative value of the respective equipment/Facilities supplied and received as per certificate issued by the Project Manager. The balance shall be released upon release of respective milestone linked payments as identified in Appendix-1 of form of Contract Agreement. In case milestone payment is not envisaged in the package, the balance shall be released after Completion of those Facilities on certification by the Project Manager. It should be clearly understood that reduction in the value of security for advance shall not in any way dilute the Contractor's responsibility and liabilities under the Contract including in respect of the Facilities for which the reduction in the value of security is allowed.
		13.3	Performance Security 13.3.1 The Contractor shall, within twenty-eight (28) days of the Notification of Award, provide securities for the due performance

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			of the Contract as Specified in SCC for all the Contracts, with an initial validity up to ninety (90) days beyond the end of scheduled Defect Liability Period of the last equipment covered under the Contract. However, in case of delay in completion of the defect liability period, the validity of all the contract performance securities shall be extended by the period of such delay. 13.3.2 The performance security shall be denominated in the currency of the Contract and shall be in the form of unconditional bank guarantee provided in Section-VII (Forms and Procedures)-Form of Performance Security of the bidding documents. 13.3.3 Unless otherwise stipulated in SCC, the security shall be reduced pro rata to the Contract Price of a part of the Facilities for which a separate time for Completion is provided, twenty one (21) months after Completion of the Facilities or where relevant part thereof, or fifteen (15) months after Operational Acceptance of the Facilities (or the relevant part thereof), whichever occurs first; provided, however, that if the Defects Liability Period has been extended on any part of the Facilities pursuant to GCC Sub-Clause 27.8 hereof, the Contractor shall issue an additional security in an amount proportionate to the Contract Price of that part. The security shall be returned to the Contractor immediately after its expiration, provided, however, that if the Contractor, pursuant to GCC Sub-Clause 27.10, is liable for an extended warranty obligation, the performance security shall be extended for the period and up to the amount agreed upon or as specified in the SCC. 13.3.4 Whenever adjustments under Clause 39 [Change in the Facilities] result in an accumulative increase or decrease of the Contract Price by more than fifteen percent (15%) of the Contract Price stated in the Contract Agreement: (a) in the case of such an increase, at the Project Manager's request the Contractor shall promptly increase the amount of the Performance Security in that currency by a percentage equal to the accumulative increase; or (b) in the case of such a decrease, subject to the Project Manager's prior consent the Contractor may decrease the amount of the Performance Security in that currency by a percentage equal to the accumulative decrease. 13.4 Security for Deed of Joint Undertaking In case Deed(s) of Joint Undertaking by the Contractor along with his Collaborator(s) / Associate(s) form part of the Contract, then, in addition to the Contract performance securities furnished by the Contractor, the Collaborator(s) / Associate(s) shall furnish, within twenty eight (28) days of the Notification of Award, separate unconditional Bank Guarantee(s) towards faithful performance of the Deed(s) of Joint Undertaking for amount(s) specified in relevant Item of Bid Data Sheets and with validity till such period as specified in the corresponding format for Deed of Joint Undertaking. However, in case of delay in completion of defect liability

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			period, the validity of Bank Guarantee(s) submitted towards faithful performance of Deed(s) of Joint Undertaking shall be extended by such period of delay. The Bank Guarantee(s) shall be denominated in the currency or currencies of Contract and shall be as per the proforma provided in Section-VII (Forms and Procedures) - Form of Bank Guarantee to be furnished by Associate(s) / Collaborator(s).
		13.5	The Bank Guarantees submitted towards Advance Payment Security, Contract Performance Security and Security in compliance to the Deed of Joint Undertaking (if applicable) shall be essentially from any of the Banks listed at Annexure-I to Section-V (Special Conditions of Contract) of the bidding documents. In case of guarantees issued by branches outside India for foreign banks, the bank guarantees shall be routed through the correspondent Bank in India for due verification of signatures of the executant and lodgement of claim. The bank guarantee submitted from within India towards Advance Payment Security, Contract Performance Security and Security for Deed of Joint Undertaking (if applicable) shall be issued on a stamp paper of appropriate value as per stamp act prevailing in the State of the issuing Bank in India or the state of U.P. in India or the State from where the BG shall be operated, whichever is higher.
		13.6	Where a BG issued by a Bank outside India also needs to bear Stamp Duty of appropriate value applicable to the place in NTPC / Employer where BG is to be submitted, the BG will be adjudicated from Collector of Stamps, within 3 months of arrival of BG in India and the expenses incurred in this regard shall be borne by the Contractor.
		13.7	a) All BGs except BG issued by a Bank outside India and all Insurance Surety Bonds except those issued by an Indian Insurance company outside India, shall be received from issuing Bank/Insurance company directly through post/ courier, NVVN at below mentioned address: NTPC VIDYUT VYAPAR NIGAM LIMITED Engineering Office Complex, Plot No. A-8A Sector 24, Block A, Noida, Uttar Pradesh 201301 Email: nvvncontracts@ntpc.co.in A BG issued by a Bank outside India and Insurance Surety Bond issued by an Indian Insurance company outside India need to be submitted by the Bidder directly to the employer as defined in BDS. The BG/ Insurance Surety Bond also needs to bear stamp duty of appropriate value applicable to the place in NVVN where BG/ Insurance Surety Bond is to be submitted. The BG/ Insurance Surety Bond may be got adjudicated by the employer from Collector of Stamps, within 3 months of arrival of BG/ Insurance Surety Bond in India. Expenses incurred in this regard shall be adjusted from the payment due to the contractor. b) A soft copy of the BG/ Insurance Surety Bond is mandatorily required to be mailed to nvvncontracts@ntpc.co.in by the issuing Bank/ Insurance company.

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			c) Confirmation of BGs through Structured Financial Messaging System (SFMS)/SWIFT While issuing the physical BGs, the Bidder's Bank shall also send electronic message through secure SFMS (in case of BGs issued from within India) or SWIFT (in case of BGs issued from outside India) to Employer's Beneficiary Bank whose details are provided herein below: (i) Bank Name: ICICI Bank Limited (ii) Branch: CONNAUGHT PLACE BRANCH (iii) Bank Address: 9A, PHELPS BUILDING, INNER CIRCLE, NEW DELHI-110001 (iv) IFSC Code: ICIC0000007 BG issuing/amending bank must send the BG advice in the form of message format via SFMS (Structured Financial Messaging System) as provided by RBI. The format of the message for confirmation of the BG shall be as below: BG advising message: IFN 760COV/ IFN 767COV via SFMS Field Number: Particulars (to be mentioned in Row 1) 7037: NVVNBG8910 (unique identifier) d) All Bank Guarantees/ Insurance Surety Bond should be enforceable for minimum ninety (90 days) after expiry of its validity. e) Extension of all BGs/ Insurance Surety Bonds should be on Stamp paper of same value as that of the original BG/ Insurance Surety Bond. Minimum extension of any BG/ Insurance Surety Bond should be three months.
14.	Taxes and Duties		
		14.1	Except as otherwise specifically provided in the Contract, the Contractor shall bear and pay all taxes, duties, levies and charges assessed on the Contractor, its Sub-contractor or their employees by all municipal, state or national government authorities in connection with the Facilities in and outside of the country where the Site is located.

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		14.2	Notwithstanding GCC Sub-Clauses 14.1 above, the Employer shall bear and pay/reimburse to the Contractor Goods and Services Tax (GST) applicable on: (a) Plant and Equipment (including Type Test Charges) and Mandatory Spares to be supplied from within the Employer's country specified in Price Schedule No. 2 (and also on locally supplied Recommended Spare Parts quoted in Price Schedule No. 6, when awarded) to be incorporated in the Facilities, by the law of country where the site is located, (b) local transportation & insurance, other local costs incidental to delivery of plant & equipment including mandatory spares specified in Price Schedule No. 3 (and also of locally supplied Recommended Spare Parts quoted in Price Schedule No. 6, when awarded) and (c) Installation Services including Erection, Civil & Allied Works and other services specified in Price Schedule No. 4. However, all other taxes, duties & levies as may be applicable on goods and services specified in Price Schedules Nos. 2, 3 & 4 and on the materials used for civil construction works and erection & commissioning shall be to the contractor's account and no separate claim in this regard will be entertained by the Employer. Notwithstanding anything to contrary contained in the Contract, the Contractor's right to payment under the Contract is subject to issuance of valid tax invoice, payment of applicable GST to the credit of appropriate Government and submission of valid particulars of tax invoice under GST returns in accordance with GST Law. The Contractor shall issue tax invoices, file appropriate returns, and deposit the applicable GST to the account of appropriate government within the time limit prescribed under the GST Law. In the event of any default, Contractor shall be liable to pay any penalty/demand raised on the Employer due to default by Contractor, and the same shall be recovered/Contractor shall make good the loss. The Contractor shall be responsible for the issuance of e-way bill and other compliances relating to e-way bill as per GST law. The Employer will deduct GST at source at the applicable rates in case transactions under the contract are liable to GST deduction at source as per the prevailing provisions of GST Law.
		14.3	If any tax exemptions, reductions, allowances or privileges are available to the Contractor in the country where the Site is located, the Employer shall use its best endeavours to enable the Contractor to benefit from any such tax savings to the maximum allowable extent.
		14.4	For the purpose of the Contract, it is agreed that the Contract Price specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement is based on the taxes, duties, levies and charges prevailing on seven (7) days prior to the deadline set for price bid submission in the country where the Site is located (hereinafter called "Tax" in this GCC Sub-Clause 14.4). If any rates of Tax are increased or decreased, a new Tax is introduced, an existing Tax is abolished, or any change in interpretation or application of any Tax occurs in the course of the performance of Contract, which was or will be assessed on the Contractor in connection with performance of the Contract, an equitable adjustment of the Contract Price shall be made to fully take into account any such change by addition to the Contract Price or deduction therefrom, as the case may be, in accordance with GCC Clause 36 (Change in Laws and Regulations) hereof. However, these adjustments shall not be

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			applicable on procurement of raw materials, intermediary components and intermediary services etc. by the Contractor.
		14.5	If the Employer orders any spare at a later date as per GCC Cl 7.3, all applicable additional taxes & duties, If any, not included in the original price shall be to the account of Employer.
		14.6	The Contractor will be required to submit PAN details to the Project Manager before the submission of the first bill.
D. Intellectual Property			
15.	Copyright		
		15.1	The copyright in all drawings, documents and other materials containing data and information furnished to the Employer by the Contractor herein shall remain vested in the Contractor or, if they are furnished to the Employer directly or through the Contractor by any third party, including suppliers of materials, the copyright in such materials shall remain vested in such third party. The Employer shall however be free to reproduce all drawings, documents and other material furnished to the Employer for the purpose of the contract including, if required, for operation and maintenance of the facilities.
16.	Confidential Information		
		16.1	The Employer and the Contractor shall keep confidential and shall not, without the written consent of the other party hereto, divulge to any third party any documents, data or other information furnished directly or indirectly by the other party hereto in connection with the Contract, whether such information has been furnished prior to, during or following termination of the Contract. Notwithstanding the above, the Contractor may furnish to its Subcontractor(s) such documents, data and other information it receives from the Employer to the extent required for the Subcontractor(s) to perform its work under the Contract, in which event the Contractor shall obtain from such Subcontractor(s) an undertaking of confidentiality similar to that imposed on the Contractor under this GCC Clause 16.
		16.2	The Employer shall not use such documents, data and other information received from the Contractor for any purpose other than the operation and maintenance of the Facilities. Similarly, the Contractor shall not use such documents, data and other information received from the Employer for any purpose other than the design, procurement of Plant and Equipment, construction or such other work and services as are required for the performance of the Contract.
		16.3	The obligation of a party under GCC Sub-Clauses 16.1 and 16.2 above, however, shall not apply to that information which (a) now or hereafter enters the public domain through no fault of that party (b) can be proven to have been possessed by that party at the time of disclosure and which was not previously obtained, directly or indirectly, from the other party hereto (c) otherwise lawfully becomes available to that party from a third party that has no obligation of confidentiality.

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			(d) is required to be disclosed in accordance with a judicial or governmental order or decree.
		16.4	The above provisions of this GCC Clause 16 shall not in any way modify any undertaking of confidentiality given by either of the parties hereto prior to the date of the Contract in respect of the Facilities or any part thereof.
		16.5	The provisions of this GCC Clause 16 shall survive termination, for whatever reason, of the Contract.
			E. Work Execution
17.	Representatives		
		17.1	Project Manager If the Project Manager is not named in the Contract, then within fourteen (14) days of the Effective Date, the Employer shall appoint and notify the Contractor in writing of the name of the Project Manager. The Employer may from time to time appoint some other person as the Project Manager in place of the person previously so appointed, and shall give a notice of the name of such other person to the Contractor without delay. The Employer shall take reasonable care to see that no such appointment is made at such a time or in such a manner as to impede the progress of work on the Facilities. The Project Manager shall represent and act for the Employer at all times during the currency of the Contract. All notices, instructions, orders, certificates, approvals and all other communications under the Contract shall be given by the Project Manager, except as herein otherwise provided. All notices, instructions, information and other communications given by the Contractor to the Employer under the Contract shall be given to the Project Manager, except as herein otherwise provided.
		17.2	Contractor's Representative & Construction Manager 17.2.1 The Contractor shall appoint the Contractor's Representative within fourteen (14) days of the Effective Date or before start of work whichever is earlier and shall request the Employer in writing to approve the person so appointed. 17.2.1.1 The Contractor's representative shall be a regular Employee/ Partner/ Director only and the Contractor shall be required to submit a Power of Attorney in original in favour of its representative. Notarized photocopy of the Power of Attorney shall be acceptable only if the Power of Attorney has been registered by the Contractor. The Employer may verify the photocopy of the Power of Attorney with the Original and the Contractor shall be required to produce the original Power of Attorney for verification, if required by the Employer. The relation of the Contractor's representative with the contractor such as Partner/ Employee etc. should be clearly brought out in the Power of Attorney. The Contractor would be required to submit a documentary proof of the relation of the Contractor's representative with the contractor in the form of self-attested copy of any of the following documents:

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			i. Previous financial year's Form 16 as available at TRACES site of Income tax department, if the Contractor's representative is an employee of contractor or his Appointment Letter/ Salary Slip/ other documentary evidence (only in case of recent appointment or where Form 16 details are not uploaded at TRACES). Further, the Contractor shall submit the copy of Form 16 as available through TRACES site for every subsequent year also in respect of the Contractor's representative till the period of authorization. ii. Article of Association/ Registered Partnership Deed if the Contractor's representative is a partner or stake holder in Company. In case, the Contractor is not able to submit any of the documentary proofs as mentioned above at para (i) & (ii), he would be required to submit an affidavit stating the relationship between the Contractor's representative and the Contractor. 17.2.1.2 In case, the Contractor's representative is also doing some other Contract(s)/ Work(s) as nominee of the same contractor, the Contractor shall give a declaration citing list of all works where the Contractor's representative is the nominee. 17.2.1.3 If the Employer objects to the appointment giving the reason therefore, then the Contractor shall appoint a replacement within fourteen (14) days of such objection, and the foregoing provisions of this GCC Sub-Clause 17.2.1 shall apply thereto.
			17.2.2 The Contractor's Representative shall represent and act for the Contractor at all times during the currency of the Contract and shall give to the Project Manager all the Contractor's notices, instructions, information and all other communications under the Contract. All notices, instructions, information and all other communications given by the Employer or the Project Manager to the Contractor under the Contract shall be given to the Contractor's Representative or, in its absence, its deputy, except as herein otherwise provided. The Contractor shall not revoke the appointment of the Contractor's Representative without the Employer's prior written consent, which shall not be unreasonably withheld. If the Employer consents thereto, the Contractor shall appoint some other person as the Contractor's Representative, pursuant to the procedure set out in GCC Sub-Clause 17.2.1. 17.2.3 The Contractor's Representative may, subject to the approval of the Employer (which shall not be unreasonably withheld), at any time delegate to any person any of the powers, functions and authorities vested in him or her. Any such delegation may be revoked at any time. Any such delegation or revocation shall be subject to a prior notice signed by the Contractor's Representative, and shall specify the powers, functions and authorities thereby delegated or revoked. No such delegation or revocation shall take effect unless and until a copy thereof has been delivered to the Employer and the Project Manager.

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			Any act or exercise by any person of powers, functions and authorities so delegated to him or her in accordance with this GCC Sub-Clause 17.2.3 shall be deemed to be an act or exercise by the Contractor's Representative. 17.2.3.1 Notwithstanding anything stated in GCC Sub-clause 17.1 and 17.2.1 above, for the purpose of execution of contract, the Employer and the Contractor shall finalise and agree to a Contract Co-ordination Procedure and all the communication under the Contract shall be in accordance with such Contract Co-ordination Procedure. 17.2.4 From the commencement of installation of the Facilities at the Site until Operational Acceptance, the Contractor's Representative shall appoint a suitable person as the construction manager (hereinafter referred to as "the Construction Manager"). The Construction Manager shall supervise all work done at the Site by the Contractor and shall be present at the Site throughout normal working hours except when on leave, sick or absent for reasons connected with the proper performance of the Contract. Whenever the Construction Manager is absent from the Site, a suitable person shall be appointed to act as his or her deputy. 17.2.5 The Employer may by notice to the Contractor object to any representative or person employed by the Contractor in the execution of the Contract who, in the reasonable opinion of the Employer, may behave inappropriately, may be incompetent or negligent, or may commit a serious breach of the Site regulations provided under GCC Sub-Clause 22.3. The Employer shall provide evidence of the same, whereupon the Contractor shall remove such person from the Facilities. 17.2.6 If any representative or person employed by the Contractor is removed in accordance with GCC Sub-Clause 17.2.5, the Contractor shall, where required, promptly appoint a replacement. 17.2.7 In case any of the information/ declaration/ undertaking provided by Contractor/Contractor's representative is found to be false and/ or the Contractor/ Contractor's representative suppresses any relevant information at any stage, the Contractor will be liable for actions in terms of Employer's Debarment policy.
18.	Work Program		
	18.1	Contractor's Organization The Contractor shall supply to the Employer and the Project Manager a chart showing the proposed organization to be established by the Contractor for carrying out work on the Facilities. The chart shall include the identities of the key personnel together with the curricula vitae of such key personnel to be employed within twenty-one (21) days of the Effective Date. The Contractor shall promptly inform the Employer and the Project Manager in writing of any revision or alteration of such an organization chart.	
	18.2	Program of Performance	

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			Within twenty-eight (28) days after the date of notification of award of Contract, the Contractor shall prepare and submit to the Project Manager a detailed program of performance of the Contract, made in the form of PERT Network and showing the sequence in which it proposes to design, manufacture, transport, assemble, install and pre-commission the Facilities, as well as the date by which the Contractor reasonably requires that the Employer shall have fulfilled its obligations under the Contract so as to enable the Contractor to execute the Contract in accordance with the program and to achieve Completion and Acceptance of the Facilities in accordance with the Contract. The program so submitted by the Contractor shall accord with the Time Schedule included in Appendix 4 (Time Schedule) to the Contract Agreement and any other dates and periods specified in the Contract. The Contractor shall update and revise the program as and when appropriate or when required by the Project Manager, but without modification in the Times for Completion given in the SCC and any extension granted in accordance with GCC Clause 40, and shall submit all such revisions to the Project Manager.
		18.3	Progress Report The Contractor shall monitor progress of all the activities specified in the program referred to in GCC Sub-Clause 18.2 (Program of Performance) above, and supply a progress report to the Project Manager every month. The progress report shall be in a form acceptable to the Project Manager and shall also indicate: (a) percentage completion achieved compared with the planned percentage completion for each activity; and (b) where any activity is behind the program, giving comments and likely consequences and stating the corrective action being taken.
		18.4	Progress of Performance If at any time the Contractor's actual progress falls behind the program referred to in GCC Sub-Clause 18.2 (Program of Performance), or it becomes apparent that it will so fall behind, the Contractor shall, at the request of the Employer or the Project Manager, prepare and submit to the Project Manager a revised program, taking into account the prevailing circumstances, and shall notify the Project Manager of the steps being taken to expedite progress so as to attain Completion of the Facilities within the Time for Completion under GCC Sub-Clause 8.2 (Time for Commencement and Completion), any extension thereof entitled under GCC Sub-Clause 40.1 (Extension of Time for Completion), or any extended period as may otherwise be agreed upon between the Employer and the Contractor.
		18.5	Work Procedures The Contract shall be executed in accordance with the Contract Documents and the procedures given in the section on Forms and Procedures of the Contract Documents. If agreed between the Employer and the Contractor, the Contractor may execute the Contract in accordance with its own standard project execution plans and procedures to the extent that they do not conflict with the provisions contained in the Contract.

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		18.6	Maintenance of Records of Weekly Progress Review Meetings at site The Contractor shall be required to attend all weekly site progress review meetings organized by the 'Project Manager' or his authorized representative. The deliberations in the meetings shall inter alia include the weekly program, progress of work (including details of manpower, tools and plants deployed by the contractor vis-a-vis agreed schedule), inputs to be provided by Employer, delays, if any, and recovery program, specific hindrances to work and work instructions by Employer. Record of Hindrances / events that lead to slow/ stoppage of smooth execution of work shall be maintained in "Hindrance Register". The minutes of the weekly meetings shall be recorded in triplicate in a numbered register available with the 'Project Manager', or his authorized representative. These recordings shall be jointly signed by the Project Manager or his authorized representative and the Contractor and one copy of the signed records shall be handed over to the Contractor.
19.	Subcontracting		
		19.1	Appendix 5 (List of Approved Subcontractors) to the Contract Agreement specifies major items of supply or services and a list of approved Subcontractors against each item, including vendors. Insofar as no Subcontractors are listed against any such item, the Contractor shall prepare a list of Subcontractors for such item for inclusion in such list. The Contractor may from time to time propose any addition to or deletion from any such list. The Contractor shall submit any such list or any modification thereto to the Employer for its approval in sufficient time so as not to impede the progress of work on the Facilities. Such approval by the Employer for any of the Subcontractors shall not relieve the Contractor from any of its obligations, duties or responsibilities under the Contract.
		19.2	The Contractor shall select and employ its Subcontractors for such major items from those listed in the lists referred to in GCC Sub-Clause 19.1.
		19.3	For items or parts of the Facilities not specified in Appendix 5 (List of Approved Subcontractors) to the Contract Agreement, the Contractor may employ such Subcontractors as it may select, at its discretion.
		19.4	The Contractor shall not be allowed to sub-contract works to any subcontractor/ sub-vendor from a country which shares a land border with India unless such sub-contractor is registered with the competent Authority. The Competent Authority for the purpose of registration shall be as mentioned in the relevant Annexure of SCC. However, the said requirement of registration will not apply to subcontractors from those countries (even if sharing a land border with India) to which the Government of India has extended lines of credit or in which the Government of India is engaged in development projects. The Contractor may apprise itself of the updated lists of such countries available in the website of the Ministry of External Affairs. Procurement of raw material, components, etc. does not constitute subcontracting.

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20.	Design And Engineering		
		20.1	Specifications and Drawings 20.1.1 The Contractor shall execute the basic and detailed design and the engineering work in compliance with the provisions of the Contract, or where not so specified, in accordance with good engineering practice. The Contractor shall be responsible for any discrepancies, errors or omissions in the specifications, drawings and other technical documents that it has prepared, whether such specifications, drawings and other documents have been approved by the Project Manager or not, provided that such discrepancies, errors or omissions are not because of inaccurate information furnished in writing to the Contractor by or on behalf of the Employer. 20.1.2 The Contractor shall be entitled to disclaim responsibility for any design, data, drawing, specification or other document, or any modification thereof provided or designated by or on behalf of the Employer, by giving a notice of such disclaimer to the Project Manager.
		20.2	Codes and Standards Wherever references are made in the Contract to codes and standards in accordance with which the Contract shall be executed, the edition or the revised version of such codes and standards current at the date fifteen (15) days prior to deadline set for price bid submission shall apply unless otherwise specified. During Contract execution, any changes in such codes and standards shall be applied after approval by the Employer and shall be treated in accordance with GCC Clause 39 (Changes Originating from Contractor).
		20.3	Approval/Review of Technical Documents by Project Manager 20.3.1 The Contractor shall prepare (or cause its Subcontractors to prepare) and furnish to the Project Manager the documents listed in Appendix 7 (List of Documents for Approval or Review) to the Contract Agreement for its approval or review as specified and as in accordance with the requirements of GCC Sub-Clause 18.2 (Program of Performance). Any part of the Facilities covered by or related to the documents to be approved by the Project Manager shall be executed only after the Project Manager's approval thereof. GCC Sub-Clauses 20.3.2 through 20.3.7 shall apply to those documents requiring the Project Manager's approval, but not to those furnished to the Project Manager for its review only. 20.3.2 Within twenty one (21) days after receipt by the Project Manager of any document requiring the Project Manager's approval in accordance with GCC Sub-Clause 20.3.1, the Project Manager shall either return one copy thereof to the Contractor with its approval endorsed thereon or shall notify the Contractor in writing of its disapproval thereof and the reasons therefor and the modifications that the Project Manager proposes.

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			20.3.3 The Project Manager shall not disapprove any document, except on the grounds that the document does not comply with some specified provision of the Contract or that it is contrary to good engineering practice. 20.3.4 If the Project Manager disapproves the document, the Contractor shall modify the document and resubmit it for the Project Manager's approval in accordance with GCC Sub-Clause 20.3.2. If the Project Manager approves the document subject to modification(s), the Contractor shall make the required modification(s), and upon resubmission with the required modifications the document shall be deemed to have been approved. The procedure for submission of the documents by the Contractor and their approval by the Project Manager shall be discussed and finalised with the Contractor. 20.3.5 If any dispute or difference occurs between the Employer and the Contractor in connection with or arising out of the disapproval by the Project Manager of any document and/or any modification(s) thereto that cannot be settled between the parties within a reasonable period, then such dispute or difference may be referred to Expert Settlement Council (ESC) for determination in accordance with GCC Sub-Clause 6.4.1 hereof. If such dispute or difference is referred to ESC, the Project Manager shall give instructions as to whether and if so, how, performance of the Contract is to proceed. The Contractor shall proceed with the Contract in accordance with the Project Manager's instructions, provided that if the ESC upholds the Contractor's view on the dispute and if the Employer has not given notice under GCC Sub-Clause 6.5.1 hereof, then the Contractor shall be reimbursed by the Employer for any additional costs incurred by reason of such instructions and shall be relieved of such responsibility or liability in connection with the dispute and the execution of the instructions as the ESC shall decide, and the Time for Completion shall be extended accordingly. 20.3.6 The Project Manager's approval, with or without modification of the document furnished by the Contractor, shall not relieve the Contractor of any responsibility or liability imposed upon it by any provisions of the Contract except to the extent that any subsequent failure results from modifications required by the Project Manager. 20.3.7 The Contractor shall not depart from any approved document unless the Contractor has first submitted to the Project Manager an amended document and obtained the Project Manager's approval thereof, pursuant to the provisions of this GCC Sub-Clause 20.3. If the Project Manager requests any change in any already approved document and/or in any document based thereon, the provisions of GCC Clause 39 (Change in the Facilities) shall apply to such request.
21.	Procurement		

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		21.1	Plant and Equipment Subject to GCC Sub-Clause 14.2, the Contractor shall manufacture or procure and transport all the Plant and Equipment in an expeditious and orderly manner to the Site.
		21.2	Employer-Supplied Plant, Equipment, and Materials If Appendix 6 (Scope of Works and Supply by the Employer) to the Contract Agreement provides that the Employer shall furnish any specific items of machinery, equipment or materials to the Contractor, the following provisions shall apply: 21.2.1 The Employer shall, at its own risk and expense, transport each item to the place on or near the Site as agreed upon by the parties and make such item available to the Contractor at the time specified in the program furnished by the Contractor, pursuant to GCC Sub-Clause 18.2 (Program of Performance), unless otherwise mutually agreed. 21.2.2 Upon receipt of such item, the Contractor shall inspect the same visually and notify the Project Manager of any detected shortage, defect or default. The Employer shall immediately remedy any shortage, defect or default, or the Contractor shall, if practicable and possible, at the request of the Employer, remedy such shortage, defect or default at the Employer's cost and expense. After inspection, such item shall fall under the care, custody and control of the Contractor. The provision of this GCC Sub-Clause 21.2.2 shall apply to any item supplied to remedy any such shortage or default or to substitute for any defective item, or shall apply to defective items that have been repaired. 21.2.3 The foregoing responsibilities of the Contractor and its obligations of care, custody and control shall not relieve the Employer of liability for any undetected shortage, defect or default, nor place the Contractor under any liability for any such shortage, defect or default whether under GCC Clause 27 (Defect Liability) or under any other provision of Contract.
		21.3	Transportation 21.3.1 The Contractor shall at its own risk and expense transport all the Plant and Equipment and the Contractor's Equipment to the Site by the mode of transport that the Contractor judges most suitable under all the circumstances. Packing Material The Contractor shall ensure that all the plant and equipment are suitably packed and protected to prevent damage or deterioration during its transportation to site, handling and storage at site till the time of its installation. The ownership of all such packing material (except empty shipper's containers on which the customs duty has been paid by the Contractor pursuant to GCC Clause 14.2) shall stand transferred to the Employer upon dispatch of the plant and equipment and endorsement of dispatch documents in favour of the Employer.

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			21.3.2 Unless otherwise provided in the Contract, the Contractor shall be entitled to select any safe mode of transport operated by any person to carry the Plant and Equipment and the Contractor's Equipment. In case, the Contractor decides to transport the Plant and Equipment and the Contractor's Equipment by road, then such Plant and Equipment and the Contractor's Equipment must necessarily be transported through a registered common carrier as per Carriage by Road Rules 2011 of Central Government of India. 21.3.3 Upon despatch of each shipment of the Plant and Equipment and the Contractor's Equipment, the Contractor shall notify the Employer of the description of the Plant and Equipment and of the Contractor's Equipment, the point and means of dispatch, and the estimated time and point of arrival in the country where the Site is located, if applicable, and at the Site. The Contractor shall furnish the Employer with relevant shipping documents to be agreed upon between the parties. 21.3.4 The Contractor shall be responsible for obtaining, if necessary, approvals from the authorities for transportation of the Plant and Equipment and the Contractor's Equipment to the Site. The Employer shall use its best endeavours in a timely and expeditious manner to assist the Contractor in obtaining such approvals, if requested by the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any claim for damage to roads, bridges or any other traffic facilities that may be caused by the transport of the Plant and Equipment and the Contractor's Equipment to the Site.
22.	Installation		
		22.1	Setting Out/Supervision/Labour 22.1.1 Bench Mark: The Contractor shall be responsible for the true and proper setting-out of the Facilities in relation to bench marks, reference marks and lines provided to it in writing by or on behalf of the Employer. If, at any time during the progress of installation of the Facilities, any error shall appear in the position, level or alignment of the Facilities, the Contractor shall forthwith notify the Project Manager of such error and, at its own expense, immediately rectify such error to the reasonable satisfaction of the Project Manager. If such error is based on incorrect data provided in writing by or on behalf of the Employer, the expense of rectifying the same shall be borne by the Employer. 22.1.2 Contractor's Supervision: The Contractor shall give or provide all necessary superintendence during the installation of the Facilities, and the Construction Manager or its deputy shall be constantly on the Site to provide full-time superintendence of the installation. The Contractor shall provide and employ only technical personnel who are skilled and experienced in their

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			respective callings and supervisory staff who are competent to adequately supervise the work at hand. 22.1.3 Labour: (a) The Contractor shall provide and employ on the Site in the installation of the Facilities such skilled, semi-skilled and unskilled labour as is necessary for the proper and timely execution of the Contract. The Contractor shall preferably engage skilled/ semiskilled/ unskilled workers from amongst the land oustees of the Project. (b) Unless otherwise provided in the Contract, the Contractor shall be responsible for the recruitment, transportation, accommodation and catering of all labour, local or expatriate, required for the execution of the Contract and for all payments in connection therewith. (c) The Contractor shall be responsible for obtaining all necessary permit(s) and/or visa(s) from the appropriate authorities for the entry of all labour and personnel to be employed on the Site into the country where the Site is located. (d) The Contractor shall at its own expense provide the means of repatriation to all of its and its Subcontractor's personnel employed on the Contract at the Site to their various home countries. It shall also provide suitable temporary maintenance of all such persons from the cessation of their employment on the Contract to the date programmed for their departure. In the event that the Contractor defaults in providing such means of transportation and temporary maintenance, the Employer may provide the same to such personnel and recover the cost of doing so from the Contractor. (e) The Contractor shall at all times during the progress of the Contract use its best endeavour to prevent any unlawful, riotous or disorderly conduct or behaviour by or amongst its employees and the labour of its Subcontractors. (f) The Contractor shall, in all dealings with its labour and the labour of its Subcontractors currently employed on or connected with the Contract, pay due regard to all recognized festivals, official holidays, religious or other customs and all local laws and regulations pertaining to the employment of labour.
		22.2	Contractor's Equipment 22.2.1 All Contractors' Equipment brought by the Contractor onto the Site shall be deemed to be intended to be used exclusively for the execution of the Contract. The Contractor shall not remove the same from the Site without the Project Manager's consent that such Contractor's Equipment is no longer required for the execution of the Contract.

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			22.2.2 Unless otherwise specified in the Contract, upon completion of the Facilities, the Contractor shall remove from the Site all Equipment brought by the Contractor onto the Site and any surplus materials remaining thereon. 22.2.3 The Employer will, if requested, use its best endeavour to assist the Contractor in obtaining any local, state or national government permission required by the Contractor for the export of the Contractor's Equipment imported by the Contractor for use in the execution of the Contract that is no longer required for the execution of the Contract.
		22.3	Site Regulations and Safety 22.3.1 The Employer and the Contractor shall establish Site regulations setting out the rules to be observed in the execution of the Contract at the Site and shall comply therewith. The Contractor shall prepare and submit to the Employer, with a copy to the Project Manager, proposed Site regulations for the Employer's approval, which approval shall not be unreasonably withheld. Such Site regulations shall include, but shall not be limited to, rules in respect of security, safety of the Facilities in line with para 22.3.2, gate control, sanitation, medical care, and fire prevention. 22.3.2 The Employer has formulated Safety Rules for Construction & Erection of Power Plants is displayed at https://nvvn.co.in/assets/files/NTPC SAFETY RULES.pdf and or https://ntpctender.ntpc.co.in/Heading/NTPC Safety Rules.pdf These Safety Rules lay down the safety requirements for safe execution of project activities, responsibilities of the Contractor, and all concerned involved in Construction and Erection. The Contractor, including his sub-contractors, while executing the Works, shall strictly comply with these Safety rules and statutory requirements (including amendments thereof), as applicable, in respect of safety of personnel, equipment and materials at site area under execution of the Contractor. 22.3.3 In addition to other clauses specified in 'NTPC Safety Rules for Construction and Erection of Power Plants' [as enclosed with GCC], Contractor shall adhere to the following provisions for payment linked to Safety Compliances as specified in Payment Terms: i) Safety Personnel Contractor shall adhere to the requirements of Clause 2.3 (requirement of Safety personnel) of 'NTPC Safety Rules for Construction and Erection of Power Plants'. ii) Personal Protective Equipment & Safety Equipment Contractor shall adhere to the requirements of Clause 4 (Personal Protective Equipment) of 'NTPC Safety Rules for Construction and Erection of Power Plants' and the provisions of the Bidding Documents with regards to

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			number of Safety Equipment/PPEs to be provided by the Contractor. In case Contractor fails to comply with aforesaid requirement, Project Manager /Safety Officer shall issue a warning letter/Non-compliance Memo to the Contractor regarding the same advising him to take corrective action. Project Manager /NTPC Safety Officer shall maintain written record of all such incidents when Warning letter/Non-compliance Memo is issued to the Contractor for not meeting the requirements of Clause 4.0 (Personal Protective Equipment) and the provisions of the Bidding Documents. iii) Safety Induction and Training Contractor shall adhere to the requirements of imparting Safety training as per Clause 8.0 (Safety Induction and Training) of 'NTPC Safety Rules for Construction and Erection of Power Plants. Contractor shall maintain written record of Safety trainings imparted to its Employees/ workmen for purpose of aforesaid payment. These records shall be available for review of Project Manager /NTPC Safety Officer all the time. iv) Medical and First Aid Amenities Contractor shall adhere to the requirements of Clause 13 (Medical and First Aid Amenities) of 'NTPC Safety Rules for Construction and Erection of Power Plants. NTPC Safety Officer/ Project Manager shall maintain written record of incidences when requisite Medical and first aid amenities as per Clause 13 of Safety Rules were not available for purpose of aforesaid payment. v) Compliance to Work Permit System Contractor shall adhere to the requirements of Clause 17 (Work Permit System) of 'NTPC Safety Rules for Construction and Erection of Power Plants'. In case Contractor fails to obtain work permit or fails to comply to any requirements of aforesaid Work permit system, he will be issued a warning letter/Non-compliance Memo by Project Manager /Safety Officer of NTPC regarding the same advising him to take corrective action. NTPC Safety Officer / Project Manager shall maintain written record of all such incidents when Warning letter / Non-compliance Memo is issued to Contractor for not complying with the requirements of Work Permit System as per Clause 17 of Safety Rules for purpose of aforesaid payment.

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		22.4	Opportunities for Other Contractors 22.4.1 The Contractor shall, upon written request from the Employer or the Project Manager, give all reasonable opportunities for carrying out the work to any other contractors employed by the Employer on or near the Site. 22.4.2 If the Contractor, upon written request from the Employer or the Project Manager, makes available to other contractors any roads or ways the maintenance for which the Contractor is responsible, permits the use by such other contractors of the Contractor's Equipment, or provides any other service of whatsoever nature for such other contractors, the Employer shall fully compensate the Contractor for any loss or damage caused or occasioned by such other contractors in respect of any such use or service, and shall pay to the Contractor reasonable remuneration for the use of such equipment or the provision of such services. 22.4.3 The Contractor shall also so arrange to perform its work as to minimize, to the extent possible, interference with the work of other contractors. The Project Manager shall determine the resolution of any difference or conflict that may arise between the Contractor and other contractors and the workers of the Employer in regard to their work. 22.4.4 The Contractor shall notify the Project Manager promptly of any defects in the other Contractors' work that come to its notice, and that could affect the Contractor's work. The Project Manager shall determine the corrective measures, if any, required to rectify the situation after inspection of the Facilities. Decisions made by the Project Manager shall be binding on the Contractor.
		22.5	Emergency Work If, by reason of an emergency arising in connection with and during the execution of the Contract, any protective or remedial work is necessary as a matter of urgency to prevent damage to the Facilities, the Contractor shall immediately carry out such work. If the Contractor is unable or unwilling to do such work immediately, the Employer may do or cause such work to be done as the Employer may determine is necessary in order to prevent damage to the Facilities. In such event the Employer shall, as soon as practicable after the occurrence of any such emergency, notify the Contractor in writing of such emergency, the work done and the reasons therefor. If the work done or caused to be done by the Employer is work that the Contractor was liable to do at its own expense under the Contract, the reasonable costs incurred by the Employer in connection therewith shall be paid by the Contractor to the Employer. Otherwise, the cost of such remedial work shall be borne by the Employer.
		22.6	Site Clearance 22.6.1 Site Clearance in Course of Performance: In the course of carrying out the Contract, the Contractor shall keep the Site reasonably free from all unnecessary obstruction, store or remove any surplus materials, clear away any wreckage, rubbish

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			or temporary works from the Site, and remove any Contractor's Equipment no longer required for execution of the Contract. 22.6.2 Clearance of Site after Completion: After Completion of all parts of the Facilities, the Contractor shall clear away and remove all wreckage, rubbish and debris of any kind from the Site, and shall leave the Site and Facilities clean and safe. 22.6.3 Disposal of Scrap The Contractor shall with the agreement of the Employer promptly remove from the site any 'Scrap' generated during performance of any activities at site in pursuance of the Contract. The term 'Scrap' shall refer to scrap/ waste/ remnants arising out of the fabrication of structural steel work and piping work at the project site in the course of execution of the contract and shall also include any wastage of cables during the termination process while installing the cables. The ownership of such Scrap shall vest with the Contractor except in cases where the items have been issued by the Employer from its stores for their installation only without any adjustment to the Contract Price. The removal of scrap shall be subject to the Contractor producing the necessary clearance from the relevant authorities (Custom, GST etc.), if required by the law, in respect of disposal of the scrap. The liability for the payment of the applicable taxes/duties shall be that of the Contractor. The Contractor shall also indemnify to keep the Employer harmless from any act of omission or negligence on the part of the Contractor in following the statutory requirements with regard to removal/disposal of scrap. The Indemnity-cum-Undertaking Agreement shall be furnished by Contractor as per proforma enclosed in Section-VII (Forms and Procedure). Further, in case the laws require the Employer to take prior permission of the relevant Authorities before handing over the scrap to the Contractor, the same shall be obtained by the Contractor on behalf of the Employer.
		22.7	Watching and Lighting The Contractor shall provide and maintain at its own expense all lighting, fencing, and watching when and where necessary for the proper execution and the protection of the Facilities, or for the safety of the owners and occupiers of adjacent property and for the safety of the public.
		22.8	Shift Work 22.8.1 To achieve the required rate of progress in order to complete the Facilities within the Time for Completion, the Contractor may carry on the work, round the clock, in multiple shifts per day, as may be necessary. The Contractor shall however be responsible to comply with all applicable laws in this regard. 22.8.2 No additional payment will be made on account of round the clock working in multiple shifts. 22.8.3

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			Wherever the work is carried out at night adequate lighting of working areas and access routes for pedestrians or vehicles shall be provided by the Contractor at his cost. Sufficient notice should be given by the Contractor to the Employer regarding the details of works in shifts so that necessary supervision could be provided.
23.	Test And Inspection		
		23.1	The Contractor shall at its own expense carry out at the place of manufacture and/or on the Site all such tests and/or inspections of the Plant and Equipment and any part of the Facilities as are specified in the Contract.
		23.2	The Employer and the Project Manager or their designated representatives shall be entitled to attend the aforesaid test and/or inspection, provided that the Employer shall bear all costs and expenses incurred in connection with such attendance including, but not limited to, all travelling and board and lodging expenses.
		23.3	Whenever the Contractor is ready to carry out any such test and/or inspection, the Contractor shall give a reasonable advance notice of such test and/or inspection and of the place and time thereof to the Project Manager. The Contractor shall obtain from any relevant third party or manufacturer any necessary permission or consent to enable the Employer and the Project Manager (or their designated representatives) to attend the test and/or inspection.
		23.4	The Contractor shall provide the Project Manager with a certified report of the results of any such test and/or inspection. If the Employer or Project Manager (or their designated representatives) fails to attend the test and/or inspection, or if it is agreed between the parties that such persons shall not do so, then the Contractor may proceed with the test and/or inspection in the absence of such persons, and may provide the Project Manager with a certified report of the results thereof.
		23.5	The Project Manager may require the Contractor to carry out any test and/or inspection not required by the Contract, where the purpose of these tests/ inspection is to verify compliance with the Technical Specifications and are feasible without creating a risk of damage to the Works, provided that the Contractor's reasonable costs and expenses incurred in the carrying out of such test and/or inspection shall be added to the Contract Price. Further, if such test and/or inspection impedes the progress of work on the Facilities and/or the Contractor's performance of its other obligations under the Contract, due allowance will be made in respect of the Time for Completion and the other obligations so affected.
		23.6	If any Plant and Equipment or any part of the Facilities fails to pass any test and/or inspection, the Contractor shall either rectify or replace such Plant and Equipment or part of the Facilities and shall repeat the test and/or inspection upon giving a notice under GCC Sub-Clause 23.3.
		23.7	If any dispute or difference of opinion shall arise between the parties in connection with or arising out of the test and/or inspection of the Plant and Equipment or part of the Facilities that cannot be settled between the parties within a reasonable period of time, it may be referred to the Expert

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			Settlement Council (ESC) for determination in accordance with GCC Sub-Clause 6.4.1.
		23.8	The Contractor shall afford the Employer and the Project Manager, at the Employer's expense, access at any reasonable time to any place where the Plant and Equipment are being manufactured or the Facilities are being installed, in order to inspect the progress and the manner of manufacture or installation, provided that the Project Manager shall give the Contractor a reasonable prior notice.
		23.9	The Contractor agrees that neither the execution of a test and/or inspection of Plant and Equipment or any part of the Facilities, nor the attendance by the Employer or the Project Manager, nor the issue of any test certificate pursuant to GCC Sub-Clause 23.4, shall release the Contractor from any other responsibilities under the Contract.
		23.10	No part of the Facilities or foundations shall be covered up on the Site without the Contractor carrying out any test and/or inspection required under the Contract. The Contractor shall give a reasonable notice to the Project Manager whenever any such part of the Facilities or foundations are ready or about to be ready for test and/or inspection; such test and/or inspection and notice thereof shall be subject to the requirements of the Contract.
		23.11	The Contractor shall uncover any part of the Facilities or foundations, or shall make openings in or through the same as the Project Manager may from time to time require at the Site, and shall reinstate and make good such part or parts. If any part of the Facilities or foundations have been covered up at the Site after compliance with the requirement of GCC Sub-Clause 23.10 and are found to be executed in accordance with the Contract, the expenses of uncovering, making openings in or through, reinstating, and making good the same shall be borne by the Employer, and the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been delayed or impeded in the performance of any of its obligations under the Contract.
24.	Completion of the Facilities		
		24.1	As soon as installation of the Facilities or any part thereof has, in the opinion of the Contractor, been completed as specified in the Technical Specifications, excluding minor items not materially affecting the operation or safety of the Facilities, the Contractor shall so notify the Employer in writing.
		24.2	Within seven (7) days after receipt of the notice from the Contractor under GCC Sub-Clause 24.1, the Employer shall supply the operating and maintenance personnel and the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services as specified in Appendix 6 (Scope of Works and Supply by the Employer) to the Contract Agreement, required for Pre-commissioning of the Facilities or any part thereof.
		24.3	As soon as reasonably practicable after the operating and maintenance personnel have been supplied by the Employer and the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters, if so specified in Appendix 6 (Scope of Works and Supply by the Employer)/ Technical Specifications, have been provided by the Employer

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			in accordance with GCC Sub-Clause 24.2, the Contractor shall commence Pre-commissioning of the Facilities or the relevant part thereof in preparation for Commissioning.
		24.4	As soon as all works in respect of Pre-commissioning are completed and, in the opinion of the Contractor, the Facilities or any part thereof is ready for Commissioning, the Contractor shall commence Commissioning as per procedures stipulated in Technical Specifications, and as soon as Commissioning is satisfactorily completed, the Contractor shall so notify the Project Manager in writing.
		24.5	The Project Manager shall, within fourteen (14) days after receipt of the Contractor's notice under GCC Sub-Clause 24.4, either issue a Completion Certificate in the form specified in the Forms and Procedures section in the bidding documents, stating that the Facilities or that part thereof have reached Completion as at the date of the Contractor's notice under GCC Sub-Clause 24.4, or notify the Contractor in writing of any defects and/or deficiencies. If the Project Manager notifies the Contractor of any defects and/or deficiencies, the Contractor shall then correct such defects and/or deficiencies, and shall repeat the procedure described in GCC Sub-Clause 24.4. If the Project Manager is satisfied that the Facilities or that part thereof have reached Completion, the Project Manager shall, within seven (7) days after receipt of the Contractor's repeated notice, issue a Completion Certificate stating that the Facilities or that part thereof have reached Completion as at the date of the Contractor's repeated notice. If the Project Manager is not so satisfied, then it shall notify the Contractor in writing of any defects and/or deficiencies within seven (7) days after receipt of the Contractor's repeated notice, and the above procedure shall be repeated.
		24.6	If the Project Manager fails to issue the Completion Certificate and fails to inform the Contractor of any defects and/or deficiencies within fourteen (14) days after receipt of the Contractor's notice under GCC Sub-Clause 24.4 or within seven (7) days after receipt of the Contractor's repeated notice under GCC Sub-Clause 24.5, or if the Employer makes use of the Facilities or part thereof, then the Facilities or that part thereof shall be deemed to have reached Completion as of the date of the Contractor's notice or repeated notice, or as of the Employer's use of the Facilities, as the case may be.
		24.7	As soon as possible after Completion, the Contractor shall complete all outstanding minor items so that the Facilities are fully in accordance with the requirements of the Contract, failing which the Employer will undertake such completion and deduct the costs thereof from any monies owing to the Contractor.
		24.8	Upon Completion, the Employer shall be responsible for the care and custody of the Facilities or the relevant part thereof, together with the risk of loss or damage thereto, and shall thereafter take over the Facilities or the relevant part thereof.
25.	Commissioning, Guarantee Tests and Operational Acceptance		

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		25.1	Commissioning 25.1.1 Commissioning of the Facilities or any part thereof shall be completed by the Contractor as per procedures detailed in the Technical Specifications. The Employer shall, unless otherwise specified in Appendix 6 (Scope of Works and Supply by the Employer)/ Technical Specifications, supply the operating and maintenance personnel and all raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Commissioning of the Facilities.
		25.2	Guarantee Test 25.2.1 The Guarantee Test (and repeats thereof) shall be conducted by the Contractor as specified in the Technical Specifications or the relevant part thereof to ascertain whether the Facilities or the relevant part can attain the Functional Guarantees specified in the Contract Documents. The Contractor's and Project Manager's advisory personnel shall attend the Guarantee Test. The Employer shall promptly provide the Contractor with such information as the Contractor may reasonably require in relation to the conduct and results of the Guarantee Test (and any repeats thereof). 25.2.2 If for reasons attributable to the Employer, the Guarantee Test of the Facilities or the relevant part thereof cannot be successfully completed within the timelines specified in the Technical Specifications, payment of Contractor shall be released as specified in Appendix-I (Payment terms). 25.2.3 If for reasons attributable to the Employer, the Guarantee Test of the Facilities or the relevant part thereof cannot be successfully completed within the period of twelve months from the timelines specified in the Technical Specifications, balance payment towards Guarantee Test, shall be released to the Contractor against Bank Guarantee as per Appendix-I (Payment terms). Such Bank Guarantee shall have initial validity of one (1) year. The Bank Guarantee shall be extended for any subsequent period, if required, such that the same remains valid till the Successful Completion of Guarantee Test.
		25.3	Operational Acceptance 25.3.1 Subject to GCC Sub-Clause 25.4 (Partial Acceptance) below, Operational Acceptance shall occur in respect of the Facilities or any part thereof when any minor items mentioned in GCC Sub-Clause 24.7 hereof relevant to the Facilities or that part thereof have been completed and (a) the Guarantee Test has been successfully completed and the Functional Guarantees are met; or (b) the Contractor has paid the liquidated damages specified in GCC Sub-Clause 28.3 hereof; and

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			25.3.2 At any time after any of the events set out in GCC Sub-Clause 25.3.1 have occurred, the Contractor may give a notice to the Project Manager requesting the issue of an Operational Acceptance Certificate in the form provided in the Bidding Documents or in another form acceptable to the Employer in respect of the Facilities or the part thereof specified in such notice as at the date of such notice. 25.3.3 The Project Manager shall, after consultation with the Employer, and within forty five (45) days after receipt of the Contractor's notice, issue an Operational Acceptance Certificate. 25.3.4 If within forty five (45) days after receipt of the Contractor's notice, the Project Manager fails to issue the Operational Acceptance Certificate or fails to inform the Contractor in writing of the justifiable reasons why the Project Manager has not issued the Operational Acceptance Certificate, the Facilities or the relevant part thereof shall be deemed to have been accepted as at the date of the Contractor's said notice.
		25.4	Partial Acceptance
			25.4.1 If the Contract specifies that Completion and Commissioning shall be carried out in respect of parts of the Facilities, the provisions relating to Completion and Commissioning including the Guarantee Test shall apply to each such part of the Facilities individually, and the Operational Acceptance Certificate shall be issued accordingly for each such part of the Facilities. 25.4.2 If a part of the Facilities comprises facilities such as buildings, for which no Commissioning or Guarantee Test is required, then the Project Manager shall issue the Operational Acceptance Certificate for such facility when it attains Completion, provided that the Contractor shall thereafter complete any outstanding minor items that are listed in the Operational Acceptance Certificate.
			F. Guarantees and Liabilities
26.	Completion Time Guarantee		
		26.1	The Contractor guarantees that it shall attain Completion of the Facilities (or a part for which a separate time for completion is specified in the SCC) within the Time for Completion specified in the SCC pursuant to GCC Sub-Clause 8.2, or within such extended time to which the Contractor shall be entitled under GCC Clause 40 (Extension of Time for Completion) hereof.
		26.2	If the Contractor fails to attain Completion of the Facilities or any part thereof within the Time for Completion or any extension thereof under GCC Clause 40 (Extension of Time for Completion), the Contractor shall pay to the Employer liquidated damages in the amount computed at the rates specified in the SCC. The aggregate amount of such liquidated damages shall in no event exceed the amount specified as "Maximum" in the SCC. Once the "Maximum" is reached, the Employer may consider termination of the Contract, pursuant to GCC Sub-Clause 42.2.2.

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			Such payment shall completely satisfy the Contractor's obligation to attain Completion of the Facilities or the relevant part thereof within the Time for Completion or any extension thereof under GCC Clause 40 (Extension of Time for Completion). The Contractor shall have no further liability whatsoever to the Employer in respect thereof. However, the payment of liquidated damages shall not in any way relieve the Contractor from any of its obligations to complete the Facilities or from any other obligations and liabilities of the Contractor under the Contract. Save for liquidated damages payable under this GCC Sub-Clause 26.2, the failure by the Contractor to attain any milestone or other act, matter or thing by any date specified in Appendix 4 (Time Schedule) to the Contract Agreement and/or other program of work prepared pursuant to GCC Clause 18 (Program of Performance) shall not render the Contractor liable for any loss or damage thereby suffered by the Employer.
		26.3	No bonus shall be given for earlier completion of the facilities or part thereof.
27.	Defect Liability		
		27.1	The Contractor warrants that the Facilities or any part thereof shall be free from defects in the design, engineering, materials and workmanship of the Plant and Equipment supplied and of the work executed.
		27.2	The Defect Liability Period shall be eighteen (18) months from the date of Completion of the Facilities (or any part thereof) or twelve (12) months from the date of Operational Acceptance of the Facilities (or any part thereof), whichever first occurs, unless specified otherwise in the SCC. If during the Defect Liability Period any defect should be found in the design, engineering, materials and workmanship of the Plant and Equipment supplied or of the work executed by the Contractor, the Contractor shall promptly, in consultation and agreement with the Employer regarding appropriate remedying of the defects, and at its cost, repair, replace or otherwise make good (as the Contractor shall, at its discretion, determine) such defect as well as any damage to the Facilities caused by such defect. The Contractor shall not be responsible for the repair, replacement or making good of any defect or of any damage to the Facilities arising out of or resulting from any of the following causes: (a) improper operation or maintenance of the Facilities by the Employer (b) operation of the Facilities outside specifications provided in the Contract (c) normal wear and tear.
		27.3	The Contractor's obligations under this GCC Clause 27 shall not apply to (a) any materials that are supplied by the Employer under GCC Sub-Clause 21.2 (Employer-Supplied Plant, Equipment and Materials), are normally consumed in operation, or have a normal life shorter than the Defect Liability Period stated herein

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			(b) any designs, specifications or other data designed, supplied or specified by or on behalf of the Employer or any matters for which the Contractor has disclaimed responsibility herein (c) any other materials supplied or any other work executed by or on behalf of the Employer, except for the work executed by the Employer under GCC Sub-Clause 27.7.
		27.4	The Employer shall give the Contractor a notice stating the nature of any such defect together with all available evidence thereof, promptly following the discovery thereof. The Employer shall afford all reasonable opportunity for the Contractor to inspect any such defect.
		27.5	The Employer shall afford the Contractor all necessary access to the Facilities and the Site to enable the Contractor to perform its obligations under this GCC Clause 27. The Contractor may, with the consent of the Employer, remove from the Site any Plant and Equipment or any part of the Facilities that are defective if the nature of the defect, and/or any damage to the Facilities caused by the defect, is such that repairs cannot be expeditiously carried out at the Site.
		27.6	If the repair, replacement or making good is of such a character that it may affect the efficiency of the Facilities or any part thereof, the Employer may give to the Contractor a notice requiring that tests of the defective part of the Facilities shall be made by the Contractor immediately upon completion of such remedial work, whereupon the Contractor shall carry out such tests. If such part fails the tests, the Contractor shall carry out further repair, replacement or making good (as the case may be) until that part of the Facilities passes such tests. The tests in character shall in any case be not less than what has already been agreed by the Employer and the Contractor for the original equipment/part of the Facilities.
		27.7	If the Contractor fails to commence the work necessary to remedy such defect or any damage to the Facilities caused by such defect within a reasonable time (which shall in no event be considered to be less than fifteen (15) days), the Employer may, following notice to the Contractor, proceed to do such work, and the reasonable costs incurred by the Employer in connection therewith shall be paid to the Employer by the Contractor or may be deducted by the Employer from any monies due to the Contractor or claimed under the Performance Security.
		27.8	If the Facilities or any part thereof cannot be used by reason of such defect and/or making good of such defect, the Defect Liability Period of the Facilities or such part, as the case may be, shall be extended by a period equal to the period during which the Facilities or such part cannot be used by the Employer because of any of the aforesaid reasons. Upon correction of the defects in the Facilities or any part thereof by repair/replacement, such repair/replacement shall have the Defect Liability Period extended by a period of twelve (12) month from the time such replacement/repair of the Facilities or any part thereof. 27.8.1 At the end of the Defect Liability Period, the contractor liability ceases except for latent defects. The contractor's liability for latent defects warranty shall be limited to a period of five (5)

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			years from the end of Defect Liability Period. For the purpose of this clause, the latent defects shall be the defects inherently lying within the material or arising out of design deficiency which do not manifest themselves during the Defect Liability Period in this GCC clause 27, but later. In case, there is any dispute between Employer and Contractor regarding latent defects, a third party as mutually agreed upon by the Employer and the Contractor shall be engaged by the Employer for settling the dispute. The third party, so engaged by the Employer, shall be paid fee plus reasonable expenditures incurred in the execution of its duties as mentioned above. These costs shall be initially paid by the Employer. In case of latent defect being proved, such costs shall be recoverable from the Contractor and the Contractor shall bear and reimburse such costs to the Employer. If the dispute regarding latent defects cannot be settled as above, then the dispute shall be settled as per provision of GCC clause 6 (Settlement of Disputes).
		27.9	Except as provided in GCC Clauses 27 and 33 (Loss of or Damage to Property / Accident or Injury to Workers/Indemnification), the Contractor shall be under no liability whatsoever and howsoever arising, and whether under the Contract or at law, in respect of defects in the Facilities or any part thereof, the Plant and Equipment, design or engineering or work executed that appear after Completion of the Facilities or any part thereof, except where such defects are the result of the gross negligence, fraud, criminal or wilful action of the Contractor.
		27.10	In addition, the Contractor shall also provide an extended warranty for any such component of the Facilities and during the period of time as may be specified in the SCC. Such obligation shall be in addition to the defect liability specified under GCC Sub-Clause 27.2.
28.	Functional Guarantees		
		28.1	The Contractor guarantees that during the Guarantee Test, the Facilities and all parts thereof shall attain the Functional Guarantees specified in Appendix 8 (Functional Guarantees) to the Contract Agreement, subject to and upon the conditions therein specified.
		28.2	If, for reasons attributable to the Contractor, the guaranteed level of the Functional Guarantees specified in Appendix 8 (Functional Guarantees) to the Contract Agreement are not met either in whole or in part, the Contractor shall, within a mutually agreed time, at its cost and expense make such changes, modifications and/or additions to the Plant or any part thereof as may be necessary to meet such Guarantees. The Contractor shall notify the Employer upon completion of the necessary changes, modifications and/or additions, and shall seek the Employer's consent to repeat the Guarantee Test. If the specified Functional Guarantees are not established even during the repeat of the Guarantee Test, the Employer may at its option, either (a) Reject the Equipment and recover the payments already made, or (b) Terminate the Contract pursuant to GCC Sub-Clause 42.2.2 and recover the payments already made, or

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			(c) Accept the equipment after levy of liquidated damages in accordance with the provisions specified in Appendix-8(Functional Guarantees) to the Contract Agreement.
		28.3	In case the Employer exercises its option to accept the equipment after levy of liquidated damages, the payment of liquidated damages under GCC Sub-Clause 28.2, up to the limitation of liability specified in the Technical Specifications/Appendix-8 (Functional Guarantees) to the Contract Agreement, shall completely satisfy the Contractor's guarantees under GCC Sub-Clause 28.2, and the Contractor shall have no further liability whatsoever to the Employer in respect thereof. Upon the payment of such liquidated damages by the Contractor, the Project Manager shall issue the Operational Acceptance Certificate for the Facilities or any part thereof in respect of which the liquidated damages have been so paid.
29.	Patent Indemnity		
		29.1	The Contractor shall, subject to the Employer's compliance with GCC Sub-Clause 29.2, indemnify and hold harmless the Employer and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Employer may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract by reason of: (a) the installation of the Facilities by the Contractor or the use of the Facilities in the country where the Site is located; and (b) the sale of the products produced by the Facilities in any country. Such indemnity shall not cover any use of the Facilities or any part thereof other than for the purpose indicated by or to be reasonably inferred from the Contract, any infringement resulting from the use of the Facilities or any part thereof, or any products produced thereby in association or combination with any other equipment, plant or materials not supplied by the Contractor, pursuant to the Contract Agreement.
		29.2	If any proceedings are brought or any claim is made against the Employer arising out of the matters referred to in GCC Sub-Clause 29.1, the Employer shall promptly give the Contractor a notice thereof, and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defence of any such proceedings or claim. The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.
		29.3	The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from and against any and all

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			suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Contractor may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract arising out of or in connection with any design, data, drawing, specification, or other documents or materials provided or designed by or on behalf of the Employer.
30.	Limitation of Liability		
		30.1	Except in cases of criminal negligence or willful misconduct, (a) neither Party shall be liable to the other Party, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, which may be suffered by the other Party in connection with the Contract, provided that this exclusion shall not apply to any obligation of the Contractor to pay liquidated damages to the Employer and (b) the aggregate liability of the Contractor to the Employer, whether under the Contract, in tort or otherwise, shall not exceed the total Contract Price, provided that this limitation shall not apply to any obligation of the Contractor to indemnify the Employer with respect to patent infringement. (c) the aggregate liability of the Employer to the Contractor except for GCC sub-clause 29.3, whether under the Contract, in tort or otherwise, at any point of time during the execution/performance of the Contract, shall not exceed the 'total Contract Price less payments already released to the Contractor'.
			G. Risk Distribution
31.	Transfer of Ownership		
		31.1	Ownership of the Plant and Equipment
			Ownership of the Plant and Equipment (including spare parts) procured in the country where the Site is located shall be transferred to the Employer when the Plant and Equipment are loaded on to the mode of transport to be used to convey the Plant and Equipment from the works to the site and upon endorsement of the despatch documents in favour of the Employer.
		31.2	Ownership of the Contractor's Equipment used by the Contractor and its Subcontractors in connection with the Contract shall remain with the Contractor or its Subcontractors.
		31.3	Disposal of Surplus Material "Ownership of any Plant and Equipment in excess of the requirements for the Facilities (i.e. surplus material) shall revert to the Contractor upon Completion of the Facilities and Guarantee Test or at such earlier time when the Employer and the Contractor agree that the Plant and Equipment in question are no longer required for the Facilities, provided

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			quantity of any Plant and Equipment specifically stipulated in the Contract shall be the property of the Employer whether or not incorporated in the Facilities. The Contractor shall remove from the site such surplus material brought by him in pursuance of the Contract, subject to the Contractor producing the necessary clearance from the relevant authorities (Customs, GST etc.), if required by law, in respect of re-export or disposal of the surplus material locally. The liability for the payment of the applicable taxes/duties, if any, on the surplus material so re-exported and/or disposed locally shall be that of the Contractor. The Contractor shall also indemnify to keep the Employer harmless from any act of omission or negligence on the part of the Contractor in following the statutory requirements with regard to removal/disposal of surplus material. The Indemnity-cum-Undertaking Agreement shall be furnished by contractor as per proforma enclosed in Section-VII (Part 3 of 3 - Forms and Procedures). Further, in case the laws require the Employer to take prior permission of the relevant Authorities before handing over the surplus material to the Contractor, the same shall be obtained by the Contractor on behalf of the Employer.
		31.4	Notwithstanding the transfer of ownership of the Plant and Equipment, the responsibility for care and custody thereof together with the risk of loss or damage thereto shall remain with the Contractor pursuant to GCC Clause 32 (Care of Facilities) hereof until Completion of the Facilities or the part thereof in which such Plant and Equipment are incorporated.
		31.5	In case of two/three contracts entered into between the Employer and the Contractor as per GCC Sub-Clause 3.6 or where the Employer hands over his equipment to the Contractor for executing the Contract, then the Contractor shall at the time of taking delivery of the Equipment through Bill of Lading or other despatch documents furnish Trust Receipt for Plant, Equipment and Materials and also execute an Indemnity-cum-Undertaking Agreement in favour of the Employer in the form acceptable to Employer for keeping the equipment in safe custody and to utilise the same exclusively for the purpose of the said Contract. Proforma for the Trust Receipt and Indemnity-cum-Undertaking Agreement is enclosed under Section-VII (Forms and Procedures). The Employer shall also issue a separate Authorisation Letter to the Contractor to enable him to take physical delivery of plant, equipment and materials from the Employer as per proforma enclosed under Section-VII (Forms and Procedures).
32.	Care of Facilities		
		32.1	The Contractor shall be responsible for the care and custody of the Facilities or any part thereof until the date of Completion of the Facilities pursuant to GCC Clause 24 (Completion of the Facilities) or, where the Contract provides for Completion of the Facilities in parts, until the date of Completion of the relevant part, and shall make good at its own cost any loss or damage that may occur to the Facilities or the relevant part thereof from any cause whatsoever during such period. The Contractor shall also be responsible for any loss or damage to the Facilities caused by the Contractor or its Subcontractors in the course of any work carried out, pursuant to GCC Clause 27 (Defect Liability). Notwithstanding the foregoing, the Contractor shall not be liable for any loss or damage to the Facilities or that part thereof caused by reason of any of the matters specified or referred to in paragraphs (a), (b) and (c) of GCC Sub-Clauses 32.2 and 38.1.

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		32.2	If any loss or damage occurs to the Facilities or any part thereof or to the Contractor's temporary facilities by reason of (a) (insofar as they relate to the country where the Site is located) nuclear reaction, nuclear radiation, radioactive contamination, pressure wave caused by aircraft or other aerial objects, or any other occurrences that an experienced contractor could not reasonably foresee, or if reasonably foreseeable could not reasonably make provision for or insure against, insofar as such risks are not normally insurable on the insurance market and are mentioned in the general exclusions of the policy of insurance, including War Risks and Political Risks, taken out under GCC Clause 34 (Insurance) hereof (b) any use or occupation by the Employer or any third party (other than a Subcontractor) authorized by the Employer of any part of the Facilities (c) any use of or reliance upon any design, data or specification provided or designated by or on behalf of the Employer, or any such matter for which the Contractor has disclaimed responsibility herein, the Employer shall pay to the Contractor all sums payable in respect of the Facilities executed, notwithstanding that the same be lost, destroyed or damaged, and will pay to the Contractor the replacement value of all temporary facilities and all parts thereof lost, destroyed or damaged. If the Employer requests the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Contractor shall make good the same at the cost of the Employer in accordance with GCC Clause 39 (Change in the Facilities). If the Employer does not request the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Employer shall either request a change in accordance with GCC Clause 39 (Change in the Facilities), excluding the performance of that part of the Facilities thereby lost, destroyed or damaged, or, where the loss or damage affects a substantial part of the Facilities, the Employer shall terminate the Contract pursuant to GCC Sub-Clause 42.1 (Termination for Employer's Convenience) hereof, except that the Contractor shall have no entitlement to profit under paragraph (e) of GCC Sub-Clause 42.1.3 in respect of any unexecuted Facilities as at the date of termination.
		32.3	The Contractor shall be liable for any loss of or damage to any Contractor's Equipment, or any other property of the Contractor used or intended to be used for purposes of the Facilities, except (i) as mentioned in GCC Sub-Clause 32.2 (with respect to the Contractor's temporary facilities), and (ii) where such loss or damage arises by reason of any of the matters specified in GCC Sub-Clauses 32.2(b) and (c) and 38.1.
		32.4	With respect to any loss or damage caused to the Facilities or any part thereof or to the Contractor's Equipment by reason of any of the matters specified in GCC Sub-Clause 38.1, the provisions of GCC Sub-Clause 38.3 shall apply.
33.	Loss of or Damage to Property; Accident or Injury to workers; Indemnification		
		33.1	Subject to GCC Sub-Clause 33.3, the Contractor shall indemnify and hold harmless the Employer and its employees and officers from and against

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			any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, in respect of the death or injury of any person or loss of or damage to any property (other than the Facilities whether accepted or not), arising in connection with the supply and installation of the Facilities and by reason of the negligence of the Contractor or its Subcontractors, or their employees, officers or agents, except any injury, death or property damage caused by the negligence of the Employer, its contractors, employees, officers or agents.
		33.2	If any proceedings are brought or any claim is made against the Employer that might subject the Contractor to liability under GCC Sub-Clause 33.1, the Employer shall promptly give the Contractor a notice thereof and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defence of any such proceedings or claim. The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.
		33.3	The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from any liability for loss of or damage to property of the Employer, other than the Facilities not yet taken over, that is caused by fire, explosion or any other perils, in excess of the amount recoverable from insurances procured under GCC Clause 34 (Insurances), provided that such fire, explosion or other perils were not caused by any act or failure of the Contractor.
		33.4	The party entitled to the benefit of an indemnity under this GCC Clause 33 shall take all reasonable measures to mitigate any loss or damage which has occurred. If the party fails to take such measures, the other party's liabilities shall be correspondingly reduced.
34.	Insurance		
		34.1	To the extent specified in Appendix 3 (Insurance Requirements) to the Contract Agreement, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurances set forth below in the sums and with the deductibles and other conditions specified in the said Appendix. The identity of the insurers and the form of the policies shall be subject to the approval of the Employer, who should not unreasonably withhold such approval. (a) Cargo Insurance During Transport Covering loss or damage occurring while in transit from the Contractor's or Subcontractor's works or stores until arrival at the

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			Site, to the Plant and Equipment (including spare parts therefor) and to the Contractor's Equipment. (b) Installation All Risks Insurance Covering physical loss or damage to the Facilities at the Site, occurring prior to Completion of the Facilities, with extended maintenance coverage for the Contractor's liability in respect of any loss or damage occurring during the Defect Liability Period while the Contractor is on the Site for the purpose of performing its obligations during the Defect Liability Period. (c) Third Party Liability Insurance Covering bodily injury or death suffered by third parties (including the Employer's personnel) and loss of or damage to property occurring in connection with the supply and installation of the Facilities. (d) Automobile Liability Insurance Covering use of all vehicles used by the Contractor or its Subcontractors (whether or not owned by them) in connection with the execution of the Contract. (e) Workers' Compensation In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed. (f) Employer's Liability In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed. (g) Other Insurances Such other insurances as may be specifically agreed upon by the parties hereto as listed in the said Appendix 3.
		34.2	The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GCC Sub-Clause 34.1, except for the Third Party Liability, Workers' Compensation and Employer's Liability Insurances, and the Contractor's Subcontractors shall be named as co-insureds under all insurance policies taken out by the Contractor pursuant to GCC Sub-Clause 34.1 except for the Cargo Insurance During Transport, Workers' Compensation and Employer's Liability Insurances. All insurer's rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.
		34.3	The Contractor shall, in accordance with the provisions of Appendix 3 (Insurance Requirements) to the Contract Agreement, deliver to the Employer certificates of insurance (or copies of the insurance policies) as evidence that the required policies are in full force and effect. The certificates shall provide that no less than twenty-one (21) days' notice

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			shall be given to the Employer by insurers prior to cancellation or material modification of a policy.
		34.4	The Contractor shall ensure that, where applicable, its Subcontractor(s) shall take out and maintain in effect adequate insurance policies for their personnel and vehicles and for work executed by them under the Contract, unless such Subcontractors are covered by the policies taken out by the Contractor.
		34.5	The Employer shall at its expense take out and maintain in effect during the performance of the Contract those insurances specified in Appendix 3 (Insurance Requirements) to the Contract Agreement.
		34.6	If the Contractor fails to take out and/or maintain in effect the insurances referred to in GCC Sub-Clause 34.1, the Employer may take out and maintain in effect any such insurances and may from time to time deduct from any amount due the Contractor under the Contract any premium that the Employer shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Contractor. If the Employer fails to take out and/or maintain in effect the insurances referred to in GCC 34.5, the Contractor may take out and maintain in effect any such insurances and may from time to time deduct from any amount due the Employer under the Contract any premium that the Contractor shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Employer. If the Contractor fails to or is unable to take out and maintain in effect any such insurances, the Contractor shall nevertheless have no liability or responsibility towards the Employer, and the Contractor shall have full recourse against the Employer for any and all liabilities of the Employer herein.
		34.7	Unless otherwise provided in the Contract, the Contractor shall prepare and conduct all and any claims made under the policies effected by it pursuant to this GCC Clause 34, and all monies payable by any insurers shall be paid to the Contractor as per the procedure outlined in GCC Sub-Clause 34.8 below. The Employer shall give to the Contractor all such reasonable assistance as may be required by the Contractor. With respect to insurance claims in which the Employer's interest is involved, the Contractor shall not give any release or make any compromise with the insurer without the prior written consent of the Employer. With respect to insurance claims in which the Contractor's interest is involved, the Employer shall not give any release or make any compromise with the insurer without the prior written consent of the Contractor.
		34.8	(i) Wherever total damages/loss of equipment/material, would occur, the Contractor will be entitled to payment of all payments received from the underwriters except the following amounts: (a) The amount paid to the Contractor under the Contract in respect of equipment/material damaged/lost (excluding the pro-rata initial advance) but including the entire amount of escalation, if any, already paid to the Contractor. (b) Taxes and duties which have already been paid by the Employer. In the event the claim money settled, is less than the total of the amount in a & b above, then the entire claim money settled will be retained by the Employer and the Contractor will forthwith pay the

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			Employer the short fall amount between the claim money and the total of amounts as per a & b mentioned above. Subsequent payments, if any, due under the Contract shall be regulated by the relevant terms of payment. (ii) In case of damage to any equipment/material during any stage, the Contractor upon rectification of the damaged equipment to the satisfaction of the Employer shall be paid to the extent of full claims settled by the underwriters.
35.	Unforeseen Conditions		
		35.1	If, during the execution of the Contract, the Contractor shall encounter on the Site any physical conditions (other than climatic conditions) or artificial obstructions that could not have been reasonably foreseen prior to the date of the Contract Agreement by an experienced contractor on the basis of reasonable examination of the data relating to the Facilities (including any data as to boring tests) provided by the Employer, and on the basis of information that it could have obtained from a visual inspection of the Site (if access thereto was available) or other data readily available to it relating to the Facilities, and if the Contractor determines that it will in consequence of such conditions or obstructions incur additional cost and expense or require additional time to perform its obligations under the Contract that would not have been required if such physical conditions or artificial obstructions had not been encountered, the Contractor shall promptly, and before performing additional work or using additional Plant and Equipment or Contractor's Equipment, notify the Project Manager in writing of (a) the physical conditions or artificial obstructions on the Site that could not have been reasonably foreseen (b) the additional work and/or Plant and Equipment and/or Contractor's Equipment required, including the steps which the Contractor will or proposes to take to overcome such conditions or obstructions (c) the extent of the anticipated delay (d) the additional cost and expense that the Contractor is likely to incur. On receiving any notice from the Contractor under this GCC Sub-Clause 35.1, the Project Manager shall promptly consult with the Employer and Contractor and decide upon the actions to be taken to overcome the physical conditions or artificial obstructions encountered. Following such consultations, the Project Manager shall instruct the Contractor, with a copy to the Employer, of the actions to be taken.
		35.2	Any reasonable additional cost and expense incurred by the Contractor in following the instructions from the Project Manager to overcome such physical conditions or artificial obstructions referred to in GCC Sub-Clause 35.1 shall be paid by the Employer to the Contractor as an addition to the Contract Price.
		35.3	If the Contractor is delayed or impeded in the performance of the Contract because of any such physical conditions or artificial obstructions referred

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			to in GCC Sub-Clause 35.1, the Time for Completion shall be extended in accordance with GCC Clause 40 (Extension of Time for Completion).
36.	Change in Laws and Regulations		
		36.1	If, after the date seven (7) days prior to the deadline set for Price Bid submission, in the country where the Site is located, any law, regulation, ordinance, order or by-law having the force of law is enacted, promulgated, abrogated or changed (which shall be deemed to include any change in interpretation or application by the competent authorities) that subsequently affects the costs and expenses of the Contractor and/or the Time for Completion, the Contract Price shall be correspondingly increased or decreased, and/or the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been affected in the performance of any of its obligations under the Contract. However, these adjustments shall not be applicable on procurement of raw materials, intermediary components, and intermediary services etc. by the Contractor. Notwithstanding the foregoing, such additional or reduced costs shall not be separately paid or credited if the same has already been accounted for in the price adjustment provisions where applicable, in accordance with the Appendix 2 to the Contract Agreement.
37.	Force Majeure		
		37.1	"Force Majeure" shall mean any event beyond the reasonable control of the Employer or of the Contractor, as the case may be, and which is unavoidable notwithstanding the reasonable care of the party affected.
		37.2	If either party is prevented, hindered or delayed from or in performing any of its obligations under the Contract by an event of Force Majeure, then it shall notify the other in writing of the occurrence of such event and the circumstances thereof within fourteen (14) days after the occurrence of such event.
		37.3	The party who has given such notice shall be excused from the performance or punctual performance of its obligations under the Contract for so long as the relevant event of Force Majeure continues and to the extent that such party's performance is prevented, hindered or delayed. The Time for Completion shall be extended in accordance with GCC Clause 40 (Extension of Time for Completion).
		37.4	The party or parties affected by the event of Force Majeure shall use reasonable efforts to mitigate the effect thereof upon its or their performance of the Contract and to fulfil its or their obligations under the Contract, but without prejudice to either party's right to terminate the Contract under GCC Sub-Clauses 37.6 and 38.5.
		37.5	No delay or non-performance by either party hereto caused by the occurrence of any event of Force Majeure shall (a) constitute a default or breach of the Contract (b) (subject to GCC Sub-Clauses 32.2, 38.3 and 38.4) give rise to any claim for damages or additional cost or expense occasioned thereby if and to the extent that such delay or non-performance is caused by the occurrence of an event of Force Majeure.

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		37.6	If the performance of the Contract is substantially prevented, hindered or delayed for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of one or more events of Force Majeure during the currency of the Contract, the parties will attempt to develop a mutually satisfactory solution, failing which the dispute shall be resolved in accordance with GCC Clause 6.
		37.7	Notwithstanding GCC Sub-Clause 37.5, Force Majeure shall not apply to any obligation of the Employer to make payments to the Contractor herein.
38.	War Risks		
		38.1	"War Risks" shall mean any of the following events occurring or existing in or near the country (or countries) where the Site is located: (a) war, hostilities or warlike operations (whether a state of war is declared or not), invasion, act of foreign enemy and civil war (b) rebellion, revolution, insurrection, mutiny, usurpation of civil or military government, conspiracy, riot, civil commotion and terrorist acts, and (c) any explosion or impact of any mine, bomb, shell, grenade or other projectile, missile, munitions or explosive of war.
		38.2	Notwithstanding anything contained in the Contract, the Contractor shall have no liability whatsoever for or with respect to (a) destruction of or damage to Facilities, Plant & Equipment, or any part thereof (b) destruction of or damage to property of the Employer or any third party (c) injury or loss of life if such destruction, damage, injury or loss of life is caused by any War Risks, and the Employer shall indemnify and hold the Contractor harmless from and against any and all claims, liabilities, actions, lawsuits, damages, costs, charges or expenses arising in consequence of or in connection with the same.
		38.3	If the Facilities or any Plant and Equipment or Contractor's Equipment or any other property of the Contractor used or intended to be used for the purposes of the Facilities shall sustain destruction or damage by reason of any War Risks, the Employer shall pay the Contractor for (a) any part of the Facilities or the Plant and Equipment so destroyed or damaged (to the extent not already paid for by the Employer) (b) replacing or making good any Contractor's Equipment or other property of the Contractor so destroyed or damaged so far as may be required by the Employer, and as may be necessary for completion of the Facilities, (c) replacing or making good any such destruction or damage to the Facilities or the Plant and Equipment or any part thereof.

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			If the Employer does not require the Contractor to replace or make good any such destruction or damage to the Facilities, the Employer shall either request a change in accordance with GCC Clause 39 (Change in the Facilities), excluding the performance of that part of the Facilities thereby destroyed or damaged or, where the loss, destruction or damage affects a substantial part of the Facilities, shall terminate the Contract, pursuant to GCC Sub-Clause 42.1 (Termination for Employer's Convenience).
		38.4	Notwithstanding anything contained in the Contract, the Employer shall pay the Contractor for any increased costs or incidentals to the execution of the Contract that are in any way attributable to, consequent on, resulting from, or in any way connected with any War Risks, provided that the Contractor shall as soon as practicable notify the Employer in writing of any such increased cost.
		38.5	If during the performance of the Contract any War Risks shall occur that financially or otherwise materially affect the execution of the Contract by the Contractor, the Contractor shall use its reasonable efforts to execute the Contract with due and proper consideration given to the safety of its and its Subcontractors' personnel engaged in the work on the Facilities, provided, however, that if the execution of the work on the Facilities becomes impossible or is substantially prevented for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of any War Risks, the parties will attempt to develop a mutually satisfactory solution, failing which the dispute will be resolved in accordance with GCC Clause 6.
		38.6	In the event of termination pursuant to GCC Sub-Clauses 38.3, the rights and obligations of the Employer and the Contractor shall be specified in GCC Sub-Clauses 42.1.2 and 42.1.3, except that the Contractor shall have no entitlement to profit under paragraph (e) of GCC Sub-Clause 42.1.3 in respect of any unexecuted Facilities as of the date of termination.
H. Change in Contract Elements			
39.	Change In The Facilities		
		39.1	Introducing a Change 39.1.1 The Employer shall have the right to propose, and subsequently require, that the Project Manager order the Contractor from time to time during the performance of the Contract to make any change, modification, addition or deletion to, in or from the Facilities (hereinafter called "Change"), provided that such Change falls within the general scope of the Facilities and does not constitute unrelated work and that it is technically practicable, taking into account both the state of advancement of the Facilities and the technical compatibility of the Change envisaged with the nature of the Facilities as specified in the Contract . 39.1.2 The Contractor may from time to time during its performance of the Contract propose to the Employer (with a copy to the Project Manager) any Change that the Contractor considers necessary or desirable to improve the quality, efficiency or safety of the

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			Facilities. The Employer may at its discretion approve or reject any Change proposed by the Contractor. 39.1.3 Notwithstanding GCC Sub-Clauses 39.1.1 and 39.1.2, no change made necessary because of any default of the Contractor in the performance of its obligations under the Contract shall be deemed to be a Change, and such change shall not result in any adjustment of the Contract Price or the Time for Completion. 39.1.4 The procedure on how to proceed with and execute Changes is specified in GCC Sub-Clauses 39.2 and 39.3.
		39.2	Changes Originating from Employer 39.2.1 If the Employer proposes a Change pursuant to GCC Sub-Clause 39.1.1, it shall send to the Contractor a "Request for Change Proposal," requiring the Contractor to prepare and furnish to the Project Manager as soon as reasonably practicable a "Change Proposal," which shall include the following: (a) brief description of the Change (b) effect on the Time for Completion (c) estimated cost of the Change (d) effect on Functional Guarantees (if any) (e) effect on any other provisions of the Contract. 39.2.2 The pricing of any change shall, as far as practicable, be calculated in accordance with the rates and prices included in the Contract. If the rates and prices of any change are not available in the Contract, the parties thereto shall agree on specific rates for the variation of the change. 39.2.3 If before or during the preparation of the Change Proposal it becomes apparent that the aggregate effect of compliance therewith and with all other Change Orders that have already become binding upon the Contractor under this GCC Clause 39 would be to increase or decrease the Contract Price as originally set forth in Article 2 (Contract Price) of the Contract Agreement by more than fifteen (15) percent, the Contractor may give a written notice of objection thereto prior to furnishing the Change Proposal as aforesaid. If the Employer accepts the Contractor's objection, the Employer and the Contractor shall agree on specific rates for valuation of the change. 39.2.4 Upon receipt of the Change Proposal, the Employer and the Contractor shall mutually agree upon all matters therein contained including agreement on rates if such rates are not available in the Contract or if the limit of 15% set forth in Clause 39.2.3 has been exceeded. Within fourteen (14) days after such agreement, the Employer shall, if it intends to proceed with the Change, issue the Contractor with a Change Order. If the Employer is unable to reach a decision within fourteen (14) days, it shall notify the Contractor with details of when the Contractor can expect a decision.

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			If the Employer decides not to proceed with the Change for whatever reason, it shall, within the said period of fourteen (14) days, notify the Contractor accordingly. 39.2.5 If the Employer and the Contractor cannot reach agreement on the price for the Change, an equitable adjustment to the Time for Completion, or any other matters identified in the Change Proposal, the Employer may nevertheless instruct the Contractor to proceed with the Change by issue of a "Pending Agreement Change Order." Upon receipt of a Pending Agreement Change Order, the Contractor shall immediately proceed with effecting the Changes covered by such Order. The parties shall thereafter attempt to reach agreement on the outstanding issues under the Change Proposal. If the parties cannot reach agreement within sixty (60) days from the date of issue of the Pending Agreement Change Order, then the matter may be referred to the Expert Settlement Council (ESC) in accordance with the provisions of GCC Sub-Clause 6.4.1.
		39.3	Changes Originating from Contractor 39.3.1 If the Contractor proposes a Change pursuant to GCC Sub-Clause 39.1.2, the Contractor shall submit to the Project Manager a written "Application for Change Proposal," giving reasons for the proposed Change and including the information specified in GCC Sub-Clause 39.2.1. Upon receipt of the Application for Change Proposal, the parties shall follow the procedures outlined in GCC Sub-Clauses 39.2.4 and 39.2.5.
40.	Extension of Time for Completion		
		40.1	The Time(s) for Completion specified in the SCC shall be extended if the Contractor is delayed or impeded in the performance of any of its obligations under the Contract by reason of any of the following: (a) any Change in the Facilities as provided in GCC Clause 39 (Change in the Facilities) (b) any occurrence of Force Majeure as provided in GCC Clause 37 (Force Majeure), unforeseen conditions as provided in GCC Clause 35 (Unforeseen Conditions), or other occurrence of any of the matters specified or referred to in paragraphs (a), (b) and (c) of GCC Sub-Clause 32.2 (c) any suspension order given by the Employer under GCC Clause 41 (Suspension) hereof or reduction in the rate of progress pursuant to GCC Sub-Clause 41.2 or (d) any changes in laws and regulations as provided in GCC Clause 36 (Change in Laws and Regulations) or (e) any default or breach of the Contract by the Employer, specifically including failure to supply the items listed in Appendix 6 (Scope of Works and Supply by the Employer) to the Contract Agreement, or

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			any activity, act or omission of any other contractors employed by the Employer or failure to give possession of site under GCC Clause 10.2. (f) any other matter specifically mentioned in the Contract; by such period as shall be fair and reasonable in all the circumstances and as shall fairly reflect the delay or impediment sustained by the Contractor.
		40.2	Except where otherwise specifically provided in the Contract, the Contractor shall submit to the Project Manager a notice of a claim for an extension of the Time for Completion, together with particulars of the event or circumstance justifying such extension as soon as reasonably practicable after the commencement of such event or circumstance. As soon as reasonably practicable after receipt of such notice and supporting particulars of the claim, the Employer and the Contractor shall agree upon the period of such extension. In the event that the Contractor does not accept the Employer's estimate of a fair and reasonable time extension, the Contractor shall be entitled to refer the matter to the Expert Settlement Council (ESC), pursuant to GCC Sub-Clause 6.4.1.
		40.3	The Contractor shall at all times use its reasonable efforts to minimize any delay in the performance of its obligations under the Contract.
		40.4	Documents for Consideration of Time Extension The following documents shall form the principal basis for consideration of Time Extension pursuant to GCC clause 40 with or without LD, levy of liquidated damages pursuant to GCC clause 26 and settlement of extra claims during the execution of contract: 1. The joint recordings in "Hindrance Register" and "Weekly Review Register". 2. Records of Technical Coordination Meetings. 3. Records of Contract Review meetings. 4. Written notices issued by the "Project Manager" or his authorized representative to Contractor in the relevant period.
41.	Suspension		
		41.1	The Employer/ Project Manager may, by notice to the Contractor, order the Contractor to suspend performance of any or all of its obligations under the Contract. Such notice shall specify the obligation of which performance is to be suspended, the effective date of the suspension and the reasons therefor. The Contractor shall thereupon suspend performance of such obligation (except those obligations necessary for the care or preservation of the Facilities) until ordered in writing to resume such performance by the Project Manager/ Employer. If, by virtue of a suspension order given by the Project Manager/Employer, other than by reason of the Contractor's default or breach of the Contract, the Contractor's performance of any of its obligations is suspended for an aggregate period of more than ninety (90) days, then at any time thereafter and provided that at that time such performance is still suspended, the Contractor may give a notice to the Project Manager

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			requiring that the Employer shall, within twenty-eight (28) days of receipt of the notice, order the resumption of such performance or request and subsequently order a change in accordance with GCC Clause 39 (Change in the Facilities), excluding the performance of the suspended obligations from the Contract. If the Employer fails to do so within such period, the Contractor may, by a further notice to the Project Manager, elect to treat the suspension, where it affects a part only of the Facilities, as a deletion of such part in accordance with GCC Clause 39 (Change in the Facilities) or, where it affects the whole of the Facilities, as termination of the Contract under GCC Sub-Clause 42.1 (Termination for Employer's Convenience).
		41.2	If (a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to Appendix 1 (Terms and Procedures of Payment) to the Contract Agreement, or commits a substantial breach of the Contract, the Contractor may give a notice to the Employer that requires payment of such sum, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, or fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice or (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas in accordance with GCC Sub-Clause 10.2, or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities; then the Contractor may by fourteen (14) days' notice to the Employer suspend performance of all or any of its obligations under the Contract, or reduce the rate of progress.
		41.3	If the Contractor's performance of its obligations is suspended or the rate of progress is reduced pursuant to this GCC Clause 41, then the Time for Completion shall be extended in accordance with GCC Sub-Clause 40.1, and any and all additional costs or expenses incurred by the Contractor as a result of such suspension or reduction shall be paid by the Employer to the Contractor in addition to the Contract Price, except in the case of suspension order or reduction in the rate of progress by reason of the Contractor's default or breach of the Contract. The Bank Guarantee Charges and Insurance Charges for the extended period shall be reimbursed at actuals based on the written request of Contractor. The reimbursement of BG charges shall be made on the basis of

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			documentary evidence submitted by the Contractor (such as debit advice of Bank) along with a Certificate from the issuing Bank, as per the format enclosed in Section-VII (Forms & Procedures). Further, the reimbursement of Insurance charges shall also be made on the basis of documentary evidence submitted by the Contractor. In addition, the Contractor should obtain Insurance Policy directly from the Insurance Company and not through Brokers. The aforesaid reimbursement of Bank Guarantee Charges and Insurance Charges shall be inclusive of GST.
		41.4	During the period of suspension, the Contractor shall not remove from the Site any Plant and Equipment, any part of the Facilities or any Contractor's Equipment, without the prior written consent of the Employer.
42.	Termination		
		42.1	Termination for Employer's Convenience 42.1.1 The Employer may at any time terminate the Contract for any reason by giving the Contractor a notice of termination that refers to this GCC Sub-Clause 42.1. 42.1.2 Upon receipt of the notice of termination under GCC Sub-Clause 42.1.1, the Contractor shall either immediately or upon the date specified in the notice of termination (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) (ii) below (c) remove all Contractor's Equipment from the Site, repatriate the Contractor's and its Subcontractors' personnel from the Site, remove from the Site any wreckage, rubbish and debris of any kind, and leave the whole of the Site in a clean and safe condition. (d) In addition, the Contractor, subject to the payment specified in GCC Sub-Clause 42.1.3, shall (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant and Equipment as at the date of termination, and, as may be required by the Employer,

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			in any subcontracts concluded between the Contractor and its Subcontractors (iii) deliver to the Employer all non-proprietary drawings, specifications and other documents prepared by the Contractor or its Subcontractors as at the date of termination in connection with the Facilities. 42.1.3 In the event of termination of the Contract under GCC Sub-Clause 42.1.1, the Employer shall pay to the Contractor the following amounts: (a) the Contract Price, properly attributable to the parts of the Facilities executed by the Contractor as of the date of termination (b) the costs reasonably incurred by the Contractor in the removal of the Contractor's Equipment from the Site and in the repatriation of the Contractor's and its Subcontractors' personnel (c) any amounts to be paid by the Contractor to its Subcontractors in connection with the termination of any subcontracts, including any cancellation charges (d) costs incurred by the Contractor in protecting the Facilities and leaving the Site in a clean and safe condition pursuant to paragraph (a) of GCC Sub-Clause 42.1.2 (e) the cost of satisfying all other obligations, commitments and claims that the Contractor may in good faith have undertaken with third parties in connection with the Contract and that are not covered by paragraphs (a) through (d) above.
		42.2	Termination for Contractor's Default 42.2.1 The Employer, without prejudice to any other rights or remedies it may possess, may terminate the Contract forthwith in the following circumstances by giving a notice of termination and its reasons therefor to the Contractor, referring to this GCC Sub-Clause 42.2: (a) if the Contractor becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, if the Contractor is a corporation, a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Contractor takes or suffers any other analogous action in consequence of debt (b) if the Contractor assigns or transfers the Contract or any right or interest therein in violation of the provision of GCC Clause 43 (Assignment).

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			(c) if the Contractor, in the judgement of the Employer has engaged in corrupt or fraudulent practices in competing for or in executing the Contract. For the purpose of this Sub-Clause : "corrupt practice" means the offering, giving, receiving or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution. "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Employer and includes collusive practice among Bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Employer of the benefits of free and open competition. (d) If the Contractor, sub-contracts any part of the works in violation of the provision of GCC Clause 19.4. 42.2.2 If the Contractor (a) has abandoned or repudiated the Contract (b) has without valid reason failed to commence work on the Facilities promptly or has suspended (other than pursuant to GCC Sub-Clause 41.2) the progress of Contract performance for more than twenty-eight (28) days after receiving a written instruction from the Employer to proceed (c) persistently fails to execute the Contract in accordance with the Contract or persistently neglects to carry out its obligations under the Contract without just cause (d) refuses or is unable to provide sufficient materials, services or labour to execute and complete the Facilities in the manner specified in the program furnished under GCC Clause 18 (Program of Performance) at rates of progress that give reasonable assurance to the Employer that the Contractor can attain Completion of the Facilities by the Time for Completion as extended then the Employer may, without prejudice to any other rights it may possess under the Contract, give a notice to the Contractor stating the nature of the default and requiring the Contractor to remedy the same. If the Contractor fails to remedy or to take steps to remedy the same within fourteen (14) days of its receipt of such notice, then the Employer may terminate the Contract forthwith by giving a notice of termination to the Contractor that refers to this GCC Sub-Clause 42.2. 42.2.3 Upon receipt of the notice of termination under GCC Sub-Clauses 42.2.1 or 42.2.2, the Contractor shall, either immediately or upon such date as is specified in the notice of termination, (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole

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			purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) below (c) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination (d) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Works and to the Plant and Equipment as at the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors (e) deliver to the Employer all drawings, specifications and other documents prepared by the Contractor or its Subcontractors as at the date of termination in connection with the Facilities. 42.2.4 The Employer may enter upon the Site, expel the Contractor, and complete the Facilities itself or by employing any third party. The Employer may, to the exclusion of any right of the Contractor over the same, take over and use with the payment of a fair rental rate to the Contractor, with all the maintenance costs to the account of the Employer and with an indemnification by the Employer for all liability including damage or injury to persons arising out of the Employer's use of such equipment, any Contractor's Equipment owned by the Contractor and on the Site in connection with the Facilities for such reasonable period as the Employer considers expedient for the supply and installation of the Facilities. Upon completion of the Facilities or at such earlier date as the Employer thinks appropriate, the Employer shall give notice to the Contractor that such Contractor's Equipment will be returned to the Contractor at or near the Site and shall return such Contractor's Equipment to the Contractor in accordance with such notice. The Contractor shall thereafter without delay and at its cost remove or arrange removal of the same from the Site. 42.2.5 Subject to GCC Sub-Clause 42.2.6, the Contractor shall be entitled to be paid the Contract Price attributable to the Facilities executed as at the date of termination, the value of any unused or partially used Plant and Equipment on the Site, and the costs, if any, incurred in protecting the Facilities and in leaving the Site in a clean and safe condition pursuant to paragraph (a) of GCC Sub-Clause 42.2.3. Any sums due to the Employer from the Contractor accruing prior to the date of termination shall be deducted from the amount to be paid to the Contractor under this Contract. 42.2.6 If the Employer completes the Facilities, the cost of completing the Facilities by the Employer shall be determined. If the sum that the Contractor is entitled to be paid, pursuant to GCC Sub-Clause 42.2.5, plus the reasonable costs incurred by

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			the Employer in completing the Facilities, exceeds the Contract Price, the Contractor shall be liable for such excess. If such excess is greater than the sums due to the Contractor under GCC Sub-Clause 42.2.5, the Contractor shall pay the balance to the Employer, and if such excess is less than the sums due to the Contractor under GCC Sub-Clause 42.2.5, the Employer shall pay the balance to the Contractor. The Employer and the Contractor shall agree, in writing, on the computation described above and the manner in which any sums shall be paid.
		42.3	Termination by Contractor
			42.3.1 If (a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to Appendix 1 (Terms and Procedures of Payment) of the Contract Agreement, or commits a substantial breach of the Contract, the Contractor may give a notice to the Employer that requires payment of such sum, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice, or (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities which the Employer is required to obtain as per provision of the Contract or as per relevant applicable laws of the country, then the Contractor may give a notice to the Employer thereof, and if the Employer has failed to pay the outstanding sum, to approve the invoice or supporting documents, to give its reasons for withholding such approval, or to remedy the breach within twenty-eight (28) days of such notice, or if the Contractor is still unable to carry out any of its obligations under the Contract for any reason attributable to the Employer within twenty-eight (28) days of the said notice, the Contractor may by a further notice to the Employer referring to this GCC Sub-Clause 42.3.1, forthwith terminate the Contract. 42.3.2 The Contractor may terminate the Contract forthwith by giving a notice to the Employer to that effect, referring to this GCC Sub-Clause 42.3.2, if the Employer becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, being a corporation, if a resolution is passed or

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			order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Employer takes or suffers any other analogous action in consequence of debt. 42.3.3 If the Contract is terminated under GCC Sub-Clauses 42.3.1 or 42.3.2, then the Contractor shall immediately (a) cease all further work, except for such work as may be necessary for the purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d)(ii) (c) remove all Contractor's Equipment from the Site and repatriate the Contractor's and its Subcontractor's personnel from the Site (d) In addition, the Contractor, subject to the payment specified in GCC Sub-Clause 42.3.4, shall (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant and Equipment as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors (iii) deliver to the Employer all drawings, specifications and other documents prepared by the Contractor or its Subcontractors as of the date of termination in connection with the Facilities. 42.3.4 If the Contract is terminated under GCC Sub-Clauses 42.3.1 or 42.3.2, the Employer shall pay to the Contractor all payments specified in GCC Sub-Clause 42.1.3 and reasonable compensation for all loss or damage sustained by the Contractor arising out of, in connection with or in consequence of such termination. 42.3.5 Termination by the Contractor pursuant to this GCC Sub-Clause 42.3 is without prejudice to any other rights or remedies of the Contractor that may be exercised in lieu of or in addition to rights conferred by GCC Sub-Clause 42.3.
		42.4	In this GCC Clause 42, the expression "Facilities executed" shall include all work executed, Installation Services provided, any or all Plant and Equipment acquired (or subject to a legally binding obligation to purchase) by the Contractor and used or intended to be used for the purpose of the Facilities, up to and including the date of termination.
		42.5	In this GCC Clause 42, in calculating any monies due from the Employer to the Contractor, account shall be taken of any sum previously paid by

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			the Employer to the Contractor under the Contract, including any advance payment paid pursuant to Appendix 1 (Terms and Procedures of Payment) to the Contract Agreement.
43.	Assignment		
		43.1	The Contractor shall not, without the express prior written consent of the Employer, assign to any third party the Contract or any part thereof, or any right, benefit, obligation or interest therein or thereunder, except that the Contractor shall be entitled to assign either absolutely or by way of charge any monies due and payable to it or that may become due and payable to it under the Contract.
			I. Other Conditions
44.	Contractor Performance Feedback and Evaluation System		
			The Employer has in place an established 'Contractor Performance and Feedback System' against which the Contractor's performance during the execution of Contract shall be evaluated on a continuous basis at regular intervals on the following seven parameters: • Engineering & Quality Assurance Capability • Finance • Supply • Construction/ Installation • Field Quality • Safety • Claims & Disputes The score-based feedback formats based on which Contractor's performance shall be evaluated is enclosed at Annexure-A. In case the performance of the Contractor is found unsatisfactory, the Contractor shall be considered ineligible for participating in future tenders for two years. On completion of the above ineligibility period, the Contractor would be required to submit a request to Employer/NTPC for participating in future tenders specifying the measures taken to improve their performance. The Contractor may also request for early revocation of suspension after completion of at least one (1) year of the suspension period. On receipt of such request, the performance of the Contractor shall be assessed/evaluated by Employer/NTPC and if the performance is found to be satisfactory, the Contractor shall be considered eligible for participation in future tenders.
45.	Fraud Prevention Policy		

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			The contractor along with their associate / collaborator / subcontractors / sub-vendors / consultants / service providers shall strictly adhere to the Fraud Prevention policy of the Employer displayed on its tender website http://www.ntpc.co.in / http://www.nvvn.co.in . The Contractor along with their associate / collaborator / subcontractors / sub-vendors / consultants / service providers shall observe the highest standard of ethics and shall not indulge or allow anybody else working in their organization to indulge in fraudulent activities during execution of the contract. The contractor shall immediately apprise the Employer about any fraud or suspected fraud as soon as it comes to their notice.
46.	Debarment		
			The Employer has in place a Policy for Debarment from Business Dealings displayed on the website www.ntpc.co.in / ntpc.co.in / http://www.nvvn.co.in . The version of Policy presently followed by NTPC is as mentioned in Special Conditions of Contract (SCC) of the Bidding Documents. Business dealings may be withheld or banned with the Contractor on account of any Default by the Contractor under GCC Clause 42.2.1 & 42.2.2 or any of the grounds as detailed in the said Debarment Policy.
47	Integrity Pact - Not Applicable		
48	Independent External monitors		
			Not Applicable
49	Contractor's Labour Information Management System (CLIMS)		
		49.1	(a) The Contractor has to necessarily get itself registered in the Contractor's Labour Information Management System (CLIMS), which will be installed by the Employer. (b) The entry and exit of all contract labour to the plant premises will be through Gate Access Control System of above 'Contractor's Labour Information Management System'. (c) It will be responsibility of the Contractor to ensure timely exit of all labours from the plant premises after completion of job of that day. (d) The Contractor has to abide with all the statutory compliance applicable to its workers and employees and update the details of the same in the above system.
50	No Claim for interest or damage		
		50.1	Interest on money due to the contractor: Contractor shall not be entitled to any interest or damage in case of any delay on the part of the Employer to pay the amount due upon measurement or as per Contract or otherwise. Contractor shall also not be entitled to interest upon any guarantee/ security/ retention money or payments in arrears or upon any balance which may on the final settlement of his account be due to him.

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		50.2	No claim for interest or damage: No claim for interest or damage will be entertained or be payable by the Employer in respect of any amount or balance which may be lying with the Employer or may become due upon settlement/adjudication of any dispute, difference or misunderstanding between the parties by way of arbitration or court proceedings or otherwise or in respect of any delay or omission on the part of the Employer in making intermediate or final payment or in respect of any amount/damage which may be claimed through arbitration or court proceedings or in any other respect whatsoever.

51	Human Resources	51.1	The Contractor for the purpose of the Contract shall engage / employ adequate number of key personnel in all areas such as design, engineering, construction, installation, planning, scheduling and carrying out of all maintenance of his plant and equipment, safety and competent and skilled work force as directed by the Project Manager. The Project Manager will approve any proposed replacement of such key personnel including work force only if their qualifications, experience, competence and capabilities are substantially equal to or better than those personnel originally identified and approved by the Project Manager.
		51.2	The Project Manager may require the Contractor to remove from Site of Works or from any other area of Work related to the Contract, any member of the Contractor personnel or work force who (i) Persists in any misconduct or lack of care (ii) Performs his duties incompetently or negligently or otherwise carelessly (iii) Fails to conform with any provisions of the Contract or (iv) Persists in any conduct which is prejudicial to the safety, health or protection of the Work and environment.
		51.3	If appropriate, the Contractor shall appoint a suitable replacement within fourteen (14) days or within such period as may be agreed between the Project Manager and Contractor. The Contractor shall unless otherwise provided in the Contract, make his own arrangement for engagement of all staff and labor, local or otherwise and for their payment, housing, transport, lodging and welfare as may be required by law and or by industry practice. The Contractor shall provide the Project Manager a return in detail in such form and at such intervals as he may reasonably prescribe showing the staff and number of the several classes of labour and other staff from time to time employed by the Contractor at Site or in connection with the Work along with such information as the Project Manager may reasonably require.

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		51.4	Labor laws and Regulations and compliance thereof 51.4.1 During the entire period of Contract, the Contractor and his Sub-Contractors shall, at all times abide by all existing labor enactments, rules made therein, regulations, notifications and bye-laws by the appropriate government, local authority or any other labor laws or notification that may be issued under any labor law prevailing as on the date seven (7) days prior to the date set for opening of the Techno-Commercial Bids, published by the State or Central Government or Local Authorities. An illustrative list of applicable acts, notifications, rules etc. in connection with the labor as applicable as mentioned subsequently at para 51.4.8 in GCC. This list is not in any way exhaustive and shall not absolve the Contractor from any of his liabilities or responsibilities in compliance with any other laws, regulations, notifications that may be in force during the tenure of Contract. 51.4.2 The Contractor and his Sub-Contractors shall indemnify the Employer, from any action taken against the Employer by any competent authority in connection with the enforcement of the applicable laws, regulations, notifications, on account of contravention of any of the provisions therein, including amendments thereto. If the Employer is caused to pay or otherwise made liable, such amounts as may be necessary for non-observance of the provisions stipulated in the laws, rules, notifications including amendments, if any on the part of the Contractor and/or his Sub-Contractors, the Project Manager / Employer shall have the right to deduct any such money from any amount due to the Contractor including his performance security, under the Contract. The Employer shall also have the right to recover from the Contractor any sum required or estimated as required for making good any loss or damage suffered / likely to be suffered by the Employer, on this account. 51.4.3 If due to an enactment of any new Act or Statute and rules made thereunder or any modification to the Acts/ Statute or rules made thereunder, all after seven (7) days prior to the date set for opening of the Techno-Commercial Bids and as a consequence thereof, the Contractor has to incur additional cost or expenditure, the same will be reimbursed by the Employer to the Contractor, excepting those due to reasons attributable to the Contractor and those being already compensated by other provisions of the Contract, like Price Adjustment, Taxes and Duties etc. 51.4.4 It is specifically agreed that the Contractor and his Sub-Contractors shall obtain all the necessary registration, licenses, permits, authorizations etc. required under various enactments/ Regulations enforced from time to time, specifically registration as employer under Provident Fund Act and Contract Labor Regulation & Abolition Act, and the Employer shall not be liable for any violation by the Contractor in this regard. 51.4.5 The employees of the Contractor or his Sub-Contractor(s) shall in no case be treated as the employees of the Employer at any point of time.

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			51.4.6 The Contractor and his Sub-Contractors shall be liable to make all due payments to all their employees and ensure compliance with labor laws. If the Employer, is held liable as 'PRINCIPAL EMPLOYER' or otherwise to incur any expenditure or to make any contributions under any legislation of the Government or Court decision, in respect of the employees of the Contractor or his Sub-Contractors, then the Contractor would reimburse the amounts of such expenditure/contribution so made by the Employer. 51.4.7 In case the ESI act is not applicable to the area where the Work is executed, as evidenced by the Certificate/Letter submitted to this effect from the local authorities, the Contractor shall be liable to arrange and pay for the expenses towards the medical treatment in respect of all labor employed by him for the execution of the Contract. 51.4.8 The number and other relevant details of key personnel required to be engaged/ employed by the Contractor in all areas shall be finalized with the successful bidder during post bid discussions (if required). During the entire period of Contract, the Contractor and his Sub-Contractors shall, at all times abide by the following Acts/ Statutes related to Human Resources: 1. Factories Act, 1948; Contract Labor (Regulation & Abolition) Act, 1970; 2. EPF & MP Act, 1952; 3. Building & Other Construction Workers (Regulation of Employment & Conditions of Service) Act, 1996; 4. ESI Act, 1948; 5. Minimum Wages Act, 1948; 6. Payment of Wages Act, 1936; 7. Payment of Bonus Act, 1965; 8. Payment of Gratuity Act, 1972; 9. Workmen's Compensation Act, 1923; 10. ID Act, 1947; 11. Maternity Benefit Act, 1961; 12. Inter-State Migrant Workmen (Regulation of Employment & Conditions of Service) Act, 1979; 13. Fatal Accidents Act, 1855 14. Model Welfare Code The above will deem to include all relevant/applicable rules made thereunder, regulations, notifications and bye laws of the State or Central Govt. or the local authority and any other labor law (including rules) regulations, bye laws as well as those that may be passed or notification that may be issued under any labor law present and in future either by State or Central Govt. or by local authority.

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			quarried or mined metal, minerals or minor minerals are paid to the statutory authorities. 58.2.2 The component of Royalties or Seigniorage Fee or Cess or other charges, if applicable in a running account bill, shall only be released by the Employer to the Contractor on submission of the following documents in original: A) In case the Contractor is the primary license holder of the quarry / mines: i) Vehicle wise challan / transit permit and proof of payment of royalty, and ii) Any other document required as per the relevant Acts/Rules of the concerned state. B) In case the Contractor is the purchaser of soil/earth, sand, stone/aggregates, metals, minerals or minor minerals: i) Purchase voucher and vehicle wise challan / transit permit and proof of payment of royalty, and ii) Any other document required as per the relevant Acts/Rules of the concerned state. 58.2.3 In case the Contractor fails to provide the required proof of royalty payment with the RA bill then an amount based on the prevailing rates of the royalty shall be retained from the respective RA bill, as security against royalty, which shall be refunded to the Contractor on submission of proof of royalty payment. 58.2.4 The Contractor shall pay and indemnify the Employer against any default in payment of Royalties or Seigniorage Fee or Cess or other charges by the Contractor or the agency from which the Contractor purchases soil/earth, sand, stone/aggregates, metals, minerals or minor minerals. 58.2.5 In the event of there being a statutory increase in the rates of royalty charges/fresh levy of royalty on materials, the same shall be reimbursed to the Contractor upon submission of original challan by him of having made the payments at revised rates. In the event of there being a decrease in such rates, the same shall be recovered from the Contractor. The base date for calculating the increase or decrease shall be the rate as on seven (7) days prior to the deadline set for Price Bid submission. The total reimbursement (positive or negative) as specified above, to be paid or recovered, shall however be calculated on the quantity of materials actually considered while making the royalty payments to the concerned authorities, or the theoretical consumption of these materials (calculated on the basis of the volume of concrete or fill accepted for payment), whichever is less, and on the basis of documentary evidence of Govt. Notification. However, the Contractor will settle claims, if any, on account of over charge by the State Authorities.

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59	Procedure for Contract Closing	59.1	The closing of the contract shall be effected after the warranty period/Defect Liability Period is successfully completed and the CPG of the Contractor is returned/ discharged.
		59.2	The thirteen (13) certificates (details as per Annexure-III to SCC), as per the proforma enclosed in Section VII (Forms and Procedures), shall be issued by the 'concerned departments of NTPC'/ 'Contractor', as applicable, and submitted to the concerned authority designated in NTPC for closing of Contracts.
		59.3	Both the Contractor and the Employer will make necessary efforts to complete the Contract Closing activities as per the timelines as mentioned at clause 59.2 above. It shall be the responsibility of the contractor to submit the drawings alongwith the reproducibles, QA documents, O&M Manuals, List of Spares, As Built drawings, deliverables, etc., as applicable, in a timely and sequential manner so that the contract closing activities are not delayed/impeded. The Employer shall also use its best endeavors to expedite all activities leading to successful closure of the contract. The Employer will review and approve the documents submitted by the Contractor in a timely and expeditious manner and the approvals shall not be unreasonably withheld.

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PERFORMANCE REPORT OF CONTRACTOR

GUIDELINES FOR FILLING THE FORMAT

- 1.0 The feedback shall be based on records, evidences and documents (hindrance register, DPR, monthly PRT MoM, contractor's MPR, etc). Due diligence shall be taken to capture the actual progress, hindrances, if any from the monthly progress report to be submitted by the concerned agency. As Daily Progress Report / Weekly Progress Report / Monthly Progress Report are key documents / inputs for Vendor Performance measurement. Non-submission of the aforesaid documents may also be reckoned as poor performance.
- 2.0 For measurement of contractor performance in various activities in supply, site execution etc, the Contractor shall submit quantified L-2 schedule within 3 months after scheduled completion of Basic Engg or 180 days from date of award, whichever is earlier. Based on the progress of detailed Engg, quantified L-2 shall be updated as and when required.
- 3.0 This vendor performance rating system is applicable for a particular package being executed by the report shall be prepared package wise and the screening committee may then take a final vendor. If the same vendor is executing multiple packages in a project or at number of NTPC/NVVN projects, the performance view for evaluating the overall performance of the vendor before initiating action for issuance of Notice for Withholding of business dealings with the concerned contractor, in case the performance is found unsatisfactory.

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

FORMAT FOR ENGG & QA SCORE

(TO BE FILLED IN BY NVVN)

S.No.	Parameters	Max Score (A)	Act % age w.r.t. sch (B)	Marks obtained (C) = (A)x(B)
(i)	%age of “ Approval ” category drgs/ docs submitted within submission schedule.	30		
(ii)	%age of “ Information ” category drgs/ docs submitted within submission schedule.	20		
(iii)	%age of drgs/docs approved within approval schedule (in Cat-I/IV)*	20		
(iv)	%age of drgs/docs approved within approval schedule (in Cat-II/IVR)*	20		
(v)	%age of Sub-vendor proposal for items identified in “DR” category & submitted within agreed schedule (i.e. 3 months prior to schedule date of ordering identified in L2)**	10		
	TOTAL	100		

* For (iii) & (iv) above - If all drawings/documents due for approval are approved in Cat-I/IV within approval schedule, then marks allocated against (iii) & (iv) above shall be clubbed for calculation purpose.

** In case no “DR” proposal is submitted and orders are placed on already approved vendors, then full marks shall be given for calculation purpose against item (v).

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

FORMAT FOR FINANCE SCORE

(TO BE FILLED IN BY NVVN ON MONTHLY BASIS DURING PRT MEETING)

S. no	Parameters	Max Score (A)	% Rating (B)	Marks Obtained (C) = (A) *(B)
(i)	Number of instances NVVN has to issue Comfort letters to sub-vendors for getting supplies.	25		
(ii)	Number of instances vendor has requested for advance against BG from NVVN (beyond contractual provision)	25		
(iii)	Number of instances of supply delay beyond 1 month after issuance of MDCC.	25		
(iv)	Number of instances of direct supply / diversion of materials / consumables by NVVN.	25		
	TOTAL	100		

*Performance to be captured by NVVN on monthly basis during PRT Meeting, based on details to be furnished by site P&S.

*(No instances = 100%

Up to 1 instance = 50%

more than 1 instances = 0%).

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

FORMAT FOR SUPPLY SCORE

(TO BE FILLED IN BY NVVN CONTRACTS)

S. no	Parameters	Max Score (A)	Actual w.r.t. schedule (B)	% L2	Marks Obtained (C) = (A) *(B)
(i)	Ordering of Bought out items as per approved L2 network*				
	Major Bought out items	20			
	Minor Bought out items	5			
	Number of instances of cancellation / changes of BoI orders (No instances = 100% Up to 1 instances = 50% more than 1 instances = 0%).	10			
(ii)	Supply of Main Equipment per approved L2 network	60			
(iii)	Supply of Mandatory spares as per approved L2 network	5			
	TOTAL	100			

*If Major & Minor Bought out items are not separately identified in L-2 network then both shall be clubbed into single line item with Max score of 25.

Note: Overall % of actual progress vis-à-vis L2 schedule in Col (B) shall be arrived in the following manner:

- (i) Let there be n type of Items/systems identified in L2/Quantified L2 schedule i.e. E1, E2, E3 En.
- (ii) Let % progress for each type of Item/system vis-à-vis L2 schedule be %E1, %E2, %E3.....%En.
- (iii) Overall % in Col (B) = (%E1+ %E2+ %E3.....+%En)/n

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

FORMAT FOR CONSTRUCTION/INSTALLATION SCORE

(TO BE FILLED IN BY NVVN)

S. no	Parameters	Max Score (A)	% of actual vis-à-vis L2 schedule (B)	Marks Obtained (C)=(A) *(B)
(i)	Physical progress i.e. Installation of equipment / item, Civil works (i.e. Excavation, RCC, Piling, etc), Structural Works (i.e. Structural Fabrication, Erection, etc) as per approved L2 network	95		
(ii)	Project Management Capability and resource Management by Vendor at site. (5 Negative marks per instance)			
	Number of instances of delay due to inadequate deployment of equipment and T&P, based on record maintained in hindrance register, monthly PRT MoM, contractor's MPR, etc.	(-)5		
	Number of instances of direct payment by NVVN to Contractor's sub-vendors to expedite supplies / services / the progress of work at site affected due to strike / delay in payments to labourers.	(-)5		
(iii)	Submission of Monthly Report in specified formats.	5		
	TOTAL	100		

Details of Area-wise performance is mentioned below:

Sr No.	Activities	Scope	L2 Finish Date	Actual Completed till L2 Finish	%age Comp
1	Excavation				
2	RCC				
3	Structural / Equipment Erection				
				Avg Comp %	

Note: For Physical Progress, overall % of actual progress vis-à-vis quantified L2 schedule in Col (B) shall be arrived in the following manner (Unit of measurement shall be as per approved BBU for respective activities):

(i) Let there be n category of works identified in L-2/Quantified L2 schedule i.e. W1, W2, W3 Wn.

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

- (ii) Let % progress for each category of work vis-à-vis L2 schedule be %W1, %W2, %W3.....%Wn.
- (iii) Overall %in Col (B) = (%W1+ %W2+ %W3.....+%Wn)/n

Note:

- All incidences shall be relevant to the current performance evaluation cycle.
- In case of delay in front (including construction drawings for civil packages and other inputs, if any) release by NVVN, measurement of delay in execution by the contractor shall be normalized proportionally.
- Contractor will have to submit monthly progress report capturing actual physical progress vis-a-vis L2 schedule and delay in hand over of front by NVVN, if any. In case of front delay the same has to be jointly signed by NVVN engineer & Vendor.

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

FORMAT FOR QUALITY SCORE

(TO BE FILLED IN BY NVVN)

S. no	Parameters	Max Score (A)	% Rating (B)	Marks Obtained (C)=(A) *(B)
(i)	Availability of Testing facilities (Available as per contractual requirement – 100% Not available – 0%)	25		
(ii)	Preventing recurrence of defects/complaints (up to 5 cases – 100%, Up to 10 cases – 40%, more than 10 cases – 0%)	25		
(iii)	Proper Storage & Preservation of Equipment/Material (Nil violation – 100%, Up to 1 case of violation – 40%, more than 1 cases of violation – 0%)	25		
(iv)	Deployment of Qualified Quality Officers/Manpower as per Contract (% deployment w.r.t. contractual requirement)	25		
	TOTAL	100		

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

FORMAT FOR SAFETY SCORE

(TO BE FILLED IN BY NVVN)

S. no	Parameters	Max Score (A)	% Rating (B)	Marks Obtained (C)=(A) *(B)
(i)	Having safety policy and approved Safety Assurance Plan (available as per requirement – 100%, Not-available – 0%)	10		
(ii)	Violation of safety requirement as per Safety Assurance Plan. (0 violation = 100% Upto 3 violations = 50% more than 3 = 0%)	20		
(iii)	No. of incidence of Fatal accidents due to contractor's negligence (0 incidence = 100% 1 incidence = 50% more than 1 cases, or multiple fatalities in one instance = 0%)	50		
(iv)	No. of incidence of Non-Fatal accidents due to contractor's negligence (0 incidence = 100% Up to 5 incidence = 50% more than 5 = 0%)	10		
(v)	Deployment of Qualified Safety Officers as per contract (% deployment w.r.t. contractual requirement)	10		
	TOTAL	100		

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

FORMAT FOR CLAIMS & DISPUTE SCORE

(TO BE FILLED IN BY NVVN)

S. no	Parameters	Max Score (A)	% Rating (B)	Marks Obtained (C)=(A) *(B)
(i)	No. of cases where Contractor stopped work on account of non-admittance/non settlement of claims (No case = 100% Upto 3 cases = 50% More than 3 cases = 0%)	70		
(ii)	No. of arbitration/legal cases resorted to by the Contractor (No case – 100%, otherwise 0%)	30		
	TOTAL	100		

ANNEXURE-A GENERAL CONDITION OF CONTRACT (GCC)

PROJECT	
PACKAGE	
CONTRACTOR	
PACKAGE AWARD DATE	
PACKAGE COMPLETION DATE	

Overall Performance Evaluation

S. No.	Parameters	Max Score (A)	Score Obtained (B)	Weightage (C)	Max Weighted Score (D) = (A)*(C)	Weighted Score obtained (E) = (B)*(C)
(i)	Engineering & QA	100		0.20	20	
(ii)	Finance	100		0.20	20	
(iii)	Supply	100		0.20	20	
(iv)	Construction/Installation	100		0.20	20	
(v)	Quality	100		0.05	05	
(vi)	Safety	100		0.10	10	
(vii)	Claims & Disputes	100		0.05	05	
	Total			1.00	100	

Note: In case of Civil Contracts, score of Engineering & QA and Supply shall be NIL and weightage of Construction/Installation shall be 0.60.

Performance Rating

Total Weighted Score obtained	Performance Grade
Upto 50	Unsatisfactory
>50 to 70	Satisfactory
>70 to 80	Good
>80	Excellent

SECTION – V

SPECIAL CONDITIONS OF CONTRACT (SCC)

SECTION - V

SPECIAL CONDITIONS OF CONTRACT

The following Special Conditions of Contract (SCC) shall supplement the General Conditions of Contract (GCC). Wherever there is a conflict, the provisions herein shall prevail over those in the GCC. The corresponding clause number of the GCC is indicated in parentheses.

Name of Package: DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI & ADJOINING DISTRICTS

Bid Document No.: NVVN/ C&M /RE-307 /2024-25

Special Conditions (SCC) No.	GCC Clause Ref., if any	Special Conditions
1	Definitions (GCC Clause 1)	The Employer is: Name of Employer NTPC Vidyut Vyapar Nigam Limited Address of Employer NTPC Vidyut Vyapar Nigam Limited 5th Floor, Engineering Office Complex Plot No. A-8A, Sector-24, NOIDA (UP), India-201 301 The Project Manager: Representative of Employer Time for Completion of facilities: 8 months from the date of NOA/LOA. The terms 'Subcontractor' and 'Sub vendor' are interchangeable and they have the same meaning as defined under "Subcontractor" in GCC Clause No. 1. "Site" means the land/roof and other places upon which the Facilities are to be installed, and such other land or places as may be specified in the Contract as forming part of the Site. "Premise" means single or multiple buildings having one net-meter/net-billing/gross meter. "Entire Project" means all the premises in one or many locations specified in the contract. For avoidance of doubt, If there are multiple premises in one or many locations specified in the contract, then all the premises together shall constitute the project and shall be referred to as "Entire Project". "Pre-commissioning" is not applicable. "Commissioning" shall be as defined in Technical Specification. The same is reproduced here. "Commissioning" of the system shall refer to the date on which the System at each location / premise starts generating electric energy for continuous Five (05) hours using instruments and meters including Net metering/ net billing. "Completion of Facilities"/" Completion of facilities of Entire Project"/ "Completion" means that the systems at all the premises/locations under the contract have been successfully
BIDDING DOCUMENT NO.: NVVN/ C&M /RE-307 /2024-25 SECTION –V (SCC)		DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI
		PAGE 1 OF 5

Special Conditions (SCC) No.	GCC Clause Ref., if any	Special Conditions
		commissioned, PG tests conducted and put in a tight and clean condition and punch points attended. “Installation Services” means all those services ancillary to the supply of the Plant and Equipment for the Facilities, to be provided by the Contractor under the Contract; e.g., transportation and provision of marine or other similar insurance, inspection, expediting, Site preparation works (including the provision and use of Contractor's Equipment and the supply of all construction materials required), installation, testing, commissioning, operations & maintenance required upto Completion of Facilities, the provision of operations and maintenance manuals, training of Employer's Personnel etc.
2	Interpretation (GCC Clause 3) GCC 3.10	The word “Joint Venture or consortium” in GCC Clause 3.10 stands deleted.
3	Interpretation (GCC Clause 3) GCC 3.13	For the purpose of this contract, Country of Origin will be considered as India.
4	Scope of Facilities (GCC Clause 7) GCC 7.1	Add the following at the end of GCC Cl 7.1: The scope of work also includes comprehensive Operation and Maintenance (O&M) of Solar Photo Voltaic Plant for a period of ten years from the date of successful completion of trial run.
5	Scope of Facilities GCC 7.3	Supply of Mandatory Spares: Not Applicable Providing price of recommended spares covered under price Schedule No. 6: Not Applicable GCC Clause 7.3 – Not Applicable
6	Time for Commencement and Completion (GCC Clause 8) GCC 8.2	Time for Completion: The time schedule for completion of facilities for the bidder will be 8 months from the date of NOA/LOA from NVVN. Further, Bidder is also to provide comprehensive Operation and Maintenance (O&M) of Solar Photo Voltaic Plant for a period of Ten years (10 Years) from the date of completion of Facility of entire project.
7	Terms of Payment (GCC Clause 12)	Brief extract of payment terms as specified in detail in Appendix -I to Form of Contract Agreement which shall be binding. A. Schedule No.1: Plant and Equipment (excluding Mandatory Spares and Type Tests) (i) Five Percent (5 %) of the total Ex-Works price component as Initial Advance Payment (ii) Seventy Five Percent (75%) of Ex-works price component of the Contract price for each identified equipment on receipt of equipment at site on prorated basis and physical verification and certification by the Project Manager for the equipment received and stored at site. (iii) Ten Percent (10%) of Ex-works price Component of the contract price on successful completion of said Solar Photo Voltaic system per location wise on certification by the Project Manager. (iv) Ten Percent (10%) of Ex-works price component of the Contract price on successful completion of Performance Guarantee tests of entire Solar Photo Voltaic Plant, as specified, and issuance of

Special Conditions (SCC) No.	GCC Clause Ref., if any	Special Conditions
		Operational Acceptance Certificate by the Project Manager. B. ~~Mandatory Spares and Recommended Spares (When ordered) quoted on Ex-works (India) basis~~ C. Schedule No. 2: Local Transportation (i) Hundred Percent (100%) of Local Transportation charges (including inland transit insurance charges) for the plant and equipment and mandatory spares covered in Schedule-1 shall be paid to the Contractor pro-rata to the value of the equipment received at site and on production of invoices by the Contractor D. Schedule No. 3: Installation Services excluding operation and maintenance. (i) Ten Percent (10%) of the Installation Services Component of the Contract Price shall be paid to the Contractor, bearing interest at the rate of "[SBI 1-year MCLR as on 01st April of applicable year + 150 bps] per annum" as advance payment (ii) Eighty Percent (80%) of the installation Services component of contract price shall be paid on pro-rata basis on completion of installation of equipment. (iii) Ten Percent (10%) of total Installation price of the Contract shall be paid on successful completion of Performance Guarantee Tests of entire Solar Photo Voltaic Plant E. Schedule No. 3: Civil Works and Allied Works etc (i) Ten Percent (10 %) of the total Civil works Price component of the Contract Price will be paid to the Contractor, bearing interest at the rate of "[SBI 1-year MCLR as on 01st April of applicable year + 150 bps] per annum" as advance payment (ii) Eighty Percent (80 %) of the total Civil Works Price Component of Contract Price shall be paid progressively on certification by the Project Manager (iii) Ten Percent (10 %) of Civil Works Component of contract price on successful completion Performance Guarantee Tests of entire Solar Photo Voltaic Plant In case, the Contractor decides not to take interest bearing advance payment, the advance payment shall be proportionately adjusted in the balance payments excluding final payments The contractor shall provide relevant technical details, warrantee/guarantee along with sr. no. of models before release of final payment in a format that shall be given by Employer. F. Operation and Maintenance Charges (i) Operation and maintenance charges including all consumable, spares and equipment's included in operation and maintenance charges shall be paid on pro-rata basis every three months on the certification of completion of work by Project Manager
8	Terms of Payment (GCC Clause 12.4)	Opening of Escrow Account: Not applicable
9	Terms of Payment (GCC Clause	Add a new clause 12.5 to GCC Bidder shall raise only one invoice in a month for all the payments due/

Special Conditions (SCC) No.	GCC Clause Ref., if any	Special Conditions
	12.5)	works done in previous month/period.
10	Securities (GCC clause 13) GCC 13.3.1	The Contractor shall, within twenty-eight (28) days of the Notification of Award, provide securities for the due performance of the Contract for Ten Percent (10%) of Contract Price of all the Contracts, with an initial validity up to ninety (90) days beyond the end of scheduled Defect Liability Period of the last equipment covered under the Contract. However, in case of delay in completion of the defect liability period, the validity of all the contract performance securities shall be extended by the period of such delay. After the end of successful completion of Defect Liability Period above BG shall be returned to agency provided agency has submitted CPG @10% of O&M Contract price. The BG towards O&M Performance shall be submitted prior to return of the Contract Performance Guarantee (CPG) under the subject package. The above O&M Performance Security shall be initially valid upto 90 days after the end of Operation & Maintenance (O&M) period as specified in the Technical Specifications. The above security amount shall be payable to the Employer without any condition whatsoever. Additional BG: Security against Equipment Performance Guarantee during O&M Period: BG for O&M. The successful Bidder, to whom the work is awarded, shall provide security equal to $0.1 \times G \times R$ (Where G is Annual Quoted Generation and R = Rs.5.00 per kWh) in million INR for ten years as per contract.) i.e. $\sum (0.1 \times \text{Annual Quoted generation of 1st year} \times 5.00) = \text{INR } \underline{\hspace{2cm}}$
11	Securities (GCC clause 13) GCC 13.4	Security for Deed of Joint Undertaking – Not Applicable

Special Conditions (SCC) No.	GCC Clause Ref., if any	Special Conditions		
12	Completion Time Guarantee (GCC Clause 26) GCC 26.2	Applicable Rate for Liquidated Damages A) Liquidated Damages for delay in successful Completion of Facilities shall be as under: If the contractor fails to achieve the successful Completion of Facilities within the agreed work schedule, the Contractor shall pay to the Employer as liquidated damages and not as penalty, a sum calculated at the following rates: <table><tr><td>(0.5% divided by 7) per day of the contract value corresponding to the capacity not commissioned</td><td>for each day of delay in successfully achieving the Commissioning for the Premise (i.e net metering/net billing/behind the meter) as per the scope of work and work schedule defined in the Contract.</td></tr></table> B) Maximum Deduction for Liquidated damages for delay: The total amount of liquidated damages for delay under the contract will be subject to a maximum of five percent (5%) of the total Contract Price [total of First & Second Contract] excluding O&M	(0.5% divided by 7) per day of the contract value corresponding to the capacity not commissioned	for each day of delay in successfully achieving the Commissioning for the Premise (i.e net metering/net billing/behind the meter) as per the scope of work and work schedule defined in the Contract.
(0.5% divided by 7) per day of the contract value corresponding to the capacity not commissioned	for each day of delay in successfully achieving the Commissioning for the Premise (i.e net metering/net billing/behind the meter) as per the scope of work and work schedule defined in the Contract.			
13	Defect Liability GCC Clause	Please refer GCC Clause 27		
14	Functional Guarantees GCC 28.0	The words “Appendix-8 (Functional Guarantee)” appearing in the GCC Clause 28 and any other places in the bidding documents shall be read in conjunction with Technical Specifications, Section-VI of Bidding Documents.		
15	Functional Guarantees GCC 28.2	LD on account of non-achievement of functional Guarantees shall be as per Schedule D of Technical Specification (Section VI)		
16	Care of Facilities GCC 32.1	“The Contractor shall be responsible for the care and custody of the Facilities or any part thereof until the date of Completion of O&M period and shall make good at its own cost any loss or damage that may occur to the Facilities or the relevant part thereof from any cause whatsoever during such period. The Contractor shall also be responsible” referred to in paragraphs (a), (b) and (c) of GCC Sub-Clauses 32.2 and 38.1.		
17	GCC 34.5 (Insurance)	Insurance Clause of Technical Specification shall prevail and is reproduced below: Insurance: (i) Before commencement of the work, the Bidder shall ensure that all its employees and representatives are covered by suitable insurance against any damage, loss, injury or death arising out of the execution of the work. Liquidation, Death, Bankruptcy etc., shall be the responsibility of Bidder. Further the Bidder may refer to relevant clauses of GCC, SCC and the Contract Agreement of IFB. (ii) Bidder shall take third party liability insurance for deployed workmen, employees against any damage, loss, injury or death arising out of the O&M work.		

Special Conditions (SCC) No.	GCC Clause Ref., if any	Special Conditions
		(iii) The Bidder shall take Fire and peril insurance policy from the reputed/nationalised agency in name of NVVN covering the risk of damage, theft of material/ equipment/ properties after completion of the work(s) throughout the O&M period on replacement cost basis
16	GCC 47 and 48	Integrity Pact and Independent External Monitors are applicable
17	General	The instruments such as Letter of Undertakings etc which are presently specified to be furnished on non-judicial stamp paper of appropriate/requisite value, shall be stamped as per Indian Stamp Act.
18	GCC 6.4.2.2 (Conciliation Committees of Independent Experts)	The Panel of Conciliation Committees of Independent Experts (CCIE) is displayed in the website https://: ntpctender.ntpc.co.in
19	GCC 46 (Debarment)	The version of Policy for Debarment from Business dealings presently followed by NTPC is <u>Rev.04</u>
20	General	Net metering wherever stated shall be read as "net metering / net billing/gross metering"
21		<u>ANNEXURES TO SCC</u> 1. ANNEXURE-I: LIST OF BANKS NTPC's Letter dated 03.09.2014 to SBI 2. ANNEXURE-II: NOT APPLICABLE 3. ANNEXURE-III: DETAILS OF CERTIFICATES REGARDING CONTRACT CLOSING 4. Annexure-IV: DoE Order regarding Rule 144 (xi) of the General Financial Rules (GFRs)

**LIST OF BANKS ACCEPTABLE FOR SUBMISSION
OF BANK GUARANTEE FOR ADVANCE PAYMENTS, PERFORMANCE SECURITIES AND
SECURITIES FOR DEED OF JOINT UNDERTAKING**

SCHEDULED COMMERCIAL BANKS

A. STATE BANK OF INDIA

B. NATIONALISED BANKS

- 1 Bank of Baroda
- 2 Bank of India
- 3 Bank of Maharashtra
- 4 Canara Bank
- 5 Central Bank of India
- 6 Indian Overseas Bank
- 7 Indian Bank
- 8 Punjab National Bank
- 9 Union Bank of India
- 10 Punjab & Sind Bank
- 11 UCO Bank

C. SCHEDULED PRIVATE BANKS (INDIAN BANKS)

- 1 Axis Bank Ltd
- 2 Bandhan Bank Limited
- 3 CSB Bank
- 4 City Union Bank
- 5 DCB Bank Ltd
- 6 Dhanlaxmi Bank Ltd
- 7 Federal Bank Ltd
- 8 HDFC Bank Ltd
- 9 ICICI Bank Ltd
- 10 IndusInd Bank Ltd
- 11 IDFC FIRST Bank Limited
- 12 Jammu & Kashmir Bank Ltd
- 13 Karnataka Bank Ltd
- 14 Karur Vysya Bank Ltd
- 15 Kotak Mahindra Bank
- 16 Lakshmi Vilas Bank Ltd
- 17 Nainital Bank Ltd
- 18 RBL Bank Limited
- 19 South Indian Bank Ltd
- 20 Tamilnad Mercantile Bank Ltd
- 21 Yes Bank Ltd
- 22 IDBI Bank Ltd.

D. SCHEDULED PRIVATE BANKS (FOREIGN BANKS)

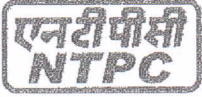
- 1 AB Bank Ltd
- 2 Abu Dhabi Commercial Bank PJSC

ANNEXURE-I TO SPECIAL CONDITIONS OF CONTRACT

- 3 American Express Banking Corporation
- 4 Australia & Newzealand Banking Group Limited
- 5 Barclays Bank Plc
- 6 Bank of America
- 7 Bank of Bahrain & Kuwait B.S.C.
- 8 Bank of Ceylon
- 9 Bank of China Limited
- 10 Bank of Nova Scotia
- 11 BNP Paribas
- 12 Citi Bank NA
- 13 Cooperatieve Rabobank UA
- 14 Crédit Agricole Corporate and Investment Bank
- 15 Credit Suisse AG
- 16 CTBC Bank Co Ltd
- 17 DBS Bank India Ltd
- 18 Deutsche Bank A.G.
- 19 Doha Bank Q.P.S.C
- 20 Emirates NBD Bank (PJSC)
- 21 First Abu Dhabi Bank PJSC
- 22 FirstRand Bank Ltd
- 23 HSBC Ltd
- 24 Industrial & Commercial Bank of China Ltd
- 25 Industrial Bank of Korea
- 26 JP Morgan Chase Bank, National Association
- 27 JSC VTB Bank
- 28 KEB Hana Bank
- 29 Kookmin Bank
- 30 Krung Thai Bank Public Company Ltd
- 31 Mashreq Bank PSC
- 32 Mizuho Bank Ltd
- 33 MUFG Bank, Ltd
- 34 NatWest Markets Plc
- 35 PT Bank Maybank Indonesia TBK
- 36 Qatar National Bank (Q.P.S.C.)
- 37 Sberbank
- 38 SBM Bank (India) Ltd
- 39 Shinhan Bank
- 40 Societe Generale
- 41 Sonali Bank Ltd
- 42 Standard Chartered Bank
- 43 Sumitomo Mitsui Banking Corporation
- 44 United Overseas Bank Ltd
- 45 Westpac Banking Corporation
- 46 Woori Bank

Note - Any Addition/ Deletion/ Modification in Bank list shall be as per changes in Second Schedule List by RBI from time to time.

*** Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 attached herewith this Annexure-I to SCC.**



एन टी पी सी लिमिटेड
(भारत सरकार का उद्यम)

NTPC Limited
(A Govt. of India Enterprise)

केन्द्रीय कार्यालय/Corporate Centre

Date : 03rd September 2014

Ref. No.: NTPC/FC/CS/BG/01

Deputy General Manager,
State Bank of India,
CAG Branch,
12 th floor, Jawahar Vyapar Bhavan, 1, Tolstoy Marg,
New Delhi 110 001

Kind Atten: Sh. Sandeep Mishra

Sub: Format of the Bank Guarantee (BG) issued by State Bank of India – reg.

Dear Sir,

NTPC Limited is India's largest Power Company and a 'Maharatna PSU' with a significant presence in the entire value chain of power generation business. The procurement process of NTPC requires its participating Bidders to submit Bank Guarantees (BGs) as Bid security/other securities in a fixed format provided by NTPC.

It has been observed recently that BGs issued by various branches of State Bank of India are inserting the following additional clause.

QUOTE

Notwithstanding anything contrary contained in any law for the time being in force or banking practice, this guarantee shall not be assignable or transferable by the beneficiary. Notice or invocation by any person such as assignee, transferee of agent of beneficiary shall not be entertained by the bank. Any invocation of guarantee can be made only by the beneficiary directly.

UNQUOTE

The inclusion of the aforesaid clause in the BGs restricts the rights of NTPC under the BG and it may not be possible for NTPC to accept the aforesaid clause in the BGs submitted to us by our Bidders. It may also be mentioned that incorporation of the above additional clause in the BG results in the BG being returned by NTPC and consequently rejection of the bids of parties that have submitted such BGs.

In view of the above, it is requested that please take up at appropriate levels so that suitable instructions are issued to all your branches not to incorporate any such additional clause and henceforth BGs may be issued strictly as per NTPC format only.

Kindly acknowledge the receipt of this letter

Yours faithfully,

(K.P.Gupta)
General Manager (Finance)

Copy for Kind information: ED(CC&M) for kind info of u.s.

एन टी पी सी भवन स्कोप कॉम्प्लेक्स, 7, इंस्टीट्यूशनल एरिया, लोधी रोड, नई दिल्ली-110003 टेल/Tel.: 24360100, फैक्स/Fax: 011-24361018
NTPC Bhawan, SCOPE Complex, 7, Institutional Area, Lodhi Road, New Delhi-110003, वेबसाइट/Website: www.ntpc.co.in



भारतीय स्टेट बैंक
State Bank of India

कॉरपोरेट लेखा समूह शाखा, जवाहर व्यापार भवन,
11-12 वां तल, 1, टॉलस्टोय मार्ग, नई दिल्ली-110 001

Corporate Accounts Group Branch, Jawahar Vyapar Bhawan,
11th & 12th Floor, 1, Tolstoy Marg, New Delhi-110 001

Tel. : 23374525, 23374505, 23374541 (AMT-1), 23353022 (DGM & COO), 23701043, 23359506 (A & A), 23352995 (CS), 23352968 (IB)
Fax : 23353101 (Sectt.), 23352793 (CS), 23353029 (IB)

Shri K.P. Gupta,
General Manager (Finance),
NTPC Limited
Scope Complex, 7, Institutional Area,
Lodhi Road,
New Delhi: 110 003.

CAG-I/AMT-1/2014-15/370

04.09.2014

Dear Sir,

Format of the Bank Guarantee (BG) issued by State Bank of India

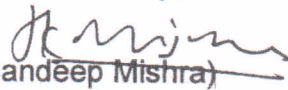
We refer to your letter dated 3rd September 2014 wherein you have requested for excluding bidders/vendors of NTPC from insertion of additional Clause restraining assignment/transferability of BG.

Looking at our relationship with NTPC, as a very special case, we have since obtained waiver from our Authorities for excluding NTPC from insertion of the referred clause for BGs issued in your favour.

We are taking steps to issue suitable instructions to our offices for exclusion of this clause for BGs issued in favour of NTPC. In case any bidder or vendor submits to you a Bank Guarantee issued by any of our Branches containing the additional clause as mentioned above, request you to please bring it to our notice and advise us so that we can take-up with the concerned Branch for excluding it.

This is for your information and necessary action please.

Yours faithfully,


(Sandeep Mishra)

Deputy General Manager &
Relationship Manager, AMT-1

CONTRACT CLOSING CERTIFICATES

CERTIFICATE NO.	CERTIFICATE DESCRIPTION	RESPONSIBILITY	LIMITING DATES FOR ISSUANCE OF CERTIFICATE®
CCP-01	Certificate of Final Amendment to the Contract	Corporate Contract Services	7 Months from #
CCP-02	Drawing Receipt Certificate	Corporate Engineering	2 Months from #
CCP-03	QA Documents Receipt Certificate	CQA&I	2 Months from #
CCP-04	O&M Manual Receipt Certificate	Corporate Engineering	4 Months from #
CCP-05	Scope Completion Certificate	Site Erection	8 Months from #
CCP-06	Liquidated Damages for Delay Certificate		
(a)	For cases where LD for delay is settled by Corporate Contracts	Corporate Contract Services	7 Months from #
(b)	For cases where LD for delay is settled by the Regions/ Site	Concerned Site/ Regional offices	7 Months from #
CCP-07	Shortfall in Equipment Performance Certificate	• Corporate OS : Site Performance Test- Cat-I • Regional OS: Site Performance Test- Cat-II • Site : Site Performance Test- Cat-III • CQA&I : Shop Test	5 Months from Performance and Guarantee (PG) Tests
CCP-08	"Material Reconciliation" Certificate	Site Erection & Site Materials Mgmt.	6 Months from #
CCP-09	"Payment Reconciliation" Certificate : Indian Contractor	Site Finance	6 Months from #
CCP-10	Certificate regarding Labour Payments and Statutory Requirements to be furnished by Contractor.	Contractor	9 Months from #
CCP-11	"No Demand Certificate" by Contractor	Contractor	6 Months from PG Tests/ \$
CCP-12	Certificate for Completion of Warranty Period	Site Erection/ O&M*	14 Months from Trial Operation/ Completion of Facilities

CONTRACT CLOSING CERTIFICATES

CERTIFICATE NO.	CERTIFICATE DESCRIPTION	RESPONSIBILITY	LIMITING DATES FOR ISSUANCE OF CERTIFICATE[@]
CCP-13	Certificate for Return of BGs/ Indemnity Bonds etc.	Site Finance/ Corporate Finance	All BGs except CPG: 5 Month from Trial Operation / Completion of Facilities CPG: 15 Months from Trial Operation/ Completion of Facilities
* O&M applicable in case of R&M contracts @ In case the Contract involves more than one unit of the project, the limiting dates shall be in respect of last unit. # Package Coordinator will mention the last milestone (e.g. Completion of Facilities) to be executed/completed under the respective packages as per the Work Schedule \$ In those packages where PG test is not envisaged, Limiting Dates for Issuance of CCP-11 ("No Demand Certificate" by Contractor) will be "6 Months from last milestone to be executed/completed under the respective packages as per the Work Schedule".			

ANNEXURE-IV to SCC

F. No. DPE/7(4)/2017-Fin.
Government of India
Ministry of Finance
Department of Public Enterprises

Block No. 14, CGO Complex,
Lodi Road, New Delhi-110003
Dated the 24th February, 2023

To,

Chief Executives of all CPSEs

Subject:- Restrictions under Rule 144(xi) of the General Financial Rules
(GFRs), 2017 - regarding

Sir/Madam,

The undersigned is directed to reiterate the instructions as contained in
Department of Expenditure O.M. No. 7/10/2021-PPD(1) dated 23rd February, 2023
(copy enclosed) to all CPSEs for their information and strict compliance.

Encl : As stated


(Kailash Bhandari)
Deputy Director
Tel : 2436-6247

Copy to :- Shri Kanwalpreet, Director (PPD), Department of Expenditure, Room No.
264-C, North Block, New Delhi.

No.F.7/10/2021-PPD (1)
Government of India
Ministry of Finance
Department of Expenditure
Procurement Policy Division

264-C, North Block, New Delhi.
23.02.2023.

Order (Public Procurement No. 4)

Subject: Restrictions under Rule 144(xi) of the General Financial Rules (GFRs), 2017.

Attention is invited to Order (Public Procurement No. 1) issued vide F.6/18/2019-PPD dated 23.07.2020, Order (Public Procurement No. 2) issued vide F.6/18/2019-PPD dated 23.07.2020, Order (Public Procurement No. 3) issued vide F.6/18/2019-PPD dated 24.07.2020, Office Memorandum (OM) No. F.18/37/2020-PPD dated 08.02.2021, OM No. F.12/1/2021-PPD(Pt.) dated 02.03.2021 and OM No. F.7/10/2021-PPD dated 08.06.2021. In this regard, the following is hereby ordered under Rule 144(xi) (as amended vide OM No. F.7/10/2021-PPD dated 23.02.2023) on the grounds stated therein, in supersession to all of the above mentioned Orders/ clarifications:

Requirement of registration:

2. Any bidder from a country which shares a land border with India will be eligible to bid in any procurement whether of goods, services (including consultancy services and non-consultancy services) or works (including turnkey projects) only if the bidder is registered with the Competent Authority, specified in **Annexure I**.
3. Any bidder (including an Indian bidder) who has a Specified Transfer of Technology (ToT) arrangement with an entity from a country which shares a land border with India will be eligible to bid in any procurement whether of goods, services (including consultancy services and non-consultancy services) or works (including turnkey projects) only if the bidder is registered with the Competent Authority, specified in **Annexure I**.
4. The requirement of registration for cases covered by paragraph 2 above has been applicable since 23.07.2020. The requirement of registration for bidders covered by paragraph 3 above will be applicable for all procurements where tenders are issued/ published after 01.04.2023.
5. In tenders issued after 23.07.2020 or 01.04.2023, as the case may be, the provisions of requirement of registration of bidders and of other relevant provisions of this Order shall be incorporated in the tender conditions.

Applicability:

6. Apart from Ministries/ Departments, attached and subordinate bodies, notwithstanding anything contained in Rule 1 of the GFRs 2017, the Order shall also be applicable

- a) to all Autonomous Bodies;
- b) to all public sector banks and public sector financial institutions;
- c) to all Central Public Sector Enterprises;
- d) to all procurement in Public Private Partnership projects receiving financial support from the Government or public sector enterprises/ undertakings; and
- e) Union Territories, National Capital Territory of Delhi and all agencies/ undertakings thereof.

7. This order will not be applicable for cases falling under **Annexure II**.

Definitions:

8. "*Bidder*" for the purpose of the Order (including the term 'tenderer', 'consultant' 'vendor' or 'service provider' in certain contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency, branch or office controlled by such person, participating in a procurement process.

9. "*Tender*" for the purpose of the Order will include other forms of procurement, except where the context requires otherwise.

10. "*Transfer of Technology*" means dissemination and transfer of all forms of commercially usable knowledge such as transfer of know-how, skills, technical expertise, designs, processes and procedures, trade secrets, which enables the acquirer of such technology to perform activities using the transferred technology independently. (Matters of interpretation of this term shall be referred to the Registration Committee constituted by the Department for Promotion of Industry and Internal Trade, and the interpretation of the Committee shall be final.)

11. "*Specified Transfer of Technology*" means a transfer of technology in the sectors and/ or technologies, specified in paragraph 15, occurring on or after 23.07.2020.

12. "*Bidder (or entity) from a country which shares a land border with India*" for the purpose of the Order means

- (a) An entity incorporated, established or registered in such a country; or
- (b) A subsidiary of an entity incorporated, established or registered in such a country; or
- (c) An entity substantially controlled through entities incorporated, established or registered in such a country; or
- (d) An entity whose beneficial owner is situated in such a country; or
- (e) An Indian (or other) agent of such an entity; or
- (f) A natural person who is a citizen of such a country; or

(g) A consortium or joint venture where any member of the consortium or joint venture falls under any of the above

13. *Beneficial owner* for the purposes of Para 12 (d) will be as under:

(i) In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person(s), has a controlling ownership interest or who exercises control through other means.

Explanation:-

a. "Controlling ownership interest" means ownership of, or entitlement to, more than twenty-five per cent of shares or capital or profits of the company;

b. "Control" shall include the right to appoint the majority of the directors or to control the management or policy decisions, including by virtue of their shareholding or management rights or shareholders agreements or voting agreements;

(ii) In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership;

(iii) In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals;

(iv) Where no natural person is identified under (i) or (ii) or (iii) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;

(v) In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

14. "*Agent*" for the purpose of the Order is a person employed to do any act for another, or to represent another in dealings with third persons.

[Note:

i. A person who procures and supplies finished goods from an entity from a country which shares a land border with India will, regardless of the nature of his legal or commercial relationship with the producer of the goods, be deemed to be an Agent for the purpose of this Order.

ii. However, a bidder who only procures raw material, components etc. from an entity from a country which shares a land border with India and then manufactures or converts them into other goods will not be treated as an Agent.]

Sensitive Sectors/ Technologies (relevant only for the provisions on ToT arrangements):

15. (i) Certain sectors and technologies have been identified as sensitive from the national security point of view. The sectors listed in Schedule I to this Order are considered Category-I sensitive sectors. The sectors listed in Schedule II to this Order are considered Category-II sensitive sectors. The technologies listed in Schedule III are considered sensitive technologies.

(ii) For Category-I sensitive sectors, bidders with ToT arrangement in any technology with an entity from a country which shares a land border with India shall require registration.

(iii) For Category-II sensitive sectors, bidders with ToT arrangement in the sensitive technologies listed in Schedule III, with an entity from a country which shares a land border with India shall require registration.

(iv) In Category-II sensitive sectors, the Secretary (or an officer not below the rank of Joint Secretary to Government of India, so authorized by the Secretary) of the Ministry/ Department of the Government of India is empowered, after due consideration, to waive the requirement of registration for a particular item/ application or a class of items/ applications from the requirement of registration, even if included in Schedule III. The Ministry/ Department concerned shall intimate the Department for Promotion of Industry and Internal Trade (DPIIT) and National Security Council Secretariat (NSCS) of their decision to waive the requirement of registration. Ministries/ Departments of the Government of India are not required to consult the DPIIT/ NSCS before deciding and are only required to intimate the decision to DPIIT/ NSCS. If any point is raised by DPIIT/ NSCS, it should be considered in future procurements; ongoing procurement for which the waiver was granted need not be interrupted or altered.

16. Based on security considerations, a Ministry/ Department in a Category II sensitive sector or other Ministries/ Departments may recommend to DPIIT inclusion of any other technology in the list of sensitive technologies, either generally or for their Ministry/ Department.

Sub-contracting in works contracts

17. In works contracts, including turnkey contracts, contractors shall not be allowed to sub-contract works to any contractor from a country which shares a land border with India unless such contractor is registered with the Competent Authority. The definition of "contractor from a country which shares a land border with India" shall be as in paragraph 12 above. This shall not apply to sub-contracts already awarded on or before 23.07.2020.

[Note: Procurement of raw material, components, etc. does not constitute sub-contracting.]

Certificate regarding compliance

18. An undertaking shall be taken from bidders in the tender documents (**Annexure III**) that the extant guidelines for participation in the tenders (which should include conditions for implementation of this Order) have been complied with. If such certificate given by a bidder whose bid is accepted is found to be false, this would be a ground for debarment and further legal action in accordance with law.

Validity of registration

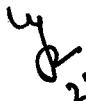
19. In respect of tenders, registration should be valid at the time of submission of bids and at the time of acceptance of bids. In respect of supply otherwise than by tender, registration should be valid at the time of placement of order. If the bidder was validly registered at the time of acceptance / placement of order, registration shall not be a relevant consideration during contract execution.

Government e-Marketplace (GeM)

20. GeM shall remove non-compliant entities from GeM unless/ until they are registered in accordance with this Order.

Model Clauses/ Certificates

21. Model Clauses and Model Certificates which may be inserted in tenders/ obtained from Bidders are given at Annexure-III. While adhering to the substance of the Order, procuring entities are free to appropriately modify the wording of these clauses based on their past experience, local needs etc.


23/02/2023
(Kanwalpreet)
Director(PPD)

Tel.No. 2309 3811; email: kanwal.irss@gov.in

To

1. Secretaries of all Ministries/ Departments of Government of India for information and necessary action. They are also requested to inform these provisions to all procuring entities.
2. Secretary, Department of Public Enterprises with a request to immediately reiterate these orders in respect to public enterprises.
3. Secretary, Department of Financial Services with a request to immediately reiterate these orders in respect to public sector and public sector financial institutions.
4. Secretary DPIIT with a request to take action as provided under Annexure I.
5. Chief Secretaries/ Administrators of Union Territories/ National Capital Territory of Delhi.
6. CEO/ GeM with a request to ensure implementation of this order on GeM.

List of Category-I Sensitive sectors:

Sr.No.	Sector
(i)	Atomic Energy
(ii)	Broadcasting/ Print and Digital Media
(iii)	Defence
(iv)	Space
(v)	Telecommunications

List of Category-II Sensitive sectors:

Sr.No.	Sector
(i)	Power and Energy (including exploration/ generation/ transmission/ distribution/ pipeline)
(ii)	Banking and Finance including Insurance
(iii)	Civil Aviation
(iv)	Construction of ports and dams & river valley projects
(v)	Electronics and Microelectronics
(vi)	Meteorology and Ocean Observation
(vii)	Mining and extraction (including deep sea projects)
(viii)	Railways
(ix)	Pharmaceuticals & Medical Devices
(x)	Agriculture
(xi)	Health
(xii)	Urban Transportation

List of Sensitive Technologies:

Sr.No.	Sensitive Technologies
(i)	Additive Manufacturing (e.g. 3D Printing)
(ii)	Any equipment having electronic programmable components or autonomous systems (e.g. SCADA systems)
(iii)	Any technology used for uploading and streaming of data including broadcasting, satellite communication etc.
(iv)	Chemical Technologies
(v)	Biotechnologies including Genetic Engineering and Biological Technologies
(vi)	Information and Communication Technologies
(vii)	Software

Competent Authority and Procedure for Registration

- A. The Competent Authority for the purpose of registration under this order shall be/ continue to be the Registration Committee constituted by the Department for Promotion of Industry and Internal Trade (DPIIT)*. [This Committee was already constituted under Order (Public Procurement) No.1].
- B. The Registration Committee shall have the following members*:
- i. An officer, not below the rank of Joint Secretary, designated for this purpose by DPIIT, who shall be the Chairman;
 - ii. Officers (ordinarily not below the rank of Joint Secretary) representing the Ministry of Home Affairs, Ministry of External Affairs, and of those Departments whose sectors are covered by applications under consideration;
 - iii. Any other officer whose presence is deemed necessary by the Chairman of the Committee.
 - iv. With effect from 01.04.2023, an officer (ordinarily not below the rank of Joint Secretary) representing the National Security Council Secretariat.
- C. DPIIT shall lay down the method of application, format etc. for such bidders as covered by the Order.
- D. On receipt of an application seeking registration from a bidder covered by Para 2 and 3 of this order, the Competent Authority shall first seek political and security clearances from the Ministry of External Affairs and Ministry of Home Affairs, as per guidelines issued from time to time. Registration shall not be given unless political and security clearance have both been received.
- E. The Ministry of External Affairs and Ministry of Home Affairs may issue guidelines for internal use regarding the procedure for scrutiny of such applications by them.
- F. The decision of the Competent Authority, to register such bidder may be for all kinds of tenders or for a specified type(s) of goods or services, and may be for a specified or unspecified duration of time, as deemed fit. The decision of the Competent Authority shall be final.
- G. Registration granted by the Competent Authority of the Government of India shall be valid not only for procurement by the Central Government and its bodies specified in para 6 of this order, but also for procurement by State Governments and their agencies/ public enterprises etc. No fresh registration at the State level shall be required.
- H. The Competent Authority is empowered to cancel the registration already granted if it determines that there is sufficient cause. Such cancellation by itself, however, will

not affect the execution of contracts already awarded. Pending cancellation, it may also suspend the registration of a bidder, and the bidder shall not be eligible to bid in any further tenders during the period of suspension.

- I. For national security reasons, the Competent Authority shall not be required to give reasons for rejection/cancellation of registration of a bidder.

[*Note:

(i) In respect of application of the Order to procurement by/ under State Governments, all functions assigned to DPIIT shall be carried out by the State Government concerned through a specific department or authority designated by it. The composition of the Registration Committee shall be as decided by the State Government. However, the requirement of political and security clearance as per para D shall remain and no registration shall be granted without such clearance.

(ii) Registration granted by State Governments shall be valid only for procurement by the State Government and its agencies/ public enterprises etc. and shall not be valid for procurement in other states or by the Government of India and their agencies/ public enterprises etc.]

Special Cases

- A. In projects which receive international funding with the approval of the Department of Economic Affairs (DEA), Ministry of Finance, the procurement guidelines applicable to the project shall normally be followed, notwithstanding anything contained in this order and without reference to the Competent Authority. Exceptions to this shall be decided in consultation with DEA.
- B. This order shall not apply to procurement by Indian missions and by offices of government agencies/ undertakings located outside India.
- C. This order will not apply to bidders (or entities) from those countries (even if sharing a land border with India) to which the Government of India has extended lines of credit or in which the Government of India is engaged in development projects. Updated lists of countries to which lines of credit have been extended or in which development projects are undertaken are given on the website of the Ministry of External Affairs.
- D. Procurement of spare parts and other essential service support like Annual Maintenance Contract (AMC)/ Comprehensive Maintenance Contract (CMC), including consumables for closed systems, from Original Equipment Manufacturers (OEMs) or their authorized agents, shall be exempted from the requirement of registration.

Model Clause/ Certificate/ Undertaking to be inserted in tenders etc.

[While adhering to the substance of the Order, procuring entities and GeM are free to appropriately modify the wording of the clause/ certificate based on their past experience, local needs etc.

The conditions relating to specified ToT (as shown in italics) should be incorporated only in the tenders which attract the restrictions due to specified ToT.]

A. Model Clauses for Tenders (including tenders issued manually or any electronic portal including GeM):

I. Any bidder from a country which shares a land border with India will be eligible to bid in any procurement whether of goods, services (including consultancy services and non-consultancy services) or works (including turnkey projects) only if the bidder is registered with the Competent Authority. *Further, any bidder (including bidder from India) having specified Transfer of Technology (ToT) arrangement with an entity from a country which shares a land border with India, shall also require to be registered with the same competent authority.*

II. "Bidder" (including the term 'tenderer', 'consultant' or 'service provider' in certain contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a procurement process.

III. "Bidder (or entity) from a country which shares a land border with India" for the purpose of this Order means: -

- (a) An entity incorporated, established or registered in such a country; or
- (b) A subsidiary of an entity incorporated, established or registered in such a country; or
- (c) An entity substantially controlled through entities incorporated, established or registered in such a country; or
- (d) An entity whose beneficial owner is situated in such a country; or
- (e) An Indian (or other) agent of such an entity; or
- (f) A natural person who is a citizen of such a country; or
- (g) A consortium or joint venture where any member of the consortium or joint venture falls under any of the above

IV. The beneficial owner for the purpose of (iii) above will be as under:

1. In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means.

Explanation—

a. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five per cent. of shares or capital or profits of the company;

b. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements;

2. In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership;

3. In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals;

4. Where no natural person is identified under (1) or (2) or (3) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;

5. In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

V. An Agent is a person employed to do any act for another, or to represent another in dealings with third person.

VI. [To be inserted in tenders for Works contracts, including Turnkey contracts] The successful bidder shall not be allowed to sub-contract works to any contractor from a country which shares a land border with India unless such contractor is registered with the Competent Authority.

VII. The registration shall be valid at the time of submission of bid and at the time of acceptance of bid.

VIII. If the bidder was validly registered at the time of acceptance / placement of order, registration shall not be a relevant consideration during contract execution

Model Certificate for Tenders:

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India; I certify that this bidder is not from such a country or, if from such a country, has been registered with the Competent Authority. I hereby certify that this bidder fulfills all requirements in this regard and is eligible to be considered. [Where applicable, evidence of valid registration by the Competent Authority shall be attached.]"

Model Certificate for Tenders for Works involving possibility of sub-contracting:

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries; I certify that this bidder is not from such a country or, if from such a country, has been registered with the Competent Authority and will not sub-contract any

work to a contractor from such countries unless such contractor is registered with the Competent Authority. I hereby certify that this bidder fulfills all requirements in this regard and is eligible to be considered. [Where applicable, evidence of valid registration by the Competent Authority shall be attached.]"

Model additional certificate by Bidders in the cases of specified ToT:

"I have read the clause regarding restrictions on procurement from a bidder having Transfer of Technology (ToT) arrangement. I certify that this bidder does not have any ToT arrangement requiring registration with the competent authority."

OR

"I have read the clause regarding restrictions on procurement from a bidder having Transfer of Technology (ToT) arrangement. I certify that this bidder has valid registration to participate in this procurement."

B. Model Certificate for GeM (to be taken by the GeM from seller during registration on GeM. GeM should also obtain this certificate from all existing bidders as soon as possible):

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India; I certify that this vendor/ bidder is not from such a country and does not have any specified Transfer of Technology (ToT) from such a country or, if from such a country or if having specified ToT from such a country has been registered with the Competent Authority. I hereby certify that this vendor/ bidder fulfills all requirements in this regard and is eligible to be considered for procurement on GeM. [Where applicable, evidence of valid registration by the Competent Authority shall be attached.]"

No.F.20/33/2022-PPD
Government of India
Ministry of Finance
Department of Expenditure
Procurement Policy Division

513, Lok Nayak Bhavan,
Khan Market, New Delhi,
25.05.2023

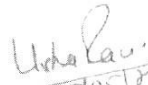
OFFICE MEMORANDUM

Subject: Exemption for sourcing of Solar PV Modules from the provisions contained in the Department of Expenditure's Orders regarding restriction under Rule 144 (xi) of General Financial Rules, 2017-reg.

The undersigned is directed to refer Ministry of New & Renewable Energy (MNRE) OM No. 283/60/2020-GRID SOLAR-Part(3) dated 22.05.2023 vide which MNRE has requested for inclusion of Solar PV Modules in the list of items mentioned in DoE's OM of even number dated 04.08.2022. (copy enclosed)

2. In this context, it has now been decided that procurement of Solar PV Modules by Central Public Sector Enterprises (CPSEs), required for their business activities involving setting up of renewable energy projects, has also been exempted from the provisions of Department of Expenditure's OM No. 6/18/2020-PPD dated 23.07.2020 under Rule 144 (xi) of the GFRs, 2017 (amended vide OM No. 07/10/2021-PPD(1) dated 23.02.2023), upto 31.12.2024.

3. This is issued with the approval of Finance Secretary.


25/05/2023
(Usha Rani)

Under Secretary(Procurement Policy)

Tel.24621304

email: usha.rani64@gov.in

To

Sh. Bhupinder Singh Bhalla,
Secretary,
Ministry of New & Renewable Energy,
Room No.102, Block No.14, C.G.O. Complex,
Lodhi Road, New Delhi - 110 003.
Tel.: 24361481, 24362772; email: secy-mnre@nic.in

Copy to: Secretary Department of Public Enterprises with a request to reiterate the above instructions to CPSEs.

No F 20/33/2022-PPD
Government of India
Ministry of Finance
Department of Expenditure
Procurement Policy Division

264-C, North Block, New Delhi.
4th August, 2022.

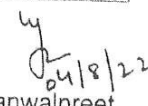
OFFICE MEMORANDUM

Subject: Granting of exemption from the applicability of Department of Expenditure (DoE) OM No. 6/18/2019-PPD dated 23.07.2020 w.r.t. restriction under Rule 144 (xi) of General Financial Rules (GFRs), 2017.

The undersigned is directed to refer Ministry of New & Renewable Energy (MNRE) OM F.No.283/60/2020-GRID SOLAR-Part(3) dated 25.07.2022 and to the deliberations of the Committee of Secretaries, and to convey that it has been decided to grant exemption to Central Public Sector Enterprises (CPSEs) from the provisions of Department of Expenditure's OM No. 6/18/2020-PPD dated 23.07.2020 under Rule 144 (xi) of the GFRs, 2017, upto 31.12.2024, for the following items, required for their business activities involving setting up of renewable energy projects:

SN	Items
1.	Solar PV Cells, Glass, Wafers, EVA, Backsheet, Frames.
2.	Inverters
3.	Trackers
4.	Battery Energy Storage System Components [Cells, Battery Packs, Power Conditioning System]

2. This is issued with the approval of competent authority.


Kanwalpreet
Director (Procurement Policy)
Tel.: 23093811
email: kanwal.irss@gov.in

To
Shri Indu Shekhar Chaturvedi, Secretary,
Ministry of New & Renewable Energy,
Room No 102, Block No.14, C.G.O. Complex, Lodhi Road,
New Delhi - 110 003.
Tel.: 24361481, 24362772; email: secy-mnre@nic.in

SCOPE OF WORK AND TECHNICAL SPECIFICATIONS



NTPC Vidyut Vyapar Nigam Limited
(A wholly owned subsidiary of NTPC Ltd.)

Index

Sl. No.	Description	Reference
1.	Project Specific details	Annexure-A
2.	Location & site details	Annexure-A1
3.	Scope of work	Schedule-A
4.	O&M Guidelines	Schedule-B
5.	Technical specifications	Schedule-C
6.	PERFORMANCE GUARANTEE TESTs	Schedule-D
7.	Quality Certification, Standards and Testing for Grid-Connected Solar PV Systems/Power Plants	Appendix-1
8.	Sample Project Completion Report for Grid-Connected Rooftop	Appendix-2
9.	Inspection and maintenance schedule	Appendix-3
10.	Monthly O&M report	Appendix-4

PART-A

Annexure-A

Particulars	Description
Capacity of Rooftop/Ground Mounted	25.651 MWac as mentioned in Annexure 1
Technology	(Mono-Perc Solar Panel $\geq$ 540Wp). Modules shall comply with MNRE Notification dated 29.04.2024 regarding Approved Models and Manufacturers of Solar Photovoltaic Modules (Requirement for Compulsory Registration) Order, 2019 and its amendments issued time to time. Inverters are to be purchased from Class-I local supplier.
O&M Period	10 Years from the date of Completion of Facilities. However, as per NVVN requirement, the O&M period may be reduced, and the payment shall be given up to O&M period only.
Contract period for Completion of facilities	As per Bid Document .
Location/Site Details	
Location(s)	Refer Annexure-A1 hereafter
Electrical Interconnection Details	
Evacuation Voltage	As per State solar policy/SERC/DERC/Discom
Power for Construction	Construction power will be provided at one point of each location. Necessary arrangement including cabling, metering, etc. to draw power at required locations shall be done by bidder within the quoted price. The energy consumed shall be chargeable as per discom rates.
Water	Water shall be provided free of cost for construction and periodical cleaning of modules at one point of each location. Additional connection points may also be allowed subject to feasibility. However necessary arrangement including pipe laying, pumping, metering, etc. to draw water at required locations shall be done by bidder within the quoted price.
Access to Roofs	Bidder has to make permanent MS ladders at buildings wherever access to the Rooftops is not available
Supply of mandatory spares	Nil
Performance Parameters / Guarantees	
Minimum values of PR	75%.
GHI Values	Shall be as per PV Syst Software 7.4.5 version or latest version using Meteonorm 8.1 data.
Calculation of penalty in case of Shortfall of PR	In case of shortfall in PR, tariff factor is ₹ 5.00 /kWh and shall be calculated as stated at sl. No i in schedule -D.
calculation of penalty in case of shortfall of	In case of shortfall in annual CUF, tariff factor is ₹ 5.00 /kWh and shall be calculated as stated at sl. No ii in schedule -D.

equipment performance during O&M period	
Special conditions	The capacity at a location has been arrived based on preliminary assessment of data received from customers and shall be allocated to the vendor as per the allocation strategy stated in the bidding documents. However, if the capacity range changes during detailed engineering, then also same rate for the allocated capacity range shall be applicable. Further, Premise/Location wise (i.e. net metering/net billing/behind the meter) Commissioning of rooftop solar is allowed. In case total O&M Cost for 10 years is less than 13.5% of EPC Price (Supply, transportation and Installation service (including Civil and Safety)), the difference shall be retained from payment under Schedule 1 and 10% of it shall be released every year. In case, total Cost for Installation Services (A) and Civil and Allied Services (B) is less than 10% of Schedule-1 Price, the shortfall shall be retained from payment under Schedule -1 and shall be released upon completion of installation of the respective equipment and its certification by the Project Manager. In case, cost for the Transportation including the Transit Insurance (schedule 2) is above 4% of the ex works price of main equipment (Schedule-1), the amount by which it is higher shall be retained and shall be paid upon completion of facilities of the entire project. Remote Metering Facility to be provided as per Technical Specifications. The Bidder shall take Fire and peril insurance policy from the reputed/nationalised agency covering the risk of damage, theft of material/ equipment/ properties after completion of the work(s) throughout the O&M period on replacement cost basis. Bidder shall take third party liability insurance for deployed workmen, employees against any damage, loss, injury or death arising out of the O&M work.
BGs to be submitted by bidder	During construction period: Contract performance guarantee for 10% of the contract amount. During O&M period: - Equipment performance guarantee for 10% of the energy corresponding to applicable CUF for that year multiplied by the Tariff factor. - O&M performance guarantee for 10% of the O&M amount. 10% of it shall be released every year. For details pl. refer SCC and schedule D
Any query	Bidders are advised to visit the site and collect all necessary information required for installation of solar PV plants, before submission of their bids. No additional claim shall be entertained in this regard. For site visit Pl. coordination the below mentioned: Sh. Sanjay Singh Email: sanjaysinghnvvn@ntpc.co.in Mob:+91-7982255096

ANNEXURE-A1

Sl. No.	Name of States	Minimum Annual CUF%	Minimum DC/AC ratio	Capacity (MWac)	Roof Top Solar Capacity
1	Delhi and districts of other States adjoining Delhi. The details is attached as excel and as part of Annexure A1	16.5%	The ratio of 1.1:1 is the minimum however vendor may increase the same to comply the CUF requirements	25.651	25.651

SCHEDULE A

1. SCOPE OF WORK

The Solar Photo Voltaic (PV) installation on Rooftop of various/multiple buildings of the Delhi Police and NTPC EOC/ NTPC Township at Noida as mentioned in Annexure-A of Section-VI and other Government Buildings in Delhi and districts of other States adjoining Delhi shall be carried out preferably on shadow free area in such a way that the generation is maximized on each building suitable for installation of Solar PV power plants. The tentative capacity is 25.651 MW. The final plant capacity shall be as per the detailed engineering and approved design of each of the building's rooftops solar.

Permanent MS Staircase/ladder of minimum width 1.0m & finished with primer & weather resistant enamel paint for roof top access must be provided for each building where the Roof Top Solar PV panels are to be installed and roof access is not available.

- A. The successful bidder shall be exclusively responsible for design, engineering, testing and all other relevant activities like preliminary visual assessment of roof, water arrangements, electricity, security and determining optimal capacity for setting up of Solar PV Plants and O&M activities for 10 years.
- B. The project site shall be handed over to the bidder on as is where is basis. Before submitting his bid, the bidder should inspect and examine the site and its surroundings and should satisfy himself as to the nature of the roof, the quantities and nature of work, materials necessary for completion of the work and their availability, means of access to site and in general shall himself obtain all necessary information as to risks, contingencies and other circumstances which may influence or affect his offer.
- C. Design, engineering, supply, installation, testing and Commissioning of the Project as per standard design and specifications and connecting to existing Mains / ACDB and interfacing internal electrical loads of Project's License's network/electrical loads. The bidder would have to take approval for the interfacing of the Project with Grid/Electrical Loads from distribution licensee/ CEIG. The bidder shall be responsible for commissioning the project along with Net metering/Net Billing/ Group Net Metering/Behind the meter as per State Regulations.
- D. Commissioning of the system shall refer to the date on which the System at each location / premise starts generating electric energy for Five continuous (05) hours using instruments and meters including Net metering/ net billing/behind the meter.
- E. All materials, manpower, scaffolding materials, machinery, tools, and tackles, transportation & loading/unloading, packaging and unpackaging, safe storage, watch and ward, etc. all inclusive, shall be arranged by the bidder within the quoted price. Scope shall cover all type of transportation of materials inside the working site and manpower etc. required to execute and complete the work. Underground cabling work, if required to evacuate solar project to the consumer's panels shall also be in the scope of bidder.
- F. Bidder also to provide comprehensive Operation and Maintenance (O&M) of the plants for a period of 10 years from the date of Completion of Facilities. "Completion of Facilities" means that the Facilities at all the premises under the contract have been successfully commissioned and put in a tight and clean condition, and that all work in respect of scope of work has been completed and punch points attended; and Commissioning of all Premises/ locations including PG test under the Contract has been attained as per Technical Specifications.

- G. During O&M period, the bidder shall be responsible for supply of all spare parts as required from time to time for scheduled and preventive maintenance, major overhauling of the plant, replacement of all defective equipment including defective PV Modules, Inverters, Transformers etc. and maintaining log sheets for operation detail, deployment of staff for continuous operations and qualified engineer for supervision of O&M work, complaint logging & its attending. All PV modules shall be cleaned regularly, and water washed at least once a fortnight. The Minimum Annual CUF at evacuation point/delivery point required to be achieved in the first year and subsequent years after acceptable module degradation factor of 0.7% per year are mentioned in Annexure-A of section VI in bid document.
- H. Bidder shall ensure that Project is Remote Monitoring System ("RMS") enabled. Bidder shall also provide API for the RMS system and shall be used for billing and monitoring. The data from such RMS enabled Projects would be monitored or analyzed remotely by NVVN and Procurer. The internal data logger of the RMS system shall work on a store-and-forward mechanism. It should be able to store data in case of connectivity outage and forward the stored data once the connectivity is attained. The Bidder shall ensure data for a minimum period of one year is stored in the data logger. The RMS system must also be capable of interfacing on an open protocol basis with external data loggers as may be installed by NVVN or Procurer. The Bidder shall ensure that the connectivity of the Project RMS with the Procurer and NVVN office is always uninterrupted during O&M period and shall make all necessary arrangements for the same. Procurer or NVVN reserves right to validate the authenticity of such data for which Bidder shall extend full access and its cooperation. The bidder shall arrange for Internet connection (Wi Fi / SIM or any other arrangement) and all necessary accessories for Operation of the RMS throughout the contract period within the quoted price.
- I. The bidder shall provide a suitable Solar PV module cleaning/ water washing system. The bidder shall also arrange water from the nearest service water line with necessary CPVC piping, pumping, metering or any other suitable arrangement and provide adequate number of water taps with isolating valves depending on the roof area/location. Further bidder shall provide at least one portable water pressure cleaning system for any emergency.
- J. Any fee related to registration of project / NOC / net metering/group net metering/Behind the meter/Net Billing from any of the state departments shall be borne by the bidder.
- K. It should be ensured by the bidder that the roofs are not getting damaged during installation and O&M period. After installation of solar PV system, the roofs should remain waterproof as before. If any damage is caused to the roof by the bidder, the same is to be rectified immediately by the bidder within the quoted price and without any additional cost to NVVN.
- L. Life-Line: The bidder shall provide suitable lifeline arrangement on the roof as per requirement, to enable the safety of workers while working at height during erection and O&M period. The workers working at site should have passed the Vertigo test and should have undergone proper training for safe working at height, should have been trained to use safety PPEs including Safety belts with double hooking system. The bidder shall follow the safety rules of NVVN as mentioned in Bid Document. All safety PPEs like safety shoes, helmet, belt, lifeline, fall arrester etc. are to be provided by the bidder within the quoted price.
- M. Fall arrester: As per site requirement, bidder may also have to install fall arrester and safety net to ensure safe working, the design of fall arrester and specifications of safety net to be installed shall be submitted to NVVN for review prior to start of work to ensure safety of workers during execution.
- N. Walkway: As per site requirement, the bidder must install and maintain G.I. walkway with anti-skid holes on the roof with toe guard to ensure safety during solar project work and during O&M period. The minimum width of the walkway shall be 300mm. Walkway shall be installed on the rooftop before the start of solar project installation work, so that the safety of worker

can be ensured and damage to roof sheet can be avoided.

- O. Bidder shall take all necessary safety measures during erection as well as during operation and maintenance including compliance to specific safety guidelines at Chapter X, sl. No 121 of CEA safety regulations 2023.
- P. Bidder has to submit the standard operating procedure (SOP) /Safety protocol before start of work for Engineer-In-Charge/NVVN authorized representative approval. Records of Toolbox Talk and Attendance of Labour shall form part of the supporting documents for claiming amount linked to safety.
- Q. Insurance:
 - i. Before commencement of the work, the Bidder shall ensure that all its employees and representatives are covered by suitable insurance against any damage, loss, injury or death arising out of the execution of the work. Liquidation, Death, Bankruptcy etc., shall be the responsibility of Bidder. Further the Bidder may refer to relevant clauses of GCC, SCC and the Contract Agreement of IFB.
 - ii. Bidder shall take third party liability insurance for deployed workmen, employees against any damage, loss, injury, or death arising out of the O&M work.
 - iii. The Bidder shall further take Fire and peril insurance policy covering the risk of damage, theft of material/ equipment/ properties after completion of the work(s) throughout the O&M period. The insurance shall be for the replacement value of the project to ensure guaranteed minimum generation.
- R. Warranty and guarantees: The Bidder shall warrant that the goods supplied under this IFB are new, unused, of the most recent or latest technology and incorporate all recent improvements in design and materials as per standards specified in the technical specifications of this IFB. The Bidder shall provide warranty covering the rectification of any and all defects in the design of equipment, materials and workmanship including all spare parts for some of the items as stated in schedule C. The responsibility of operation of warranty and guarantee clauses and claims/ settlement of issues arising out of said clauses shall be responsibility of the Bidder and NVVN will not be responsible in any way for any claims whatsoever on account of the above for the period of the contract.

2. PROJECT INSPECTION

The project progress will be monitored by NVVN and the projects will be inspected for quality at any time during erection, commissioning or after the completion of the project either by officer(s) from NVVN or any agency/ experts designated / authorised by NVVN from time to time. NVVN shall depute a technical person(s) from its list of empaneled experts/ agencies updated from time to time for inspection, Third party verification, monitoring of system installed to oversee, the implementation as per required standards and also to visit the manufacturer's facilities to check the quality of products as well as to visit the system integrators to assess their technical capabilities as and when required. The cost of Inspection to be carried out by NVVN shall be borne by NVVN. The cost of re-inspection, if any shall be borne by Bidder. The projects shall be inspected at any time during erection, commissioning or after the completion of the project.

SCHEDULE-B

OPERATION & MAINTENANCE (O & M) GUIDELINES TO BE MANDATORILY FOLLOWED BY BIDDERS

- 1) The bidder shall be responsible for all the required activities for successful operation and maintenance of the Rooftop Solar PV system for a period of 10 years from the date of Completion of Facilities of the entire package.
- 2) O&M of Solar Power Plant shall be compliant with grid requirements to achieve committed energy generation.
- 3) Deputation of qualified and experienced engineer/ technicians throughout the O&M period at project site.
- 4) The modules shall be cleaned with a periodic interval of 15 days or earlier as per actual site conditions.
- 5) Periodic checks of the Modules, PCUs and BoS shall be carried out as a part of routine preventive and breakdown maintenance.
- 6) Immediate replacement of defective Modules, Invertors/PCUs and other equipment as and when required. Supply of all spares, consumables and fixtures as required. Such stock shall be maintained for equipment and materials as per the manufacturer's / supplier's recommendations.
- 7) All the testing and metering instruments required for Testing, Commissioning and O&M shall be calibrated or have valid calibration certificate.
- 8) If negligence/ mal-operation on part of the Bidder's operator results in failure of equipment, such equipment should be repaired/ replaced by the Bidder free of cost.
- 9) Co-ordination with owner / DISCOM / CEIG as per the requirement for Joint Metering Report (JMR). The bidder's site representative shall take a joint meter reading in the presence of rooftop owner monthly. The bidder shall furnish the previous month's generation data (JMR) to NVVN positively by 1st week of every month.
- 10) Online Performance Monitoring, controlling, troubleshooting, maintaining logs & records. A maintenance record register is to be maintained by the operator with effect from Commissioning to record the regular maintenance work carried out as well as any preventive and breakdown maintenance along with the date of maintenance, reasons for the breakdown, duration of the breakdown, steps taken to attend the breakdown, etc.
- 11) The bidder shall send the periodic plant output details to NVVN for ensuring the CUF. The periodic output report shall also include grid outage authenticated by customer and also monthly CUF and cumulative running CUF for the year.
- 12) In case of any fault in the system, the bidder has to ensure a response time of 24 hours and maximum expected turnaround time of 72 hours (under special circumstances, additional time limit may be considered).

3. SUBMISSION OF PROJECT COMPLETION REPORT (PCR)

- 3.1. The bidder shall submit the Project Completion Report (both in editable soft copy and signed hard copy) after commissioning of the project as per the Scope of RFS to NVVN as per the Format provided by NVVN.

SCHEDULE - C
TECHNICAL SPECIFICATIONS

1. Solar PV modules

- i. The PV modules shall be purchased from class-I local supplier. Bidder shall comply with MNRE Notification dated 29.04.2024 regarding Approved Models and Manufacturers of Solar Photovoltaic Modules (Requirement for Compulsory Registration) Order, 2019 and its amendments issued time to time.
- ii. The PV modules used must qualify to the latest edition of IEC standards or equivalent BIS standards, i.e. IEC 61215/IS14286, IEC 61853-Part I/IS 16170-Part I, IEC 61730 Part-1 & Part 2 and IEC 62804 (PID). For the PV modules to be used in a highly corrosive atmosphere throughout their lifetime, they must qualify to IEC 61701/IS 61701.
- iii. The temperature co-efficient power of the PV module shall be equal to or better than - 0.40%/°C.
- iv. Solar PV modules of minimum capacity 540 Wp to be used.
- v. The PV Module efficiency should be minimum 21%.
- vi. Solar PV module shall have valid BIS registration.
- vii. All electrical parameters at STC shall have to be provided.
- viii. The PV modules shall be equipped with IP 65 or better protection level junction box with required numbers of bypass diodes of appropriate rating and appropriately sized output power cable of symmetric length with MC4 or equivalent solar connectors.
- ix. Solar PV modules used in solar power plants/ systems must be warranted for their output peak watt capacity, which should not be less than 90% at the end of 10 years and 80% at the end of 25 years.

If module(s) fail(s) to exhibit such power output in the prescribed time span, the Contractor will either deliver additional PV Module(s) to compensate the missing power output for complete period of 25 years with no change in area of land / rooftop used or replace the PV Module(s) with no change in area of land/rooftop used at Owner's sole option.
- x. The bidder should get a material warranty from the manufacturer for the Solar Module(s) to be free from the defects and/or failures specified below for a period not less than **ten (10)** years from the date of date of purchase which shall not be before the date of LOA.
 - a) Defects and/or failures due to manufacturing.
 - b) Defects and/or failures due to quality of materials.
 - c) Nonconformity to specifications due to faulty manufacturing and/or inspection processes. If the solar Module(s) fails to conform to this warranty, the manufacturer will repair or replace the solar module(s), at the Owners sole option.
- xi. PV modules must be tested and approved by one of the NABL accredited and BIS approved test centers.
- xii. Modules deployed must use a RF identification tag laminated inside the glass. The following information must be mentioned in the RFID used on each module:
 - a) Name of the manufacturer of the PV module
 - b) Name of the manufacturer of Solar Cells.

- c) Month & year of the manufacture (separate for solar cells and modules)
 - d) Country of origin (separately for solar cells and module)
 - e) I-V curve for the module Wattage, I_m , V_m and FF for the module
 - f) Unique Serial No and Model No of the module
 - g) Date and year of obtaining IEC PV module qualification certificate.
 - h) Name of the test lab issuing IEC certificate.
 - i) Other relevant information on traceability of solar cells and module as per ISO 9001 and ISO 14001.
 - j) Nominal wattage +3%
 - k) Brand Name, if applicable.
- xiii. Unique Serial No, Model No, Name of Manufacturer, Manufacturing year, Make in India logo and module wattage details should be displayed inside the laminated glass.
 - xiv. The modules shall be fitted with water syphoning arrangement wherever necessary to prevent water stagnation on the bottom modules.
 - xv. The PV cells in a crystalline silicon module shall be protected by encapsulation between front glass and back sheet/back glass. The glass shall be made of high transmissivity and front surface shall give high encapsulation gain.
 - xvi. Bidder shall submit Self-certified Electro- Luminescence (EL) Test reports of all the Crystalline Silicon based PV Modules being offered to NVVN.

2. Inverter/PCU

- i. Inverters/PCU should be purchased from Class I supplier and shall comply with applicable IEC/equivalent BIS standard for efficiency measurements and environmental tests as per standard codes IEC 61683/IS 61683, IS 16221 (Part 2), IS 16169 and IEC 60068-2(1,2,14,30) /Equivalent BIS Std.
- ii. Maximum Power Point Tracker (MPPT) shall be integrated in the inverter/PCU to maximize energy drawn from the array. Charge controller (if any) / MPPT units environmental testing should qualify IEC 60068-2(1, 2, 14, 30)/Equivalent BIS standard. The junction boxes/enclosures should be IP 65 or better (for outdoor)/ IP 54 or better (indoor) and as per IEC 529 Specifications.
- iii. All inverters/PCUs shall be IEC 61000 compliant for electromagnetic compatibility, harmonics, Surge, etc. PCU/inverter should also be DG set interactive. The PCU should also have provision of charge controller in case of systems. The PCU shall have a minimum comprehensive warranty of 10 years.
- iv. The PCU/ inverter shall have an overloading capacity of minimum 20%.
- v. Typical technical features of the inverter shall be as follows-
 - a) Switching devices: IGBT/MOSFET
 - b) Control: Microprocessor/DSP
 - c) Nominal AC output voltage and frequency: as per CEA/State regulations
 - d) Output frequency: 50 Hz
 - e) Grid Frequency Synchronization range: as per CEA/State Regulations
 - f) Ambient temperature considered: -20°C to 60°C
 - g) Humidity: 95 % non-condensing
 - h) Protection of Enclosure: IP-54 (Minimum) for indoor and IP-65(Minimum)

for outdoor.

- i) Grid Frequency Tolerance range: as per CEA/State regulations
 - j) Grid Voltage tolerance: as per CEA/State Regulations
 - k) No-load losses: Less than 1% of rated power
 - l) Inverter efficiency (Min.): >93% (In case of 10 kW or above with in-built galvanic isolation)
Inverter efficiency (Min.): >97% (In case of 10 kW or above without inbuilt galvanic isolation)
Inverter efficiency (Min.): **> 90% (In case of less than 10 kW)**
 - m) THD: < 3%
 - n) PF: > 0.9 (lag or lead)
 - o) Should not inject DC power more than 0.5% of full rated output at the interconnection point and comply to IEEE 519.
 - p) The PCU / inverter shall have a minimum comprehensive warranty of **10 years from the date of purchase which shall not be before the date of LOA.**
 - q) The PCU/Inverter shall be capable of controlling power factor dynamically. PCU/inverter should be equipped with components required to support reactive power The output power factor of inverter should be suitable for all voltage ranges or sink of reactive power, inverter should have internal protection arrangement against any sustain fault in feeder line and against the lightning on feeder.
- vi. The output power factor of inverter should be suitable for all voltage ranges or sink of reactive power, inverter should have internal protection arrangement against any sustain fault in feeder line and against the lightning on feeder.
- vii. All the Inverters should contain the following clear and indelible Marking Label & Warning Label as per IS16221 Part II, clause 5. The equipment shall, as a minimum, be permanently marked with:
- a) The name or trademark of the manufacturer or supplier;
 - b) A model number, name or other means to identify the equipment,
 - c) A serial number, code or other marking allowing identification of manufacturing location and the manufacturing batch or date within a three-month time period.
 - d) Input voltage, type of voltage (a.c. or d.c.), frequency, and maximum continuous current for each input.
 - e) Output voltage, type of voltage (a.c. or d.c.), frequency, maximum continuous current, and for a.c. outputs, either the power or power factor for each output.
 - f) The Ingress Protection (IP) rating
- viii. Marking shall be located adjacent to each fuse or fuse holder, or on the fuse holder, or in another location provided that it is obvious to which fuse the marking applies, giving the fuse current rating and voltage rating for fuses that may be changed at the installed site.
- ix. In case the consumer is having a 3- ϕ connection, 3- ϕ inverter shall be provided

by the bidder as per the consumer's requirement and regulations of the State.

- x. Inverter/PCU shall be capable of complete automatic operation including wake-up, synchronization & shutdown.
- xi. The Inverter should have a provision of remote monitoring of inverter data through sim card. Required website/mobile app platform, where NVVN and the user (Consumer) can access the data, should be provided/explained to NVVN and consumer while installation. Additionally, if inverter has the facility of in-built wi-fi module, that should also be explained to the consumer. On demand, Inverter should also have provision to feed the data to the remote monitoring server using relevant API/ protocols. All the inverter data should be available for monitoring by giving web access. Charges for internet and website/mobile app platform shall be borne by the bidder throughout the contract period.
- xii. Integration of PV system with Grid & Grid Islanding:
 - a) The output power from SPV would be fed to the inverters/PCU which converts DC produced by SPV array to AC and feeds it into the main electricity grid after synchronization.
 - b) In the event of a power failure on the electric grid, it is required that any independent power-producing inverters attached to the grid turn off in a short period of time. This prevents the DC-to-AC inverters from continuing to feed power into small sections of the grid, known as "islands." Powered islands present a risk to workers who may expect the area to be unpowered, and they may also damage grid-tied equipment. The Rooftop PV system shall be equipped with islanding protection. In addition to disconnection from the grid (due to islanding protection) disconnection due to under and over voltage conditions shall also be provided, if not available in inverter.
 - c) MCB/MCCB or a manual isolation switch, besides automatic disconnection to grid, would have to be provided at utility end to isolate the grid connection by the utility personnel to carry out any maintenance. This switch shall be locked by the utility personnel.
- xiii. PCU front panel shall be provided with display (LCD or equivalent) to monitor the following:
 - A. DC power input
 - B. DC input voltage
 - C. DC current
 - D. AC output power
 - E. AC voltage (all the 3 phases)
 - F. AC current (all the 3 phases)
- xiv. Protection required in Inverter (minimum)
 - A. Input side disconnection switch
 - B. Earth leakage /Ground fault monitoring
 - C. DC reverse polarity protection
 - D. DC over voltage / current limitation protection
 - E. AC short circuit/Earth fault protection
 - F. AC over voltage / current limitation protection
 - G. DC and AC side surge protection (MOV)in built
 - H. Anti- islanding protection
- xv. The PCU shall have arrangement for adjusting DC input current and should trip

- against sustainable fault downstream and shall not start till the fault is rectified.
- xvi. The PCU shall be able to withstand an unbalanced load conforming to IEC standard and relevant electricity condition. The PCU shall include appropriate self-protective and self-diagnostic features to protect itself and the PV array from damage in the event of PCU component failure or from parameters – beyond the PCU's safe operating range due to internal or external causes.
 - xvii. PCU shall go to shutdown/standby mode, with its contacts open, under the following conditions before attempting an automatic restart after an appropriate time delay.
 - xviii. When the power available from the PV array is insufficient to supply the losses of the PCU, the PCU shall go to standby/shutdown mode.
 - xix. The PCU control shall prevent excessive cycling of shut down during insufficient solar irradiance.

3. Module Mounting Structure (MMS)

- i. Supply, installation, erection, and acceptance of module mounting structure (MMS) with all necessary accessories, auxiliaries and spare part shall be in the scope of the work.
- ii. The design calculations shall be supplemented with neat sketch and reference to various clauses of technical specification and Indian standards. For MMS design analysis and determination of forces, where computer program (preferably STAAD) is used, the contractor shall submit a write- up on computer program used and its input and output data for review and approval of NVVN. It may be ensured that the design has been certified by a recognized Lab/ Institution/chartered Engineer in this regard and submit wind loading calculation sheet to NVVN.
- iii. Module mounting structures can be made from three types of materials. They are Hot Dip Galvanized Iron, Aluminium and Hot Dip Galvanized Mild Steel (MS). However, MS will be preferred for raised structure.
- iv. MMS Steel shall be as per latest IS 2062:2011 and galvanization of the mounting structure shall be in compliance of latest IS 4759. MMS Aluminium shall be as per AA6063 T6. For Aluminium structures, necessary protection towards rusting need to be provided either by coating or anodization.
- v. All bolts, nuts, fasteners shall be of stainless steel of grade SS 304 or hot dip galvanized, panel mounting clamps shall be of aluminium and must sustain the adverse climatic conditions. Structural material shall be corrosion resistant and electrolytically compatible with the materials used in the module frame, its fasteners, nuts and bolts.
- vi. Structural material shall be corrosion resistant and electrolytically compatible with the materials used in the module frame and associated fasteners, nuts & bolts.
- vii. The upper edge of the module must be covered with wind shield so as to avoid build air ingress below the module. Slight clearance must be provided on both edges (upper & lower) to allow air for cooling.
- viii. Suitable fastening arrangements such as grouting and calming should be provided to secure the installation against the specific wind speed. The bidder shall be fully responsible for any damage to SPV System caused due to high wind velocity within guarantee period as per technical specification.
- ix. The structures shall be designed to allow easy replacement, repairing and cleaning of any module. The array structure shall be so designed that it will

occupy minimum space without sacrificing the output from the SPV panels. Necessary testing provision for MMS to be made available at site.

- x. Adequate spacing shall be provided between two panel frames and rows of panels to facilitate personnel protection, ease of installation, replacement, cleaning of panels and electrical maintenance.
- xi. The structure shall be designed to withstand operating environmental conditions for a period of minimum 25 years.
- xii. Module Mounting Structures shall be designed to withstand the extreme weather conditions in the area (as per National Building Code 2016).
- xiii. The Mounting structure shall be designed to withstand the wind speed of 180 km/hour or cyclonic winds in coastal area. Further structure in coastal areas shall be painted with marine paint.
- xiv. Aluminum frames should be avoided for installations in coastal areas.
- xv. **No welding is allowed on the mounting structure.**
- xvi. The Bidder is responsible for the waterproofing of the roof disturbed/ pierced for installation of Project throughout the Project installation period and Comprehensive O&M period. The Bidder should immediately take necessary action to repair any damage to the waterproofing.
- xvii. The Rooftop Structures maybe classified in 3 broad categories.

a) Ballast structure

- i. For flat RCC Roofs, the mounting structure must be Non-invasive ballast type and any sort of penetration of roof to be avoided.
- ii. The minimum clearance of the structure from the roof level should allow ventilation for cooling, also ease of cleaning and maintenance of panels as well as cleaning of terrace.
- iii. The structures should be suitably loaded with reinforced concrete blocks of appropriate weight with Nominal Mix 1:1.5:3 with 20mm nominal size having compressive strength of 25MPa after 28 days of curing.

b) Structure with Anchor fastener

- i. For inclined/ Dome type RCC Roofs, the mounting structure shall be fixed on the roof with proper fasteners/bolts along with chemical grouting and sealing.
- ii. The roof should remain waterproof after erection of the structure.

c) Metallic roof structure

- i. The structure design should be as per the slope of the metallic roof.
- ii. Minimum thickness of the structural members shall be 2mm
- iii. Fixing of module mounting structures shall not cause any damage to the roof. The roof should remain waterproof.
- iv. Proper walk way and life line shall be provided.
- v. The inclination angle of structure can be done in two ways:
 - A. With same tilt angle based on the slope of roof to get the maximum output.
 - B. The base of structure should be connected on the Purlin of roof with the proper fastening arrangement.

4. Metering

- i. A Roof Top Solar (RTS) Photo Voltaic (PV) system shall consist of following energy meters:
 - a) Net meter: To record import and export units and shall be as per DISCOM specifications.
 - b) Generation Solar meter(s): To keep record for total generation of the plant at each termination. The solar meter shall be having warranty for a minimum period of **10 years** from the date of purchase which shall not be before the date of LOA. In case solar meter is supplied by DISCOM and is not having warrantee of 10 years, Bidder has to bear the cost of its replacement in case of any defect.
 - c) The installation of meters including CTs & PTs, wherever applicable, shall be carried out by the bidder as per the terms, conditions and procedures laid down by the concerned SERC/DISCOMs.
 - d) In case access to the generation solar meter is not available for remote metering, another energy meter shall be provided for remote metering. Pl refer to cl. 12 vii in this regard for remote data monitoring.

5. Array Junction Boxes:

- i. The junction boxes are to be provided in the PV array for termination of connecting cables. The Junction Boxes (JBs) shall be made of GRP/FRP/Powder Coated aluminum /cast aluminum alloy with full dust, water & vermin proof arrangement. All wires/cables must be terminated through cable lugs. The JB's shall be such that input & output termination can be made through suitable cable glands. Suitable markings shall be provided on the bus-bars for easy identification and cable ferrules will be fitted at the cable termination points for identification.
- ii. Copper bus bars/terminal blocks housed in the junction box with suitable termination threads conforming to IP 65 or better standard and IEC 62208 Hinged door with EPDM rubber gasket to prevent water entry, Single /double compression cable glands should be provided.
- iii. Polyamide glands and MC4 Connectors may also be provided. The rating of the junction box shall be suitable with adequate safety factor to interconnect the Solar PV array.
- iv. Suitable markings shall be provided on the bus bar for easy identification and the cable ferrules must be fitted at the cable termination points for identification.
- v. Junction boxes shall be mounted on the MMS such that they are easily accessible and are protected from direct sunlight and harsh weather.

6. DC Distribution Box (DCDB):

- i. May not be required for small plants, if suitable arrangement is available in the inverter.
- ii. DC Distribution Box are to be provided to receive the DC output from the PV array field.
- iii. DCDBs shall be dust & vermin proof conform having IP 65 or better protection, as per site conditions.
- iv. The bus bars are made of EC grade copper of required size. Suitable capacity MCBs/MCCB shall be provided for controlling the DC power output to the inverter along with necessary surge arrestors. MCB shall be used for currents

up to 63 Amperes, and MCCB shall be used for currents greater than 63 Amperes

7. AC Distribution Box (ACDB):

- i. AC Distribution Panel Board (DPB) shall control the AC power from inverter, and should have necessary surge arrestors, if required. There is interconnection from ACDB to mains at LT Bus bar while in grid tied mode.
- ii. All switches and the circuit breakers, connectors should conform to IEC 60947:2019, part I, II and III/ IS 60947 part I, II and III.
- iii. The isolators, cabling work should be undertaken as part of the project.
- iv. All the Panels shall be metal clad, totally enclosed, rigid, floor mounted, air - insulated, cubical type suitable for operation on 1- ϕ /3- ϕ , 415 or 230 volts, 50 Hz (or voltage levels as per CEA/State regulations).
- v. The panels shall be designed for minimum expected ambient temperature of 45 degree Celsius, 80 percent humidity and dusty weather.
- vi. All indoor panels will have protection of IP 54 or better, as per site conditions. All outdoor panels will have protection of IP 65 or better, as per site conditions.
- vii. Should conform to Indian Electricity Act and CEA safety regulations (till last amendment).
- viii. All the 415 or 230 volts (or voltage levels as per CEA/State regulations) AC devices / equipment like bus support insulators, circuit breakers, SPDs, Voltage Transformers (VTs) etc., mounted inside the switchgear shall be suitable for continuous operation and satisfactory performance under the following supply conditions.
 - a) Variation in supply voltage: as per CEA/State regulations
 - b) Variation in supply frequency: as per CEA/State regulations
- ix. The inverter output shall have the necessary rated AC surge arrestors, if required and MCB/ MCCB. RCCB shall be used for successful operation of the PV system, if inverter does not have required earth fault/residual current protection.
- x. CODE AND STANDARDS:-

IS	Details
IEC 60947/ IS13947	Low-voltage switchgear and control gear
IS 2705	Current Transformers
IS 3043	Code of practice for earthing.
IS 3072	Code of practice for installation and maintenance of Switchgear
IS 3156	Voltage Transformers
IS 3202	Code of practice for climate proofing of electrical equipment.
IS 3231	Electrical relays for power system protection.
IS 13703 /IEC 60269	HRC Cartridge fuses
IS 10118 (4 parts)	Code of practice for selection, installation and maintenance of switchgear and control gear.
IEC 60255	Electrical Relays

8. Protections

The system should be provided with all necessary protections like earthing, Lightning, and

Surge Protection as per CEA Safety regulations 2023 as described below.

i. Earthing Protection

- a) The earthing shall be done in accordance with latest Standards. This specification is intended to outline the requirement of earthing for Solar Array (DC Side) and AC power block side of Solar PV Plant. It is not the intent of the specification to specify all details of design and construction since the bidder has full responsibility for engineering and implementation of earthing system meeting the functional requirement. Any additional equipment, material, services which are not mentioned herein but are required for installation, testing and commissioning of earthing system for safe and satisfactory operation of plant shall be included under scope of the bidder.
- b) Each array structure of the PV yard, Low Tension (LT) power system, earthing grid for switchyard, all electrical equipment, inverter, all junction boxes, etc. shall be grounded properly as per IS 3043-2018.
- c) Metallic frame of all electrical equipment shall be earthed by two separate and distinct connections to earthing system, each of 100% capacity.

ii. Lightning Protection

- a) The SPV power plants shall be provided with lightning & over voltage protection, if required. The main aim in this protection shall be to reduce the overvoltage to a tolerable value before it reaches the PV or other sub system components. The source of over voltage can be lightning, atmosphere disturbances etc.
- b) The entire space occupying the SPV array shall be suitably protected against Lightning by deploying required number of Lightning Arrestors (LAs). Lightning protection should be provided as per NFC17-102:2011/IEC 62305 standard.
- c) Lightning Mast / conductor, placed at strategic locations, shall be used to protect the arrays against lightning protection.
- d) The protection against induced high-voltages shall be provided by the use of Metal Oxide Varistors (MOVs)/Franklin Rod type LA/Early streamer type LA.

iii. Surge Protection

- a) Internal surge protection, wherever required, shall be provided.
- b) It will consist of three SPD type-II/MOV type surge arrestors connected from +ve and –ve terminals to earth.

9. CABLES

- i. All cables should be of the latest edition of IEC/equivalent BIS Standards (ISI marked) along with IEC 60227/IS 694, IEC 60502/IS 1554 standards.
- ii. Cables should be flexible and should have good resistance to heat, cold, water, oil, abrasion etc.
- iii. Armored cable should be used and overall PVC type 'A' pressure extruded insulation or XLPE insulation should be there for UV protection
- iv. Cables should have Multi Strand, annealed high conductivity copper

conductor on DC side and copper/FRLS type Aluminum conductor on AC side. For DC cabling, multi-core cables shall not be used.

- v. Cables should have operating temperature range of -10°C to $+80^{\circ}\text{C}$ and voltage rating of 660/1000 V.
- vi. Sizes of cables between array interconnections, array to junction boxes, junction boxes to Inverter etc. shall be so selected to keep the voltage drop less than 2.0 % (DC Cable losses).
- vii. The size of each type of AC cable selected shall be based on minimum voltage drop. However, the maximum drop shall be limited to 2.0 %
- viii. The electric cables for DC systems for rated voltage of 1500 V shall conform to BIS 17293:2020.
- ix. All cable/wires are to be routed in a RPVC pipe/ GI cable tray and suitably tagged and marked with proper manner by good quality ferule or by other means so that the cable is easily identified.
- x. All cable trays including covers to be provided.
- xi. The Cable should be so selected that it should be compatible up to the life of the solar PV panels i.e. 25 years.

10. DRAWINGS & MANUALS:

- i. Operation & Maintenance manual/user manual, Engineering and Electrical Drawings shall be supplied along with the power plant.
- ii. The manual shall include complete system details such as array lay out, schematic of the system, inverter details, working principle etc.
- iii. The Manual should also include all the Dos & Don'ts of Power Plant along with Graphical Representation with indication of proper methodology for cleaning, Operation and Maintenance etc.
- iv. Step by step maintenance and troubleshooting procedures shall also be given in the manuals.
- v. Bidders should also educate the consumers during their O&M period.

11. TRANSFORMERS (if required)

- i. Dry type appropriate kVA, of transformer Step up along with all protections, switchgears, Vacuum circuit breakers, cables etc. along with required civil work. Dry Type Transformer shall be constructed in accordance to IS: 2026, IS: 11171, Indian Electricity Act 2003, BEE Guideline & CEA notifications and equivalent to any other international standard. Transformers shall be complete & functional in all respects. The other important construction particulars shall be as below.
 - a) The transformers shall be housed in metal protective housing, having a degree of ingress protection. The enclosure shall be provided with suitable hardware (as required).
 - b) The conductors shall be of electrolytic grade copper free from scales & burrs.
 - c) Dry Type Transformer windings shall be of class F insulation or better.
 - d) The core shall be constructed from non-ageing, cold rolled, grain oriented silicon steellaminations.
 - e) The maximum losses for dry type transformer shall not be more

than the values specified in latest energy conservation building code (ECBC) of BEE.

- f) The fittings/accessories, including protection/monitoring device generally required for satisfactory operation of the transformer, are to be provided.
 - g) Suitable rain shed arrangement shall be provided to keep transformer under that arrangement.
 - h) Painting shall be as per employer's requirement (will be finalized during detailed engineering)
 - i) Type and routine test shall be conducted as per IS11171.
- ii. In case the bidder/contractor has conducted such specified type test(s) within last ten years as on the date of techno commercial bid opening, he may submit the type test reports to the owner for waiver of conductance of such type test(s). These reports should be for the test conducted on the equipment similar to those proposed to be supplied under this contract and test(s) should have been either conducted at an independent laboratory or should have been witnessed by Owner. In case the bidder is not able to submit report of the type test(s) conducted within last ten years from the date of bid opening, or in case the type test report(s) are not found to be meeting the specification requirements the bidder shall conduct all such tests under this contract at no additional cost to the employer at an independent laboratory or in presence of the Owner's representative and submit the test reports.

12. Data Monitoring:

- i. Remote monitoring of the generation of Solar Power shall be done through appropriate app which shall be provided by bidder. Provision for mobile app-based display shall be made for all the data display with a provision to interact with NVVN and Procurer and other stakeholders.
- ii. Remote Monitoring and data acquisition through Remote Monitoring System software with latest software/hardware configuration and service connectivity for online / real time data monitoring/control complete to be supplied and Comprehensive O&M/control to be ensured by the supplier. Provision for interfacing these data on any other Agency server and portal in future shall be kept. The Contractor must ensure the yearly availability and connectivity of the RMS system to be at least 99%.
- iii. Measurement of Solar PV parameters at Inverter level: These Inverter parameters shall have provision of data logging through Mod Bus (RS-485) protocol.
- iv. Solar Irradiance: An integrating Pyranometer (Class II or better) shall be provided, with the sensor mounted on a Horizontal plane at a shadow free suitable location near solar arrays at one of the Roof Top within premises.
- v. Temperature: Temperature probes for recording the PV Cell temperature shall be provided at one of the modules at shadow free area at one of the Roof Top within premises.
- vi. Regarding the generated power consumption, priority needs to be given for internal consumption first and thereafter any excess power can be exported to the distribution licensee network.

- vii. **The bidder is also required to fetch the real time generation data from the solar meter for remote metering, billing and monitoring. In case the solar meter is not accessible due to DISCOM restrictions, the bidder shall install an additional meter just before the solar meter which shall be suitable for remote metering on open protocol, within the quoted price. Bidder shall also provide API for the Remote metering system which shall be used for billing and monitoring.**

13. Miscellaneous:

- i. Connectivity: The maximum capacity for interconnection with the grid at a specific voltage level shall be as specified in the DERC/SERC regulation for Grid connectivity and norms of DISCOM and amended from time to time. The Bidder must take approval/NOC from the concerned DISCOM for the connectivity, technical feasibility, and synchronization of Project with DISCOM network and submit the same to NVVN before Commissioning Project. Reverse power relay shall be provided by Bidder (if necessary), as per the DISCOM's requirement.
- ii. Safety measures: Electrical safety of the installation(s) including connectivity with the grid must be taken into account and all the safety rules & regulations applicable as per Electricity Act, 2003 and CEA Safety Regulation 2023 etc. or its latest amendments must be followed.
- iii. Danger boards, Caution Boards should be provided as and where necessary as per IE Act /IE rules as amended up to date.
- iv. Shadow analysis: The shadow analysis report with the instrument such as Solar Pathfinder or professional shadow analysis software of each site should be provided and the system shall be installed only in shadow free space. Lower performance of the system due to shadow effect shall be liable for penalty for lower performance.
- v. Firefighting system – Portable fire extinguishers/sand buckets shall be provided wherever required as per norms.
- vi. Bidder should dispose off the packing material, surplus items, unused materials, waste etc. generated during construction, as per applicable Rules and standards. Concrete wastes and dead mortar if any are to be removed and the area is to be cleaned immediately.
- vii. The bidder shall take fully responsible for safety of manpower, materials and premises. The contractor shall take special care for safe working at height, electrical safety of the installation(s) including connectivity with the grid and follow all the safety rules & regulations applicable as per Electricity Act, 2003, CEA guidelines and other applicable standard practices.
- viii. The bidder shall provide a display board mentioning the details of the project.
- ix. Even if the plant is transferred by NVVN to NVVN's end consumer/ owner of the premises, all obligations of the successful bidder related to O&M of the plant up to 10 years shall remain the same as per the terms and conditions of the contract.
- x. Stickers as per NVVN requirements e.g. instructions/ guidelines/ danger mark.

SCHEDULE D

i. PERFORMANCE GUARANTEE TEST FOR ROOFTOP SOLAR PV

The Performance Guarantee (PG) Test shall be carried out after successful commissioning of the system. PG Test shall be carried out on any rooftop location by measuring Performance Ratio (PR) and comparing to the Guaranteed PR of 75%. The test shall be repeated for each location having inverter of having different make or type of control. In case there are more than one rooftop having similar inverter, the test rooftop shall be decided based on mutual consent. Further, the PG test shall be conducted once for every 200 kW or its part thereof in the premise. The mathematical formula for calculating PR is given below:

$$\text{PR (\%)} = \frac{\text{AC Yield (KWh)} \times 100}{\text{Installed Capacity (kWp)} \times \text{Global Inclined Insolation (kWh/m}^2\text{)}}$$

- a. For the purpose of measuring Global Inclined Insolation (GII), another pyranometer shall be installed on returnable basis, mounted at the plane of the module, at free of cost.
- b. Measuring instruments to record on site data will include a pair of pyranometers (horizontal and inclined with sensitivity of $7\mu\text{V}/(\text{W}/\text{m}^2)$), temperature sensor, signal converter.
- c. The Bidder will be responsible to conduct the PG test only after achieving the physical completion and synchronization of the plant and complying the relevant requirements from utility.
- d. The PG test will be conducted for durations in which irradiance level is greater than $750 \text{ W}/\text{m}^2$ and the test will continue until a total horizontal radiation of $5 \text{ kWh}/\text{m}^2$ has been achieved.
- e. The PR values shall be computed based on actual energy generated at solar meters.
- f. If failed to achieve the guaranteed performance levels, the bidder will at its own cost rectify all the defects identified during the test and take necessary steps/efforts to pass the PR test within the stipulated time span. Subsequent to rectification the PR will be restarted.
- g. During PG test, if there is a grid outage, those time slots will not be considered. If the values are again below the guaranteed performance levels, bidder will be liable to pay Liquidated Damages (LD) to NVVN, which shall be calculated as below:

Short fall in $\text{PR}(\%) = \Delta\text{PR} = \text{Target PR} - \text{Achieved PR}$

Shortfall in Generation $= \Delta\text{E} = (\Delta\text{PR}/\text{Achieved PR}) \times \text{measured Generation}$

• Yearly shortfall in Generation $(\Delta\text{E Yearly} = \Delta\text{E} \times (\text{Annual GHI}/5))$

Applicable Liquidated Damages (LD) $= N \times R \times \Delta\text{E yearly}$

Where R= tariff factor i.e. ₹5.0 / kWh (For illustration only. Actual amount is as per annexure A)

N=11.37

A Sample Calculation for 40 kW Capacity of Plant Rooftop solar PV

Capacity of the plant=40 kW

Global Horizontal Insolation of Site (GY)=1977 kWhr/m²-year

Measured Global Horizontal Insolation- GHITEST=5 kWhr/m²

Measured Global Inclined Insolation- GIITEST=5.213 kWhr/m²

Capacity of the plant where PG test be conducted=40 kW

Metered Generation corresponding to radiation>750 W/m²=152 kWhr

Measured PR=152 × 100/(40x 5.213)=72.89%

Shortfall in PR=75%-72.89%=2.11%

Shortfall in Generation for test period=(2.11/72.89)x152= 4.40 kWhr

Yearly Shortfall in Generation=4.40 x (1977/5) =1739.78 kWhr

Applicable LD= N x R X ΔEyearly =11.37 x 5 x 1739.78 = INR 98,906.50

- h. The cap on LD for not achieving the desired and guaranteed PR of 75% shall be 25% of the contract value awarded excluding the O&M Cost.

ii. Equipment performance guarantee

- a. Further the Bidder is liable to maintain the minimum guaranteed Annual CUF measured at Delivery Point for the 10 operational years, failing which the Bidder is liable to pay the LD for shortfall in generation. The LD shall be equal to shortfall in energy corresponding to energy equivalent to modified guaranteed Annual CUF multiplied by the Tariff factor i.e. INR ΔE x Tariff factor, where ΔE is yearly shortfall in energy corresponding to energy equivalent to modified guaranteed Annual CUF and Tariff factor (as mentioned in Annexure-A of 'Scope of Work and Technical specifications). The LD shall be recovered from O&M BG and thereafter from O&M payment. The cap on LD for shortfall in generation shall be 10% of the Annual Energy corresponding to guaranteed CUF for that year multiplied by Tariff Factor. In the case of invocation of the O&M BG due to shortfall in generation, the bidder shall resubmit a BG of originally envisaged value immediately.
- b. The modified guaranteed annual CUF shall be calculated based on the shortfall in energy during PG Test, if any.
- c. For determining the Annual CUF, the annual module degradation shall be taken as 0.7% cumulative from 2nd operational year. The duration for which the Generation was interrupted due to non-availability of Grid during solar hours or any other reasons not attributable to Bidder shall not be taken into account for calculation of Annual CUF.
- d. Let us understand with the illustrative example already given at Schedule 4, Clause(i),
- i. **Case 1: If there is no shortfall in generation during PG test:**
The capacity of Solar plant considered is 40 kW and the desired minimum

annual CUF is 16% for 1st year of O&M i.e. generation (G) of 56102.40 kWhr.

Further, for the 2nd operational year, considering the module degradation of 0.7% per annum, the annual target generation for 2nd operational year shall be equal to $=56102.40 \times (1 - 0.007)$ i.e. 55709.68 kWhr.

Further for the 3rd operational year, considering the module degradation of 0.7% per annum, the annual target generation for 3rd operational year shall be equal to $= 56102.40 \times (1 - 2 \times (0.007))$ i.e. 55316.97 kWhr.

This will continue till the end of 10th operational year.

ii. Case 2: If there is shortfall in generation during PG test:

The capacity of Solar plant considered is 40 kW and the desired minimum annual CUF is 16% for 1st year of O&M i.e. generation (G) of 56102.40 kWhr. Now if the shortfall in annual energy during PG test is 1739.8 kWhr, then the modified annual energy generation (G1) target for 1st year shall be 54362.6 kWhr. The modified guaranteed annual CUF for the 1st year shall be equal to $54362.6 \times 100 / (8766 \times 40) = 15.50\%$.

Futher, for the 2nd operational year, considering the module degradation of 0.7% per annum, the annual target generation for 2nd operational year shall be equal to $=54362.6 \times (1 - 0.007)$ i.e. 53982.06.

Further for the 3rd operational year, considering the module degradation of 0.7% per annum, the annual target generation for 3rd operational year shall be equal to $= 54362.6 \times (1 - 2 \times (0.007))$ i.e. 53604.19.

This will continue till the end of 10th operational year.

Quality Certification, Standards and Testing for Grid-Connected Solar PV Systems/Power Plants

Solar PV Modules/Panels	
IEC 61215 and IS 14286	Design Qualification and Type Approval for Crystalline Silicon Terrestrial Photovoltaic (PV) Modules
IEC 61701:2011	Salt Mist Corrosion Testing of Photovoltaic (PV) Modules
IEC 61853- 1:2011 / IS 16170-1:2014	Photovoltaic (PV) module performance testing and energy rating –: Irradiance and temperature performance measurements, and power Rating.
IEC 62716	Photovoltaic (PV) Modules – Ammonia (NH ₃) Corrosion Testing (as per the site condition like dairies, toilets etc)
IEC 61730-1,2	Photovoltaic (PV) Module Safety Qualification – Part 1: Requirements for Construction, Part 2: Requirements for Testing
IEC 62804	Photovoltaic (PV) modules – Test method for detection of potential induced degradation. IEC 62804-1: Part 1: Crystalline Silicon
Solar PV Inverters	
IEC 62109 or IS : 16221	Safety of power converters for use in photovoltaic power systems – Part 1: General requirements, and Safety of power converters for use in photovoltaic power systems Part 2: Particular requirements for inverters. Safety compliance (Protection degree IP 65 or better for outdoor mounting, IP 54 or better for indoor mounting)
IS/IEC 61683 latest (as applicable)	Photovoltaic Systems – Power conditioners: Procedure for Measuring Efficiency (10%, 25%, 50%, 75% & 90-100% Loading Conditions)
IEC 60068-2 /IEC 62093 (as applicable)	Environmental Testing of PV System – Power Conditioners and Inverters
IEC 62116:2014/ IS16169	Utility-interconnected photovoltaic inverters - Test procedure of islanding prevention measures

APPENDIX 2

Sample Project Completion Report for Grid-Connected Rooftop			
Financial year :			
Approval No.:			
Proposal Title :			
Installed by agency :			
Project initiated by :			
Title of the Project :		Capacity	
Category of the organization / beneficiary:		Name of the contact person:	
Address of contact person :			
State :		District/City :	
Mobile:		Email :	
Telephone No. :		Website :	
Other info			
Electricity Distribution CompanyName :			
Electricity consumer account no. as per electricity bill :		as on Date :	
Technology Description & System Design /Specification			
(Compliance to BIS/IEC Standards is mandatory)			
1. Module			
Capacity/Power of each PV Module(Wp) :	1.		1. Nos: 2. Nos:
Cumulative Capacity of Modules (KWp):			
Solar cell technology :			
Module efficiency (in Percentage) :			
2. Inverters			
Type of inverter :			
Make of inverter :			
Capacity/Power of each PCU/inverters (VA) :	Capacity / Power Nos.		
Capacity/Power of PCU / inverters(KVA) :			
Inverter efficiency (Full load) :			
(in percentage)			

3. Metering Arrangement			
Any other details:			
Make and Type of Meter :			
Metering Details:			
4.. Other informations			
Units of electricity generated by the solar plant as per meter (in KWh):			
Monitoring Mechanism :			
No. of personnel to be trained in O&M			
Grid connectivity level			
Grid connectivity level phase :		Grid connectivity level Voltage :	

The following to be included in Project Completion Report:

1. Warranty period of panels, inverters, and meters.
2. Module ALMM reference no. in latest MNRE List.
3. Inverter supplier certificate for Class 1 Supplier.
4. PR test report.
5. Discom connectivity certificate/approval.
6. Layout of Panel.

INSPECTION AND MAINTENANCE SCHEDULE

Component	Activity	Description	Interval	By
Module Mounting structure	Inspection	Check whether the MMS are properly in tightened position and check for corrosion.	Quarterly	Site in charge
PV Module	Cleaning	Clean any bird droppings/ dark spots on module	Immediately	Site in charge
	Cleaning	Clean PV modules with plain water or mild dishwashing detergent. Do not use brushes, any types of solvents, abrasives, or harsh detergents.	As per the site conditions and at least once a week	Site in charge
	Inspection (for plants > 100 kWp)	Use infrared camera to inspect for hot spots; bypass diode failure	Annual	Site in charge
PV Array	Inspection	Check the PV modules and rack for any damage. Note down location and serial number of damaged modules.	Annual	Site in charge
	Inspection	Determine if any new objects, such as vegetation growth, are causing shading of the array and move them if possible.	Quarterly	Site in charge
	Vermin Removal	Remove bird nests or vermin from array and rack area.	Quarterly	Site in charge
Junction Boxes	Inspection	Inspect electrical boxes for corrosion or intrusion of water or insects. Seal boxes if required. Check position of switches and breakers. Check operation of all protection devices.	Annual	Site in charge
Wiring	Inspection	Inspect cabling for signs of cracks, defects, loose connections, overheating, arcing, short or open circuits and ground faults.	Annual	Site in charge

Component	Activity	Description	Interval	By
Spare Parts	Management	Manage inventory of spare parts. to ensure that the amount of power being generated is typical of the conditions. Inspect Inverter housing or shelter for physical Maintenance, if required.	As needed	Site in charge
Inverter	Service	Clean or replace any airfilters.	As needed	Site in charge
Instruments	Validation	Spot-check monitoring instruments (pyranometer etc.) with standard instruments to ensure that they are operational and within specifications.	Annual	Site in charge
Transformer	Inspection	Inspect transformer oil level, temperature gauges, breather, silica gel, meter, connections etc.	Annual	Site in charge
Tracker (if present)	Inspection	Inspect gears, gear boxes, bearings as required.	Annual	Site in charge
	Service	Lubricate tracker mounting bearings, gearbox as required.	Bi-annual	Site in charge
Plant	Monitoring	Daily Operation and Performance Monitoring	Daily	Site in charge
Log Book	Documentation	Document all O&M activities in a workbook available to all service personnel	Continuous	Site in charge

APPENDIX 4

Monthly O & M Report

Month and year:

Name of the Bidder:

LOA No:

Project Capacity:

Address of the site:

Part A

Component	Activity	Description	Date	Signature of contractor	Signature of custodian of building	*Remarks
PV Module	Cleaning	Immediately clean any bird droppings/ dark spots on module.				
	Cleaning	Clean PV modules with plain water or mild dishwashing detergent.				
	Inspection (for plants > 100 kWp)	Infrared camera inspection for hot spots; bypass diode failure.				
PV Array	Inspection	Check the PV modules and rack for any damage.				
	Inspection	If any new objects, such as vegetation growth etc., are causing shading of the array. Remove if any.				
	Vermin Removal	Remove bird nests or vermin from array and rack area.				
Junction Boxes	Inspection	- Inspect electrical boxes for corrosion, intrusion of water or vermin. - Check position of switches and breakers. - Check status of all protection devices.				

Component	Activity	Description	Date	Signature of contractor	Signature of custodian of building	*Remarks
Wiring	Inspection	Inspect cabling for signs of cracks, defects, loose connections, corrosion, overheating, arcing, short or open circuits, and ground faults				
Inverter	Inspection	• Observe instantaneous operational indicators on the faceplate. • Inspect Inverter housing or shelter for any physical				
Inverter	Service	Clean or replace any air filters.				
Instruments	Validation	Verify monitoring instruments (pyranometer etc.) with standard instruments to verify their operation within tolerance limits.				
Transformer	Inspection	Inspect transformer oil level, temperature gauges, breather, silica gel, meter, connections etc.				
Plant	Monitoring	Daily Operation and Performance Monitoring.				
Spare Parts	Management	Manage inventory of spareparts.				
Log Book	Documentation	Maintain daily log records.				
Tracker (if any)	Inspection	Inspect gears, gear boxes, bearings, motors.				
	Service	Lubricate bearings, gear as required.				

Signature of the Authorized signatory of the Contractor.

Part B

Date	Generation kWh	Grid outage (hh:mm)	Inverter down period (hh:mm)	Remarks
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
21				
22				
23				
24				
25				
26				
27				
28				
29				
30				
31				

Total generation for the month in kWh:

Cumulative generation since commissioning in kWh:

CUF for month in %:

Cumulative CUF since commissioning in %:

Date:

Signature of the Authorized signatory of the custodian of the building

Signature of the Authorized signatory of the Contractor

NTPC VIDYUT VYAPAR NIGAM LIMITED
(A wholly owned Subsidiary of NTPC Limited)



BIDDING DOCUMENTS

FOR

**DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI**

SECTION – VII

PART 1 OF 2

BIDDING DOCUMENT NO.: NVVN/CandM/RE-307/2024-25

(This document is meant for the exclusive purpose of bidding against this Bid Document No. / Specification and shall not be transferred, reproduced or otherwise used for purposes other than that for which it is specifically issued).

TABLE OF FORMS AND PROCEDURES

Sl. No.	Description
	Section VII (Part 1 of 2)
1.(a)	Techno-Commercial Bid (Envelope-1) (Bid Form for Envelope-I and Envelope-II along with Attachments for Envelope-I)
1.(b)	Price Bid (Envelope-2) (Attachments for Envelope-II and Price Schedules)

**CAUTION LIST BIDDER MAY TAKE NOTE OF THE FOLLOWING POINTS WHILE
SUBMITTING ITS BID**

- **BIDDERS ARE REQUIRED TO FURNISH REQUISITE DETAILS IN THE FORMATS SPECIFIED IN THE BIDDING DOCUMENTS FOR MEETING THE STIPULATED QUALIFYING REQUIREMENTS (QR) ALONG WITH ALL SUPPORTING DOCUMENTS LIKE COPIES OF CLIENT'S CERTIFICATES, WORK ORDER AND CONTRACT AGREEMENTS ETC. DULY CERTIFIED AND VERIFIED FOR AUTHENTICITY FROM INDEPENDENT STATUTORY AUDITOR OF THEIR COMPANY OR SPECIFIED THIRD-PARTY INSPECTION AGENCY**

IF ANY OF THE REFERENCE WORKS FURNISHED BY BIDDER PERTAINS TO THE CONTRACT(S)/ WORKS EXECUTED BY BIDDER FOR NTPC IN THE PAST THEN IN RESPECT OF SUCH CONTRACT(S)/ WORKS, BIDDER IS NOT REQUIRED TO ENCLOSE CLIENT'S CERTIFICATE(S) ALONG WITH ITS BID

- **IN CASE OF EXTENSION OF TECHNO-COMMERCIAL BID OPENING DATE, BIDDER TO FURNISH AUDITED ANNUAL REPORTS ALONG WITH ITS BID AS PER EXTENDED DATE OF TECHNOCOMMERCIAL BID OPENING TO MEET THE STIPULATED FINANCIAL QR CRITERIA.**
- **POWER OF ATTORNEY DULY AUTHORISED BY A NOTARY PUBLIC INDICATING THAT THE PERSON(S) SIGNING THE BID HAS/HAVE THE AUTHORITY TO SIGN THE BID AND THE BID IS BINDING UPON THE BIDDER DURING THE FULL PERIOD OF ITS VALIDITY BACKED BY A COPY OF BOARD RESOLUTION/ OTHER RELEVANT DOCUMENTS TO DEMONSTRATE THE AUTHORITY OF THE PERSON ISSUING THE POWER OF ATTORNEY TO BE FURNISHED ALONG WITH THE BID.**
- **POWER OF ATTORNEY TO THE AUTHORISED SIGNATORY OF THE BIDDER FOR SIGNING OF BID AND DJU/JV AGREEMENT ETC., WHEREVER APPLICABLE, TO BE SUBMITTED ALONG WITH BID AND SHOULD BE DATED NOT LATER THAN THE DATE OF SIGNING THE BID.**
- **BIDDER TO ENSURE THAT BID SECURITY / DJU/ JV AGREEMENT (AS APPLICABLE) TO BE SUBMITTED IN ORIGINAL STRICTLY AS PER SPECIFIED FORMATS DULY SIGNED IN ORIGINAL BY AUTHORIZED SIGNATORY AND STAMPED ON EACH PAGE. SCANNED/ PHOTOCOPY OF THESE DOCUMENTS WITHOUT SIGNATURE IN ORIGINAL SHALL NOT BE ACCEPTABLE AND SHALL BE SUMMARILY REJECTED.**
- **DATE OF PURCHASE OF STAMP PAPER OF INSTRUMENTS LIKE BID SECURITY, DEED OF JOINT UNDERTAKING ETC SHOULD BE ON OR BEFORE THE DATE OF EXECUTION OF SUCH INSTRUMENTS.**

1a. BID FORM
ENVELOPE-1 (TECHNO-COMMERCIAL) &
ENVELOPE-2 (PRICE)

BID FORM

BID FORM (ENVELOPE-1 (TECHNO-COMMERCIAL) & ENVELOPE-2 (PRICE))

Bidder Proposal Ref. No.:

Bid Document No.: NVVN/CandM/RE-307/2024-25

Date :

NAME OF CONTRACT:

**GM (C&M)/Sr Mgr(C&M)
NVVN Limited,
5th Floor Engineering Office Complex,
Plot No. A-8A, Sector-24,
NOIDA (UP), India-201 301**

Gentlemen and/or Ladies,

1.0 Having examined the Bidding Documents including subsequent amendments and clarifications if any, the receipt of which is hereby acknowledged, we the undersigned, offer to design, engineer, manufacture, test, deliver, install and commission (including carrying out Guarantee Test) the facilities under the above-named Contract in full conformity with the said Bidding Documents for the sum as mentioned in the Bill of Quantity (BOQ in excel sheet) of Bid Invitation at e-tender portal in accordance with the terms and conditions of the Contract.

1.1 We further understand that discount letter, if any, separately uploaded online or submitted in physical form shall not be considered for the purpose of evaluation.

2.0 COMPLIANCE TO THE PROVISIONS OF THE BIDDING DOCUMENTS

2.1 We have read all the provisions of the Bidding Documents and confirm that notwithstanding anything stated elsewhere in our bid to the contrary, the provisions of the Bidding Documents, are acceptable to us and we further confirm that we have not taken any deviation to the provisions of the Bidding Documents anywhere in our bid.

Any deviation, variation or additional condition etc. or any mention, contrary to the provisions of Bidding Documents and its subsequent Amendment(s)/ Clarification(s)/Addenda/Errata (if any) found anywhere in our bid proposal, implicit or explicit shall stand unconditionally withdrawn, without any cost implication whatsoever to the Employer, failing which our bid shall be rejected and our Bid Security shall be forfeited.

2.2 We further declare that additional conditions, variations, deviations, if any, found anywhere in the proposal, shall not be given effect to.

3.1 We are aware that the BOQ/Price Schedules do not generally give a full description of the work to be performed under each item and we shall be deemed to have read the Technical Specifications, Drawings and other Sections of the Bidding Documents to ascertain the full scope of work included in each item while filling in the rates and prices. We agree that the entered rates and prices shall be deemed to include the full scope as aforesaid, including overheads and profit.

3.2 We declare that prices left blank in the BOQ (excel sheet) will be deemed to have been included in the prices of other items. The TOTAL for each Schedule/item and the Grand

TOTAL as mentioned in BOQ (excel sheet) shall be deemed to be the total price for executing the Facilities in complete accordance with the Contract, whether or not each individual item has been priced.

- 4.0 We undertake, if our bid is accepted, to commence work on the Facilities immediately upon your Notification of Award to us and to achieve Completion of Facilities and conduct Guarantee Test (as applicable) within the time specified in the Bidding Documents.
- 5.0 If our bid is accepted, we undertake to provide Advance Payment Security, Contract Performance Securities and securities for Deed(s) of Joint Undertaking (as applicable) in the form and amounts and within the times specified in the Bidding Documents.
- 6.0 Until a formal Contract is prepared and executed between us, this bid, together with your written acceptance thereof in the form of your Notification of Award shall constitute the formation of the contract between us.
- 7.0 We understand that you are not bound to accept our bid or any other bid you may receive.
- 8.0 We, hereby, declare that only the persons or firms interested in this proposal as principals are named here and that no other persons or firms other than those mentioned herein have any interest in this proposal or in the Contract to be entered into, if the award is made on us, that this proposal is made without any connection with any other person, firm or party likewise submitting a proposal, is in all respects for and in good faith, without collusion or fraud.
- 9.0 We have read the ITB clause regarding restrictions on procurement from a bidder of a country which shares a land border with India and on subcontracting to contractors from such countries. We certify that we/our Collaborator/JV Partner/Consortium member/Assignee are/is not from such a country or, if from such a country, have/has been registered with the Competent Authority and we will not sub-contract any work to a contractor from such countries unless such contractor is registered with the Competent Authority.

*Further, we certify that we do not have any TOT arrangement requiring registration with the competent authority.

Or

*Further, we certify that we have valid registration in respect of TOT arrangement with the competent authority to participate in this procurement.

We hereby certify that we fulfill all requirements in this regard and are eligible to be considered.

*We further confirm that evidence of valid registration by the Competent Authority for us/our Collaborator/JV Partner/Consortium member/Assignee, as applicable, is enclosed as Annexure... **....

*** Bidder to strike-off, whichever is not applicable.**

**** Bidder to mention the Annexure no.**

- 10.0 ****(i) We confirm that we fulfill the requirements of Local content for Class-I local supplier.***

O
R

***(i)** We confirm that we fulfill the requirements of Local content for Class-II local supplier.

The details of the location(s) at which the local value addition is made are as under:

Sl.No.	Description of Goods & Services	Details of the location(s) at which the local value addition is made

(ii) We confirm that we fulfill the requirements of Local content for Class-I local supplier for Item(s) mentioned at \$[clause no. 41 of GTR in Technical Specifications], as applicable. We further confirm that in case such item(s) are bought-out for us, we shall source the same from Class-I local supplier only.

##We further confirm that we are presently not debarred / banned by any other procuring entity for violation of 'Public Procurement (Preference to Make in India), Order 2017' (PPP-MII Order) dated 15.06.2017 and its subsequent revisions / amendments issued by Department of Industrial Policy and Promotion (DIPP)."

##In case a Bidder has been banned/debarred by any other procuring entity for violation of 'Public Procurement (Preference to Make In India), Order 2017' (PPP- MII Order) dated 15.06.2017 and its subsequent revisions / amendments issued by Department of Industrial Policy and Promotion (DIPP), the same may be declared by Bidder by striking off para above and declaring the details of banning using additional sheets.

Bidder may also enclose additional sheets in similar format (if required), for providing details pertaining to local value addition.

Dated this.....day of.....20.....

Thanking you, we remain,

Yours faithfully,

Date :

(Signature).....

Place :

(Printed Name).....

(Designation).....

(Common Seal).....

Business Address : _____

Email id :

Mobile No. :

Fax No. : _____

Phone No.:

**ATTACHMENTS FOR
ENVELOPE-1 (TECHNO-COMMERCIAL)**

BID SECURITY

**BIDDER TO FURNISH BID SECURITY IN LINE WITH
ITB CLAUSE 12 AND AS PER FORMAT GIVEN
AT SL. NO. 2 OF SECTION VII PART 2 OF 2. (FORMS & PROCEDURES)**

BIDDER TO FURNISH TENDER FEE @ INR 22500

NAME OF CONTRACT: DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP
SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT.
BUILDING IN DELHI

BID DOCUMENT NO.: NVVN/CandM/RE-307/2024-25

POWER OF ATTORNEY

**BIDDER TO ATTACH THE POWER OF ATTORNEY AND COPY OF BOARD RESOLUTION/ OTHER
RELEVANT DOCUMENTS TO DEMONSTRATE THE AUTHORITY OF THE PERSON ISSUING THE POWER OF
ATTORNEY IN ACCORDANCE WITH CLAUSE 8.1.1 (b) OF ITB**

(NOTE: Bidders may note that no prescribed proforma has been enclosed for Power of Attorney)

**SAMPLE FORMAT FOR POWER OF ATTORNEY FOR SIGNING OF BID (SAMPLE
FORMAT FOR REFERENCE PURPOSE)**

Know all men by these presents, We, ...(name of the firm and address of the
registered office) do hereby irrevocably constitute, nominate, appoint and authorize Mr. / Ms(Name),
son/daughter/wife of and presently residing at
....., who is presently employed with us and holding the position of, as
our true and lawful attorney (hereinafter referred to as the "Attorney") to do in our name and on our behalf, all such
acts, deeds and things as are necessary or required in connection with or incidental to submission of our Bid for the
(Name of the Project *****) invited by NVVN including but not limited to signing and submission of all
applications, Bids and other documents and writings, participate in Bidders' and other conferences and providing
information / responses to NVVN, representing us in all matters before NVVN, signing and execution of all contracts
including the Contract Agreement and undertakings consequent to acceptance of our Bid, and generally dealing
with NVVN in all matters in connection with or relating to or arising out of our Bid for the said Project and/or upon
award thereof to us and/or till the entering into of the contract agreement with NVVN.

AND we hereby agree to ratify and confirm all acts, deeds and things done or caused to be done by our said
Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and
things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to
have been done by us.

IN WITNESS WHEREOF WE, ..., THE ABOVE NAMED PRINCIPAL
HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF,
20.....

For
(Signature, name, designation and address)
of person authorized by Board Resolution
(in case of Firms/Company)/Partner in case of
Partnership Firms

WITNESS:

- 1.
- 2

(Qualification Data)

Dear Sirs,

We seek qualification under Clause 8.1.2(a) of Section ITB and Clause 6.0 of IFB and our qualification data in support thereof is enclosed in the following Attachments:

1.	Attachment 3A-1	:	Details Pertaining to Technical Qualification
2.	Attachment 3A-2	:	Details Pertaining to Financial Qualification
3.	Attachment 3B	:	Details of Financial Capacity Status
4.	Attachment 3C	:	Details of Design, Engineering, manufacturing, Construction, Quality and testing Capabilities
5.	Attachment 3D	:	Details of manufacturing capacities & Plant Loading
6.	Attachment 3E	:	Present order book position
7.	Attachment 3F	:	Past Performance Data
8.	Attachment 3G	:	Data regarding Key Construction Personnel
9.	Attachment 3H	:	Manpower Loading Data
10.	Attachment 3I	:	Original Deed(s) of Joint Undertaking (if applicable)
11.	Attachment 3J	:	Brief write up regarding Project Management Organisation
12.	Attachment 3K	:	Provenness Criteria (if applicable)
13.	Attachment 3L	:	JV agreement (if applicable)

We further understand and agree that any misleading or false information furnished by us may result in summary rejection of our bid.

Note : **1. The Bidder shall enclose relevant documents like copies of authentic purchase order, completion certificates, agreements etc. supporting the details/data provided in Attachments - 3A to 3L.**

ATTACHMENT - 3A-1

**(Details pertaining to Technical Qualification
as per Clause 6.0 of IFB)**

Bidder's Name and Address :

To

Dear Sirs,

(A) For meeting requirements of Clause 1.1.1 of Eligibility Criteria (Annexure-I)

We confirm that we have designed/ engineered, supplied, erected/ supervised erection and commissioned/ supervised commissioning of Solar Photo Voltaic (SPV) based grid connected power plant(s) of cumulative installed capacity of 400 kWp or higher, out of which at least one Solar Photo Voltaic plant is of 40 kWp or higher capacity.

We further confirm that reference plant of 40 kWp or higher capacity must have been in successful operation for at least six (6) months prior to the last date of submission of application for enlistment.

Details of SPV based grid connected power plant(s) of cumulative installed capacity of 400 kWp or higher, out of which one plant have been of 40 KWp capacity or higher, as per following:

Cumulative installed capacity of Grid connected power plant in KWp-

Sl. No.	Item Description	Reference Plant 1 (40 KWp or higher)	Reference Plant 2	Reference Plant	Cumulative
1	Description of work				
2	Name of Client with full address, Fax No. & Tel. No.				
3	Name of the Power Plant with its location				
4	Name and designation of the responsible person in client's organization				

ATTACHMENT - 3A-1
PAGE 2 OF 14

5	Contract No. and Date				
6	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐
7	Capacity of the Plant	KWp	KWp	KWp	KWp
8	Whether scope of works included (a) Design (b) Supply (a) Erected (d) Supervised Erection (e) Commissioned (f) Supervised Commissioning	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
9	Date of Commissioning of the above Plant				
	Copies of authentic purchase orders Certificate from Clients, Agreements in support of details/data of Sl. No. 1 to 9 enclosed as Annex.				

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

Details of reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the date of submission of applications, as per following:

Sl.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Power Plant with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐
7.0	Capacity of the Plant	KWp
8.0	Whether scope of works included (a) Design (b) Supply (c) Erected (d) Supervised Erection (e) Commissioned (f) Supervised Commissioning	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
9.0	Date of Commissioning of the above Plant	
10.0	No. of months of successful operation of the above plant prior to the last date of submission of application for Enlistment.	
11.0	Completion Certificate from client, Copies of Authentic purchase orders, Agreements in support of data/details of Sl. No. 1 to 10 enclosed as Annex.	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

For meeting requirements of Clause 1.1.2 of Eligibility Criteria (Annexure-I)

In support of Eligibility Criteria /Qualifying requirement, we confirm that we are a developer of Solar Photo Voltaic (SPV) based grid connected power plant(s) of cumulative installed capacity of 400 KWp or higher, out of which at least one plant is of 40KWp or higher capacity.

We further confirm that one reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the last date submission of application of enlistment:

Details of SPV based grid connected power plant(s) of cumulative installed capacity of 400 KWp or higher, out of which one plant have been of 40 KWp capacity or higher, as per following:

Cumulative installed capacity of Grid connected power plant in KWp-

SL. No.	Item Description	Reference Plant 1 (40KWp or above)	Reference Plant 2	Reference Plant	Cumulative
1	Description of work				
2	Name of Client with full address, Fax No. & Tel. No.				
3	Name of the Power Plant with its location				
4	Name and designation of the responsible person in client's organization				
5	Contract No. and Date				
6	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐
7	Capacity of the Plant	KWp	KWp	KWp	KWp
8	Date of Commissioning of the above Plant				
9	Certificate from client in support of queries of Sl. No. 1 to 8 enclosed as Annex.				

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

Details of reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the date of opening of enlistment applications, as per following:

Sl.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Power Plant with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐
7.0	Capacity of the Plant	KWp
8.0	Date of Commissioning of the above Plant	
9.0	No. of months of successful operation of the above plant prior to the date of submission of application for Enlistment.	
10.0	Copies of Authentic Purchase Orders, Certificate from Clients, Agreements in support of details/data of Sl. No. 1 to 9 enclosed as Annex.	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

For meeting requirements of Clause 1.1.3 of Eligibility Criteria (Annexure-I)

In support of Eligibility Criteria /Qualifying requirement 1.1.3 (a) we confirm that we have executed in the last ten (10) years an industrial project either as developer or as EPC Contractor in the area of power*/ steel*/ oil and gas*/ petro-chemical*/ fertilizer*/cement*/coal mining including coal handling plant* and/ or any other process industry*, of a value of INR 40 Million (Indian Rupees Forty Million only) or more in a single project or work respectively and the same have been in successful operation for at least one (1) year prior to Techno Commercial Bid Opening Date..

* Strike off whichever is not applicable

Details of Industrial Project is as per following:

Sl.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Industrial Project with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Value of the Project in Crores (Rs) in a single project or work	
7.0	The Industrial Project is in the area of (a) Power (b) Steel (c) Oil and Gas (d) Petro Chemical (e) Fertilizer (f) Any other process industry	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
8.0	Whether the Industrial Project has been executed as: Developer EPC	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
9.0	Date of Commissioning of the above Project or work	
10.0	No. of year(s) of successful operation of the above project prior to the date of submission of application for Enlistment.	
11.0	Copies of Authentic Purchase Orders, Certificate from Clients, and Agreements in support of queries of Sl. No. 1 to 10 enclosed as Annex.	
12.0	In case the Project executed by Developer the documentary evidence (certified by Chartered Accountant) for value of executed work enclosed at Annex..... in support of reference work	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

AND

In support of Eligibility Criteria, 1.1.3 (b) we confirm that have executed at least one (1) Electrical Sub-station of 11 kV or above voltage level, consisting of equipment such as 11kV or above voltage level circuit breakers and Power transformer, either as developer or as EPC Contractor which should be in successful operation for at least one (1) year prior to Techno Commercial Bid Opening Date.

Details of Electrical Sub Station is as per following:

Sl.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Electrical Sub Station with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Voltage level (s)	
7.0	Electrical Sub Station consisting of equipment's of 11 KV or above: Circuit Breaker	YES* ☐ /NO* ☐
	Power Transformers	YES* ☐ /NO* ☐
8.0	Date of Commissioning of the above Electrical Sub Station	
9.0	No. of year(s) of successful operation of the above Electrical Sub Station prior to the date of submission of application for Enlistment.	
10.0	Copies of Authentic Purchase Orders, Certificate from Clients, Agreements in support of queries of Sl. No. 1 to9 enclosed as Annex.	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

For meeting requirements of Clause 1.1.4 of Eligibility Criteria (Annexure-I)

In support of Eligibility Criteria 1.1.4 we confirm that we are Indian company registered in India and Group company/Holding Company/Subsidiary company of a firm meeting the requirement (s) of Clause 1.1.1 or 1.1.2 or 1.1.3 above. Further, we are furnishing an Undertaking jointly executed by the firm qualified as per clause 1.1.1 or 1.1.2 or 1.1.3 and Bidder along with its Enlistment Application for complete performance of the contract (in case of award) jointly or severally, as per the format enclosed in the Enlistment document failing which Bidder's Enlistment Application is liable to be rejected.

(a) For meeting requirements of Clause 1.1.4 of Eligibility Criteria (Annexure-I)

In support of Eligibility Criteria, we confirm that we are Indian company registered in India and Group company/Holding Company/Subsidiary company of a firm meeting the requirement (s) of Clause 1.1.1 of Annexure-I and confirm that our firm has designed, supplied, erected/ supervised erection and commissioned/ supervised commissioning of Solar Photo Voltaic (SPV) based grid connected power plant(s) of cumulative installed capacity of 400KWp or higher, out of which at least one plant should have been of 40KWp or higher capacity. We further confirm that one reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the last date of submission of enlistment applications and we have furnished letter of undertaking jointly executed for complete Performance of contract (in case of award)

*Strike off whichever is not applicable

Details of SPV based grid connected power plant(s) of cumulative installed capacity of 400KWp or higher, out of which one plant have been of 40KWp capacity or higher, as per following:

Cumulative installed capacity of Grid connected power plant in KWp-

Sl. No.	Item Description	Reference Plant 1 (40 KWp or higher)	Reference Plant 2	Reference Plant	Cumulative
1	Description of work				
2	Name of Client with full address, Fax No. & Tel. No.				
3	Name of the Power Plant with its location				
4	Name and designation of the responsible person in client's organization				

ATTACHMENT - 3A-1
PAGE 9 OF 14

5	Contract No. and Date				
6	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐
7	Capacity of the Plant	KWp	KWp	KWp	KWp
8	Whether scope of works included (a) Design (b) Supply (c) Erected (d) Supervised Erection (e) Commissioned (f) Supervised Commissioning	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
9	Date of Commissioning of the above Plant				
	Copies of authentic purchase orders Certificate from Clients, Agreements in support of details/data of Sl. No. 1 to 9 enclosed as Annex.				

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

Details of reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the date of submission of applications, as per following:

Sl.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Power Plant with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐
7.0	Capacity of the Plant	KWp
8.0	Whether scope of works included (a) Design (b) Supply (c) Erected (d) Supervised Erection (e) Commissioned (f) Supervised Commissioning	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
9.0	Date of Commissioning of the above Plant	
10.0	No. of months of successful operation of the above plant prior to the date of submission of application for Enlistment.	
11.0	Completion Certificate from client, Copies of Authentic purchase orders, Agreements in support of data/details of Sl. No. 1 to 10 enclosed as Annex.	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

OR

(b) For meeting requirements of Clause 1.1.4 (1.1.2) of Eligibility Criteria (Annexure-I)

In support of Eligibility Criteria, we confirm that we are Indian company registered in India and Group company/Holding Company/Subsidiary company of a firm meeting the requirement (s) of Clause 1.1.2 of Annexure-I and confirm that our firm is a developer of Solar Photo Voltaic (SPV) based grid connected power plant(s) of cumulative installed capacity of 400KWp or higher, out of which at least one plant should have been of 40KWp or higher capacity. We further confirm that one reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the last date submission of application of enlistment.

In support of Eligibility Criteria /Qualifying requirement, we confirm that our firm is a developer of Solar Photo Voltaic (SPV) based grid connected power plant(s) of cumulative installed capacity of 400KWp or higher, out of which at least one plant should have been of 40 KWp or higher capacity.

We further confirm that one reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the last date submission of application of enlistment:

Details of SPV based grid connected power plant(s) of cumulative installed capacity of 400KWp or higher, out of which one plant have been of 40KWp capacity or higher, as per following:

Cumulative installed capacity of Grid connected power plant in KWp-

S	Item Description	Reference Plant 1 (40KWp or above)	Reference Plant 2	Reference Plant	Cumulative
1	Description of work				
2	Name of Client with full address, Fax No. & Tel. No.				
3	Name of the Power Plant with its location				
4	Name and designation of the responsible person in client's organization				
5	Contract No. and Date				
6	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐	YES* ☐ /NO* ☐
7	Capacity of the Plant	KWp	KWp	KWp	KWp
8	Date of Commissioning of the above Plant				
9	Certificate from client in support of queries of Sl. No. 1 to 8 enclosed as Annex.				

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

Details of reference plant of 40 KWp or higher capacity has been in successful operation for at least six (6) months prior to the date of submission of enlistment applications, as per following:

Sl.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Power Plant with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Whether this is a SPV based grid connected Power Plant	YES* ☐ /NO* ☐
7.0	Capacity of the Plant	KWp
8.0	Date of Commissioning of the above Plant	
9.0	No. of months of successful operation of the above plant prior to the date of submission of application for Enlistment...	
10.0	Copies of Authentic Purchase Orders, Certificate from Clients, Agreements in support of details/data of Sl. No. 1 to 9 enclosed as Annex.	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

OR

(c) For meeting requirements of Clause 1.1.4 (1.1.3) of Eligibility Criteria (Annexure-I)

In support of Eligibility Criteria, we confirm that we are Indian company registered in India and Group company/Holding Company/Subsidiary company of a firm meeting the requirement (s) of Clause 1.1.3 of Annexure I and confirm that our have executed in the last ten (10) years an industrial project either as developer or as EPC Contractor in the area of power */ steel*/ oil and gas*/ petro-chemical*/ fertilizer*/cement*/coal mining including coal handling plant* and/ or any other process industry*, of a value of INR 40 Million (Indian Rupees Forty Million only) or more in a single project or work respectively and the same have been in successful operation for at least one (1) year prior to the date of submission of application of enlistment.

* Strike off whichever is not applicable

Details of Industrial Project is as per following:

Sl.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Industrial Project with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Value of the Project in Crores (Rs) in a single project or work	
7.0	The Industrial Project is in the area of (a) Power (b) Steel (c) Oil and Gas (d) Petro Chemical (e) Fertilizer (f) Any other process industry	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
8.0	Whether the Industrial Project has been executed as: Developer EPC	YES* ☐ /NO* ☐ YES* ☐ /NO* ☐
9.0	Date of Commissioning of the above Project or work	
10.0	No. of year(s) of successful operation of the above project prior to the date of submission of application for Enlistment.	
11.0	Copies of Authentic Purchase Orders, Certificate from Clients, Agreements in support of queries of Sl. No. 1 to10 enclosed as Annex.	
12.0	In case the Project executed by Developer the documentary evidence (certified by Chartered Accountant) for value of executed work enclosed at Annex..... in support of reference work	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

AND

In support of Eligibility Criteria, 1.3 we confirm that our firm has executed at least one (1) Electrical Sub-station of 11 kV or above voltage level, consisting of equipment such as 11kV or above voltage level circuit breakers and Power transformer, either as developer or as EPC Contractor which has been in successful operation for at least one (1) year prior to the last date of submission of application of enlistment.

Details of Electrical Sub Station is as per following:

Sl.No.	Item Description	Reference Plant
1.0	Description of work	
2.0	Name of Client with full address, Fax No. & Tel. No.	
3.0	Name of the Electrical Sub Station with its location	
4.0	Name and designation of the responsible person in client's organization	
5.0	Contract No. and Date	
6.0	Voltage level (s)	
7.0	Electrical Sub Station consisting of equipment of 11 KV or above: Circuit Breaker	YES* ☐ /NO* ☐
	Power Transformers	YES* ☐ /NO* ☐
8.0	Date of Commissioning of the above Electrical Sub Station	
9.0	No. of year(s) of successful operation of the above Electrical Sub Station prior to the date of submission of application for Enlistment..	
10.0	Copies of Authentic Purchase Orders, Certificate from Clients, Agreements in support of queries of Sl. No. 1 to9 enclosed as Annex.	

Note: Continuation sheets of like size and format may be used and annexed to this Attachment if required.

Date :
Place :

(Signature).....
(Printed Name)
(Designation)
(Company Seal)

**PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE HOLDING COMPANY IN
ACCORDANCE WITH 1.2.3(a) OF Eligibility Criteria /Qualifying requirement (Annexure-I)**

(To be submitted by Bidder along with the application for enlistment)

Ref. :

Date:

To

GM (C&M) /Sr Manager (C&M)
NTPC VIDYUT VYAPAR NIGAM LIMITED
5th Floor, Engineering Office Complex,
A-8A, Sector 24, Noida - 201301

Dear Sirs,

- 1.0** I, Mr. (CEO of the Company / CFO of the Company) * declares that
M/s. (Name of the Holding Company) is the Holding Company of
M/s. (Name of the Bidder).
- 2.0** I hereby confirm and undertake that the unaudited unconsolidated financial statements
submitted in respect of the bidder as part of the bid reference no.
dated have been considered for the purposes of the finalization of
Consolidated Financial Statements of the Holding Company as part of the Annual Reports.
- 3.0** I further, certify that the figures in the unaudited unconsolidated financial statements
are true and correct and same have been duly reflected in the audited consolidated
financial statements and / or Annual Report of the Holding Company.

Yours faithfully

(Signature)

Date : (Name & Designation)

Place : (Name of the Holding Company)

(Seal of Holding Company)

Note : *Strike off whichever is not applicable.

PROFORMA OF UNDERTAKING

(TO BE FURNISHED ON NON-JUDICIAL STAMP PAPER OF APPROPRIATE VALUE)

**(To be executed by the Holding Company Supported by
Board Resolution and submitted by the Bidder along with the Application)**

Ref. :

Date:

To

GM (C&M) /Sr Manager (C&M)
NTPC VIDYUT VYAPAR NIGAM LIMITED
5th Floor, Engineering Office Complex,
A-8A, Sector 24, Noida - 201301

Dear Sirs,

1.0 We, M/s..... declare that we are the holding company of M/s
..... (Name of the Bidder) and have controlling interest therein.

M/s. (Name of the Bidder) proposes to submit the application for
enlistment for SPV Module Package) for Development of Solar Project having reference
no. dated and have sought financial strength and support
from us for meeting the stipulated Financial Qualifying Requirement as per Clause No.
1.2.2 of Eligibility requirement.

2.0 We hereby undertake that we hereby pledge our unconditional & irrevocable financial
support for the execution of the said package to M/s. (Name of the
Bidder), in case enlisted and they are awarded the Contract for the said package. We
further agree that this undertaking shall be without prejudice to the various liabilities that
M/s (Name of Bidder) would be required to undertake in terms of the
Contract including the Performance Security as well as other obligations of the
Bidder/Contractor.

- 3.0 This undertaking is irrevocable and unconditional and shall remain in force till the successful execution and performance of the entire contract and/or till it is discharged by Employer.
- 4.0 We are herewith enclosing a copy of the Board Resolution in support of this undertaking.

Yours faithfully

(Signature of Authorised Signatory
on behalf of the Holding Company)

Witness :

(1)	(Name & Designation).....
	(Name of the Holding Company).....
(2)	(Seal of the Holding Company).....

Date:

Place:

*** PROFORMA OF CERTIFICATE FROM THE CEO/CFO
IN ACCORDANCE WITH ITEM CLAUSE 1.2 OF ELIGIBILITY CRITERIA**

(To be submitted by Bidder)

Ref. :

Date :

To

GM (C&M) /Sr Manager (C&M)
NTPC VIDYUT VYAPAR NIGAM LIMITED
5th Floor, Engineering Office Complex,
A-8A, Sector 24, Noida - 201301

Dear Sirs,

I, Mr. (CEO/ CFO of the Company) of (Name of the Company)
declare that the financial results of M/s. (Name of the Bidder) are under
audit as on (Date of applications for Enlistment) and the Certificate from the practicing
Chartered Accountant certifying the financial parameters is also not available.

Yours faithfully

(Signature)

Date : (Name & Designation)

Place : (Name of the Company)

(Seal of Company)

Note : *Strike off if not applicable.

**APPENDIX-D
TO ATTACHMENT – 3A**

**Undertaking from Independent Statutory Auditor
(On letter head digitally signed by a person duly authorized to Sign on behalf of the Statutory Auditor)**

Bid Ref. No:

DATE:

To,
NTPC Vidyut Vyapar Nigam Ltd.
5TH Floor, EOC Building, Plot A-8A
Noida-201301, UP

Subject: Authentication of veracity of documents submitted by M/s in support of meeting the Qualifying Requirements

Ref : IFB/Tender no.....

Name of the Package/ Tender:

Dear Sir,

M/s. (Hereinafter called Bidder) having Registered office at intend to participate in above referred tender of NVVN Ltd.

We, M/s has been appointed as Statutory Auditor for the Bidder i.e. M/s (Relevant documents on our appointment attached)

The tender condition stipulates that the bidder shall submit supporting Documents pertaining to Technical Qualifying Requirement duly verified and certified by Statutory Auditor.

In this regard, it is hereby confirmed that we have examined the following documents, which are also attached with this letter. The same has been verified from the Original Documents and/ or Client for authenticity.

We hereby confirm that the following documents are found to be genuine and authentic.

1. Doc ref. no. dated (Name of Documents)
2. Doc ref. no. dated (Name of Documents)
3.

All the aforesaid documents have been duly signed and stamped and/ or digitally signed by us as a certificate of authenticity.

*Further, we have examined the books of accounts, records, and other relevant documents, along with other necessary information and explanations furnished by

M/s. (bidder) and hereby certify following:
.....

This certificate is issued at the request of M/s (Bidder) for the purpose of participating in tender/s.

Thanking you,

.....

* Strike off, whichever is not applicable.

**APPENDIX-E
TO ATTACHMENT 2A**

**Undertaking from Third Party Inspection Agency
(On letter head digitally signed by a person duly authorized to Sign on behalf of the TPIA)**

Ref.: _____

Date: _____

To,
NTPC Vidyut Vyapar Nigam Ltd.
5TH Floor, EOC Building, Plot A-8A
Noida-201301, UP

Dear Sir,

Subject: Authentication of veracity of documents submitted by M/s in support of meeting the Qualifying Requirements

Ref: IFB/Tender no.

Name of the Package/ Tender:

M/s. (Hereinafter called Bidder) having Registered office at intend to participate in above referred tender of NVVN Ltd.

The tender condition stipulates that the bidder shall submit supporting Documents pertaining to Qualifying Requirement duly verified and certified by a specified independent Third-Party Inspection Agency as per the list mentioned in the bidding documents.

In this regard, it is hereby confirmed that we have examined the following documents, which are also attached with this letter. The same has been verified from the Original Documents and / or Client for authenticity.

We hereby confirm that the following documents are found to be genuine and authentic.

1. Doc ref. no. dated (Name of Documents)
2. Doc ref. no. dated (Name of Documents)
3.

All the aforesaid documents have been digitally signed by us as a certificate of authenticity.

We further confirm that we neither have any vested interest in aforesaid tender nor have any conflict of interest in respect of above tender.

This certificate is issued at the request of M/s (Bidder) for the purpose of participating in the subject tender/s.

Thanking you,

.....

* Strike off, whichever is not applicable

Annexure-1A TO ATTACHMENT – 3A

**JOINT UNDERTAKING BETWEEN THE CEO OF THE HOLDING COMPANY / GROUP
COMPANY OR SUBSIDIARY COMPANY & CEO OF THE BIDDER COMPANY IN
ACCORDANCE WITH CLAUSE NO. 1.1.4 (1.1.1/1.1.2/1.1.3) OF ELIGIBILITY CRITERIA /
QUALIFYING REQUIREMENTS
(To be submitted by Bidder along with the Application for Enlistment)**

Ref. :

Date:

To
GM (C&M) /Sr Manager (C&M)
NTPC VIDYUT VYAPAR NIGAM LIMITED
5th Floor, Engineering Office Complex,
A-8A, Sector 24, Noida - 201301

Dear Sirs,

I, Mr./Mrs (CEO of the Holding Company/Group Company) * declare that M/s. (Name of the Holding Company/Group Company) * is the Holding Company/Group Company* of M/s. (Name of the Bidder Company) I hereby confirm that we meet qualifying requirement specified at **Clause 1.1.1* / 1.1.2 * / 1.1.3 *of Eligibility Requirement (Annexure-I)** prior to the last date of submission of application for enlistment. Furthermore, I confirm to extend full support for complete performance of the contract in case of award .

OR

I, Mr./Mrs (CEO of the Subsidiary Company)* declare that M/s. (Name of the Subsidiary company) is the Subsidiary Company of M/s. (Name of the Bidding Company) I hereby confirm that we meet qualifying requirement specified at **Clause 1.1.1* / 1.1.2*/ 1.1.3* of Eligibility Requirement (Annexure-I)** prior to the last date of submission of application for enlistment. Furthermore, I confirm to extend full support for complete performance of the contract in case of award. .

Yours faithfully (Signature)	Yours faithfully (Signature)
(Name & Designation)	(Name & Designation)
(Name of the Subsidiary Company)	(Name of the Holding Company/Group Company)
(Seal of Subsidiary Company)	(Seal of Holding Company)

Date:

Place:

**Strike off whichever is not applicable.*

Attachment 3A2

(Details pertaining to Financial Criteria of Qualification Requirement as per Clause No. 6.0 of IFB)

Bidder's Name and Address :

To

.....

Dear Sirs,

A) To satisfy the requirements specified in Clause No. 6.0 of IFB, Section-I, we give below the following details:

- a) In terms of Clause No. 6.0 of IFB we confirm that our average annual turnover in the preceding three financial years (FY1, FY2 & FY3) as on date of Techno-commercial bid opening is not less than the AATO required for the category for which we are participating. In support of above, we are enclosing Audited Financial Statements.

Sl. No	Financial Year	Exchange Rate as on seven (7) days prior to date of Techno-Commercial bid opening
1.	FY1	
2.	FY2	
3.	FY3	
4.	Average Annual Turnover for the preceding three (3) Financial Years as on date of Techno-Commercial Bid Opening.	
5.	We have enclosed Audited financial statements for the last 3 financial years	Annexure to this Attachment
6.	Since we are not able to furnish our audited financial statements, on stand-alone entity basis, we are submitting the following documents for substantiation of our Qualification : (a) Copies of unaudited unconsolidated financial statements of the bidder along with copies of the audited consolidated financial statements of our Holding Company for the last 3 years enclosed at Annexure..... to this Attachment.	

	(b) Certificate from the CEO/CFO of the Holding company stating that the unaudited unconsolidated financial statements form part of the consolidated Annual Report of the Holding Company, is enclosed as per the format at Appendix-A to this Attachment.	Enclosed at Annexure
7.	Audited results for the last financial year as on the date of Techno-Commercial bid opening enclosed In case not enclosed, financial results certified by a practicing chartered accountant enclosed In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for 4th last FY also enclosed. Further, a Certificate from the CEO/CFO of the Bidder stating that the "Financial results of company are under audit as on the date of Techno-Commercial Bid Opening and the certificate from the practicing chartered accountant certifying the financial parameters is not available", is enclosed Annexure as per the format at Appendix-C to this Attachment.	YES*/NO* YES*/NO* Enclosed at Annexure YES*/NO* Enclosed at Annexure

b) Since we do not satisfy the Financial Criteria stipulated at **Clause No. 6.0 of IFB, Section-I**, on our Own, we give below the following details of our **Holding Company**:

1. Name and Address of the Holding Company:

2. Turnover of the Holding Company with following details:

Sl.No	Financial Year	Amount in INR
a)	FY1	
b)	FY2	
c)	FY3	
d)	Average Annual Turnover for the preceding three (3) Financial Years (FY1, FY2 & FY3) as on date of Techno-Commercial Bid Opening.	
e)	We have enclosed audited Financial Statements for the preceding three (3) Financial years	Annexure to this Attachment

Sl. No	Description	As on last day of the preceding financial year

Sl. No	Description	As on last day of the preceding financial year
3.	Paid-up Share Capital of the Holding Company	
4.	Net Worth of the Holding Company	
5.	%age of Net worth to Paid-up Share Capital of the Holding Company	
6.	A Letter of Undertaking from the Holding Company, supported by Board Resolution of the Holding Company, pledging unconditional and irrevocable financial support for execution of the contract by the bidder in case of award is enclosed as per the format at Appendix-B to this Attachment. A power of attorney of the person signing on behalf of holding company is also enclosed at Annexure to this Attachment.	
7.	Documentary evidence like Annual Report/Audited financial statements together with relevant schedules for the preceding financial year/ certification of financial statements from a practicing Chartered Accountant etc. in respect of holding company in support of above is enclosed at Annexure..... to this Attachment.	
8.	Audited results for the last financial year as on the date of Techno-Commercial bid opening enclosed In case not enclosed, financial results certified by a practicing chartered accountant enclosed In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for 4th last FY also enclosed. Further, a Certificate from the CEO/CFO of the Holding Company stating that the “Financial results of company are under audit as on the date of Techno-Commercial Bid Opening and the certificate from the practicing chartered accountant certifying the financial parameters is not available”, is enclosed Annexure as per the format at Appendix-C to this Attachment.	YES*/NO* YES*/NO* Enclosed at Annexure YES*/NO* Enclosed at Annexure

B) To satisfy the requirements specified in Clause No. 6.0 of IFB, Section-I, We give below the following details:

- a) We hereby confirm that net worth of our company as on the last day of the preceding financial year is not less than 100% of its paid-up share capital.

The Details are as under:

Sl. No	Description	As on last day of the preceding financial year
1.	Paid-up Share Capital	
2.	Net Worth	
3.	%age of Net worth to Paid-up Share Capital	
4.	Documentary evidence like Annual reports/ Audited financial statements for the last 3 financial years in support of above is enclosed at Annexure. to this Attachment-3A-2	
5.	Since we are not able to furnish our audited financial statements, on standalone entity basis, we are submitting the following documents for substantiation of our Qualification: (a) Copies of unaudited unconsolidated financial statements of the bidder alongwith copies of the audited consolidated financial statements of the Holding Company for the last 3 years enclosed at Annexure to this Attachment 3A-2. (b) Certificate from the CEO/CFO of the Holding company stating that the unaudited unconsolidated financial statements form part of the consolidated financial statements of the Holding Company, is enclosed as per the format at Appendix-A to this Attachment-3A-2.	

6.	Audited results for the last financial year as on the date of Techno-Commercial bid opening enclosed	YES*/NO*
	In case not enclosed, financial results certified by a practicing chartered accountant enclosed	YES*/NO* Enclosed at Annexure
	In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for 4 th last FY enclosed.	 YES*/NO* Enclosed at Annexure
	Further, a Certificate from the CEO/CFO of the Bidder stating that the "Financial results of company are under audit as on the date of Techno-Commercial Bid Opening and the certificate from the practicing chartered accountant certifying the financial is not available", is enclosed Annexure as per the format at Appendix-C to this Attachment.	

b) Since we do not satisfy the Financial Criteria stipulated at **Clause No. 6.0 of IFB, Section-I**, on our Own, we give below the following details of our **Holding Company and/or our subsidiary(ies) and/or subsidiaries of our Holding Company**:

1. Name and Address of the Group Companies:

- 1.
- 2.
- 3.
-

Sl. No	Description	As on last day of the preceding financial year
2.	Paid-up Share Capital of Group Companies Y1 Y2 Y3	
3.	Net Worth of the Group Companies X1 X2 X3	
4.	%age of Net worth to Paid-up Share Capital of the Group Companies X1/Y1 x100 X2/Y2 x100 X3/Y3 x100	
5.	Net worth (Combined)	

APPENDIX-A
TO ATTACHEMENT 3A-2

**PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE HOLDING COMPANY IN
ACCORDANCE WITH Clause No. 6.0 of IFB, Section-I
(To be submitted by Bidder along with the Bid)**

Ref. : _____ Date : _____

To
NTPC Vidyut Vyapar Nigam Limited

Dear Sirs,

1.0 I, Mr. (CEO of the Company / CFO of the Company) *
declare that M/s. (Name of the Holding Company) is the Holding
Company of M/s. (Name of the Bidder).

2.0 I hereby confirm and undertake that the unaudited unconsolidated financial
statements submitted in respect of the bidder as part of the bid reference no.
..... dated have been considered for the purposes of the
finalization of Consolidated Financial Statements of the Holding Company as part of the
Annual Reports.

3.1 I further certify that the figures in the unaudited unconsolidated financial statements
are true and correct and same have been duly reflected in the audited consolidated
financial statements and / or Annual Report of the Holding Company.

Yours faithfully

(Signature)

Date : _____ (Name & Designation)

Place : _____ (Name of the Holding Company)

(Seal of Holding Company)

Note : *Strike off whichever is not applicable.

PROFORMA OF LETTER OF UNDERTAKING

(TO BE FURNISHED ON NON-JUDICIAL STAMP PAPER OF APPROPRIATE VALUE)

**(To be executed by the Holding Company Supported by
Board Resolution and submitted by the Bidder along with the Techno-Commercial
envelope,
Applicable to the Bidder for meeting the stipulated Financial Qualifying
Requirement as per Clause No. 6.0 of IFB, Section-I)**

Ref. :

Date :

To

.....

Dear Sirs,

1.0 We, M/s..... declare that we are the holding company of M/s
..... (Name of the Bidder) and have controlling interest therein.

M/s. (Name of the Bidder) proposes to submit the bid for the
package (Name of the package) for (Name of the
Project) under bid reference no. dated and have sought
financial strength and support from us for meeting the stipulated Financial Qualifying
Requirement as per **Clause No. 6.0 of IFB, Section-I**.

2.1 We hereby undertake that we hereby pledge our unconditional & irrevocable
financial support for the execution of the said package to M/s.
(Name of the Bidder), in case they are awarded the Contract for the said package, at the
end of the bidding process. We further agree that this undertaking shall be without
prejudice to the various liabilities that M/s (Name of Bidder) would
be required to undertake in terms of the Contract including the Performance Security as
well as other obligations of the Bidder/Contractor.

3.0 This undertaking is irrevocable and unconditional, and shall remain in force
till the successful execution and performance of the entire contract and/or till it is
discharged by the Employer.

4.0 We are herewith enclosing a copy of the Board Resolution in support of this
undertaking.

Yours faithfully

(Signature of Authorised Signatory
on behalf of the Holding Company)

Witness :

(1) (Name & Designation)

(Name of the Holding Company)

(2) (Seal of the Holding Company)
.....

Date:

Place:

**APPENDIX-C
TO ATTACHMENT – 3A2**

**PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE
COMPANY IN ACCORDANCE WITH Clause No. 6.0 of IFB, Section-I**

(To be submitted by Bidder along with the Techno-Commercial envelope)

Ref. :

Date:

To
NTPC Vidyut Vyapar Nigam Limited

Dear Sirs,

1.0 I, Mr. (CEO of the Company / CFO of the Company) * confirm and undertake that the Financial results of the company for the preceding financial year to be submitted in respect of the bidder as part of the bid reference no. dated are under audit as on the date of Techno-Commercial Bid Opening for Package for and the certificate from the practicing-chartered accountant certifying the financial parameters for the preceding financial year is not available.

Yours faithfully

(Signature)

Date : (Name & Designation).....

Place : (Name of the Company)

(Seal of Company)

Note : *Strike off whichever is not applicable.

(Financial Capacity Status of Bidder and/or wherever
applicable, his JV Partner(s))

A)

Orders in Hand

i)

Total value of Contracts

ii)

Value of work
completed
out of above
value upto
previous FY

iii)

Value of anticipated
work to be performed
in the following
Financial Years (FY):

Current FY

Next FY1

Next FY2

Next FY3

Next FY4

B) Bidder's assessment of maximum negative cash flow (fund requirement) at any point of time between Notification of Award and completion of contract based on specified terms of payment and his expenditure plan for plant & equipment being offered by bidder for this package.

C)	Arrangement to meet the above fund requirement.	Own Funds	Credit	Others	Total

D) Gross Turnover of Company

during last three FY:

Year ending – FY 1

Year ending – FY2

Year ending – FY3

E) Balance Sheet and Profit & Loss Account duly certified by a Chartered Accountant for the last 3 years is to be submitted.

Enclosed at Annexure to this Attachment-3B

F) Declaration by Bankers or the Chartered Accountant regarding:

i) Bank Guarantee Limits

Enclosed at:.....

ii) Over Draft Limits/Cash Credit Limits

Enclosed at:.....

iii) Deferred payment limits

Enclosed at:.....

iv) Fixed Deposits Enclosed at:.....

v) Movable Property
Hypothecation

Enclosed at:

(Please state the present
utilisation status also)

G) Information regarding
any current litigation
in which the Bidder is
involved, the parties
concerned, the
disputes and the
disputed
amount if any.

Enclosed at:.....

- Note :**
1. The above Attachment shall be filled-up by the bidder for **himself** and for each **JV Partner**, as applicable, being proposed by the bidder in his bid.
 2. Continuation sheets of like size and format, may be used and annexed to this Attachment if required

(Details of Design, Engineering, Manufacturing and Testing Capabilities of Bidder and/or wherever applicable, his JV Partner(s))

- 1.0 We hereby confirm that we do not anticipate any change in ownership during the proposed period of execution of work (if such a change is anticipated, the scope and effect thereof shall be defined). The relevant document for same is enclosed at Annexure ----- to the Attachment-3C.

It is clearly understood that the bid is submitted for consideration of award on the strength of the credential / experience of the Bidder and executants of Deeds of Joint Undertakings as specified in the Qualifying Requirements of the subject Tender.

We confirm that in case of any change in our ownership the new / successor entity shall honour all our obligations under the Contract for the subject Package.

Note :

The above shall be filled up and signed separately by the bidder **itself** and each of the executants of the Deeds of Joint Undertakings / JV Agreement.

- 2.0 Furnish adequate detailed write up on:

(i)	Design and Engineering and Organization facilities/capabilities	:	Enclosed at Annexure to Attachment – 3C
(ii)	Manufacturing & Testing Organization and facilities available	:	Enclosed at Annexure to Attachment – 3C
(iii)	Field Organization and resources for erection, testing & commissioning etc.	:	Enclosed at Annexure to Attachment – 3C
(iv)	Quality Assurance, Organization and Capabilities for Construction, Engg., Manufacturing & Field Installation	:	Enclosed at Annexure to Attachment – 3C
(v)	Established Project Management	:	Enclosed at Annexure to Attachment – 3C
(vi)	Details of Manpower / Division of Company	:	Enclosed at Annexure to Attachment – 3C

Note : 1 The above Attachment shall be filled up by the bidder for **himself** and each **JV Partner**, as applicable, being proposed by the bidder in his bid and relevant details shall be furnished.

2. Continuation sheets of same size and format may be used by the Bidder and annexed to this Attachment, if required.

(Details of Manufacturing Capacities/Plant Loading of the Bidder and/or wherever applicable, his JV Partner(s))

We hereby furnish below the details of our installed capacities and work in hand to establish spare capacity for completion of work under this package.

[illegible]

tfall, if any												
G) Alter native arrangement s to make up for this short fall												

- Note :** 1. Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.
2. The above Attachment shall be filled up by the bidder for himself and each JV Partner, as applicable, being proposed by the bidder in his bid and relevant details shall be furnished.

(Present Order Book Position)

Sl. No.	Client	Order Value	No. of Units & Unit Size	Date of Order	Present Status		% work completed	Completion of Supply		Completion of Erection, Testing & Commissioning		Reason for delay (if any)
					Schedule	Actual / Expected	Engg/ Manufacturing /Erection	Schedule	Actual/ Expected	Schedule	Actual/ Expected	

- Note :**
1. The above attachment shall be filled up by the bidder for himself and for each of the JV Partner, as applicable, being proposed by the bidder in his bid.
 2. Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.

(Past Performance Data)

Details of Similar Equipment Commissioned/Supplied in last ten years:

Sl. No.	Client Name & Address	Date of Order	Unit Size & No. of Units	Date of completion of supplies		Date of Completion of Erection, Testing & Commissioning		Order Value	Reason for delay (If applicable)
				Schedule	Actual	Schedule	Actual		

- Note :** 1. The above Attachment shall be filled up by the bidder for **himself** and for each JV Partner ,as applicable, being proposed by the bidder in his bid.
2. Continuation sheets of like size & format may be used if required and annexed to this Attachment.

(Data regarding key construction personnel)

The qualification and experience of key constructional personnel proposed for administration and execution of the contract at site are as follows:

Sl.No.	Name	Qualification	Position/ Designation	Experience

- Note :**
1. This Attachment shall be filled by the bidder for **himself and for each JV Partner** , as applicable, being proposed by the **bidder** in his bid.
 2. **Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.**

(Manpower loading data)

Bidder's Name and Address:

To

We declare that our manpower loading during execution of the contract will be as follows:

No. of Months from the date of Notification of Award	1	2	3	4	5	6	7	..	..										End month of work Schedule
Labour (Category)																			

- Note:**
1. The above Attachment shall be filled by the bidder for **himself and for each JV Partner, as applicable, being proposed by the bidder** in his bid.
 2. **Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.**
 3. List of category of labour will be given by the Bidder.

DEED OF JOINT UNDERTAKING

Not APPLICABLE

(PROJECT MANAGEMENT ORGANISATION)

Dear Sirs,

1.0 In line with Clause No. 8.1.2 (a) (v) of ITB, we furnish below the brief write-up* in support of our established project management organization.

* Bidder to use their own format for above details.

JV AGREEMENT

NOT APPLICABLE

(CONFORMITY OF FACILITIES)

BIDDER TO ATTACH DOCUMENTARY EVIDENCE ESTABLISHING THAT THE FACILITIES OFFERED CONFORM TO THE BIDDING DOCUMENTS.

(NOTE : BIDDERS MAY NOTE THAT NO PRESCRIBED PROFORMA HAS BEEN ENCLOSED FOR DOCUMENTARY EVIDENCE ESTABLISHING THAT THE FACILITIES OFFERED CONFORM TO BIDDING DOCUMENTS.)

List of Special Maintenance Tools & Tackles

Dear Sir,

We are furnishing below the list of special maintenance tools & tackles for various equipment under the subject package. The prices for these tools & tackles are already included in the lumpsum bid price. We further confirm that the list of Special Maintenance tools & tackles includes all the items specifically mentioned in scope of supply & services covered in Section-VI, Technical Specification of Bidding Document.

Notwithstanding what is stated above we further confirm that any additional special maintenance tools and tackles required for the equipment supplied under this package shall be furnished by us at no extra cost to the Employer.

SL.	Description of Equipment	Description of Tools & Tackles	UNIT	QTY

Note : Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.

**(Schedule of Erection Tools & Plant and Safety Equipments &
Safety Personal Protective Equipments)**

I. ERECTION TOOLS & EQUIPMENTS

We indicate below the erection tools & plant we have in our possession and the equipment we propose to bring to the Site, in case the contract is awarded to us.

Sl. No.	Type and Description of the Equipment	Number the Bidder has in Possession	Number the Bidder proposes to bring to the site
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

We hereby confirm that the quantity and type of Certain tools and equipment, we will employ for construction/erection, will not be less than those listed above and agree to bring more equipment, if so warranted, in the opinion of the Project Manager. Our proposed construction/erection equipment utilization plan indicating utilization dates and time duration of all major erection and construction equipment placed on site is enclosed at Annexure..... to this attachment.

Note : Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.

(List of Commissioning / Start-up Spares)

Dear Sir,

We indicate herein below the list of Commissioning/ Start-up Spares required for the subject package:

Sl. No.	Item Description	Unit	Quantity

We note that the above list is subject to your approval and we shall ensure the availability of the required quantity of Commissioning spares as approved by you without any additional cost to you before start-up/initial operation.

Note : Continuation sheets of like size and format to be furnished and shall be annexed to this Attachment.

(Information regarding Quality Assurance Program)

Dear Sirs,

We hereby provide the necessary information on Quality Assurance Program containing the overall Quality Management and procedures, which we propose to follow during various phases of execution of the Contract.

Note : Continuation sheets of like size and format, may be used as per Bidder's requirement and shall be annexed to this Attachment.

[Additional Information]

Dear Sirs,

We wish to provide the following additional information in our Bid. We confirm that no price component has been included in the additional information.

Sl. No.	Description of Information	Reference to Bidding Documents	Reference to bid Proposal

Note : Continuation sheets of like size and format may be used as per Bidder's requirements and annexed to this Attachment.

[Demonstration Parameter/ Guarantee Declaration]

Dear Sirs,

AS PER TECHNICAL SPECIFICATIONS

[Functional Guarantee]

Dear Sirs,

AS PER TECHNICAL SPECIFICATIONS

.....**PACKAGE FOR**
BIDDING DOCUMENT NO.

INTEGRITY PACT

Between

NTPC Vidyut Vyapar Nigam Ltd (NVVN) (hereinafter referred to as "The Employer ")

and

..... (hereinafter referred to
as "The Bidder/Contractor ")

and

.....(hereinafter referred to as "JV Partner/
Consortium Members" (if applicable)

Preamble

The Employer invites the bids from all eligible bidders and intends to enter into Contract for with the successful bidder(s), as per organizational systems and procedures. The Employer values full compliance with all relevant laws and regulations, and the principles of economical use of resources, and of fairness and transparency in its relations with its Bidder(s) and/or Contractor(s).

In order to achieve these goals, the Employer will appoint Independent External Monitor(s) (IEM), who will monitor the bidding process and the execution of the Contract for compliance with the principles mentioned above.

Section 1 Commitments of the Employer

1. The Employer Commits itself to take all measures necessary to prevent corruption and to observe the following principles in this regard:-
 - a) No employee of the Employer, either in person or through family members including relatives, will in connection with the bidding for or the execution of a Contract, demand or accept a promise for or accept for him/herself or for a third person, any material or immaterial benefit to which he/she is not legally entitled to.
 - b) The Employer shall, during the bidding process treat all Bidders with equity and reason. The Employer will, in particular, before and during the bidding process, provide to all Bidders the same information and will not provide to any Bidder confidential/additional information through which the Bidder(s) could obtain an advantage in relation to the bidding process or the Contract execution.
 - c) The Employer will exclude from the process all known prejudiced persons.
2. If the Employer obtains information on the conduct of any of its employees which is a criminal offence under the IPC/PC Act or if there be a substantive suspicion in this regard, the Employer will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions.

Section 2 Commitments and Undertakings by the Bidder/Contractor

1. The Bidder/Contractor commits and undertakes to take all measures necessary to prevent malpractices & corruption. He commits himself to observe the following principles during his participation in the bidding process and during the execution of the contract:
 - a) The Bidder/ Contractor undertakes not to, directly or through any other person or firm offer, promise or give or influence to any employee of the Employer associated with the bidding process or the execution of the contract or to any third person on their behalf any material or immaterial benefit which he/she is not legally entitled, in order to obtain in exchange any advantage of any kind whatsoever during the bidding process or during the execution of the contract.
 - b) The Bidder/ Contractor undertake not to enter into any undisclosed agreement or understanding, whether formal or informal with other Bidders. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other action to restrict competitiveness or to introduce cartelization in the bidding process.
 - c) The Bidder/Contractor undertakes not to commit any offence under the relevant Anti-corruption Laws of India; further the Bidder/Contractor will not use improperly, any information or document provided by the Employer as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically for purposes of competition or personal gain and will not pass the information so acquired on to others.
 - d) The Bidder/ Contractor, when presenting his bid, undertakes to disclose any and all payments made, or is committed to or intends to make to agents, brokers or any other intermediaries in connection with the bidding process and / or award of the contract.
 - e) The Foreign Bidder/ Contractor, when presenting his bid, undertakes to disclose the name and address of agents and representative in India. Further, Indian Bidder/ Contractor when presenting his bid, undertakes to disclose the name and address of its foreign principals or associates.
2. The Bidder/ Contractor will not instigate and allure third persons/parties to commit offences outlined above or be an accessory to such offences.

Section 3 Disqualification from Bidding Process and Exclusion from Future Contracts

1. If the Bidder(s)/ Contractor(s), before award or during execution has committed a transgression through a violation of any provisions of Section 2 so as to put his reliability or credibility as Bidder into question, the Employer shall be entitled to disqualify the Bidder(s)/ Contractor(s) from the bidding process or to terminate the contract, if signed on that ground.
2. If the Bidder/ Contractor has committed a transgression through a violation of Section 2 such as to put his reliability or credibility into question, the Employer shall be entitled to exclude including blacklist and put on holiday the Bidder/ Contractor for any future tenders/contract award process. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the Employer taking into consideration the full facts and circumstances of each case particularly taking into account the number of transgressions, the position of the transgressors within the company hierarchy of the Bidder and the amount of the damage. The exclusion will be imposed for a period not exceeding two (02) years.

3. A transgression is considered to have occurred if the Employer, after due consideration of the available evidence concludes that no reasonable doubt is possible.
4. The Bidder with its free consent and without any influence agrees and undertakes to respect and uphold the Employer's absolute rights to resort to and impose such exclusion and further accepts and undertakes not to challenge or question such exclusion on any ground, including the lack of any hearing before the decision to resort to such exclusion is taken. This undertaking is given freely and after obtaining independent legal advice.
5. Subject to full satisfaction of the Employer, the exclusion of Bidder/ Contractor could be revoked by the Employer if the Bidder/ Contractor can prove that he has restored/ recouped the damage caused by him and has installed a suitable corruption prevention system in his organization.

Section 4 Compensation for Damages including Forfeiture of Earnest Money Deposit/ Security Deposit/ Performance & Advance Bank Guarantees

1. If the Employer has disqualified the Bidder/ Contractor from the bidding process or has terminated the contract pursuant to Section 3, the Employer shall forfeit the Earnest Money Deposit/Bid Security, encash Contract Performance Bank Guarantees in addition to excluding the bidder from the future award process and terminating the contract.
2. In addition to 1 above, the Employer shall be entitled to take recourse to the relevant provisions of the contract related to Termination of Contract due to Contractor's Default.

Section 5 Previous Transgressions

1. The Bidder swears on oath that no previous transgression impinging on anti-corruption principles / any malpractice as mentioned in Section-2 has occurred in the last three years immediately before signing of this Integrity Pact, with any other company / any Public Sector Enterprise/ Undertaking in India / any Government Department in India.
2. If the Bidder makes incorrect statement on previous transgression as mentioned above in para 1, Bidder can be disqualified from the bidding process or the contract, if already awarded, can be terminated on this ground

Section 6 Company Code of Conduct

Bidders are also advised to have a company code of conduct (clearly rejecting the use of bribes and other unethical behaviour) and a compliance program for the implementation of the code of conduct throughout the company.

Section 7 Independent External Monitors (IEM)

1. The Employer will appoint competent and credible Independent External Monitor for Pact. The task of the IEMs is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.
2. The IEMs are not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He shall report to CEO of the Employer or a person authorized by him.
3. The Bidder/Contractor accepts that the IEMs have the right to access without restriction to all Project documentations of the Employer including that provided by the Contractor. The Contractor

will also grant the IEMs, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his Project Documentations. The same is applicable to Subcontractors. The IEMs are under contractual obligation to treat the information and documents of the Bidder / Contractor / Sub-Contractors/ JV partners/Consortium member with confidentiality.

4. The Employer will provide to the IEMs sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the Employer and the Contractor. The parties offer to the IEMs the option to participate in such meetings.
5. As soon as the IEMs notices, or believes to notice, a violation of this agreement, he will so inform the Management of the Employer (CEO of the Employer or a person authorized by him) and request to discontinue or to take corrective action, or to take other relevant action. The IEMs can in this regard submit non-binding recommendations. Beyond this, the IEMs has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action. However, Independent External Monitor shall give an opportunity to the bidder/contractor to present its case before making its recommendations to the Employer.
6. The IEMs will submit a written report to CEO of the Employer or a person authorized by him within 30 days from the date of reference or intimation to him by the Employer and, should the occasion arise, submit proposals for correcting problematic situations.
7. The Bidder / Contractor accepts that they shall not approach courts while the matter / complaint / dispute has been referred to the IEM in terms of this pact and they shall await IEM's decision before approaching any Court.
8. If the IEMs have reported to CEO of the Employer or a person authorized by him a substantiated suspicion of an offence under relevant IPC/ PC Act, and he has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Chief Vigilance Officer, the IEMs may also transmit this information directly to the Central Vigilance Commissioner, Government of India.
9. The word " IEM" will include Singular or Plural.

Section 8 Pact Duration

This Pact comes into force from the date of signing by all the parties. It shall expire for the Contractor 12 months after the last payment under the respective Contract, and for all other unsuccessful bidders 6 months after the Contract has been awarded.

Section 9 Miscellaneous Provisions

- 1.0 This Pact is subject to Indian Law. The place of performance and jurisdiction shall be New Delhi.

2. Should one or several provisions of this Pact turn out to be invalid; the remainder of this Pact remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
3. The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.
4. If the Contractor is a JV partnership / Consortium, this agreement must be signed by all the partners of JV / Consortium Partners as the case may be.

The Parties hereby sign this Integrity Pact at on this day of 20

Employer

Bidder/ Contractor

Joint Venture Partner(s)/
Consortium member(s) (As
Applicable)

Witness

Witness

Witness

1. _____

1.

1.

2. _____

2.

2.

(Details of Foreign Principals of Indian Bidders)

NOT APPLICABLE

Declaration on Local Content

TO BE GIVEN BY THE BIDDER IN THE BID FORM

(Technical Data Sheet)

Dear Sirs,

NOT APPLICABLE

Attachment-13

TO WHOMSOEVER IT MAY CONCERN

This is to certify that we have issued/ extended/ amended Bank Guarantee (BG) Number..... amounting to (in number and words in Contract Currency) in favour of NTPC Vidyut Vyapar Nigam Limited on behalf of M/S (Contractor name) vide their request reference..... dated..... (DD/MM/YYYY) for the period (from)..... (to)..... We confirm that the bank commission (excluding Stamp paper, Out of Pocket Expenses (OPE) and GST on OPE) amounting to (amount and currency) for issuance/ extension/ amendment of the aforesaid BG have been recovered from M/s (Contractor name).

We further confirm that the commission charged on issuance/ extension/ amendment of the aforesaid BG is as per the prescribed rates of the Bank. Further the commission charged by the Bank as per card rates / sanctioned rates is customer/ borrower specific and is uniform for all BGs issued at the request of said customer/ borrower irrespective of beneficiary.

Chief Manager/Branch Manager

SS No: -

ABC Bank Ltd

New Delhi.

(With Seal of Bank Official)

(Compliance to “Conflict of Interest” provisions)

We confirm that we have read and understood the ITB* Clause regarding “Conflict of Interest” and our bid is in compliance to this clause.

In case it is established that we have provided any false information in pursuance of the aforesaid ITB Clause 43.0, while competing for this contract, then our bid shall be rejected and bid security shall be forfeited.

We also understand that in case we become successful bidder, if it is established that we have not complied with terms of aforesaid ITB Clause 43.0, during execution of contract, this would be considered as fraudulent practice as mentioned in para 5.1 (j) of “Policy for Debarment from Business Dealings” and shall be dealt accordingly.

(CHECKLIST FOR TECHNO-COMMERCIAL BID)

Bidder's Name and Address :

To
Contract Services
NTPC Ltd.,
Noida - 201301

Dear Sirs,

Check List of documents to be submitted for Envelop-I (Techno-Commercial) Bid.

Sl.	Details of Checks	Enclosed : Yes / No
1.	BID FORM (TECHNO-COMMERCIAL BID)	
2.	ATTACHMENT-1	
3.	ATTACHMENT-2	
4.	ATTACHMENT-3 (INCLUDING QR DETAILS & DEED OF JOINT UNDERTAKING, IF APPLICABLE)	
5.	ATTACHMENT-4 AND ATTACHMENT-4A	
6.	ATTACHMENT-5	
7.	ATTACHMENT-6	
8.	ATTACHMENT-7	
9.	ATTACHMENT-8	
10.	ATTACHMENT-9/9A	
11.	ATTACHMENT-10	
12.	ATTACHMENT-11	
13.	ATTACHMENT-14	
14.		

Date :

(Signature).....

Place :

(Printed Name).....

(Designation).....

**ATTACHMENTS FOR
ENVELOPE-2 (PRICE)**

(Declaration regarding Import Content in Ex-works price of Schedule-2)

Dear Sirs,

Declaration regarding Import Content included in Schedule-2 of our bid price

The details of Import Content included in Schedule-2 of our bid price are as follows:

Sl.No.	Description of Items	Quantity	*Value (included in our Bid Price)

These details are furnished for the purpose of issuance of the Required Certificate as per ITB Clause 10.6. We further confirm that we shall be solely responsible for availing benefits (If Available).

*** Please state the currency and fill in the amount in figures and words.**

Date : (Signature).....

Place : (Printed Name).....

(Designation).....

(Common Seal).....

**(Declaration regarding Customs Duty Benefits for Import of
Construction Equipment)**

Dear Sir,

1. *WE CONFIRM THAT WE ARE SOLELY RESPONSIBLE FOR OBTAINING THE CUSTOMS DUTY BENEFITS FOR IMPORT OF CONSTRUCTION EQUIPMENT WHICH WE HAVE CONSIDERED IN OUR BID AND IN CASE OF FAILURE TO RECEIVE SUCH BENEFITS, EMPLOYER WILL NOT COMPENSATE US IN ANY MANNER WHATSOEVER.*

 2. We further confirm that we will not claim for adjustment in Contract Price on account of variation in or withdrawal of Custom Duty Benefits for Import of Construction Equipment.

 3. *WE ARE FURNISHING BELOW THE INFORMATION REQUIRED BY THE EMPLOYER FOR ISSUE OF RELEVANT CERTIFICATES IN TERMS OF THE CUSTOMS ACT & NOTIFICATION OF THE GOVT. OF INDIA:*
- (A) **CIF VALUE OF CONSTRUCTION EQUIPMENT TO BE IMPORTED BY THE BIDDER INCLUDING SUB-CONTRACTOR(S) OF THE BIDDER.*

.....

.....

.....

We further confirm that aforesaid CIF value has not been included in Attachment-1P.

* Please state the currency and fill in the amount in figures and words.

B. Description and quantities of the Construction Equipment to be imported by the Bidder / sub-contractor(s) for deployment to site under the package .

Sl.	Description of item to be imported	Quantity (No.)

C. *We confirm that the Construction Equipment being imported as per 'B' above shall be deployed at the Project Site for the purpose of execution of Package as per bidding document NVVN/C&M/RE-307/2024-25*

Note : Continuation sheets of like size and format may be used as per Bidders requirements and shall be annexed to this Attachment.

[FUNCTIONAL GUARANTEES]

AS PER TECHNICAL SPECIFICATION, SECTION VI

Dear Sirs

We declare that the ratings, capacities and performance figures of the equipment furnished by us under the package are guaranteed. We further declare that in the event of any deficiencies in meeting the guaranteed figures indicated below as established after conducting the guarantee tests, you may at your discretion accept the equipment/system after assessing the liquidated damages as specified in Section-VI (Technical Specifications) of the Bidding Documents, or reject the equipment/system and recover payments already made.

<i>S.NO. GUARANTEED PARAMETERS</i>	<i>GUARANTEED FIGURES</i>

(Price Adjustment Data)

NOT APPLICABLE

(CHECKLIST FOR PRICE BID)

Bidder's Name and Address :

To
Contract Services
NTPC Ltd.,
Noida - 201301

Dear Sirs,

Check List of documents to be submitted for Envelop-II (Price) Bid.

Sl.	Details of Checks	Enclosed : Yes / No	No.
1.	ATTACHMENT-1P		
3.	ATTACHMENT-2P		
4.	ATTACHMENT-3P		
5.	ATTACHMENT-4P		
6.*			

Date : (Signature).....

Place : (Printed Name).....

(Designation).....



SECTION – VII (Part 2 OF 2)

FORMS AND PROCEDURES

FOR

**DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR
POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN
DELHI& ADJOINING DISTRICTS
BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25**

(This document is meant for the exclusive purpose of bidding against this Bid Document No. / Specification and shall not be transferred, reproduced or otherwise used for purposes other than that for which it is specifically issued).

DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI

BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25
SECTION - VII (Part 2 of 2)

TABLE OF FORMS AND PROCEDURES

Sl.No.	Description		
Section-VII (Part 1 of 2)			
1a.	Techno-Commercial Bid (Envelope-1) (Bid Form along with Attachments)		
1b.	Price Bid (Envelope-2) (Attachments for Envelope-II and Price Schedules)		
Section-VII (Part 2 of 2)			
2.	Bid Security Form – Bank Guarantee		
2a.	Bid Security Form – Insurance Surety Bond		
2b.	Bid Security Form -Bank Guarantee in case of Bid from Joint Venture	-	NOT APPLICABLE
2c.	Bid Security Form – Letter of Credit	-	NOT APPLICABLE
3	(a) Form of Notification by the Employer to the Bidder		NOT APPLICABLE
	(b) Form of Sight Draft	-	NOT APPLICABLE
4.	Forms of Notification of Award		
5.	<u>Form of Contract Agreement</u>		
6.	Performance Security Form		
6a.	Performance Security Form incase of Contract awarded to Joint Venture	-	NOT APPLICABLE
6b.	Performance Security Form -Insurance Surety Bond		
6c.	Performance Security Form for O&M		
7(i).	Bank Guarantee Form for Advance Payment (Supply Ex-Works)		

- 7(ii). Bank Guarantee Form for Advance Payment (Installation Services)
- 7(iii). Bank Guarantee Form for Advance Payment (in case of Contract awarded to Joint Venture)

- 8. Form of Completion Certificate
- 9. Form of Operational Acceptance Certificate
- 10. Form of Trust Receipt
- 11. Forms of Indemnity-cum-Undertaking Agreement (2Nos.)
- 12. Form of Authorization Letter
- 13. Form of Deed of Joint Undertaking
- 14. Form of Bank Guarantee by Associate/ Collaborator
- 15. Form of Joint Venture Agreement
- 16. Form of Bank Guarantee/ Insurance Surety Bond Verification Checklist
- 17. Form of Extension of Bank Guarantee/ Insurance Surety Bond
- 18. Form of Indemnity-cum-Undertaking Agreement (For Removal/Disposal of Scrap/Surplus Material)
- 19. Form of Contract Closing certificates
- 20. Declaration of absence of Conflict of Interest with Conciliation Committees of Independent Experts (CCIE)
- 21. Electronic Fund Transfer form

2. BID SECURITYFORM

2. Bid Security Form

Bank Guarantee

(To be stamped in accordance with Stamp Act, of India)

Bank Guarantee No.....

Date.....

To
NTPC VIDYUT VYAPAR NIGAM LIMITED,
5th Floor, Engineering Office Complex,
A-8A, Sector -24, Noida -201301 (U.P.)

Dear Sirs,

In accordance with Invitation for Bids under your Bid Document No:..... ,
M/s..... (***) having its Registered/Head Office at
..... (Hereinafter called the 'Bidder') wish to participate in the
Said bid for [Name of Package]

As an irrevocable bank guarantee against Bid Security for an amount of (*)
..... Valid for..... days from
..... (**). required to be submitted by the
Bidder as a condition precedent for participation in the said bid which amount is liable to be
forfeited on the happening of any contingencies mentioned in the Bidding Documents.

We, the..... [Name & address of the Bank]
.....having our Head Office at.....
(#) guarantee and undertake to pay immediately on demand by
NTPC VIDYUT VYAPAR NIGAM LIMITED (Hereinafter called the 'Employer') the amount of
.....(*) without any reservation, protest,
demand and recourse. Any such demand made by the 'Employer' shall be conclusive and binding
on us irrespective of any dispute or difference raised by the Bidder.

This Guarantee shall be irrevocable and shall remain valid unto..... (@).....If
any further extension of this guarantee is required, the same shall be extended to such required
period (not exceeding one year) on receiving instructions from M/s (***)
..... [Bidder's Name] on whose behalf this guarantee is issued.

In witness where of the Bank, through its authorized officer, has set its hand and stamp on
this.....day of.....20.....at.....

WITNESS:

.....
(Signature)

.....
(Name)

.....
(Official Address)

.....
(Signature)

.....
(Name)

.....
(Designation with
Bank Stamp)

Authorized Vide
Power of Attorney No.....

Date.....

NOTE: 1. (*) The amount shall be as specified in the Bid Data Sheets.

(**) This shall be the date of opening of bids.

(#) Complete mailing address of the Head Office of the Bank to be given.

(@) This date shall be forty-five (45) days after the last date for which the bid is valid.

2. The Bank Guarantee shall be from a bank as per provisions of bidding documents.

3. The BG should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the BG is submitted or is to be quoted upon or the rate prevailing in State where the BG is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Bank issuing the guarantee.

4. While getting the Bank Guarantee issued, Bidders are required to ensure compliance to the points mentioned in Form 16 - Form of Bank Guarantee Verification Check List enclosed in this Section of Bidding Documents. Further, Bidders are required to fill up this Form 16 and enclose the same with the Bank Guarantee.

5. In case, Bank Guarantee is getting issued from State Bank of India, Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 (attached with Section-III of Bidding Documents).

Form 2(a) - Bid Security Form Insurance Surety Bond

(To be stamped in accordance with Stamp Act,
if any, of the Country of the issuing Bank)

Insurance Surety Bond No.....

Date.....

To
NTPC VIDYUT VYAPAR NIGAM LIMITED,
5th Floor, Engineering Office Complex,
A-8A, Sector -24, Noida -201301 (U.P.)

Dear Sirs,

In accordance with Invitation for Bids under your Bid Document No.,
M/s.....[Bidder's Name] having its Registered/Head Office at
..... (hereinafter called the 'Bidder') wish to participate in the said
bid for [Name of Package]

As an irrevocable Insurance Surety Bond against Bid Security for an amount of
(*)..... valid for..... days from
.....(**) required to be submitted by the Bidder
as a condition precedent for participation in the said bid which amount is liable to be forfeited on the
happening of any contingencies as mentioned under the Bidding Documents.

We, the [Name & address of the Insurer]
.....having our Head Office at (#)
..... guarantee and undertake to pay immediately on demand by NTPC
VIDYUT VYAPAR NIGAM LIMITED (hereinafter called the 'Employer') the amount of
.....(*) without any reservation, protest, demand
and recourse. Any such demand made by the 'Employer' shall be conclusive and binding on us
irrespective of any dispute or difference raised by the Bidder and/or any right/remedy available to
the bidder in terms thereof.

This Insurance Surety Bond shall be unconditional as well as irrevocable and shall remain valid
upto(@) If any further extension of this Insurance Surety Bond is
required, the same shall be extended to such required period (not exceeding one year) on receiving
instructions from M/s[Bidder's Name] on whose
behalf this Insurance Surety Bond is issued.

In witness where of the Insurer, through its authorized officer, has set its hand and stamp on
this.....day of.....20.....at.....

WITNESS:

.....
(Signature)

.....
(Name)

.....
(Official Address)

.....
(Signature)

.....
(Name)

.....
(Designation with
Bank Stamp)

Authorized Vide
Power of Attorney No.....

Date.....

NOTE: 1. (*) The amount shall be as specified in the Bid Data Sheets.

(**) This shall be the date of opening of Techno-Commercial bids.

(#) Complete mailing address of the Head Office of the Insurer to be given.

(@) This date shall be forty-five (45) days after the last date for which the bid is valid.

2. The Insurance Surety Bond shall be from an Insurer as per guidelines issued by Insurance Regulatory and Development Authority of India (IRDAI) as amended from time to time.

3. The Employer shall be the Creditor, the Bidder shall be the Principal debtor and the Insurance company/Insurer shall be the Surety in respect of the Insurance Surety Bond to be issued by the Insurer.

4. The Insurance Surety Bond should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the Insurance Surety Bond is submitted or is to be acted upon or the rate prevailing in State where the Insurance Surety Bond is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Insurer issuing the Insurance Surety Bond.

5. While getting the Insurance Surety Bond issued, Bidders are required to ensure compliance to the points mentioned in Form of Bank Guarantee/Insurance Surety Bond Verification Check List enclosed in this Section of Bidding Documents. Further, Bidders are required to fill up this Form and enclose the same with the Insurance Surety Bond.

2b. Bid Security Form

(IN CASE OF BID FROM JOINT VENTURE)

Bank Guarantee

(To be stamped in accordance with Stamp Act,
if any, of the Country of the issuing Bank)

Bank Guarantee No.

Date.....

To

[Employer's Name and Address]

Dear Sirs,

In accordance with Invitation for Bids under your Bid Document No.,
M/s.....[Partner Name] having its Registered/Head Office at
..... and M/s.....[Other Partner's Name]
..... having its Registered/Head Office at
(Hereinafter collectively called the 'Bidder') wish to participate in the said bid for [Name of Package]
.....

As an irrevocable bank guarantee against Bid Security for an amount of (*)
..... valid for..... days from
.....(**) required to be submitted by the Bidder as a
condition precedent for participation in the said bid which amount is liable to be forfeited on the happening
of any contingencies mentioned in the Bidding Documents.

We, the [Name & address of the Bank]
.....having our Head Office at (#)
..... guarantee and undertake to pay immediately on demand by NTPC Limited
(hereinafter called the 'Employer') the amount of
.....(*).....without any reservation, protest, demand and
recourse. Any such demand made by the 'Employer' shall be conclusive and binding on us irrespective of
any dispute or difference raised by the Bidder.

This Guarantee shall be irrevocable and shall remain valid upto(@)..... If any further
extension of this guarantee is required, the same shall be extended to such required period (not exceeding
one year) on receiving instructions from M/s.....[Partner Name]..... and
M/s.....[Other Partner's Name]..... on whose behalf this guarantee is
issued.

In witness where of the Bank, through its authorised officer, has set its hand and stamp on
this.....day of.....20.....at.....

.....
(Signature)

.....
(Name)

.....
(Designation with
Bank Stamp)

Authorised Vide
Power of Attorney No.....

Date.....

NOTE :

1. (*) The amount shall be as specified in the Bid Data Sheets.
(**) This shall be the date of opening of Techno-Commercial bids.
(#) Complete mailing address of the Head Office of the Bank to be given.
(@) This date shall be forty five (45) days after the last date for which the bid is valid.
2. The Bank Guarantee shall be from a bank as per provisions.
3. The BG should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the BG is submitted or is to be acted upon or the rate prevailing in State where the BG is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Bank issuing the guarantee.
4. While getting the Bank Guarantee issued, Bidders are required to ensure compliance to the points mentioned in Form 16-Form of Bank Guarantee Verification Check List enclosed in this Section of Bidding Documents. Further, Bidders are required to fill up this Form 16 and enclose the same with the Bank Guarantee.
5. In case, Bank Guarantee is getting issued from State Bank of India, Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 (attached with Section-III of Bidding Documents).

FORM No. 2 (c)

BID SECURITY - LETTER OF CREDIT

- NOT APPLICABLE -

**3. (a) FORM OF NOTIFICATION BY THE
EMPLOYER TO BIDDER**

(b) FORM OF SIGHTDRAFT

3a. FORM OF NOTIFICATION BY THE EMPLOYER TO THE BIDDER

- NOT APPLICABLE -

3b. FORM OF SIGHT DRAFT

- NOT APPLICABLE -

4. FORM OF NOTIFICATION OF AWARD

**4a. FORM OF 'NOTIFICATION OF AWARD OF CONTRACT'
FOR SUPPLY OF PLANT AND EQUIPMENT**

NOTE: *INSTRUCTIONS INDICATED IN ITALICS IN THIS NOTIFICATION OF AWARD
ARE TO BE TAKEN CARE OF BY THE ISSUING AUTHORITY.*

Ref. No. :

Date:

..... (Contractor's Name & Address).....
.....
.....
.....

Attn.: Mr.....

**Sub: Notification of Award of Contract for Supply of..... (Package
Name) as per Bidding Document No.....**

Dear Sir,

1.0 This has reference to the following:

- (i) Our Notice Inviting Tender (IFB) No.....dated
- (ii) Bidding Documents for the subject package issued to you vide our letter no.
.....dated comprising the following:

*.....(List out all the Sections/Volumes of the Bidding Documents
along with Tender Drawings etc. as issued to the bidder)*

Errata/Amendment No..... to..... *(Name of Section/Volume of
the Bidding Documents to which Errata/Amendment pertains)*
Issued to you vide our letter no. Dated.....

*(Applicable only if any Errata/Amendment to the Bidding Documents has
been issued subsequently)*

- (iii) Clarifications furnished to you on the Bidding Documents vide our letter
no.....dated based on the query raised by **you/one**
of the prospective bidders. (Use as applicable)

*(Applicable only if any clarification to the Bidding Documents has been
issued subsequently)*

**(INCLUDE AS FURTHER SUB-PARAGRAPHS ANY OTHER
CORRESPONDENCE MADE TO THE BIDDER AFTER ISSUANCE OF
BIDDING DOCUMENTS UP TO THE DATE OF BID OPENING)**

(iv) Your Proposal for the subject package submitted vide your letter No. dated..... and its modification vide letter no. dated.....(*Delete if not applicable*).

(v) Our email / letter No. dated..... regarding extension of validity of bid and that of the Bank Guarantee towards Bid Security.

(Applicable only if any extension has been sought subsequently)

(INCLUDE AS FURTHER SUB-PARAGRAPHS ANY OTHER CORRESPONDENCE MADE TO OR BY THE BIDDER AFTER BIDOPENING)

(vi) Our email/letter No. dated..... inviting you for post bid discussions.

(vii) Post bid discussions and meetings we had with you from to resulting into the following Minutes of Meeting enclosed herein with this Notification of Award:

(a) Minutes of Meeting regarding Commercial issues (APPENDIX)

(b) Minutes of Meeting on Technical issues (APPENDIX)

(c) Minutes of Meeting regarding Work Schedule (APPENDIX)

(d) Minutes of Meeting regarding Quality Assurance Aspects (APPENDIX-.)

(e) Minutes of Meeting regarding Safety Plan (APPENDIX-)

2.0 We confirm having accepted your proposal submitted vide letter no..... Dated and its modification vide letter no..... dated (*Delete if not applicable*) read in conjunction with all the specifications, terms & conditions of the Bidding Documents, **your subsequent letters (Use if relevant)** and agreed Minutes of Meetings referred to in para 1.0 above and award on you the Contract for the work of (*Indicate brief Scope of Work*).....of (*Name of Package*) for (*Name of Project*) as per Specification No.: (Hereinafter referred to as the 'First Contract').

3.0 We have also notified you vide our Notification of Award No. Dated and Notification of Award No.....dated for award of Second Contract on you for the work of (*Indicate brief scope of work of the Second Contract*) complete (*Name of Package*) for..... (*Name of Project*) as per Bidding Document No..... (Hereinafter referred to as the 'Second Contract'). You shall also be fully responsible for the works to be executed under the 'Second Contract' and it is

expressly understood and agreed by you that any breach under the 'Second Contract' shall automatically be deemed as a breach of this 'First Contract' and vice-versa and any such breach or occurrence or default giving us a right to terminate the 'Second Contract' and/or recover damages thereunder, shall give us an absolute right to terminate this Contract and/or recover damages under this 'First Contract' as well and vice-versa. However, such breach or default or occurrence in the 'Second Contract' shall not automatically relieve you of any of your responsibilities/obligations under this 'First Contract'. It is also expressly understood and agreed by you that the equipment's /materials to be supplied by you under this Contract when installed and commissioned under the 'Third Contract' shall give satisfactory performance in accordance with the provisions of the Contract.

We have also notified you vide our Notification of Award No.
Dated and Notification of Award No.....dated for
award of Third Contract on you for the work of **(Indicate brief scope of
work of the Third Contract)** complete
..... **(Name of Package)**
for..... **(Name of Project)** as per Bidding Document
No..... (Hereinafter referred to as the 'Third Contract').

4.0 The Total Contract Price for the entire scope of work under the Contract shall be
..... **(Specify the amount and currency)** as per the
following break up:

(i)	Ex-Manufacturing Works/Place of Dispatch Price (both in India) for Main Equipment	
(ii)	Ex-Manufacturing Works/Place of Dispatch Price (both in India) for Mandatory Spares	
(iii)	Type Test Charges (Delete if not applicable)	
	TOTAL (i + ii + iii)	
	(..... (Specify the total amount in words))	

5.0 You shall prepare and finalize the Contract Documents for signing of the formal Contract Agreement and shall enter into the Contract Agreement with us, as per the proforma enclosed with the Bidding Documents, on non-judicial stamp paper of appropriate value within.....**(Specify)** days from the date of this Notification of Award.

6.0 This Notification of Award is being issued to you in duplicate. We request you to return its duplicate copy duly signed and stamped on each page including all the enclosed Appendices, by the authorized signatory of your company as a proof of your acknowledgement and confirmation.

Please take necessary action to commence the work and confirm action.

Yours faithfully,
for and on behalf of
..... **(Name of the Employer)**
(Authorized Signatory)

Encl.: As above.

**4b. FORM OF 'NOTIFICATION OF AWARD OF CONTRACT' FOR
INSTALLATION OF PLANT AND EQUIPMENT**

NOTE: *INSTRUCTIONS INDICATED IN ITALICS IN THIS NOTIFICATION OF AWARD ARE TO BE
TAKEN CARE OF BY THE ISSUING AUTHORITY.*

Ref. No. :

Date :

.....(**Contractor's Name & Address**)
.....
.....
.....

Attn: Mr.....

**Sub: Notification of Award of Contract for Inland Transportation, Insurance, Installation,
Testing & Commissioning and Guarantee Tests of (**Package Name**)
..... as per Bidding Document No.....**

Dear Sir,

1.0 This has reference to the following:

- (i) Our Invitation for Bids (IFB) No.....dated.....
- (ii) Bidding Documents for the subject package issued to you vide our letter no.
.....dated comprising the following:

**.....(*List out all the Sections/Volumes of the Bidding Documents
along with Tender Drawings etc. as issued to the bidder*).....**

Errata/Amendment No.....to.....(***Name of Section/Volume
Of the Bidding Documents to which Errata/Amendment pertains***).....
issued to you vide our letter no. dated.....

**(*Applicable only if any Errata/Amendment to the Bidding Documents has
been issued subsequently*)**

- (iii) Clarifications furnished to you on the Bidding Documents vide our letter
no.....dated based on the query raised by **you/one
of the prospective bidders. (*Use as applicable*)**
**(*Applicable only if any clarification to the Bidding Documents has been
issued subsequently*)**

**(*INCLUDE AS FURTHER SUB-PARAGRAPHS ANY OTHER APPLICABLE
CORRESPONDENCE MADE TO THE BIDDER AFTER ISSUANCE OF
BIDDING DOCUMENTS UP TO THE DATE OF BID OPENING*)**

(iv) Your Proposal for the subject package submitted vide your letter No. Dated **and its modification vide letter no. dated.....(Delete if not applicable).**

(v) Our email/letter No. Dated..... regarding extension of validity of bid and that of the Bank Guarantee towards Bid Security.

(Applicable only if any extension has been sought subsequently)

(INCLUDE AS FURTHER SUB-PARAGRAPHS ANY OTHER APPLICABLE CORRESPONDENCE MADE TO OR BY THE BIDDER AFTER BID OPENING)

(vi) Our email/letter No. Dated..... Inviting you for post bid discussions.

(vii) Post bid discussions and meetings we had with you from..... to resulting into the following Minutes of Meetings enclosed herein with this Notification of Award:

(a) Minutes of Meetings regarding Commercial issues(APPENDIX.....)

(b) Minutes of Meetings on Technical issues(APPENDIX)

(c) Minutes of Meetings regarding Work Schedule(APPENDIX.....)

(d) Minutes of Meetings regarding Quality Assurance Aspects(APPENDIX-.)

(e) Minutes of Meeting regarding Safety Plan(APPENDIX-)

2.0 We confirm having accepted your proposal submitted vide letter no..... dated..... **and its modification vide letter no dated (Delete if not applicable)** read in conjunction with all the specifications, terms & conditions of the Bidding Documents, **Your subsequent letters (Use if relevant)** and agreed Minutes of Meetings referred to in para 1.0 above and award on you the Contract for the work of**(Indicate brief Scope of Work)** of **(Name of Package)** for **(Name of project)** as per Specification No.: (Hereinafter referred to as the 'Second Contract').

3.0 We have also notified you vide our Notification of Award No. Dated..... and Notification of Award No.....dated..... for award of 'First Contract' on you for the work of.....
(Indicate brief scope of work of the First Contract and Second Contract)
..... complete..... **(Name of Package)**..... for **(Name of Project)** as per Bidding Document No..... (Hereinafter referred to as the 'First Contract'). You shall also be fully responsible for the works to be executed under the 'First Contract' and it is expressly understood and agreed by you that any breach under the 'First Contract' shall automatically be deemed as a breach of this 'Second Contract' and vice-versa and any

such breach or occurrence or default giving us a right to terminate the 'First Contract' and/or recover damages thereunder, shall give us an absolute right to terminate this Contract and/or recover damages under this 'Second Contract' as well and vice-versa. However, such breach or default or occurrence in the 'First Contract' Contract' shall not automatically relieve you of any of your responsibilities/obligations under this 'Second Contract'. It is also expressly understood and agreed by you that the equipment's / materials to be supplied by you under the 'First Contract' when installed and commissioned under this 'Second Contract' shall give satisfactory performance in accordance with the provisions of the Contract.

We have also notified you vide our Notification of Award No.
Dated and Notification of Award No.....dated for
award of Third Contract on you for the work of **(Indicate brief scope of
work of the Third Contract)** complete
..... **(Name of Project)** **(Name of Package)**
.....for..... **(Name of Project)** as per
Bidding Document No..... (Hereinafter referred to as the 'Third Contract').

4.0 The Total Contract Price for the entire scope of work under the Contract shall be
..... **(Specify the amount and currency)** as per the
following break up:

- (i) Inland Transportation and Inland
Transit Insurance Charges
**including Port Clearance, Port
Handling and Port Charges (Delete
if not applicable)** for Main Equipment
- (ii) Inland Transportation and Inland
Transit Insurance Charges
**including Port Clearance, Port
Handling and Port Charges (Delete
if not applicable)** for Mandatory Spares
- (iii) Unloading and Handling at Site, ...
Storage, Erection, Civil Structural
& Allied Works, Insurance
Covers other than Inland Transit
Insurance, Testing, Commissioning
and conducting Guarantee Tests
TOTAL (i + ii + iii)
(..... **(Specify the total amount in words)**.....)

5.0 You shall prepare and finalize the Contract Documents for signing of the formal Contract Agreement and shall enter into the Contract Agreement with us, as per the proforma enclosed with the Bidding Documents, on non-judicial stamp paper of appropriate value within.....**(Specify)** days from the date of this Notification of Award.

6.0 This Notification of Award is being issued to you in duplicate. We request you to return

its duplicate copy duly signed and stamped on each page including all the enclosed Appendices, by the authorized signatory of your company as a proof of your acknowledgement and confirmation.

Please take necessary action to commence the work and confirm action.

Yours faithfully,
for and on behalf of
..... **(Name of the Employer)**.....
(Authorized Signatory)

**4c. FORM OF 'NOTIFICATION OF AWARD OF CONTRACT'
FOR OPERATION AND MAINTENANCE OF PLANT AND EQUIPMENT**

**4c. FORM OF 'NOTIFICATION OF AWARD OF CONTRACT' FOR OPERATION
AND MAINTENANCE OF PLANT AND EQUIPMENT**

NOTE: *INSTRUCTIONS INDICATED IN ITALICS IN THIS NOTIFICATION OF AWARD ARE TO BE
TAKEN CARE OF BY THE ISSUING AUTHORITY.*

Ref. No. :

Date :

.....(**Contractor's Name & Address**)

.....

.....

.....

Attn: Mr.....

**Sub: Notification of Award of Contract for OPERATION AND MAINTENANCE OF PLANT
AND EQUIPMENT (Package Name)
..... as per Bidding Document No.....**

Dear Sir,

1.0 This has reference to the following:

(i) Our Invitation for Bids (IFB) No.....dated.....

(v) Bidding Documents for the subject package issued to you vide our letter no.
.....dated comprising the following:

**.....(List out all the Sections/Volumes of the Bidding Documents
along with Tender Drawings etc. as issued to the bidder).....**

Errata/Amendment No.....to.....(**Name of Section/Volume
Of the Bidding Documents to which Errata/Amendment pertains**).....
issued to you vide our letter no. dated.....

**(Applicable only if any Errata/Amendment to the Bidding Documents has
been issued subsequently)**

(vi) Clarifications furnished to you on the Bidding Documents vide our letter
no.....dated based on the query raised by **you/one
of the prospective bidders. (Use as applicable)**
**(Applicable only if any clarification to the Bidding Documents has been
issued subsequently)**

**(INCLUDE AS FURTHER SUB-PARAGRAPHS ANY OTHER APPLICABLE
CORRESPONDENCE MADE TO THE BIDDER AFTER ISSUANCE OF
BIDDING DOCUMENTS UP TO THE DATE OF BID OPENING)**

(vii) Your Proposal for the subject package submitted vide your letter No. Dated and its modification vide letter no. dated.....(**Delete if not applicable**).

(v) Our email/letter No. Dated..... regarding extension of validity of bid and that of the Bank Guarantee towards Bid Security.

(Applicable only if any extension has been sought subsequently)

(INCLUDE AS FURTHER SUB-PARAGRAPHS ANY OTHER APPLICABLE CORRESPONDENCE MADE TO OR BY THE BIDDER AFTER BID OPENING)

(vi) Our email/letter No. Dated..... Inviting you for post bid discussions.

(viii) Post bid discussions and meetings we had with you from..... to resulting into the following Minutes of Meetings enclosed herein with this Notification of Award:

(a) Minutes of Meetings regarding Commercial issues(APPENDIX.....)

(b) Minutes of Meetings on Technical issues(APPENDIX)

(c) Minutes of Meetings regarding Work Schedule(APPENDIX.....)

(d) Minutes of Meetings regarding Quality Assurance Aspects(APPENDIX-.)

(e) Minutes of Meeting regarding Safety Plan(APPENDIX-)

2.0 We confirm having accepted your proposal submitted vide letter no..... dated..... and its modification vide letter no dated (**Delete if not applicable**) read in conjunction with all the specifications, terms & conditions of the Bidding Documents, **Your subsequent letters (Use if relevant)** and agreed Minutes of Meetings referred to in para 1.0 above and award on you the Contract for the work of(**Indicate brief Scope of Work**) of (**Name of Package**) for (**Name of project**) as per Specification No.: (Hereinafter referred to as the 'Third Contract').

3.0 We have also notified you vide our Notification of Award No. Dated..... and Notification of Award No.....dated..... for award of 'First Contract' on you for the work of.....
(Indicate brief scope of work of the First Contract and Second Contract)
..... complete..... (**Name of Package**) for (**Name of Project**) as per Bidding Document No..... (Hereinafter referred to as the 'Second Contract'). You shall also be fully responsible for the works to be executed under the 'First Contract' and

'Second Contract' it is expressly understood and agreed by you that any breach under the 'First Contract' shall automatically be deemed as a breach of 'Second Contract'

- 4.0 The Total Contract Price for the entire scope of work under the Contract shall be **(Specify the amount and currency)** as per the following break up:

.....

.....

- 5.0 You shall prepare and finalize the Contract Documents for signing of the formal Contract Agreement and shall enter into the Contract Agreement with us, as per the proforma enclosed with the Bidding Documents, on non-judicial stamp paper of appropriate value within.....**(Specify)** days from the date of this Notification of Award.
- 6.0 This Notification of Award is being issued to you in duplicate. We request you to return its duplicate copy duly signed and stamped on each page including all the enclosed Appendices, by the authorized signatory of your company as a proof of your acknowledgement and confirmation.

Please take necessary action to commence the work and confirm action.

Yours faithfully,
for and on behalf of
..... **(Name of the Employer)**.....
(Authorized Signatory)

5. FORM OF CONTRACT AGREEMENT

5. Form of Contract Agreement

THIS CONTRACT AGREEMENT is made the _____ day of _____, 20..

BETWEEN

(1) *[Name of Employer]*, a corporation incorporated under the laws of *[country of Employer]* and having its principal place of business at *[address of Employer]* (hereinafter called “the Employer”), and (2) *[name of Contractor]*, a corporation incorporated under the laws of *[country of Contractor]* and having its principal place of business at *[address of Contractor]* (hereinafter called “the Contractor”)

WHEREAS the Employer desires to engage the Contractor to design, manufacture, test, deliver, install, complete and commission certain Facilities, viz. *[list of facilities]* (“the Facilities”) and the Contractor have agreed to such engagement upon and subject to the terms and conditions hereinafter appearing.

NOW IT IS HEREBY AGREED as follows:

Article 1. Contract 1.1 Documents

Contract Documents (Reference GCC Clause2)

The following documents shall constitute the Contract between the Employer and the Contractor, and each shall be read and construed as an integral part of the Contract:

- (a) This Contract Agreement and the Appendices hereto
- (b) Notification of Award
- (c) Special Conditions of Contract
- (d) General Conditions of Contract
- (e) Technical Specifications and Drawings
- (f) The Bid and Price Schedules submitted by the Contractor
- (g) Procedures (as listed)
- (h) Integrity Pact (IP) signed between the Employer and the Bidder /Contractor

1.2 Order of Precedence (Reference GCC Clause 2)

In the event of any ambiguity or conflict between the Contract Documents listed above, the order of precedence shall be the order in which the Contract Documents are listed in Article 1.1 (Contract Documents) above.

1.3 Definitions (Reference GCC Clause 1)

Capitalized words and phrases used herein shall have the same meanings as are ascribed to them in the General Conditions of Contract.

Article 2. Contract Price and Terms of Payment

- 2.1 **Contract Price** (Reference GCC Clause 11)
The Employer hereby agrees to pay to the Contractor the Contract Price in consideration of the performance by the Contractor of its obligations hereunder. The Contract Price shall be the aggregate of: *[amount of foreign currency in words]*, *[amount in figures]*, and *[amount of local currency in words]*, *[amount in figures]*, or such other sums as may be determined in accordance with the terms and conditions of the Contract
- 2.2 **Terms of Payment** (Reference GCC Clause12)
The terms and procedures of payment according to which the Employer will reimburse the Contractor are given in Appendix 1 (Terms and Procedures of Payment) hereto.

Article3.Effective Date for Determining Time for Completion

- 3.1 **Effective Date** (Reference GCC Clause1)
The Time of Completion of the Facilities shall be determined from the date of Notification of Award provided all of the Following conditions have been fulfilled within a period of two (2) months from the date of said Notification of Award:
- (a) This Contract Agreement has been duly executed for and on behalf of the Employer and the Contractor;
 - (b) The Contractor has submitted to the Employer the performance security, and the advance payment security;
 - (c) The Employer has paid the Contractor the Advance Payment.
- Each party shall use its best efforts to fulfill the above conditions for which it is responsible as soon as practicable.
- 3.2 If the conditions listed under 3.1 are not fulfilled within two (2) months from the date of Notification of Award because of reasons attributable to the Employer, the Contract would become effective only from the date of fulfillment of all the above mentioned conditions and, the parties shall discuss and agree on an equitable adjustment to the Contract Price and the Time for Completion and/or other relevant conditions of the Contract.
- 3.3 However, if any of the conditions listed under 3.1 above are not fulfilled within two (2) months from the date of Notification

of Award because of reasons attributable to the Contractor, the Contract will be effective from the date of Notification of Award. In this case, Contract price and/or time for completion shall not be adjusted.

Article 4.

It is expressly understood and agreed by and between the Contractor and the Employer that the Employer is entering into this Agreement solely on its own behalf and not on behalf of any other person or entity. In particular it is expressly understood and agreed that the Government of India is not a party to this Agreement and has no liabilities, obligations or rights hereunder. It is expressly understood and agreed that the Employer is an Independent legal entity with power and authority to enter into contracts solely on its own behalf under the applicable laws of India and the general principles of Contract Law. The Contractor expressly agrees, acknowledges and understands that the Employer is not an Agent, Representative or Delegate of the Govt. of India. It is further understood and agreed that the Government of India is not and shall not be liable for any acts, omissions, commissions, breaches or other wrongs arising out of the Contract. Accordingly, the Contractor expressly waives, releases and foregoes any and all actions or claims, including cross claims, impleader claims or counter claims against the Government of India arising out of this Contract and covenants not to sue the Government of India as to any manner, claim, cause of action or thing whatsoever arising of or under this Agreement.

Article 5. Appendices

The Appendices listed in the attached list of Appendices shall be deemed to form an integral part of this Contract Agreement.

Reference in the Contract to any Appendix shall mean the Appendices attached hereto, and the Contract shall be read and construed accordingly.

IN WITNESS WHEREOF the Employer and the Contractor have caused this Agreement to be duly executed by their duly authorized representatives the day and year first above written.

Signed by for and on behalf of the Employer

[Signature] _____

[Title] _____

in the presence of _____

Signed by for and on behalf of the Contractor

[Signature]

[Title]

in the presence of _____

CONTRACT AGREEMENT

Dated the _____ day of _____, 20____

BETWEEN

[“the Employer”]

and

[“the Contractor”]

APPENDICES

Appendix1	Terms and Procedures of Payment
Appendix2	Price Adjustment
Appendix3	Insurance Requirements
Appendix4	Time Schedule
Appendix5	List of Approved Subcontractors
Appendix6	Scope of Works and Supply by the Employer
Appendix7	List of Documents for Approval or Review
Appendix8	Functional Guarantees

APPENDIX-1

In accordance with the provisions of GCC Clause 12 (Terms of Payment), the Employer shall pay the Contractor in the following manner and at the following times, based on the Price Break down given in the Section on Price Schedules. Application for payment in respect of part deliveries may be made by the Contractor as work proceeds.

TERMS OF PAYMENT

A. Schedule No.1: Plant and Equipment (excluding Mandatory Spares and Type Tests)

In respect of plant and equipment supplied the following payments shall be made:

For Ex-works Price component of plant and Equipment (excluding Mandatory Spares and Type Tests)

- (I) **Five Percent (5 %)** of the total Ex-Works price component as Initial Advance Payment on:
 - (a) Acceptance of Notification of Award and Signing of the Contract Agreement.
 - (b) Submission of an unconditional Bank Guarantee covering the advance amount plus GST as applicable on the advance payment to be paid to the contractor, which shall be initially kept valid upto (ninety) 90 days beyond the schedule date for Completion of the last facility covered under the Package. However, in case of delay in completion of the facilities covered under the package, the validity of this Bank Guarantee shall be extended by the period of such delay. Proforma of Bank Guarantee is enclosed in Section-VII - Bank Guarantee Form for Advance Payment.
 - (c) Submission of unconditional Bank Guarantees towards Contract Performance Securities in respect of all Contracts, initially valid upto ninety (90) days after the end of scheduled Defect Liability Period of the last equipment covered under the package. The proforma of Bank Guarantee is enclosed in Section-VII - Form of Performance Security.
 - (d) Submission of a detailed PERT Network based on the work schedule stipulated in Appendix-4 to the Form of Contract Agreement and its approval by the Employer.
- (II) **Seventy Five Percent (75%)** of Ex-works price component of the Contract price for each identified equipment on receipt of equipment at site on prorata basis and physical verification and certification by the Project Manager for the equipment received and stored at site.
- (III) **Ten Percent (10%)** of Ex-works price Component of the contract price on successful completion of said Solar Photo Voltaic system per location wise on certification by the Project Manager.

- (IV) **Ten Percent (10%)** of Ex-works price component of the Contract price on successful completion of Performance Guarantee tests of entire Solar Photo Voltaic Plant, as specified, and issuance of Operational Acceptance Certificate by the Project Manager.

Note: The prorata payment will be based on the detailed price break-up furnished by the Contractor and approved by the Employer.

- (i) In case Installation Price including Civil/Structural works price is less than **10%** of the Ex-works Price of Main Equipment, the amount by which it is lower shall be retained proportionately from the Ex-works component of Contract price while releasing payments due on receipt of equipment, and no interest shall be payable on the retained amount. The aforesaid retained amount shall be paid on pro-rata basis upon completion of installation of the respective equipment and its certification by the Project Manager.
- (ii) In case Operation and Maintenance is less than **13.5%** of the total EPC Amount, the amount by which it is lower shall be retained from payment under Schedule 1 and 10% of it shall be released every year upto 10 years.

~~B. Schedule 1 and 5: Mandatory Spares and Recommended Spares (When ordered) quoted on Ex-works (India) basis~~

C. Schedule No. 2: Local Transportation

a) All Plant and Equipment

Hundred Percent (100%) of Local Transportation charges (including inland transit insurance charges) for the plant and equipment covered in Schedule-1 shall be paid to the Contractor pro-rata to the value of the equipment received at site and on production of invoices by the Contractor. The aggregate of all such pro-rata payments shall, however, not exceed the total amount identified in the Contract for Local Transportation. However, where equipment wise local transportation charges (including inland transit insurance charges) have been identified in the Contract, the payment for the same shall be made after receipt of the equipment at site, based on the charges so identified in the Contract.

~~b) — Mandatory Spares (If applicable) and Recommended Spares (if ordered)~~

D. Schedule No. 3: Installation Services excluding operation and maintenance.

The Installation Services component of the Equipment Price shall be paid as under:

(I) **Ten Percent (10%)** of the Installation Services Component of the Contract Price will be paid to the Contractor, bearing interest at the rate of “[**SBI 1-year MCLR as on 01st April of applicable year + 150 bps**] per annum as advance payment on:

- (i) Acceptance of Notification of Award and Signing of Contract Agreement.
- (ii) Establishing their office at site or resources at site in preparatory to commencement of installation.
- (iii) Submission of an unconditional Bank Guarantee **for an amount equivalent to one hundred ten percent (110%) of the advance amount** plus GST as applicable on the advance payment to be paid to the contractor, which shall be initially kept valid upto ninety (90) days beyond the schedule date for successful 'Completion of the Facilities' under the Package. However, in case of delay in completion of the facilities covered under the package, the validity of this advance Bank Guarantee shall be extended by the period of such delay. The proforma of the Bank Guarantee is enclosed in Section-VII - Bank Guarantee Form for Advance Payment.
- (iv) Submission of unconditional Bank Guarantees towards Contract Performance Securities in respect of Contracts, initially valid upto ninety (90) days after the end of scheduled Defect Liability Period of the last equipment covered under the package. The proforma of Bank Guarantee is enclosed in Section-VII - Form of Performance Security.
- (v) In case of Joint Deed of Undertaking (if applicable) by the contractor along with his Collaborator/ Associate forms part of the contract then submission of an unconditional Bank Guarantee from such Collaborator(s)/Associate(s) towards faithful performance of the Joint Deed of Undertaking for an amount specified in the deed and valid upto ninety (90) days after the end of defect liability period of all equipment covered under the contract. The proforma of Bank Guarantee is enclosed in Section-VII- Form of Performance Security. -- If Applicable –
- (vi) Submission of a detailed PERT Network based on the work schedule stipulated

in Appendix - 4 to the Form of Contract Agreement and its approval by the Employer. T&P mobilization as identified along with PERT network for start of Erection and Certification thereof by the Engineer-in-Charge.

(vii) Advance Payment for Installation services/ works price components shall be released after certification of Engineer-in-Charge that the Contractor has brought to site the Safety equipments & Safety Personal Protective Equipments as per minimum quantity specified in the Bidding Documents.

In case the Contractor decides not to take advance payment, the first progressive payment for Installation services price component shall be released after certification of Engineer-In-Charge that the contractor has brought to site the Safety equipments & Safety Personal Protective Equipments as per minimum quantity specified in the Bidding Documents.

- II. **Eighty Percent (80%)** of the installation Services component of contract price shall be paid on pro-rata basis on completion of installation of equipments on certification by the Project Manager for the quantum of work completed and by the certification of Project Manager field quality assurance & surveillance representative for the successful completion of quality check points involved in the quantum of work billed.
- III. **Ten Percent (10%)** of total Installation price of the Contract shall be paid on successful completion of Performance Guarantee Tests of entire Solar Photo Voltaic Plant, as specified and issue of Operational Acceptance Certificate by the Project Manager and completion of facilities. as specified, and issuance of Completion Certificate by the Project Manager.
- IV. Recovery of the interest component on the advance amount shall be made from the progressive payments released to the Contractor as per D(II) above. The amount of interest to be recovered from a particular bill shall be calculated @ “[**SBI 1-year MCLR as on 01st April of applicable year + 150 bps**] per annum” on the value of advance corresponding to the %age of total progressive payment being released. The period for which the interest is to be calculated shall be reckoned from the date of release of the advance payment to the actual date of release of the said progressive payment or the expiry of the stipulated time frame for release of such progressive payment under the contract, whichever is earlier. The interest on the advance payment shall stand fully recovered on release of all the progressive payments. If the amount payable under any interim bill is not sufficient to cover all deductions to be made for interest on the advance payment and other sums

Deductible therefrom, the balance outstanding shall be recovered from the next payments immediately falling due.

Notes: 1. The bidder shall furnish a detailed break-up for the Erection Price Component of the package which shall be mutually discussed and finalized with the Owner. Progressive payment for Erection will be made against monthly bills based on certification by the Project Manager the work completed.

2. In case, the Contractor decides not to take interest bearing advance payment as on D (I), the advance payment shall be proportionately adjusted in the balance payments excluding final payments.
3. The release of first progressive payment for Installation Services shall also be subject to submission of documentary evidence by the Contractor towards having taken the insurance policy(ies) in terms of relevant provisions of GCC Clause 34 (Insurance) and acceptance of same by the Project Manager.

E. Schedule No. 3: Civil Works and Allied Works etc.

The Civil Works Price Component of the Contract Price shall be paid as under

- (I) **Ten Percent (10 %)** of the total Civil works Price component of the Contract Price will be paid to the Contractor, bearing interest at the rate of “[**SBI 1-year MCLR as on 01st April of applicable year + 150 bps**] per annum” as advance payment on:

- (i) Acceptance of Notification of Award and Signing of Contract Agreement.
- (ii) Submission of an unconditional Bank Guarantee(s) towards Performance Security(s) in respect of all Contracts initially valid upto (ninety) 90 days after the end of Defects Liability Period of the last equipment covered under the contracts.

The proforma of Bank Guarantee is enclosed in Section-VII, Form of Performance Security.

- (iii) In case of Joint Deed of Undertaking by the contractor alongwith his Collaborator/ Associate forms part of the contract then submission of an unconditional Bank Guarantee from such Collaborators/Associates towards faithful performance of the Joint Deed of Undertaking for an amount specified in the deed and valid upto ninety (90) days after the end of defect liability period of all equipment covered under the contract. The proforma of Bank Guarantee is enclosed in Section-VII- Form of Performance Security. – If Applicable –
- (iv) Submission of a detailed PERT Network based on the work schedule stipulated in Appendix-4 to Form of the Contract Agreement and its approval by the Employer.
- (v) T&P mobilisation as identified along with PERT network for start of Civil Works and Certification thereof by the Engineer-in-Charge.
- (vi) Submission of an unconditional Bank guarantee for an **for an amount equivalent to one hundred ten percent (110%) of the advance amount** plus GST as applicable on the advance payment to be paid to the contractor, which shall be initially kept valid upto ninety (90) days beyond the schedule date for successful 'Completion of all Facilities under the Package. However, in case of delay in completion of the facilities covered under the package, the validity of this advance Bank Guarantee shall be extended by the period of such delay. The proforma of the Bank Guarantee is enclosed in Section-VII - Bank Guarantee Form for Advance Payment.

Advance Payment for Civil works price components shall be released after certification of Engineer-in-Charge that the Contractor has brought to site the

Safety equipments & Safety Personal Protective Equipments as per minimum quantity specified in the Bidding Documents.

In case the Contractor decides not to take advance payment, the first progressive payment for Installation services price component shall be released after certification of Engineer-in-Charge that the Contractor has brought to site the Safety equipments & Safety Personal Protective Equipments as per minimum quantity specified in the Bidding Documents.

- (II) **Eighty Percent (80 %)** of the total Civil Works Price Component of Contract Price shall be paid progressively on certification by the Project Manager for the quantum of work completed/Milestones achieved and by Project Manager's field quality surveillance representative for the successful completion of quality check points involved in the quantum of work / Milestones billed.

The release of first progressive payment for Civil Works shall also be subject to submission of documentary evidence by the Contractor towards having taken the insurance policy(ies) in terms of relevant provisions of GCC Clause 34 (Insurance) and acceptance of same by the Project Manager.

- (III) **Ten Percent (10 %)** of Civil Works Component of contract price on successful completion Performance Guarantee Tests of entire Solar Photo Voltaic Plant, as specified and issue of Operational Acceptance Certificate by the Project Manager and upon Completion of the Facilities, as specified, and issuance of Completion Certificate by the Project Manager

- (IV) Recovery of the interest component on the advance amount shall be made from the progressive payments released to the Contractor as per E (II) above. The amount of interest to be recovered from a particular bill shall be calculated "[SBI 1-year MCLR as on 01st April of applicable year + 150 bps] per annum" on the value of advance corresponding to the %age of total progressive payment being released. The period for which the interest is to be calculated shall be reckoned from the date of release of the advance payment to the actual date of release of the said progressive payment or the expiry of the stipulated time frame for release of such progressive payment under the contract, whichever is earlier. The interest on the advance payment shall stand fully recovered on release of all the progressive payments. If the amount payable under any interim bill is not sufficient to cover all deductions to be made for interest on the advance payment and other sums deductible there from, the balance outstanding shall be recovered from the next payments immediately falling due.

Note: Further break-up of the above percentages for making payments for the billing period shall be furnished by contractor and will be discussed and agreed to by the Employer in the Billing Break-up.

F. Operation and Maintenance Charges

After completion of trial run as defined in bid documents, operation and maintenance charges including all consumable, spares and equipment included in operation and maintenance charges shall be paid on pro-rata basis every three months on the certification of completion of work by Project Manager.

In case Operation and Maintenance is less than 13.5% of the Total EPC amount, the amount by which it is lower shall be retained from payment under Schedule 1 and 10% of it shall be released every year upto 10 years

**G. Payment terms for Price Adjustment Amount
NOT APPLICABLE**

H. Schedule - 4: Payment Terms for Taxes & Duties

100% of applicable Taxes and Duties which are payable by the Employer under the Contract shall be paid/reimbursed to the Contractor upon receipt of equipment/spare/services and on production of satisfactory documentary evidence by the Contractor. However, GST as applicable in Advance payment shall be paid to the Contractor along with the Advance sanctioned. The GST paid along with the advance shall be adjusted prorata against the tax due upon supply of goods/services, based on the value of the respective goods/services.

I. Additional Advance Payment:

An Additional Advance upto 5% of the Ex works/Installation Services (including Civil Works) price component, apart from the Advance already provided for in the specified Terms of Payment, may be paid to the Contractor which shall be interest bearing and against Bank Guarantee of 110% of the advance requested and covering the interest charges. The advance amount shall be provided during various phases of Contract execution to meet the requirement of payment by the Contractor to the contractor's sub-vendors/sub-contractors but in aggregate shall not exceed 5% of the Ex-works/Installation Service (including Civil Works) Price Component. The advance amount shall be released in Indian Rupees only and Tax implication, if any, shall be to the account of the Contractor.

While applying for advance under this provision, the contractor shall provide a statement of past payment utilization evidencing the need for cash flow support and also plan for

utilization of the amount requested as per above. The advance shall be provided in exceptional circumstances to enable work to be carried out. The advance amount shall be transferred to an ESCROW account (to be opened by the Contractor in any Scheduled Bank of India under intimation to the Employer) and after availing the advance, the contractor will be required to submit proof of utilization, as per the recovery plan submitted to NVVN.

The advance amount shall be interest bearing and rate of interest to be notified by NVVN from time to time. This advance shall not be lumpsum advance, but shall be provided in phase to tide over the financial constraints being faced by the contractor, along with the progress of work. The requirement of this advance shall be with the approval of the NVVN Head of Project.

The recovery of the interest component on the advance amount shall be made from subsequent progressive payment to be released to the contractor.

The period for which the interest to be calculated, shall be reckoned from the date of release of the Additional Advance(s) to the actual date of release of subsequent progressive payments as per the Plan to be agreed with the Contractor.

The advance amount shall be recovered from the milestone payment to be agreed between Employer and the Contractor.

J. Schedule - 3: Amount linked to Safety Aspects / Compliance to Safety Rules

1. The amount linked to Safety Aspects/ compliance to Safety Rules shall be paid in two parts, viz,
 - A) 10% amount (calculated as 0.1 Y of the service portion amount of RA bill) shall be linked to Fatal/Major Accidents, and
 - B) 90% amount (calculated as 0.9 Y of the service portion amount of RA bill) shall be linked to various Safety Aspects specified in Safety Rules of NTPC.

NOTE:

(Amount linked to Safety Aspects/ Compliance to Safety Rules specified in Price Schedule)

$$Y = \frac{\text{(Total amount for Service Portion of the Contract, i.e. Civil + Installation/ Erection + Structural Works)}}{\text{(Amount linked to Safety Aspects/ Compliance to Safety Rules specified in Price Schedule)}} \times 100$$

2. While raising each RA Bill, Contractor shall claim Amount linked to Safety Aspects/ Compliance to Safety Rules in such a manner that amount claimed is equal to Y% of the service portion (Civil + Installation/ Erection + Structural Works) of RA Bill.
3. The amount as elaborated at para K.1. shall be withheld from first and second monthly RA bill of the respective quarter/three month period and shall be released in part or full based on safety compliance duly certified by Project Manager and Safety-in-charge on quarterly basis. The amount for the entire quarter (i.e. RA bills raised during a 3 month period) shall be paid to the Contractors at the end of that three

months period along with 3rd/last RA Bill for the quarter/three months period upon complying the following conditions:

a) Amount of RA Bill linked to Fatal/ Major Accidents (0.1Y as elaborated above at para K.1.A)

Aforesaid amount (on quarterly basis) shall be payable to Contractor only in case, there is

- i) No fatal injury or accident causing death in that three months period and
- ii) No Major injury or accident causing 25% or more permanent disablement to workmen or employees in that three months period. Permanent disablement shall have the same meaning as indicated in The Workmen's Compensation Act' 1923.

In case of any fatal injury or accident as elaborated above occurs during that three month period, the stipulated amount (0.1Y) subject to minimum of Rs 10 Lakh per fatality shall be forfeited and shall not be payable to the Contractor under the contract. In case, the amount to be deducted/forfeited exceeds the amount linked to Fatal/ Major Accidents, the same shall be recovered from remaining Amount (0.9Y) linked to Compliance of Safety Rules and/or any other payments immediately due to the Contractor under the Contract.

In case of any Major injury or accident causing 25% or more permanent disablement to workmen or employees occurs during that three month period, Rs 4 lakh per Major injury shall be deducted from the amount (0.1Y) linked to Fatal/ Major Accidents and shall not be payable to the Contractor under the contract. In case, the amount to be deducted/forfeited exceeds the amount linked to Fatal/ Major Accidents, the same shall be recovered from remaining Amount (0.9Y) linked to Compliance of Safety Rules and/or any other payments immediately due to the Contractor under the Contract.

Further, in case, Contractor doesn' t raise RA Bills in any three month period/quarter and if any fatal injury and/or major accident takes place in that period, Project Manager shall deduct the amount [Rs 10 Lakh per fatality and Rs 4 lakh per Major injury] pertaining to this particular quarter from his next RA bill/due payment. In case, the amount to be deducted/forfeited exceeds the amount linked to Safety, the same shall be recovered from any other payments immediately due to the Contractor under the Contract.

The amount deducted/forfeited as mentioned above shall be in addition to the compensation payable to the workmen / employees under the relevant provisions of the Workmen's Compensation Act' 1923 and rules framed there under or any other applicable laws as applicable from time to time.

b) **Amount of RA Bill linked to Compliance of Safety Rules (0.9Y i.e. 90% of amount as elaborated above at para K.1.B)**

Aforesaid amount (on quarterly basis) shall be payable to Contractor in five equal parts under five heads as under:

- (i) Amount payable on deployment of required Safety Personnel

One fifth of the amount specified at K.3.b (calculated as 0.18Y of Service portion amount of RA Bill), on quarterly basis, shall be paid upon certification by Project Manager in consultation with Safety dept. that required number of Safety personnel as per Clause

2.3 of 'NTPC Safety Rules for Construction and Erection of Power Plants' [as enclosed with GCC/SCC or as displayed in NTPC website whose link is given in the GCC] have been deployed. The aforesaid amount linked to deployment of requisite safety personnel shall be paid as under:

a) 50% of the amount referred at K.3.b.(i), for deployment of Safety Supervisors shall be paid on pro-rata basis depending upon the actual no. of Safety Supervisors deployed vis-à-vis actual requirement :

Amount to be paid = $0.09Y \times \text{Service portion of RA bill amount} \times (a/b)$ Where,
'a' is actual no. of Safety supervisors deployed and
'b' is required no. of Safety supervisors as per Safety Rules.

In case, actual no. of Safety supervisors deployed is more than requisite number (i.e. a/b is more than 1), the amount to be paid shall be restricted to 0.09Y.

b) 50% of the amount referred at K.3.b.(i), for deployment of Safety Officers shall be paid on pro-rata basis depending upon the actual no. of Safety Officers deployed vis-à-vis actual requirement :

Amount to be paid = $0.09Y \times \text{Service portion of RA bill amount} \times (a/b)$ Where,
'a' is actual no. of Safety Officers deployed and
'b' is required no. of Safety Officers as per Safety Rules.

In case, actual no. of Safety Officers deployed is more than requisite number (i.e. a/b is more than 1), the amount to be paid shall be restricted to 0.09Y.

c) In case aforesaid requisite no. of Safety personnel are not deployed by Contractor, amount not to be paid as calculated above for that particular quarter/threemonth period shall be forfeited and shall not be payable to the Contractor under the contract.

(ii) Amount payable on providing requisite Personal Protective Equipment & Safety Equipment

One fifth of the amount specified at K.3.b (calculated as 0.18Y of Service portion amount of RA Bill), on quarterly basis, shall be paid upon certification by Project Manager in consultation with Safety dept. that Contractor has adhered to the requirements of Clause 4 (Personal Protective Equipment) of 'NTPC Safety Rules for Construction and Erection of Power Plants' and the provisions of the Bidding Documents with regards to number of Safety Equipment/PPEs to be provided by the Contractor.

In case of non-compliance by Contractor, warning letter/Non-compliance shall be issued by Project Manager /Safety Officer of NTPC as per clause 22.3.3 (ii) of GCC. Further, if more than two such warning letters/Non Compliance Memos are issued in a quarter/three monthly period, above mentioned amount for that particular quarter/three month period shall be forfeited and shall not be payable to the Contractor under the contract.

(iii) Amount payable on providing requisite Safety Induction and Training

One fifth of the amount specified at K.3.b (calculated as 0.18Y of Service portion amount of RA Bill), on quarterly basis, shall be paid upon certification by Project Manager in consultation with Safety dept. that Contractor has adhered to the requirements of imparting Safety training as per Clause 8.0 (Safety Induction and Training) of NTPC Safety Rules for Construction and Erection of Power Plants to at least 90% of its employees/workmen (who have not been previously provided with requisite training) in a quarter/ three months period.

In case Contractor fails in meeting the aforesaid requirement, above mentioned amount for that particular quarter/three month period shall be forfeited and shall not be payable to the Contractor under the contract.

(iv) Amount payable on providing requisite Medical and First Aid Amenities

One fifth of the amount specified at K.3.b (calculated as 0.18Y of Service portion amount of RA Bill), on quarterly basis, shall be paid upon certification

by Project Manager in consultation with Safety dept. that Contractor has adhered to the requirements of Clause 13 (Medical and First Aid Amenities) of NTPC Safety Rules for Construction and Erection of Power Plants'.

In case Contractor fails to provide Medical and first aid amenities as per requirement of aforesaid Clause 13 even on one incidence in any quarter/three month period, above mentioned amount for that particular quarter/three month period shall be forfeited and shall not be payable to the Contractor under the contract.

(v) Amount payable on compliance to Work Permit System

One fifth of the amount specified at K.3.b (calculated as 0.18Y of Service portion amount of RA Bill), on quarterly basis, shall be paid upon certification by Project Manager in consultation with Safety dept. that Contractor has adhered to the requirements of Clause 17 (Work Permit System) of NTPC Safety Rules for Construction and Erection of Power Plants'.

In case of non-compliance by Contractor, warning letters/Non Compliance Memos shall be issued by Project Manager /Safety Officer of NTPC as per clause 22.3.3 (v) of GCC.

In case of issuance of more than two such warning letters/Non Compliance Memos in a quarter/three monthly period, above mentioned amount for that particular quarter/three month period shall be forfeited and shall not be payable to the Contractor under the contract

4. In case 'Amount linked to Safety Aspects / compliance to Safety Rules' is less than **5 %** of the cumulative total of Service Portion of the Contract, i.e. Civil + Installation/ Erection + Structural Works, the amount by which it is lower shall be retained proportionately from the other components of Schedule-3 of the Contract price while releasing payments of each RA bill. No interest shall be payable on the amounts linked to Safety Aspects / Compliance to Safety Rules including aforesaid retained amount. The amounts linked to Safety Aspects / Compliance to Safety Rules including aforesaid retained amount shall be payable in part or full based on safety compliance duly certified by Project Manager and Safety-in-charge on quarterly basis.

PAYMENT PROCEDURES

The Procedures to be followed in making application for, certifying and making payments shall be as follows:

1. **Payment Schedule/Price Break-up for Payments**

- 1.1 The Contractor shall prepare and submit to the Project Manager for approval, a break-up of the Contract Price. The Contract Price break-up shall be interlinked with the agreed detailed PERT network of the Contractor setting forth his starting and completion dates for the various key phases of works prepared as per condition in Clause 18 of GCC, Section- IV. Any payment under the Contract shall be made only after the Contractor's price break-up is approved by the Project Manager. The aggregate sum of the Contractor's price break-up shall be equal to the lump sum Contract Price.
- 1.2 ~~The Billing Breakup for Mandatory Spares shall be submitted to the Employer for approval as per the format enclosed as **Annexure-1 to Appendix-1** indicating therein the details such as Make, Model number, Drawing/ Datasheet number and Part number of all spare items. The relevant drawings/ documents shall be submitted along with the Billing Break up.~~
- 1.3 The Contractor shall, by the 15th April of every year, furnish the BBU value of supplies sourced from Micro and Small Enterprises (MSEs) along with the total BBU value of supplies dispatched by it during the preceding financial year as per the format enclosed as **Annexure-2 to this Appendix-1**.

2. **Currency of Payment**

- 2.1 The Contract Price shall be paid in Indian currency only.

3. **Application for Payment**

- 3.1 The Contractor shall submit application for the payment in the proforma enclosed.
- 3.2 Every interim payment certificate shall certify the Contract Value of the Facilities executed up to the date mentioned in the application for the payment certificate, provided that no sum shall be included in any interim payment certificate in respect of the Facilities that according to the decision of the Project Manager, does not comply with the Contract, or has been performed, at the date of certificate prematurely.
- 3.3* The Employer has established a Vendor Payment portal where Vendor/Contractor shall submit their digital bill/invoice and may track its status. The Application for Payment along with all the supporting documents (for direct payment cases as mentioned in Para 5.2 below) shall be submitted by the Vendor/Contractor in digital form i.e. digitally signed with Class-2/3 digital Signature and must be uploaded in the Employer's Vendor Payment Portal. No hard/physical copy of such documents will be accepted by the

Employer unless otherwise asked for in the PO or instructed by Employer.

- 3.4 FAQ (Frequently asked questions with answers) regarding Invoice submission in digital mode is available at https://pradip.ntpc.co.in/VendorFinal/FAQ_VPP.pdf .

4. **Due Dates for Payment**

- 4.1 The advance payment amount shall be payable after fulfillment of all the conditions laid down in the Terms of Payment (Appendix 1 to the Contract Agreement) and receipt of the Contractor's invoice along with all necessary supporting documents for such advance payment. Employer will make progressive payment as and when the payment is due as per the Terms of Payment set forth in Appendix 1 to the Contract Agreement. Progressive payment other than that under the letter of credit will become due and payable by the Project Manager within thirty (30) days from the date of receipt of Contractor's bill/invoice/debit note by the Employer, provided the documents submitted are complete in all respects.
- 4.2 In cases of any discrepancy observed by the Employer in Contractor's bill, clarifications shall be sought in writing by the Employer within ten (10) days from the date of receipt of Contractor's bill/invoice/debit note by the Employer. The Contractor shall be required to submit the requisite clarifications within ten (10) days from the date of issuance of queries by the Employer. In case, no mutual agreement is reached between the Employer and the Contractor on any part of the bill/invoice, within ten (10) days of submission of clarification by the Contractor, the Contractor shall issue a revised bill/invoice to avoid mismatch in GST returns of the Employer and the Contractor. Payment against agreed and admissible part shall be processed as per payment procedure within ten (10) days after receipt of the revised bill/invoice. The bill/invoice for the balance amount under question may be separately submitted for consideration of the Employer after resolution of issues of payment by the Employer. In case of non-submission of satisfactory clarification by the Contractor within the stipulated period, NTPC shall not be liable for the delay in making payment. If the bill submitted by Contractor is incorrect and has gross discrepancies, the bill shall be summarily rejected and returned to the Contractor. In the event of dispute, the same shall be settled as per GCC Clause (Settlement of Disputes).
- 4.3 Vendor/Contractor can track the status of their digitally submitted Bill/Invoice in the NTPC Vendor Payment Portal.

5. **Mode of Payment**

- 5.1 The Employer will establish an irrevocable Letter of Credit (L/C) in favor of the Contractor through the Employer's Bank in Employer's country for payments due, as per Terms of Payment, on dispatch of equipment i.e. Ex-works dispatch of equipment. The value of L/C will be as per payment schedule for each quarter and valid for a quarter. It will be the responsibility of the Contractor to utilize the L/C to the fullest extent. In case L/C has been established by the Employer and not utilized by the

Contractor, for reasons of delay attributable to him, all reinstatement charges for the L/C for further period necessitated due to non-utilization of L/C will be to the account of the Contractor.

- 5.2 The payment of the advance amount, Type Test Charges if any, price adjustment amounts, all other supply payments, taxes and duties (wherever admissible) inland transportation insurance, Installation Portion of the Facilities and Civil, structural and allied works (if any) shall be made direct to the Contractor by the Employer and no L/C shall be established by the Employer for such payments. Wherever technically feasible, such payments shall be made electronically only as per details of Bank Account indicated in the contract. In case of any changes to the bank account indicated in the contract, the contractor shall immediately inform the employer. The Contractor shall hold the employer harmless and employer shall not be liable for any direct, indirect or consequential loss or damage sustained by the bidder on account of any error in the information or change in Bank details provided to the employer in the prescribed form without information to employer duly acknowledged.

5.3 **Alternate Mode of Payment for Micro Small & Medium Enterprises (MSMEs):**

Trade Receivables e-Discounting System (TReDS) is a regulatory framework put in place by the Reserve Bank of India under the Payment and Settlement Systems Act 2007 (PSS Act) to facilitate the financing of trade receivables (invoices) of MSMEs through invoice financing by multiple financiers.

The Reserve Bank of India has granted approval to (i) Mynd Solutions Pvt Limited, (ii) A.TReDS Ltd. and (iii) Receivables Exchange of India Ltd to set up and operate TReDS platform. The name of respective TReDS platform of the above-mentioned entities are M1xchange, Invoicemart and RXIL.

The aforesaid provision at 5.3 above shall not be applicable for payments envisaged through “Letter of Credit (LC)” or “Escrow Account” or “A separate account with a Scheduled Bank at Site”

6. **For payments related to Erection / Civil / Site Fabricated Structural works**

A single designated ESCROW account shall be opened by the Contractor in any Scheduled Bank of India under intimation to Employer. All payments related to Erection / Civil / Site Fabricated Structural works by the Employer due under the contract to the Contractor shall be released into above-mentioned ESCROW account set up as per the Tri-Partite Escrow Agreement between Employer, Contractor and Escrow Bank. The payment shall be disbursed in accordance with the mechanism set out in the Contract and Escrow Agreement. The purpose of the Escrow Account would be to ensure that payments received under the contract are solely used for implementation of the Contract. Under Tri-partite Escrow Agreement, the Escrow Bank will agree to ensure that amounts received in the ESCROW Account are utilized for making payments only to suppliers of goods and services, statutory authorities, establishment expenses etc. as may be required in the performance of the contract.

All expenses/charges for opening /operation (including Annual Fee) of the Escrow Account shall be paid by the Contractor.

The draft agreement is annexed as Annexure-3 to this Appendix-1, which shall be followed for executing Escrow Account Agreement.

The Detailed Operative Procedure and Terms and Conditions of Escrow Account (Schedule III of draft agreement) shall be finalized between the Employer, Contractor and the Escrow Bank within 15 days of the placement of award.

FORM OF APPLICATION FOR PAYMENTS

Project :
Equipment Package : Date :
Name of Contractor : Contract No. :
Contract Value : Contract Name :
Unit Reference : Applicable Serial
Number :
To.

.....*

(Name of Employer)

Dear Sir

APPLICATION FOR PAYMENT

1. Pursuant to the above referred Contract Agreement dated..... the undersigned hereby applies for payment of the sum of (Specify amount and currency in which claim is made).
2. The above amount is on account of : (check whichever applicable)

Advance payment (Schedule **)

Interim payment as advance (Schedule **)

Progressive payment against dispatch of equipment (Schedule **)

Progressive payment against receipt of equipment (Schedule **)

Progressive payment against Installation (Schedule **)

Inland transportation (Schedule **)
Inland insurance

Price adjustment

Extra work not specified in contract
(Ref. Contract change order No.....)

Others (specify)

Final payment (Schedule **)

as detailed in the attached schedule(s) which form an integral part of this application.
3. The payment claimed is as per item(s) No.(s)..... of the payment schedule annexed to the above-mentioned Contract.
4. The application consists of this page, a summary of claim statement (Schedule **), and the following signed schedules
 - 1
 - 2
 - 3

The following documents are also enclosed :

- 1
- 2
- 3

Signature of Contractor/
Authorised Signatory

* Application for payment will be made to 'Project Manager' as to be designated for this purpose at the time of Notification of Award.

** Proforma for the Schedules will be mutually discussed and agreed to during the finalization of the Contract Agreement.

Annexure-1 to Appendix-1

PROJECT :		CLIENT :	
			
PACKAGE:			
TITLE :	BILLING BREAK UP FOR MANDATORY SPARES	CONTRACTOR :	
			
NOA REF :	CS.....		
BILLING			
BREAKUP			
(BBU) NO. :			

Sl.No.	Description	Quantity	Unit	Unit Price	Total Price	Equipment Make	Equipment Model	Drawing / Documents/Data Sht no.	Part No.	Remarks

Annexure-2 to APPENDIX-1

Proforma for details to be furnished by the Contractor by 15th April of every financial year of supplies sourced from MSEs dispatched during the preceding financial year.

Package Name:
Project Name:
Name of the Contractor:
COA No.:

Details of BBU value of supplies dispatched during the preceding financial year are furnished here below:

BBU value of total supplies dispatched (A) (in eqvt INR)	Out of the total supplies dispatched, BBU value of supplies sourced from MSEs (B) (in eqvt INR)	Percentage of supplies sourced from MSEs wrt total supplies dispatched (C = B*100/A) (%)

.....

In case of no supplies sourced from MSEs, mention 'NIL'.

I, on behalf of M/s (*Contractor*) hereby declare that the information furnished above is correct.

Signature.....
Name.....

Designation and Seal.....

APPENDIX - 2
PAGE 1 OF 12

PRICE ADJUSTMENT

NOT APPICABLE

INSURANCE REQUIREMENTS

(1) Insurance to be taken by the Contractor:

In accordance with the provisions of GCC Clause 34, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintain in effect, during the performance of the Contract, the insurances set forth below in sums and with the deductibles and other conditions specified. The identity of the insurers and the form of the policies shall be subject to the approval of the Employer, such approval not to be unreasonably withheld.

Insurance	Amount insured	Deductible	Conditions
A. Marine Cargo	(i) 110% of CIF Value in case of Plant and Equipments including all spare parts and Type Tests Charges to be supplied from abroad and/or 110% of Ex-Works Value in case of Plant & Equipments and Type Tests Charges including all spare parts manufactured within the Employer's Country. (ii) Applicable Taxes and Duties (iii) Escalation 5% on (i) & (ii)	Not applicable	(i) Employer to be named as coinsured (ii) Open Policy (iii) All Risk Institute Cargo Clause 'A' (iv) War, SRCC, Terrorism. (v) Institute Replacement Clause Special Replacement Clause (Air Duty) and Deferred Unpacking Clause (vi) Insurers right of subrogation against all parties (excluding carrier) waived. (vii) Warehouse to Warehouse basis.
B. Installation all Risk	(i) 110% of CIF Value plus 110% of Ex-Works Value of Plant & Equipments (ii) Applicable Taxes and Duties (iii) Cost of indigenous Procurement and Free Issue Materials (iv) 10% Escalation on (i) (ii) & (iii) (v) Cost of Erection Works	Minimum as per Insurance Policy	(i) Installation Risk, RSMTD, (ii) Air Freight Cover. (iii) Extra Charge Cover. (iv) Maintenance Cover (v) Contractor's Plant & Machinery Rs.100 Lakhs (vi) Cross Liability. (vii) Additional Customs Duty for Imported Machine (if any) for adequate value. (viii) Employer & Contractor's Sub-Contractor to be named as co-insured.
C. Third Party Liability (Extension of MCE/EAR Policy)	For any one occurrence Rs. 50.00 Lakhs	Nil	(i) Contractors, sub-contractors to be named as co-insured.

Insurance	Amount insured	Deductible	Conditions
D. Automobile Liability			
(i) M.V. Policy for Motor Vehicles, Private Cars & Commercial Vehicles		-----	As per local M.V. Act.
(ii) CPM Policy for Heavy Construction Equipment	Anything above Rs.100 Lakhs covered under Erection all Risk Policy		
E. Workmen's Compensation	_____	As per statute _____	
F. Employer's Liability	_____	As per statute _____	Cumulative to workmen's compensation to cover liability not covered thereby.
G. Group Personal Insurance, for Contractor's & Sub-contractor's Employees			

Note :

1. The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GCC 34.1 except for Third Party Liability, Workman's Compensation and Employer's Liability Insurances and the Contractor's Sub-Contractors shall be named as co-insured under all insurances policies taken out by the Contractor pursuant to GCC 34.1, except for the Cargo Insurance during Transport, Workman's Compensation and Employer's Liability Insurances. All insurers rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.
2. Notwithstanding the insurance requirements mentioned above, it would be the Contractor's responsibility to take adequate insurance cover as may be pertinent to protect his interest and interest of the Employer. If at any point of time during execution of the Contract, the insurance policies are found to be inadequate, the Contractor shall take fresh insurance policies meeting aforesaid requirements. The Employer reserves the right to make suitable recovery from the Contractor, if any.
3. Any loss or damage to the plant and equipments during handling, transportation, storage, installation, commissioning, and all activities to be performed till the "Completion of Facilities" shall be to the account of the contractor. The contractor shall be responsible for preference of all claims and make good the damages or loss by way of repairs and/or replacement of plant and equipments damaged or lost. Notwithstanding the extent of insurances cover and the amount of claim available from the underwriter, the contractor

shall be liable to make good the full replacement/rectification of all the equipment/ materials and to ensure their availability as per project requirement without additional financial liability to the Employer.

The insurance should be in freely convertible currency and insurance policy to be taken should be on replacement value basis and/or incorporating appropriate insurance clause.

The Contractor shall follow local acts and laws as may be prevalent for insurance.

4. Upon grant of extension of time for completion by Employer, the contractor shall promptly furnish documentary evidence to project Manager towards extension of insurance policies for the period of extension.

II) Insurances to be taken by the Employer.

The Employer shall take the insurance for its own employees, its stores and its machinery.

TIME SCHEDULE

- 1.0 It is clearly understood and agreed that time is the essence of this Contract and shall be strictly adhered to by the Contractor. The program of furnishing, erecting, testing, commissioning and completion of facilities identifying the key phases in various areas of work like design, procurement, manufacture, field activities shall be as per detailed PERT Network/L2 Schedule enclosed as Annexure-I. As per this detailed PERT Network, the key milestones for completion of facilities are as under

S. No.	Activities/Milestones	Period from Issuance of LoA (Days)	
		Start	Finish
Site Enabling Works & Engineering			
1.	Site Mobilization, Site office opening & Preparatory works	0	30
2.	Basic Engineering and approval from NVVN - Solar plant Layout - MMS design - SLD - Data sheet - Modules, Inverters - Safety plan	20	40
3.	Detailed Engineering and Approval from NVVN	40	50
4.	Approvals for connectivity	0	60
Solar Plant Works –DC Side			
5.	Supply of Module Mounting Structures	60	80
6.	Foundations & Installation of Module Mounting Structures	80	110
7.	Supply of SPV Modules	70	100
8.	Installation of SPV Modules	90	120
9.	Supply of DC Cables	70	100
10.	Laying of DC Cables	100	120
Solar Plant Works –AC Side			
11.	Supply of inverters	80	110
12.	Installation of inverters	120	135
13.	Supply of AC cables	80	110
14.	Installation of AC cables	125	150
15.	Supply of transformer (If required) & other electrical equipments	90	120
16.	Installation of transformer (If required) & other electrical equipments	150	170
Miscellaneous works			
17.	Supply of miscellaneous items viz. Lightning arrestor,	120	150

	Earthing system, Remote monitoring system, Module washing system, etc.		
18	Erection of miscellaneous items viz. Lightning arrestor, Earthing system, Remote monitoring system, Module washing system, etc.	150	170
19	Testing of Equipment and Readiness of System	170	180
Commissioning and Completion of Facilities			
20	Metering & Commissioning of the system	180	210
21	PG test and Completion of Facilities	210	240

2.0 After the Notification of Award, the contractor shall plan the sequence of work of manufacture and erection to meet the above stated dates of successful completion of facilities and shall ensure all work, manufacture, shop testing, inspection and shipment of the equipment in accordance with the required construction/erection sequence.

3.0 Within one month of the Notification of Award, the Contractor shall submit to the Employer for his review and approval two copies (one reproducible and one print) of detailed PERT Network/L2 Schedule with master network activities further exploded based on the Master Network mutually agreed by the employer and contractor, showing the logic and duration of the activities covered in both the First and Second Contracts in the following areas:

Engineering, procurement, manufacturing and supply, detailed engineering, procurement (including brought out items), manufacturing, dispatch, shipment, receipt at site and activities related to civil works, erection, commissioning and completion of facilities.

4.0 Detailed Manufacturing Program

Detailed Manufacturing PERT Network for all the manufacturing activities and Contractor/sub-Contractors works shall also be furnished within 60 days of Notification of award. The manufacturing network shall be supported by detailed procurement program for critical bought out item/raw materials.

5.0 Pre-Erection Activity Program

The erection network will be supported by detailed Pre-erection activity program covering the following:

- A) Manpower Deployment
- B) T&P Mobilization
- C) Detailed Site Mobilization Plant

Any Tools & Plants (T&P) required for satisfactory execution of the Contract and to meet the time schedule specified in this Appendix-4 shall be mobilised by the Contractor as per direction of the Project Manager without any extra cost to the Employer. (The list of such essential Tools & Plants (T&P) to be deployed by the Contractor, if any finalised before Notification of Award, shall be enclosed as Appendix-II to this Appendix-4).

6.0 Within one week of approval of the network schedule, the Contractor shall forward to the Project Manager, copies of the Computer Initial run-Data. The type of outputs and number of

copies of each type to be supplied by the Contractor shall be determined by the Project Manager.

- 7.0 All the networks shall be updated every month or at a frequency mutually agreed upon. Within seven days following the Monthly Review, a progress meeting shall be held, whenever possible at the works, wherein the major items of the plant or equipment are being produced. The meeting will be attended by the Project Manager and responsible representative of Contractor that the Project Manager consider necessary for the meeting.
- 8.0 Access to the Contractor's and Sub-Contractor's work shall be granted to the Project Manager at all reasonable times for the purpose of ascertaining the progress.

ANNEXURE - I TO APPENDIX - 4

MASTER NETWORK

*[The Master Network as per para 1.0 of Appendix-4 shall
be enclosed here as Annexure – I to appendix-4]*

LIST OF TOOLS & PLANT TO BE DEPLOYED BY THE CONTRACTOR

Sl. No.	Description of T&P	Qty. to be deployed (nos.)

LIST OF SAFETY PLANT AND EQUIPMENT AND PPE TO BE DEPLOYED BY THE CONTRACTOR

Sl. No.	Suggested List of Safety Equipment and Safety Personal Protective Equipment	Minimum Quantity*
1.	Safety Net (Conforming IS 11057:1984) Safety Net (Net Size: 10m x 5m, Mesh Size: 25 mm, Mesh Rope: 2mm double cord, Border/Tie Cord: 12mm diameter polypropylene rope (tested as per IS: 5175).Two metres length shall be provided at all four corners.	
2.	Fall Arrestor 'Rope grab fall arrestor' & anchorage line. Anchorage Line: 14mm- 16 mm diameter, three strand twisted Polyamide rope. Rope Grab fall arrestor: Openable & Guided type Fall Arrestor (on flexible line) conforming EN 353-2 & works on 14-16 mm diameter polyamide rope. material: Nickel Chrome plated Steel Connector: Karbiner conforming to EN 362 (Minimum Strength 22 KN), material: Steel	
3.	Horizontal life line Stainless Steel Wire rope of 8mm diameter. Minimum six nos. of steel U-bolt clips are required for clamping each wire rope to a rigid support (03 nos. of U-bolt clips at each end).	
4.	Ladders on column The minimum design live load on metallic ladder shall be a single concentrated load of 100 kilo grams. All rungs shall have a minimum diameter of 1.90 centimeters, and minimum clear length of rungs shall be 40.6 centimeters. The distance between rungs shall not exceed 30.5 centimeters. Each ladder	

Sl. No.	Suggested List of Safety Equipment and Safety Personal Protective Equipment	Minimum Quantity*
	shall have maximum height of 9.0 metre. The ladder shall have proper fastenings for attaching it to a column using positive means such as bolt, weld or other type of fasteners.	
5.	Safety PPEs (Industrial Safety helmet & Industrial Safety Shoes) Industrial Safety Helmet (IS:2925-1984 marked). Industrial Safety/Electrical Shoes (IS:15298-2002 marked). Full body Safety Harness (conforming IS:3521)	

LIST OF SUB-CONTRACTORS

PART 1. Nominated Sub-Contractors

In the event that the employer wishes to nominate any particular Sub-Contractors for the undertaking of any part or parts of the Works, these shall be identified and named by the Employer in the following schedule prior to the issue of the Bidding Documents.

Full details shall be given of the part of the Works to be executed, and the names and addresses of the Sub-Contractors to whom the part of the Works is to be sub-contracted by the Bidder. Where more than one name is given for any part of the Work, the Bidder shall be free to select any of the named Sub-Contractors for that part.

Item of Work	Nominated Sub-Contractor

NIL

PART2 Approved Sub-Contractors

(Prior to award of Contract the following details shall be completed indicating those Sub-contractors proposed by the Bidder by Attachment to his Bid which are approved by the Employer for engagement by the Contractor during the performance of the Works).

The following Sub-Contractors are approved for carrying out the item of work indicated. Where more than one Sub-contractor is listed, the Contractor is free to choose between them but he must notify the Employer of his choice in good time prior to appointing any selected Sub-Contractor. In accordance with Clause GCC 19.1, the Contractor is free to submit proposals for additional Sub-Contractors from time to time. No Sub-Contracts shall be placed with any such additional Sub-Contractors until they have been approved in writing by the Employer and their names added to this list of Approved Sub-Contractors.

Sl. No.	Item of Work	Approved Sub-Contractors Nationality

SCOPE OF WORKS AND SUPPLY BY THE EMPLOYER

The facilities, works, supplies and personnel to be provided/supplied by the Employer shall be as per Technical Specifications and the provisions of GCC Clauses 10, 21 and 24 shall apply as appropriate.

All facilities, works, supplies and personnel to be provided by the Employer shall be as described in Technical Specifications and the same will be provided by the Employer in good time so as not to delay the performance of the Contractor, in accordance with the approved Time Schedule and Program of Performance pursuant to GCC Sub-Clause 18.2.

LIST OF DOCUMENTS FOR REVIEW AND APPROVAL
(To be finalized during post bid discussion stage)

A. Approval

- 1.
- 2.
- 3.

B. Review

- 1.
- 2.
- 3.

FUNCTIONAL GUARANTEES

The functional guarantees shall be as per Section-VI (Technical Specifications).

6. PERFORMANCE SECURITY FORM

6. Performance Security Form

(To be stamped in accordance with Stamp Act
if any, of the Country of the Issuing Bank)

To,
[Employer's Name & Address]

Bank Guarantee No.....
Date.....

Dear Sirs,

In consideration of the [Employer's Name] (Hereinafter referred to as the „Employer“ which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators and assigns) having awarded to M/s..... [Contractor's Name] with its Registered /Head Office at (hereinafter referred to as the „Contractor“, which expression shall unless repugnant to the context or meaning thereof, include its successors administrators, executors and assigns), a Contract by issue of Employer's Notification of Award No. dated and the same having been Unequivocally accepted by the contractor, resulting into a Contract bearing No. dated valued at for and the Contractor having agreed to provide a Contract Performance Guarantee for the faithful performance of the entire Contract equivalent to(*)% (percent) of the said value of the Contract to the Employer.

We[Name & Address of the Bank] having its Head Office at(hereinafter referred to as the „Bank“, which expressions shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay the Employer, on demand any and all monies payable by the Contractor to the extent of(*)..... as aforesaid at any time upto(@)..... [days/month/year] without any demur, reservation, contest, recourse or protest and/or without any reference to the Contractor. Any such demand made by the Employer on the Bank shall be conclusive and binding notwithstanding any difference between the Employer and the Contractor or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. The Bank undertakes not to revoke this guarantee during its currency without previous consent of the Employer and further agrees that the guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

The Employer shall have the fullest liberty, without affecting in any way the liability of the Bank under this guarantee, from time to time to extend the time for performance of the Contract by the Contractor. The Employer shall have the fullest liberty, without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Contractor, and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Employer and the Contractor or any other course or remedy or security available to the Employer. The Bank shall not be released of its obligations under these presents by any exercise by the Employer of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would, but for this provision, have the effect of relieving the Bank.

The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the

Contractor and notwithstanding any security or other guarantee that the Employer may have in relation to the Contractor's liabilities.

Notwithstanding anything contained hereinabove our liability under this guarantee is restricted to(*)..... and it shall remain in force up to and including(@)..... and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s[Contractor's Name] on whose behalf this guarantee has been given.

Dated this day of 20..... at.....

WITNESS :

1.	
(Signature)	(Signature)
.....	
(Name)	(Name)
.....	
(Official Address)	(Designation with Bank Stamp)

Authorized Vide Power of
Attorney No.....

Date.....

- Notes :** 1. (*) This sum shall be ten percent (10%) of the Contract Price.
(@) This date will be ninety (90) days beyond the Defect liability period as specified in the Contract.
- The Bank Guarantee shall be from a Bank as per provisions of the Bidding Documents.
 - The BG should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the BG is submitted or is to be acted upon or the rate prevailing in State where the BG is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Bank issuing the guarantee.
 - While getting the Bank Guarantee issued, the Contractor is required to ensure compliance to the points mentioned in Form of Bank Guarantee Verification Check List. Further, the Contractor is required to fill up this Form and enclose the same with the Bank Guarantee.
 - The Bank Guarantee (BG) shall be accompanied with the BG forwarding letter in the format attached as (Form no. 23) in the bidding documents.
 - In case, Bank Guarantee is getting issued from State Bank of India, Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 (attached with Section-V of Bidding Documents).

6a. Performance Security Form

(In case of Contract awarded to Joint Venture)

Bank Guarantee No.....

Date.....

To,
[Employer's Name & Address]

Dear Sirs,

In consideration of the [Employer's Name] (hereinafter referred to as the 'Employer' which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators and assigns) having awarded to a Joint Venture consisting of M/s..... [1st Partner's Name]..... with its Registered /Head Office at and M/s..... [2nd Partner's Name]..... with its Registered /Head Office at (hereinafter referred to as the 'Contractor', which expression shall unless repugnant to the context or meaning thereof, include its successors administrators, executors and assigns), a Contract by issue of Employer's Notification of Award No. dated..... and the same having been unequivocally accepted by the contractor, resulting into a Contract bearing No..... dated valued at for and the Contractor having agreed to provide a Contract Performance Guarantee for the faithful performance of the entire Contract equivalent to(*).....% (..... percent) of the said value of the Contract to the Employer.

We[Name & Address of the Bank].....having its Head Office at(hereinafter referred to as the 'Bank', which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay the Employer, on demand any and all monies payable by the Contractor to the extent of(*)..... as aforesaid at any time upto(@)..... [days/month/year] without any demur, reservation, contest, recourse or protest and/or without any reference to the Contractor. Any such demand made by the Employer on the Bank shall be conclusive and binding notwithstanding any difference between the Employer and the Contractor or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. The Bank undertakes not to revoke this guarantee during its currency without previous consent of the Employer and further agrees that the guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

The Employer shall have the fullest liberty, without affecting in any way the liability of the Bank under this guarantee, from time to time to extend the time for performance of the Contract by the Contractor. The Employer shall have the fullest liberty, without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Contractor, and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Employer and the Contractor or any other course or remedy or security available to the Employer. The Bank shall not be released of its obligations under these presents by any exercise by the Employer of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would, but for this provision, have the effect of relieving the Bank.

The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the Contractor and notwithstanding any security or other guarantee that the Employer may have in relation to the Contractor's liabilities.

Notwithstanding anything contained hereinabove our liability under this guarantee is restricted to(*)..... and it shall remain in force upto and including(@)..... and shall be extended from time to time for such period (not exceeding one year), as may be desired by Joint Venture consisting of M/s..... [1st Partner's Name]..... and M/s..... [2nd Partner's Name]..... with its Registered /Head Office at on whose behalf this guarantee has been given.

Dated this day of 20..... at.....

.....
(Signature)

.....
(Name)

.....
(Designation with

Bank Stamp)

Authorised Vide Power of
Attorney No.....

Date.....

- Notes :**1. (*) This sum shall be ten percent (10%) of Contract Price.
- (@) This date will be ninety (90) days beyond the Defect liability period as specified in the Contract.
2. The BG should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the BG is submitted or is to be acted upon or the rate prevailing in State where the BG is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Bank issuing the guarantee.
3. While getting the Bank Guarantee issued, the Contractor is required to ensure compliance to the points mentioned in Form 16B- Form of Bank Guarantee Verification Check List. Further, the Contractor is required to fill up this Form 16 and enclose the same with the Bank Guarantee.
4. The Bank Guarantee shall be from a Bank as per provisions of Section-V (SCC) of the Bidding Documents.

5. The Bank Guarantee (BG) shall be accompanied with the BG forwarding letter in the format attached as (Form no. 23) in the bidding documents.
6. In case, Bank Guarantee is getting issued from State Bank of India, Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 (attached with Section-V of Bidding Documents).

6b. Performance Security Form for O&M Contract

(To be stamped in accordance with Stamp Act
if any, of the Country of the Issuing Bank)

To,
[Employer's Name & Address]

Bank Guarantee No.....
Date.....

Dear Sirs,

In consideration of the [Employer's Name] (Hereinafter referred to as the „Employer“ which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators and assigns) having awarded to M/s..... [Contractor]..... with its Registered /Head Office at (hereinafter referred to as the „Contractor“, which expression shall unless repugnant to the context or meaning thereof, include its successors administrators, executors and assigns), a Contract by issue of Employer's Notification of Award No. dated..... and the same having been unequivocally accepted by the contractor, resulting in to a Contract bearing No..... dated valued at for and the Contractor/M/s ((hereinafter referred to as the „OEM/associate Party“, which expression shall unless repugnant to the context or meaning thereof, include its successors administrators, executors and assigns),) having agreed to provide a Contract Performance Guarantee for the faithful performance of the entire Contract equivalent to(*)% (..... percent) of the said value of the Contract to the Employer.

We[Name & Address of the Bank] having its Head Office at(hereinafter referred to as the „Bank“, which expressions shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay the Employer, on demand any and all monies payable by the Contractor/OEM/associate Party to the extent of.....(*).....as aforesaid at any time upto.....(@) [days/month/year] without any demur, reservation, contest, recourse or protest and/or without any reference to the Contractor/OEM/associate party. Any such demand made by the Employer on the Bank shall be conclusive and binding notwithstanding any difference between the Employer and the Contractor/OEM/associate party or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. The Bank undertakes not to revoke this guarantee during its currency without previous consent of the Employer and further agrees that the guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

The Employer shall have the fullest liberty, without affecting in any way the liability of the Bank under this guarantee, from time to time to extend the time for performance of the Contract by the Contractor. The Employer shall have the fullest liberty, without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Contractor/OEM/associate party, and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Employer and the Contractor or any other course or remedy or security available to the Employer. The Bank shall not be released of its obligations under these presents by any exercise by the Employer of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would, but for this provision, have the effect of relieving the

Bank.

The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the Contractor/OEM/associate party and notwithstanding any security or other guarantee that the Employer may have in relation to the Contractor/OEM/associate party, liabilities.

The Bank also agrees and acknowledges that the Employer shall have a right to invoke this BANK GUARANTEE in part or in full, as it may deem fit.

Notwithstanding anything contained hereinabove our liability under this guarantee is restricted to(*)..... and it shall remain in force upto and including(@)..... and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s.....[Contractor/OEM/associate party's Name] on whose behalf this guarantee has been given.

Dated this day of 20..... at.....

WITNESS :

1.	 (Signature)	 (Signature)
	 (Name)	 (Name)
	 (Official Address)	 (Designation with Bank Stamp)
		Authorized Vide Power of Attorney No.....
		Date.....
2.	 (Signature)	
	 (Name)	
	 (Official Address)	

Notes : 1. (*) This sum shall be Ten percent (10%) of O&M Contract cumulative (equally divided) for bank guarantees given for the performance of O&M Contract by Contractor/OEM/Associates Parties as mentioned at SCC clause5.1.

(@) This date will be up to (90) days beyond the end of Operation &

Maintenance (O&M) period as specified in the Contract.

2. The stamp papers of appropriate value shall be purchased in the name of guarantee issuing Bank or the Party on whose behalf for BG is being issued. The Bank Guarantee shall be issued on a stamp paper of value as applicable in the State of India from where Bank Guarantee is issued or the State of Delhi or the State of India from where the BG shall be operated, **whichever is higher.**
3. While getting the Bank Guarantee issued, the Contractor is required to ensure compliance to the points mentioned in Form 16- Form of Bank Guarantee Verification Check List. Further, the Contractor is required to fill up this Form 16 and enclose the same with the Bank Guarantee.
4. The Bank Guarantee shall be from a Bank as per provisions of Section-III (BDS) of the Bidding Documents.

6c. Form of Insurance Surety Bond towards Performance Security
(To be stamped in accordance with Stamp Act of India)

Insurance Surety Bond No.

Date.....

To,
[Employer's Name & Address]

Dear Sirs,

In consideration of the [Employer's Name] (Hereinafter referred to as the 'Employer' which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators and assigns) having awarded to M/s..... [Contractor's Name] with its Registered /Head Office at (Hereinafter referred to as the 'Contractor', which expression shall unless repugnant to the context or meaning thereof, include its successors administrators, executors and assigns), a Contract by issue of Employer's Notification of Award No. dated..... and the same having been unequivocally accepted by the contractor, resulting into a Contract bearing No..... dated....., valued at for and the Contractor having agreed to provide a Contract Performance Guarantee for the faithful performance of the entire Contract equivalent to(*)%(..... percent) of the said value of the Contract to the Employer.

We[Name & Address of the Insurer] having its Head Office at (hereinafter referred to as the 'Insurer', which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay the Employer, on demand any and all amount payable by the Contractor to the extent of(*) as aforesaid at any time up to.....(@) [days/month/year] without any condition, demur, reservation, contest, recourse or protest and/or without any reference to the Contractor. Any such demand made by the Employer on the Insurer shall be conclusive and binding notwithstanding any difference between the Employer and the Contractor or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. The Insurer undertakes not to revoke this Insurance Surety Bond during its currency and or any period extended under the contract, without prior consent of the Employer and further agrees that the guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

The Employer shall have the fullest liberty, without affecting in any way the liability of the Insurer under this Insurance Surety Bond, from time to time to extend the performance of the Contract by the Contractor for the purpose of which, the Insurer shall be liable to extend the validity of the present Insurance Surety Bond without any demur, condition, protest and the Insurer shall at no point in time have an option of revoking the same. The Employer shall have the fullest liberty, without affecting this Insurance Surety Bond, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Contractor, and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Employer and the Contractor or any other course or remedy or security available to the Employer. The Insurer shall not be released of its obligations under these presents by any exercise by the Employer of its liberty with reference to the aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or

any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would, but for this provision, have the effect of relieving the Insurer.

The Insurer also agrees and undertakes that the Employer at its option shall be entitled to enforce this Insurance Surety Bond against the Insurer as a Surety, in the first instance without proceeding against the Contractor and notwithstanding any security or other guarantee that the Employer may have in relation to the Contractor's liabilities.

Notwithstanding anything contained hereinabove our liability under this Insurance Surety Bond is restricted to.....(*) and it shall remain in force upto and including(@) and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s[Contractor's Name} on whose behalf this Insurance Surety Bond has been given.

Dated this day of 20..... at.....

WITNESS:

1.

.....

(Signature)

.....

(Name)

.....

(Official Address)

.....

(Signature)

.....

(Name)

.....

(Designation with Bank Stamp)

Authorized Vide Power of Attorney No.....

Date.....

2.

.....

(Signature)

.....

(Name)

.....

(Official Address)

Notes: 1. (*) This sum shall be three percent (03%) of the Contract Price.
(@) This date will be ninety (90) days beyond the Defect liability period as specified in the Contract.
2. The Insurance Surety Bond shall be from an Insurer as per guidelines issued by Insurance Regulatory and Development Authority of India (IRDAI).

3. The Employer shall be the Creditor, the Contractor shall be the Principal debtor and the Insurance company/Insurer shall be the Surety in respect of the Insurance Surety Bond to be issued by the Insurer.

4. The Insurance Surety Bond should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the Insurance Surety Bond is submitted or is to be acted upon or the rate prevailing in State where the Insurance Surety Bond is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Insurer issuing the Insurance Surety Bond.

5. While getting the Insurance Surety Bond issued, the Contractor is required to ensure compliance to the points mentioned in Form of Bank Guarantee/ Insurance Surety Bond Verification Check List. Further, the Contractor is required to fill up this Form and enclose the same with the Insurance Surety Bond.

7. BANK GUARANTEE FORM FOR ADVANCE PAYMENT (SUPPLY EX-WORKS)

**7(i). Bank Guarantee Form for Advance Payment
(Supply Ex-Works)**

(To be stamped in accordance with Stamp Act
if any, of the Country of the Issuing Bank)

Bank Guarantee No.....
Date.....

To,
[Employer's Name & Address]

Dear Sir,

In consideration of[Employer's Name]..... (hereinafter referred to as the 'Employer', which expression shall, unless repugnant to the context or meaning thereof include its successors, administrators and assigns) having awarded to M/s.....[Contractor's Name]..... with its Registered/Head Office at (hereinafter referred to as the 'Contractor' which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns), a Contract, by issue of Employer's Notification of Award No. dated and the same having been unequivocally accepted by the Contractor, resulting into a Contract bearing No. dated..... valued at for [Name of Contract](hereinafter called the 'Contract') and the Employer having agreed to make an advance payment to the Contractor for performance of the above Contract amounting (in words and figures) as an Advance against Bank Guarantee to be furnished by the Contractor.

We.....[Name and address of the Bank]..... having its Head Office at (hereinafter referred to as the 'Bank', which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay the Employer, immediately on demand any or, all monies payable by the Contractor to the extent of[advance amount]..... as aforesaid at any time upto(@)..... without any demur, reservation, contest, recourse or protest and/ or without any reference to the Contractor. Any such demand made by the Employer on the Bank shall be conclusive and binding notwithstanding any difference between the Employer and the Contractor or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. The Bank undertakes not to revoke this guarantee during its currency without previous consent of the Employer and further agrees that the guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

The Employer shall have the fullest liberty without affecting in any way the liability of the Bank under this guarantee, from time to time to vary the advance or to extend the time for performance of the Contract by the Contractor. The Employer shall have the fullest liberty without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Contractor, and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Employer and the Contractor or any other course or remedy or security available to the

Employer. The Bank shall not be released of its obligations under these presents by any exercise by the Employer of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would but for this provision, have the effect of relieving the Bank.

The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the Contractor and notwithstanding any security or other guarantee that the Employer may have in relation to the Contractor's liabilities.

Notwithstanding anything contained hereinabove our liability under this guarantee is limited to[advance amount]..... and it shall remain in force upto and including(@)..... and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s.....[Contractor's Name]..... on whose behalf this guarantee has been given.

Dated this day of 20 at

WITNESS :

1.
(Signature)
.....
(Name)
.....
(Official Address)

.....
(Signature)
.....
(Name)
.....
(Designation with
Bank Stamp)

Authorised Vide Power of
Attorney No.....

Date.....

2.
(Signature)
.....
(Name)
.....
(Official Address)

- NOTE :
1. (@) This date shall be ninety (90) days beyond the date of Completion of the Facilities.

2. The Bank Guarantee shall be from a Bank as per provisions of Section-V (SCC) of the Bidding Documents.

3. The BG should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the BG is submitted or is to be acted

upon or the rate prevailing in State where the BG is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Bank issuing the guarantee.

4. While getting the Bank Guarantee issued, Bidders are required to ensure compliance to the points mentioned in Form 16-Form of Bank Guarantee Verification Check List. Further, the Contractor is required to fill up this Form 16 and enclose the same with the Bank Guarantee.
5. The Bank Guarantee (BG) shall be accompanied with the BG forwarding letter in the format attached as (Form no. 23) in the bidding documents.
6. In case, Bank Guarantee is getting issued from State Bank of India, Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 (attached with Section-V of Bidding Documents).

**7(ii). Bank Guarantee Form for Advance Payment
(Installation/Civil/Site Fabricated Structural works)**

(To be stamped in accordance with Stamp Act
if any, of the Country of the Issuing Bank)

Bank Guarantee No.....
Date.....

To,
[Employer's Name & Address]

Dear Sir,

In consideration of[Employer's Name]..... (hereinafter referred to as the 'Employer', which expression shall, unless repugnant to the context or meaning thereof include its successors, administrators and assigns) having awarded to M/s.....[Contractor's Name]..... with its Registered/Head Office at (hereinafter referred to as the 'Contractor' which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns), a Contract, by issue of Employer's Notification of Award No. dated and the same having been unequivocally accepted by the Contractor, resulting into a Contract bearing No. dated..... valued at for[Name of Contract](hereinafter called the 'Contract') and the Employer having agreed to make an advance payment to the Contractor for performance of the above Contract amounting (in words and figures) as an Advance against Bank Guarantee to be furnished by the Contractor.

We.....[Name and address of the Bank]..... having its Head Office at (hereinafter referred to as the 'Bank', which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay the Employer, immediately on demand any or, all monies payable by the Contractor to the extent of[110% of advance amount]..... as aforesaid along with interest @ ##% on the advance amount released by the Employer calculated from the date of release of the said advance by the Employer to the Contractor, at any time up to(#). without any demur, reservation, contest, recourse or protest and/or without any reference to the Contractor. Any such demand made by the Employer on the Bank shall be conclusive and binding notwithstanding any difference between the Employer and the Contractor or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. The Bank undertakes not to revoke this guarantee during its currency without previous consent of the Employer and further agrees that the guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

The Employer shall have the fullest liberty without affecting in any way the liability of the Bank under this guarantee, from time to time to vary the advance or to extend the time for performance of the Contract by the Contractor. The Employer shall have the fullest liberty without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Contractor, and to exercise the same at any time in any manner,

and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Employer and the Contractor or any other course or remedy or security available to the Employer. The Bank shall not be released of its obligations under these presents by any exercise by the Employer of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would but for this provision, have the effect of relieving the Bank.

The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the Contractor and notwithstanding any security or other guarantee that the Employer may have in relation to the Contractor's liabilities.

Notwithstanding anything contained hereinabove our liability under this guarantee is limited to[110% of advance amount].....along with interest therein as aforesaid and it shall remain in force up to and including(@)..... and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s.....[Contractor's Name]..... on whose behalf this guarantee has been given.

Dated this day of 20 at

WITNESS :

1.

.....

(Signature)

.....

(Name)

.....

(Official Address)

.....

(Name)

.....

(Designation with Bank Stamp)

Authorised Vide Power of Attorney No.....

Date.....

2.

.....

(Signature)

.....

(Name)

.....

(Official Address)

Notes: 1. (#) This date shall be ninety (90) days beyond the date of Completion of the Facilities.

(##%) “[SBI 1-year MCLR as on 01st April of applicable year + 150 bps] per annum”

2. The Bank Guarantee (BG) shall be from a Bank as per provisions of the Bidding Documents.
3. The BG should be on Non-Judicial stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the state(s) where the BG is submitted or is to be acted upon or the rate prevailing in State where the BG is executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Bidder/Bank issuing the guarantee.
4. While getting the Bank Guarantee issued, Bidders are required to ensure compliance to the points mentioned in Bank Guarantee Verification Check List in the Bidding Documents. Bidders are required to fill up this Check List and enclose the same along with the Bank Guarantee.
5. The Bank Guarantee (BG) shall be accompanied with the BG forwarding letter in the format attached as (Form no. 23) in the bidding documents.
6. In case, Bank Guarantee is getting issued from State Bank of India, Bidder to take note of NTPC letter ref. NTPC/FC/CS/BG/01 dated 03.09.2014 and SBI letter ref. CAG-I/AMT-1/2014-15/370 dated 04.09.2014 (attached with Section-V of Bidding Documents).

7(iii). Bank Guarantee Form for Advance Payment

(In case of Contract awarded to Joint Venture)

Names of all JV Partners shall appear in the Bank Guarantee

8. FORM OF COMPLETION CERTIFICATE

8. Form of Completion Certificate

Date: _____
Loan/Credit No: _____
IFB No: _____

[Name of Contract]

To: [Name and address of Contractor]

Dear Sirs,

Pursuant to GCC Clause 24 (Completion of the Facilities) of the General Conditions of the Contract entered into between yourselves and the Employer dated [date], relating to the [brief description of the facilities], we hereby notify you that the following part(s) of the Facilities was (were) complete on the date specified below, and that, in accordance with the terms of the Contract, the Employer hereby takes over the said part(s) of the Facilities, together with the responsibility for care and custody and the risk of loss thereof on the date mentioned below.

1. Description of the Facilities or part thereof: [description]
2. Date of Completion: [date]

However, you are required to complete the outstanding items listed in the attachment hereto as soon as practicable.

This letter does not relieve you of your obligation to complete the execution of the Facilities including Guarantee Test(s) in accordance with the Contract nor of your obligations during the Defects Liability Period.

Very truly yours,

Title
(Project Manager)

9. FORM OF OPERATIONAL ACCEPTANCE CERTIFICATE

9. Form of Operational Acceptance Certificate

Date: _____
Loan/Credit No: _____
IFBNo: _____

[Name of Contract]

To: [Name and address of Contractor]

Dear Sirs,

Pursuant to GCC Sub-Clause 25.3 (Operational Acceptance) of the General Conditions of the Contract entered into between yourselves and the Employer dated [date], relating to the [brief description of the facilities], we hereby notify you that the Functional Guarantees of the following part(s) of the Facilities were satisfactorily attained on the date specified below.

1. Description of the Facilities or part thereof:[description]
2. Date of Operational Acceptance:[date]

This letter does not relieve you of your obligation to complete the execution of the Facilities in accordance with the Contract nor of your obligations during the Defects Liability Period.

Very truly yours,

Title
(Project Manager)

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25 SECTION - VII (Part 2 of 2)

10. FORM OF TRUST RECEIPT

**10. FORM OF TRUST RECEIPT FOR PLANT,
EQUIPMENT AND MATERIAL RECEIVED**

We M/s (*Contractor's Name*) having our
Principal place of business at having been awarded a Contract
No..... dated..... for (*Contract Name*)..... by
(*Name of Employer*)

We do hereby acknowledge the receipt of the Plant, Equipment and Materials as are fully described and mentioned under Documents of Title/RR/LR etc. and in the schedule annexed hereto, which shall form an integral part of this receipt as "Trustee" of (*Name of Employer*). The aforesaid materials etc. so received by us shall be exclusively used in the successful performance of the aforesaid Contract and for no other purpose whatsoever. We undertake not to create any charge, lien or encumbrance over the aforesaid materials etc, in favor of any other person /institution(s)/Banks.

For M/s
(*Contractor's Name*)

Dated:.....

(AUTHORISED SIGNATORY)

11. FORM OF INDEMNITY-CUM-UNDERTAKING AGREEMENT

**11a. FORM OF INDEMNITY-CUM-UNDERTAKING AGREEMENT
FOR THE EQUIPMENTS
HANDLED OVER BY THE EMPLOYER
FOR PERFORMANCE OF CONTRACT
(Entire Equipment Consignment in one lot)**

**(On Non-Judicial Stamp Paper of appropriate value)
INDEMNITY-CUM-UNDERTAKING AGREEMENT**

THIS INDEMNITY-CUM-UNDERTAKING AGREEMENT is made this day of 20 between (Contractor's Name) a Company / Partnership Firm / Proprietary Concern incorporated under the laws of having its Registered Office at (hereinafter called as 'Contractor' which expression shall include its successors and permitted assigns) and (Name of Employer), a Company incorporated under the Indian Companies Act having its Registered Office at and its project at (Hereinafter called "....." / "Employer" which expression shall include its successors and assigns) :

WHEREAS the „Employer“ has awarded to the „Contractor“ a Contract for vide its Notification of Award/Contract No.....dated and its Amendment No. and Amendment No....., (*applicable when Amendments have been issued*)(hereinafter called the "Contract")intermsofwhich.....@..... is required to hand over various Equipment's to the „Contractor“ for execution of the Contract.

And WHEREAS by virtue of Clause No of the said Contract, the „Contractor “and the „Employer“ are required to execute an Indemnity-cum-Undertaking Agreement for the Equipment's handed over to the „Contractor“ by@..... for the purpose of performance of the Contract/Erection Portion of the Contract (hereinafter called the "Equipment's")

AND THEREFORE, This Indemnity-cum-Undertaking Agreement witnesseth as follows:

1. That in consideration of various Equipment's as mentioned in the Contract, a list where of is also annexed to this Indemnity-cum-Undertaking Agreement at Schedule-A, valued at (Currency and amount in Figures)..... (Currency and amount in words) handed over to the „Contractor“ for the purpose of performance of the Contract, the „Contractor“ hereby undertakes to indemnify and shall keep the „Employer“ indemnified, for the full value of the Equipment's. The Contractor hereby acknowledges actual receipt of the Equipment's, etc. as per dispatch title documents handed over to the „Contractor“ as detailed in the Schedule appended hereto. The “Contractor” shall hold such Equipment's, etc. in trust as a "Trustee" for and on behalf of the “Employer”
@ Fill in abbreviated name of Employer.
2. That the „Contractor“ is obliged and shall remain absolutely responsible for the safe transit/protection and custody of the Equipment's at@..... project site against all risks whatsoever till the Equipment's are duly used/erected in accordance with the terms of the Contract and the plant/package duly erected and commissioned in accordancewiththetermsoftheContractistakenoverbythe„Employer“The „Contractor“ undertakes to keep the „Employer“ harmless against any loss or damage that may be caused to the Equipment's.

3. The „Contractor“ undertakes that the Equipment shall be used exclusively for the performance/execution of the Contract strictly in accordance with its terms and conditions and no part of the Equipment's shall be utilized for any other work or purpose whatsoever. It is clearly understood by the „Contractor“ that non-observance of the obligations under this Indemnity-cum-Undertaking Agreement by the „Contractor“ shall constitute a criminal breach of trust on the part of the „Contractor“ for all intents and purpose including legal/penal consequences.
4. That the „Employer“ is and shall remain the exclusive owner of the Equipment's free from all encumbrances, charges or liens of any kind, whatsoever. The Equipment's shall at all times be open to inspection and checking by the Project Manager or other employees / agents authorized by the „Employer“ in this regard. Further the „Employer“ shall always be free at all times to take possession of the Equipment's in whatever form the Equipment's may be, if in its opinion, the Equipment's are likely to be endangered, misutilized or converted to uses other than those specified in the Contract, by any acts of omission or commission on the part of the „Contractor“ or any other person or on account of any reason whatsoever and the „Contractor“ binds himself and undertakes to comply with the directions of demand of the „Employer“ to return the Equipment's without any demur or reservation.
5. That this Indemnity-cum-Undertaking Agreement is irrevocable. If at any time any loss or damage occurs to the Equipment's or the same or any part thereof is misutilized in any manner whatsoever, then the „Contractor“ hereby agrees that the decision of the Project Manager of the „Employer“ as to assessment of loss or damage to the Equipment's shall be final and binding on the „Contractor“. The „Contractor“ binds itself and undertakes to replace the lost and/or damaged Equipment's at its own cost and/or shall pay the amount of loss to the „Employer“ without any demur, reservation or protest. This is without prejudice to any other right or remedy that may be available to the „Employer“ against the „Contractor“ under the Contract and under this Indemnity-cum-Undertaking Agreement.
6. NOW THE CONDITION of this Indemnity-cum-Undertaking Agreement is that if the „Contractor“ shall duly and punctually comply with the terms and conditions of this Indemnity-cum-Undertaking Agreement to the satisfaction of the „Employer“, THEN, the above Indemnity-cum-Undertaking Agreement shall become void after the due performance of the Contract, but otherwise, it shall remain in full force and virtue.
@ Fill in abbreviated name of Employer.

IN WITNESS WHEREOF, the „Contractor“ and the „Employer“ have hereunto set their hand through their authorized representative, the day, month and year first above mentioned.

For and on behalf of

.....
(Contractor's Name)

Signature.....
Name.....
Designation of.....
Authorised representative *

WITNESS:

1. Signature.....
2. Name.....
3. Address.....

For and on behalf of

.....
(Employer's name)

Signature.....
Name.....
Designation of.....
Authorised representative*

WITNESS :

1. Signature.....
2. Name.....
3. Address.....

* Indemnity-cum-Undertaking Agreement are to be executed by the authorized person and
(i) in case of contracting Company under common seal of the Company or (ii) having the
Power of Attorney issued under common seal of the company with authority to execute
Indemnity-cum-Undertaking Agreement , (iii) In case of (ii), the original Power of Attorney if
it is specifically for this Contract or a copy of the Power of Attorney if it is General Power of
Attorney and such documents should be attached to Indemnity-cum-Undertaking
Agreement . In case of Employer, by the authorized representative of the Employer.

SCHEDULE - A

Particulars of the Equipment handed over	Quantity	Particulars of Dispatch Title Documents		Value of the Equip- ments	Signature of Attorney in token of receipt
		RR/GR/Bill of Lading No & Date	Carrier		

**11b. FORM OF INDEMNITY-CUM-UNDERTAKING AGREEMENT FOR THE EQUIPMENTS
HANDLED OVER IN INSTALMENTS BY THE
EMPLOYER FOR PERFORMANCE OF CONTRACT**

**(On Non-Judicial Stamp Paper of appropriate value)
INDEMNITY-CUM-UNDERTAKING AGREEMENT**

THIS INDEMNITY-CUM-UNDERTAKING AGREEMENT is made this day of..... 20 between(Contractor's Name) a Company /Partnership Firm / Proprietary Concern incorporated under the laws of having its Registered Office at (hereinafter called as 'Contractor' which expression shall include its successors and permitted assigns) and(Name of Employer), a Company incorporated under the Indian Companies Act having its Registered Office at and its project at (hereinafter called" " / „Employer“ which expression shall include its successors and assigns):

WHEREAS the „Employer“ has awarded to the „Contractor“ a Contract for vide its Notification of Award/Contract No.....dated and its Amendment No. and Amendment No....., (applicable when amendments have been issued) (hereinafter called the "Contract") in terms of which.....@..... isrequiredtohandovervariousEquipmentsstothe„Contractor“forexecution of the Contract.

And WHEREAS by virtue of Clause No of the said Contract, the „Contractor“ and the „Employer“ are required to execute an Indemnity-cum-Undertaking Agreement for the Equipment handed over to the „Contractor“ by.....@..... for the purpose of performance of the Contract/Erection Portion of the Contract (hereinafter called the "Equipment")

NOW THEREFORE, This Indemnity-cum-Undertaking Agreement witnessed as follows:

1. That in consideration of various Equipment as mentioned in the Contract, valued at (Currency and amount in figures) (Currency and amount in words) to be handed over to the “Contractor” in instalments from time to time for the purpose of performance of the Contract the “Contractor” hereby undertakes to indemnify and shall keep the “Employer” indemnified, for the full value of the Equipment. The Contractor hereby acknowledges actual receipt of the initial instalment of the Equipment, etc. as per details in the Schedule appended hereto. Further, the “Contractor” agrees to acknowledge actual receipt of the subsequent instalments of the Equipment, etc. as required by@..... in the form of Schedules consecutively numbered which shall be attached to this Indemnity-cum-Undertaking Agreement so as to form integral parts of this Indemnity-cum-Undertaking Agreement. The „Contractor“ shall hold such Equipment, etc. in trust as a "Trustee" for and on behalf of the “Employer”.

@ Fill in abbreviated name of Employer.

2. That the „Contractor“ is obliged and shall remain absolutely responsible for the safe transit/protection and custody of the Equipment at@..... project site against all risks whatsoever till the Equipment are duly used/erected in accordance with the terms of the Contract and the plant/package duly erected and commissioned in accordance with the terms of the Contract, is taken over by the “Employer”. The “Contractor” undertakes to keep the “Employer” harmless against any loss or damage that may be caused to the Equipment.

3. The "Contractor" undertakes that the Equipment's shall be used exclusively for the performance/execution of the Contract strictly in accordance with its terms and conditions and no part of the Equipment's shall be utilized for any other work or purpose whatsoever. It is clearly understood by the "Contractor" that non-observance of the obligations under this Indemnity-cum-Undertaking Agreement by the "Contractor" shall inter-alia constitute a criminal breach of trust on the part of the "Contractor" for all intents and purpose including legal/penal consequences.
4. That the "Employer" is and shall remain the exclusive owner of the Equipment's free from all encumbrances, charges or liens of any kind, whatsoever. The Equipment's shall at all times be open to inspection and checking by the Project Manager or other employees / agents authorized by the "Employer" in this regard. Further, the "Employer" shall always be free at all times to take possession of the Equipment's in whatever form the Equipment's may be, if in its opinion, the Equipment's are likely to be endangered, misutilised or converted to uses other than those specified in the Contract, by any acts of omission or commission on the part of the "Contractor" or any other person or on account of any reason whatsoever and the "Contractor" binds himself and undertakes to comply with the directions of demand of the "Employer" to return the Equipment's without any demur or reservation.
5. That this Indemnity-cum-Undertaking Agreement is irrevocable. If at any time any loss or damage occurs to the Equipment's or the same or any part thereof is misutilised in any manner whatsoever, then the "Contractor" hereby agrees that the decision of the Project Manager of the "Employer" as to assessment of loss or damage to the Equipment's shall be final and binding on the "Contractor". The "Contractor" binds itself and undertakes to replace the lost and/or damaged Equipment's at its own cost and/or shall pay the amount of loss to the "Employer" without any demur, reservation or protest. This is without prejudice to any other right or remedy that may be available to the "Employer" against the "Contractor" under the Contract and under this Indemnity-cum-Undertaking Agreement.
6. NOW THE CONDITION of this Indemnity-cum-Undertaking Agreement is that if the "Contractor" shall duly and punctually comply with the terms and conditions of this Indemnity-cum-Undertaking Agreement to the satisfaction of the "Employer", THEN, the above Indemnity-cum-Undertaking Agreement shall become void after the due performance of the Contract, but otherwise, it shall remain in full force and virtue.

@ Fill in abbreviated name of Employer.

IN WITNESS WHEREOF, the "Contractor" and the "Employer" have hereunto set their hand through their authorized representative, the day, month and year first above mentioned.

For and on behalf of

.....
(Contractor's Name)

Signature.....
 Name.....
 Designation of.....
 Authorized representative *

For and on behalf of

.....
(Employer's name)

Signature.....
 Name.....
 Designation of.....
 Authorized representative*

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25 SECTION - VII (Part 2 of 2)

WITNESS:

1. Signature.....
2. Name.....
3. Address.....

WITNESS :

1. Signature.....
2. Name.....
3. Address.....

* Indemnity-cum-Undertaking Agreement are to be executed by the authorized person and (i) in case of contracting Company under common seal of the Company or (ii) having the Power of Attorney issued under common seal of the company with authority to execute Indemnity-cum-Undertaking Agreement , (iii) In case of (ii), the original Power of Attorney if it is specifically for this Contract or a copy of the Power of Attorney if it is General Power of Attorney and such documents should be attached to Indemnity-cum-Undertaking Agreement . In case of Employer, by the authorized representative of the Employer.

SCHEDULE No.1

Particulars of the Equipment handed over	Quantity	Particulars of Dispatch Title Documents	Value of the Equip- ments	Signature of Attorney in token of receipt
		RR/GR/Bill of Lading No & Date	Carrier	

(Please number subsequent schedules)

12. FORM OF AUTHORISATION LETTER

12. FORM OF AUTHORISATION LETTER

(NAME OF EMPLOYER)

(PROJECT)

REF.NO. :

DATE :

To,

M/s (Contractor's Name)

Ref : Contract No..... Dated

for.....awarded by (Name of Employer)

Dear Sirs,

Kindly refer to Contract No..... Dated for(Contract Name).
You are hereby authorized on behalf of(Name of Employer) having its registered
office at and its Project at to take
physical delivery of materials/equipment covered under dispatch Document/ Consignment Note
no.....*.....dated.....andasdetailedintheenclosed
Schedule for the sole purpose of successful performance of the aforesaid contract and for no
other purposes, whatsoever.

(Signature of Project Authority)

(Designation:

Date.....

ENCL: as above

* Mention LR/RR No.

SCHEDULE OF MATERIAL/EQUIPMENT COVERED UNDER
DESPATCH TITLE DOCUMENT (RR NO./LRNO.....)

Sl. No.	Contract Name	NOA No./ Contract Agreement No.	Description of Materials/No. Equipment	Spec.	Qty.	Value	Remarks

(SIGNATURE OF THE PROJECT AUTHORITY)

(Designation).....

Date)

13. DEED OF JOINT UNDERTAKING

FORM OF DEED OF JOINT UNDERTAKING

(ON NON-JUDICIAL STAMP PAPER OF APPROPRIATE VALUE)

(TO BE INCORPORATED AS PER PACKAGE SPECIFIC REQUIREMENT)

**14. FORM OF BANK GUARANTEE
BY ALL EXECUTANTS OF DEED OF JOINT
UNDERTAKING OTHER THAN CONTRACTOR**

**14.a PROFORMA FOR BANK GUARANTEE TO BE
FURNISHED BY THE ASSOCIATE
(NOT APPLICABLE)**

(To be stamped in accordance with the Stamp Act, if
any, of the Country of the issuing Bank)

Bank Guarantee No.....

Date

In consideration of NVVN Ltd., (hereinafter referred to as “Employer” which expression shall unless repugnant to the context or meaning thereof include its successors, administrators and assigns) having awarded to with its Registered Head Office at..... (herein-after referred to as.....or “Contractor”) a Contract for Package for its (Name of Project) vide Contract No. dated and the same having been unequivocally accepted by the Contractor resulting in a “Contract”, which award is on the strength of Deed of Joint Undertaking dated (hereinafter referred to as “Undertaking”) given by M/s.....* (Contractor) and by (Associate/Collaborator) having its registered office at (hereinafter called..... or Associate/Collaborator), and the Contractor having further executed with (Associate/Collaborator) a Sub-Contract dated and the same having been unequivocally accepted by the Associate/Collaborator resulting in a Sub-Contract Agreement valued at for (hereinafter called “Sub-Contract Agreement”) and (Associate/Collaborator) having agreed to provide a Performance Guarantee amounting to. to the Employer on the terms and conditions specified in the “Undertaking”.

We..... Bank, having its Head Office at.....(herein-after referred to as the “Bank”, which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay to the Employer on demand any and all monies to the extent of(Specify currency and amount in words and figures) only as aforesaid at any time upto.....@.....without any demur, reservation, context, recourse or protest and/or without any references to “Associate/Collaborator” or “Contractor”. Any such demand made by the Employer on the Bank shall be conclusive and binding, notwithstanding any difference between the Employer and Contractor and/or between the Employer and Associate/Collaborator or any dispute pending before any Court, Tribunal, Arbitrator or any other Authority. The Bank undertakes not to revoke this guarantee during its currency without previous consent of the Employer and further agrees that the guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

* Brief name of the Contractor

The Employer shall have the fullest liberty, without affecting in any way the liability of the Bank under this guarantee, from time to time to extend the time for performance of the Contract or the Sub-Contract Agreement by the Associate/Collaborator. The Employer shall have the fullest liberty, without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Associate/Collaborator and to exercise the same at any time, in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract or Undertaking or any other course or remedy or security available to the Employer. The Bank shall not be released of its obligations under these presents by any exercise of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would, but for this provision have the effect of relieving the Bank from its obligations.

The Bank also agrees that the Employer at is option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against Contractor or Associate/Collaborator and notwithstanding any security or other guarantee that the Employer may have in relation to Contractor's or Associate's/ Collaborator's liabilities.

Notwithstanding anything contained hereinabove our liability under this guarantee is restricted to and, it shall remain in force upto and including.....@ and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s (Associate/Collaborator) on whose behalf this guarantee has been given.

Date this.....day of.....20.....at.....

WITNESS

1. (Signature)..... (Signature).....

(Name)..... (Name & Designation)

(Official Address)..... (Bank's Seal).....

2. (Signature)..... Authorized vide Power of
Attorney No.....

(Name).....

(Official Address)..... Date.....

Notes :

1. @ The date shall be as specified in the corresponding format for the Deed of Joint Undertaking enclosed in the Section 'Forms and Procedures'.
2. The Bank Guarantee shall be from a Bank as per provisions of Section-V (SCC) of the bidding documents.
3. The stamp papers of appropriate value shall be purchased in the name of the Guarantee issuing Bank or the Party on whose behalf the BG is being issued. The Bank Guarantee shall be issued on a stamp paper of value as applicable in the State of India from where Bank Guarantee is issued or the State of India from where the BG shall be operated, whichever is higher.
4. While getting the Bank Guarantee issued, the Associate/contractor is required to ensure compliance to the points mentioned in Form 16-Form of Bank Guarantee Verification Check List enclosed with the bidding documents. Further, the contractor is required to fill up this Form 16 and enclose the same with the Bank Guarantee.

**14b. PROFORMA FOR BANK GUARANTEE
TO BE FURNISHED BY EXECUTENTS OF DEED OF
JOINT UNDERTAKING OTHER THAN CONTRACTOR
(NOT APPLICABLE)**

(To be stamped in accordance with the Stamp Act,
if any, of the Country of the issuing Bank):

Bank Guarantee No.....

Date.....

In consideration of[Employer's Name] (hereinafter referred to as “Employer” which expression shall unless repugnant to the context or meaning thereof include its successors, administrators and assigns) having awarded to with its Registered Head Office at..... (herein-after referred to as. or “Contractor”) a Contract for Package for its (Name of Project) vide Contract No.....dated and the same having been unequivocally accepted by the Contractor resulting in a “Contract”, which award is on the strength of Deed of Joint Undertaking dated (hereinafter referred to as “Undertaking”) given by M/s..... * (Contractor)and by (Holding Company**/Subsidiary of Holding Company) having its registered office at(hereinafter called. or (Holding Company**/Subsidiary of Holding Company **) having agreed to provide a Performance Guarantee amounting to. to the Employer on the terms and conditions specified in the “Undertaking”.

We..... Bank, having its Head Office at.(hereinafter referred to as the “Bank”, which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay to the Employer on demand any and all monies to the extent of(Specify currency and amount in words and figures) only as aforesaid at any time upto.....@.....without any demur, reservation, context, recourse or protest and/or without any references to (Holding Company**/Subsidiary of Holding Company) or “Contractor”. Any such demand made by the Employer on the Bank shall be conclusive and binding, notwithstanding any difference between the Employer and Contractor and/or between the Employer and (Holding Company**/Subsidiary of Holding Company) or any dispute pending before any Court, Tribunal, Arbitrator or any other Authority. The Bank undertakes not to revoke this Guarantee during its currency without previous consent of the Employer and further agrees that the Guarantee herein contained shall be enforceable till ninety (90) days after expiry of its validity.

* Brief name of the Contractor

The Employer shall have the fullest liberty, without affecting in any way the liability of the Bank under this Guarantee, from time to time to extend the time for performance of the Contract or the Sub-Contract Agreement by the (Holding Company**/Subsidiary of Holding Company). The Employer shall have the fullest liberty, without affecting this Guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the (Holding Company**/Subsidiary of Holding Company) and to exercise the same at any time, in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract or Undertaking or any other course or remedy or security available to the Employer. The Bank shall not be released of its obligations under these presents by any exercise of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would, but for this provision have the effect of relieving the Bank from its obligations.

The Bank also agrees that the Employer at is option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against Contractor or (Holding Company**/Subsidiary of Holding Company) and notwithstanding any security or other guarantee that the Employer may have in relation to Contractor's or (Holding Company**/Subsidiary of Holding Company) liabilities.

Notwithstanding anything contained hereinabove our liability under this Guarantee is restricted to and, it shall remain in force upto and including@ and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s (Holding Company**/Subsidiary of Holding Company) on whose behalf this guarantee has been given.

Date this..... day of 20 at

WITNESS

(Signature)..... (Signature).....

(Name)..... (Name & Designation)

(Official Address) (Bank's Seal)

Authorized vide Power of
Attorney No.....

Notes: Date.....

1. @ The date shall be as specified in the corresponding format for the Deed of Joint Undertaking enclosed in the Section 'Forms and Procedures'.

2. The Bank Guarantee shall be from a Bank as per provisions of Section-III (BDS) of the Bidding Documents.

15. FORM OF JOINT VENTURE AGREEMENT

DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI

BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25
SECTION - VII (Part 2 of 2)

FORM NO. 15.

(On Non-Judicial Stamp Paper of Appropriate Value)

(TO BE INCORPORATED AS PER PACKAGE SPECIFIC REQUIREMENT)

**DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI**

**BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25
SECTION - VII (Part 2 of 2)**

**16. FORM OF BANK GUARANTEE/ INSURANCE
SURETY BOND
VERIFICATION CHECK LIST**

BANK GUARANTEE/ INSURANCE SURETY BOND VERIFICATION CHECKLIST

1. Bank Guarantee/ Insurance Surety Bond No.
2. Issuing Bank/Insurer
3. Amount of BG/ Insurance Surety Bond
4. Nature of BG/ Insurance Surety Bond & No. of Pages
5. Validity of BG/ Insurance Surety Bond
6. Package Description
7. Party & Contracts Ref. Name, Address, Tel, Fax, e-mail,
Contract No. Package Name
8. Bank Reference Name, Address, Tel. Fax, E-mail

Sl. No.	Details of checks	YES / NO
1	Is the BG/ Insurance Surety Bond on non-judicial stamp paper/e-stamp paper of appropriate value, as per Stamp Act?	
2	Whether date, purpose of purchase and name of the purchaser are indicated on the stamp paper? (The date of purchase of stamp paper should be of any date on or before the date of execution of BG/Insurance Surety Bond and the stamp paper should be purchased either in the name of the executing Bank/Insurer or the Party on whose behalf the BG/Insurance Surety Bond has been issued. The stamp paper (other than e-stamp paper) should be duly Signed by the Stamp Vendor)	
3	In case of BG/INSURANCE SURETY BONDS from Bank/Insurance Company abroad, has the G/INSURANCE SURETY BOND been executed on Letter Head of the Bank/Insurance Company?	

4	Has the executing Officer of BG/INSURANCE SURETY BOND indicated his name, designation and Power of Attorney No./ Signing Power no. etc., on the BG/INSURANCE SURETY BOND?	
5	Is each page of BG/ INSURANCE SURETY BOND duly signed /initialed by executant and whether stamp of Bank is affixed thereon? Whether the last page is signed with full particulars under seal of Bank as required in the prescribed proforma?	
6	Does the Bank Guarantees/ INSURANCE SURETY BOND compare verbatim with the Proforma prescribed in the Bid Documents?	
7	Are the factual details such as Bidding Document No./Specification No., Amount of BG/ INSURANCE SURETY BOND and Validity of BG/ INSURANCE SURETY BOND correctly mentioned in the BG?	
8	Whether overwriting/cutting if any on the BG/ INSURANCE SURETY BOND have been properly authenticated under signature & seal of executant?	
9	Whether the BG/ INSURANCE SURETY BOND has been issued by a Bank/Insurance Company in line with the provisions of Bidding documents?	
10	In case BG/ INSURANCE SURETY BOND has been issued by a Bank other than those specified in Bidding Document, is the BG/ INSURANCE SURETY BOND confirmed by a Bank in India acceptable as per Bidding documents?	

Date : Signature

Place : Printed Name

(Designation)

(Common Seal)

Note: The Bidder is required to fill up this form and enclose along with the Bank Guarantee/Insurance Surety Bond.

Certified that the Bank Guarantee submitted has been verified and accepted in line with the prescribed procedure.

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25 SECTION - VII (Part 2 of 2)

**17. FORM OF VALIDITY EXTENSION
OF BANK GUARANTEE/INSURANCE SURETY
BOND**

FORM OF EXTENSION OF BANK GUARANTEE/ INSURANCE SURETY BOND

Ref. No.:
@.....

Date:

Dear Sirs,

Subject: Extension of Bank Guarantee/Insurance Surety Bond No. dated for.....[indicate value of Bank Guarantee/Insurance Surety Bond].....favoring yourselves expiring on on account of M/s..... (Name of Bidder).....in respect of Contract for (Insert Package name) (Insert Project Name) project, Contract No. dated..... (hereinafter called original Bank Guarantee/Insurance Surety Bond)

At the request of M/s we Bank branch office at..... and having its Head office at do hereby extend our liability under the above mentioned guarantee No. dated..... for a further period of year/months from to expire on

Except as provided above, all other terms and conditions of original Bank Guarantee/Insurance Surety Bond No..... dated..... shall remain unaltered and binding.

Please treat this as an integral part of the original guarantee to which it would be deemed to have been attached.

.....
(Signature)

.....
(Name)

.....
(Designation with Bank Stamp)

Authorised vide

Power of Attorney No.....

Date.....

Dated

SEAL OF BANK/INSURANCE COMPANY

Note:

1. @ The extension of the Bank Guarantee/Insurance Surety Bond should be forwarded to the Unit/ Project/Corporate Centre, from where the extension has been sought.

2. The extension of BG/Insurance Surety Bond should be on Non-Judicial stamp paper/estamp paper of appropriate value as per the Stamp Act prevailing in the State(s) where the BG/Insurance Surety Bond is submitted or is to be acted upon or the rate prevailing in the State where the BG is executed, whichever is higher. The Stamp Paper/e-Stamp paper shall be purchased in the name of Bidder/Bank issuing the guarantee.

18. FORM OF INDEMNITY-CUM-UNDERTAKING AGREEMENT [FOR REMOVAL / DISPOSAL OF SCRAP / SURPLUS MATERIAL]

(TO BE EXECUTED ON STAMP PAPER OF APPROPRIATE VALUE)

INDEMNITY-CUM-UNDERTAKING AGREEMENT

THIS INDEMNITY-CUM-UNDERTAKING AGREEMENT executed this day of..... 20 between(Name of Company) a Company /Partnership Firm / Proprietary Concern incorporated under the laws of having its Registered Office(s) at (Office Address).....hereinafter called the “Contractor” (which expression shall, unless excluded by or repugnant to the context, be deemed to mean and include its successors, administrators, executors and permitted assigns)

AND

M/s. having its registered office at (Hereinafter referred to as „Employer“).

1. „Employer” has awarded the „Contractor”, contract for execution of work ("Scope of Work") as mentioned in the contract agreement no dated, entered into between „Employer” and „Contractor”, relating to (Name & Address of Project/Station) (Hereinafter called 'the Project').
2. The „Contractor” for the purpose of execution of its Scope of Work had from time to time procured and stored (Details of Material) at the Project Site.
3. After completion of the Scope of Work by “Contractor”, it has been identified that scrap (Details of Scrap Material & its quantity)..... and/or surplus..... (Details of Surplus Material & its Quantity) belonging to „Contractor” is lying at the said Project Site.
4. Now, the scrap..... (Details of Scrap Material &its Quantity) and/or surplus.....(Details of Surplus Material & its Quantity) belonging to the “Contractor”, requires to be removed by “Contractor” from the Project Site.

NOW THEREFORE THIS INDEMNITY-CUM-UNDERTAKING AGREEMENT WITNESSETH ASUNDER:

1. That “Contractor” by way of this Indemnity-cum-Undertaking Agreement requests “Employer” to issue necessary exit gate pass(es) in favor of “Contractor” for removal of scrap..... (Details of Scrap Material & its Quantity) and/or Surplus.....(Details of Surplus Material & its Quantity) belonging to “Contractor”, from the project.

2. That as per Employer's procedure, "Contractor" shall ensure loading of trucks for clearing of its scrap.....(Details of Scrap Material & its Quantity) and/or surplus (Details of Surplus Material & its Quantity) by itself, as aforesaid, under the supervision of CISF personnel.
3. That "Contractor" in consideration of the premises above, for itself and its respective, executors, administrators and assigns, jointly and severally agree and undertake from time to time and at all times hereafter to indemnify "Employer" and keep "Employer" indemnified from and against all claims, demands, actions, liabilities and expenses which may be made or taken against or incurred by "Employer" by reason of the issue of necessary gate pass(es) by "Employer" and permitting „Contractor" to remove scrap(Details of Scrap Material & its Quantity) and/or surplus (Details of Surplus Material & its Quantity) belonging to "Contractor", from the project.
4. That "Contractor" undertakes to indemnify and keep "Employer" harmless from any act of omission or negligence on the part of the "Contractor" in following the statutory requirements with regard to removal/disposal of scrap and surplus belonging to "Contractor", from the Project Site aforesaid, by the "Contractor". Further, in case the laws require "Employer" to take prior permission of the relevant Authorities before handing over the scrap and/or surplus to the "Contractor". the same shall be obtained by the "Contractor" on behalf of "Employer".

IN WITNESS WHEREOF, the "Contractor" and the "Employer", through their authorized representative, have executed these presents on the Day, Month and Year first mentioned above at (Name of the Place)

For and on behalf of

.....
(Contractor's Name)

Signature.....
Name.....
Designation of.....
Authorized representative *

For and on behalf of

.....
(Employer's name)

Signature.....
Name.....
Designation of.....
Authorized representative*

WITNESS:

1. Signature.....
2. Name.....
3. Address.....

WITNESS :

1. Signature.....
2. Name.....
3. Address.....

- * Indemnity-cum-Undertaking Agreement are to be executed by the authorized person and (i) in case of contracting Company under common seal of the Company or (ii) having the Power of Attorney issued under common seal of the company with authority to execute Indemnity-cum-Undertaking Agreement , (iii) In case of (ii), the original Power of Attorney if it is specifically for this Contract or a copy of the Power of Attorney if it is General Power of Attorney and such documents should be attached to Indemnity-cum-Undertaking Agreement . In case of Employer, by the authorized representative of the Employer.

19. FORM OF CONTRACT CLOSING CERTIFICATES

PROFORMA OF CERTIFICATE OF FINAL AMENDMENT TO THE CONTRACT

(To BE ISSUED BY CORPORATE CONTRACT SERVICES)

CERTIFICATE NO. CCP - 01

NAME OF PACKAGE:

**LETTER OF AWARD/ NOA/
CONTRACT NO. :**

NAME OF CONTRACTOR

DATED:

This is to certify that amendments have been issued to the aforesaid contract as per the details mentioned below:

	Amendment No.	Date
1.		
2.		
3.		
4.		
5.		

This is to certify further that Amendment No.----- dated is the last amendment issued.

Signature

Date
Place.....

Name.....
Designation

PROFORMA FOR DRAWING RECEIPT CERTIFICATE

(TO BE ISSUED BY ENGINEERING)

CERTIFICATE NO.CCP- 02

NAME OF PACKAGE:

**LETTER OF AWARD/ NOA/
CONTRACT NO. :**

NAME OF CONTRACTOR

DATED:

Project:

This is to certify that we have received all the drawings which were to be submitted by the Contractor in requisite number along with the reproducibles as detailed in Annexure enclosed herewith, as per provisions stipulated in the above mentioned LOA/Contract.

Signature

Date

Place.....

Name... ..

Designation

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25 SECTION - VII (Part 2 of 2)

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PROFORMA FOR QA DOCUMENTS RECEIPT CERTIFICATE

(TO BE ISSUED BY CQA&I)

CERTIFICATE NO. CCP-03

NAME OF PACKAGE:

**LETTER OF AWARD/
NOA/ CONTRACT NO. :**

NAME OF CONTRACTOR:

DATED:

Project:

This is to certify that the QA documents as per the list enclosed, in respect of the above mentioned LOA/ Contract has been received in line with the provisions of the Contract.

Signature

Date

Place.....

Name.....

Designation

DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI

BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25
SECTION - VII (Part 2 of 2)

**DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI**

**BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25
SECTION - VII (Part 2 of 2)**

PROFORMA FOR O&M MANUAL RECEIPT CERTIFICATE

(TO BE ISSUED BY ENGINEERING)

CERTIFICATE NO. CCP - 04

NAME OF PACKAGE:

**LETTER OF AWARD/ NOA/
CONTRACT NO. :**

NAME OF CONTRACTOR:

DATED:

Project :

This is to certify that we have received from the contractor all the necessary O&M Manuals in requisite number including the list of spare parts along with the names of vendors in respect of the above LOA/contract. The consolidated list of such manuals received is enclosed along with the distribution as marked on the list.

Signature

Date.....
Place.....

Name... ..
Designation.....

PROFORM FOR SCOPE COMPLETION CERTIFICATE

(TO BE ISSUED BY SITE ERECTION)

CERTIFICATE NO. CCP- 05

NAME OF PACKAGE:

**LETTER OF AWARD/
NOA/CONTRACT NO.:**

NAME OF CONTRACTOR:

DATED:

Project :

- 1.** This is to certify that the scope of the above Contract has been completed in line with the contract read in conjunction with the following documents:
 1. Final Amendment No. _____ (As per CCP -01)
 2. Approved drawings including site run pipingschemes, if any.
 3. Approved Bill of Materials
 4. Material Dispatch Clearance Certificate (s)
 5. Measurement Book
 6. As Built Drawings
 7. Any other documents (specify)
- 2.** It is further certified that the following have been supplied, as per the details given in the Contract Documents and the same have been taken over by the employer.
 1. Mandatory Spares
 2. Recommended Spares
 3. Special Tools & Tackles

Signature

Date
Place.....

Name... ..
Designation

DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI

BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25
SECTION - VII (Part 2 of 2)

PROFORMA FOR LIQUIDATED DAMAGES FOR DELAY CERTIFICATE

(TO BE ISSUED BY SITE ERECTION/ REGIONAL OFFICE/ CORPORATE CONTRACT SERVICES)

CERTIFICATE NO. CCP- 06

NAME OF PACKAGE: **LETTER OF AWARD/ NOA/ CONTRACT NO. :**

NAME OF CONTRACTOR: **DATED:**

Project:

This is to certify that the issue regarding liquidated damages for delay as per the provisions of clause_____of the above contract/ LOA has been resolved with the approval of the Competent authority vide reference _____ (copy enclosed).

Signature

Date Name... ..
Place..... Designation

PROFORMA OF SHORTFALL IN EQUIPMENT PERFORMANCE CERTIFICATE

(TO BE ISSUED BY OS/SITE, AS APPLICABLE, FOR SITE PERFORMANCE TEST AND
BY CQA&I FOR SHOP TEST)

CERTIFICATE NO. CCP - 07

NAME OF PACKAGE:

**LETTER OF AWARD/
NOA/ CONTRACT NO. :**

NAME OF CONTRACTOR:

DATED:

PROJECT:

This is to certify that the following shortfall in equipment performance as compared to the guaranteed parameters have been assessed and agreed to with the contractor in respect of the above mentioned LOA/ Contract.

S.No	Guaranteed Parameter	Guaranteed value	Assessed Value	Shortfall, if any.	liquidated damages Annual
1	2	3	4	5	6

Further, it is also confirmed that liquidated damages for shortfall in equipment performance in respect of above Items, have been recovered fully from the contractor and no other dues are outstanding for shortfall in equipment performance.

Signature

Date

Place.....

Name.....

Designation

To be counter signed by Site Accounts.

Signature

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25 SECTION - VII (Part 2 of 2)

Date.....
Place.....

Name... ..
Designation

PROFORMA OF "MATERIAL RECONCILIATION" CERTIFICATE

(TO BE ISSUED BY SITE ERECTION AND COUNTERSIGNED BY SITE MATERIALS MANAGEMENT)

CERTIFICATE NO. CCP- 08

NAME OF PACKAGE:

**LETTER OF AWARD/
NOA/ CONTRACT NO.:**

NAME OF CONTRACTOR:

DATED:

PROJECT:

This is to certify that the materials issued to the contractor in respect of the above mentioned LOA/Contract have been reconciled with the stipulations under the contract documents and no other recovery of material is pending with the contractor.

Signature

Date
Place.....

Name... ..
Designation

To be counter signed by materials management

Signature

Date
Place.....

Name... ..
Designation... ..

PROPORMA OF "PAYMENT RECONCILIATION" CERTIFICATE
(TO BE ISSUED BY SITE ACCOUNTS IN CASE OF INDIAN CONTRACTORS)

CERTIFICATE NO. CCP - 09

NAME OF PACKAGE:

**LETTER OF AWARD/
NOA/ CONTRACT NO. :**

NAME OF CONTRACTOR:

DATED:

PROJECT:

This is to certify that all the payments released to the contractor in respect of the above mentioned LOA/Contract have been reconciled with the provisions of the contract documents and statement of Accounts and or other Certificates of Contractor. It is observed that there are no recoveries pending under any of the items listed overleaf.

Signature

Date

Place.....

Name.....

Designation.....

Enclosure to CCP-09

The list of recoveries and claims as advised by site Erection Department should include all recoveries and claims on any account whatsoever, including the following:

1. Liquidated damages for delay.
2. Liquidated damages for shortfall in Performance as observed during shop-testing (by inspection deptt.)
3. Liquidated damages for shortfall in performance as observed during performance guarantee tests conducted at site and other site tests.
4. All recoveries /claims on account of variations/deviations to scope of contract permitted or otherwise taken place during execution of the contract as listed in certificate No. CCP.05 for contract closing (Certificate by site Erection for contract closing).
5. Recoveries on account of reconciliation of payments made under the contract.
6. All the claims against the contractor regarding clearance of materials from site and vacation of the premises allotted for site office, stores.
7. All claims in respect of Training/Transportation/Accommodation/Services provided by site in respect of above LOA/Contract.
8. Recoveries on account of settlement of insurance claims
9. Recoveries on account of reconciliation of materials issued to the Contractor.
10. All recoveries on account of demurrage, transportation, insurance premiums etc. and other recoveries as informed by T&CC group on account of port clearance, transportation etc.
11. All recoveries on account of wastage and scrap.
12. All recoveries/claims (if any) on account of maintenance of equipments.
13. All recoveries/claims (if any) on account of price variation.
14. All recoveries/claims (if any) on account of statutory dues paid on behalf of the Contractor by the employer.
15. Royalty charges.
16. All recoveries/ claims (if any) on account of hiring out of Employer's plant and equipment.
17. All recoveries/claims (if any) on account of water and electricity charges (if applicable).
18. Any other recoveries/claims against specific instructions.

**PROFORMA OF CERTIFICATE REGARDING LABOUR PAYMENTS AND STATUTORY
REQUIREMENTS TO BE FURNISHED BY THE CONTRACTOR.**

(TO BE ISSUED BY THE CONTRACTOR)

CERTIFICATE NO. CCP - 10

NAME OF PACKAGE:

**LETTER OF AWARD/
NOA/ CONTRACT NO. :**

NAME OF CONTRACTOR:

DATED:

PROJECT:

This is to certify that we have made all labour payments including PF Liabilities in respect of the above mentioned LOA/ Contract and no other payments in this regard is pending from us.

Further we confirm that all Statutory requirements have been complied with by us and in case any default is reported against us, we shall be solely responsible for the same.

Signature

Date

Name.....

Place.....

Designation

**DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI**

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SECTION - VII (Part 2 of 2)**

PROFORMA OF "NO DEMAND CERTIFICATE" BY CONTRACTOR
(TO BE ISSUED BY THE CONTRACTOR)

CERTIFICATE NO. CCP-11

NAME OF PACKAGE:

**LETTER OF AWARD/ NOA/
CONTRACT NO.:**

NAME OF CONTRACTOR:

DATED:

PROJECT:

We, M/s..... (Contractor) do hereby acknowledge and confirm that we have received the full and final payment due and payable to us from NVVN Ltd. in respect of our aforesaid LOA/Contract No..... dated.....including amendments if any, issued by NVVN Ltd., to our entire satisfaction and we further confirm that we have no claim whatsoever pending with NVVN Ltd. under the said Contract.

Notwithstanding any protest recorded by us in any correspondence document, measurement books, and/or final bills etc., we waive all our rights to lodge any claim or protest in future under this contract.

We are issuing this "NO DEMAND CERTIFICATE" in favour of NVVN Ltd. with full knowledge and with our free consent without any undue influence, misrepresentation, coercion etc.

Signature

Date

Name.....

Place.....

Designation

(Company Common Seal)

(This certificate shall be accompanied by the Power of attorney of the signatory)

DEVELOPMENT OF 25651 KW GRID CONNECTED
ROOFTOP SOLAR POWER PROJECT FOR DELHI
POLICE AND OTHER GOVT. BUILDING IN DELHI

BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25
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PROFORMA OF CERTIFICATE FOR COMPLETION OF WARRANTY PERIOD
(TO BE ISSUED BY SITE ERECTION)

CERTIFICATE NO. CCP-12

NAME OF PACKAGE:

**LETTER OF AWARD/
NOA/ CONTRACT NO.:**

NAME OF CONTRACTOR:

DATED:

PROJECT:

This is to certify that the warranty period for the above mentioned LOA/Contract has been completed in line with the provisions of the contract.

Signature

Date
Place.....

Name... ..
Designation

PROFORMA OF "CERTIFICATE FOR RETURN OF BGs/ INDEMNITY BONDS ETC."

(TO BE ISSUED BY SITE ACCOUNTS)

CERTIFICATE NO. CCP-13

NAME OF PACKAGE:

**LETTER OF AWARD/NOA/
CONTRACT NO.:**

NAME OF CONTRACTOR:

DATED:

PROJECT:

This is to certify that all the *Bank Guarantee/ Indemnity bonds/ Insurance policies/ Collaborator's or Associate's Guarantee received for the above mentioned LOA/ Contract have been returned in original to the contractor.

Signature

Date

Place.....

Name... ..

Designation

****Delete whichever is not applicable.***

DEVELOPMENT OF 25651 KW GRID CONNECTED ROOFTOP SOLAR POWER PROJECT FOR DELHI POLICE AND OTHER GOVT. BUILDING IN DELHI	BIDDING DOCUMENT NO.: NVVN/C&M/RE-307/2024-25 SECTION - VII (Part 2 of 2)

**DECLARATION OF ABSENCE OF CONFLICT OF INTEREST WITH CONCILIATION
COMMITTEES OF INDEPENDENT EXPERTS (CCIE)**

To :

*[Employer's Name and
Address]*

Dear Sirs,

- 1.0 We, M/s (Name of the Contractor) have been awarded the contract for (Name of the package) vide Notification (s) of Award No..... dated.....
- 2.0 As per the provisions of the contract, we hereby propose the following CCIEs, in priority order, as per the list enclosed in the Special Conditions of Contract, as amended from time to time by Ministry of Power, for finalization of CCIE by CEA:
- 1.....
2.....
3.....
- 3.0 We confirm that we do not have any conflict of interest with the aforesaid experts and they have not been engaged for providing any services to us in the last five years.
- 4.0 We confirm that in case of any form of conflict of interest (possible or actual) which may inadvertently emerge during the conciliation proceedings by CCIE, the same will be duly reported to you.
- 5.0 We understand that the employer may withdraw from the conciliation proceedings, if any conflict of interest with us is found out which have been intentionally concealed, and the matter may be referred to Ministry of Power. Further, action may be taken against us in respect of Fraud Prevention Policy of NTPC.
-

Date :

Signature.....

Place :

Printed Name.....

(Designation)

(Common Seal)

Form No. 21.

Bidder's Name and Address:

Dear Sirs,
We, hereby authorize the Employer to make all our payments through Electronic Fund Transfer System. The details for facilitating the payments are given below:
(TO BE FILLED IN CAPITAL LETTERS)

[illegible][illegible][illegible]

(A) BANK NAME

[illegible]

(B) BANK TELEPHONE NO. (WITH STD CODE)

(C) BRANCH ADDRESS

PIN CODE																

(D) BANK FAX NO (WITH STD CODE)

(E) BRANCH CODE

(F) 9 DIGIT MICR CODE OF THE BANK BRANCH (ENCLOSE COPY OF A CANCELLED CHEQUE)

(G) IFSC CODE

(H) BANK ACCOUNT NUMBER

(I) BANK ACCOUNT TYPE (TICK ONE)

SAVING	CURRENT	LOAN	CASH CREDIT	OTHERS

IF OTHERS, SPECIFY

5. PERMANENT ACCOUNT NUMBER (PAN) (ENCLOSE COPY)

6. E-MAIL ADDRESS FOR INTIMATION REGARDING RELEASE OF PAYMENTS

I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or credit is not affected at all for reasons of incomplete or incorrect information, I/We would not hold the Employer responsible. We have enclosed document as mentioned at Sl. No. 4(F) and 5 above.

SIGNATURE

DATE

(AUTHORISED SIGNATORY)

Name:

OFFICIAL STAMP

BANK CERTIFICATION:

It is certified that above mentioned beneficiary holds a bank account no.
with our branch and the Bank particulars mentioned above are correct.

SIGNATURE

DATE

(AUTHORISED SIGNATORY)

Authorization No. :

Name:

OFFICIAL STAMP